

The onset of antiferromagnetism in metals: from the cuprates to the heavy fermion compounds

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Talk online: sachdev.physics.harvard.edu





Max Metlitski



Matthias Punk



Erez Berg



1. Experimental motivations from cuprates and pnictides
2. Conventional theory and its breakdown in two spatial dimensions
3. Fermi surface reconstruction: onset of unconventional superconductivity
4. Fermi surface reconstruction *without* symmetry breaking: metals with “topological” order and the heavy fermion compounds

1. Experimental motivations from cuprates and pnictides

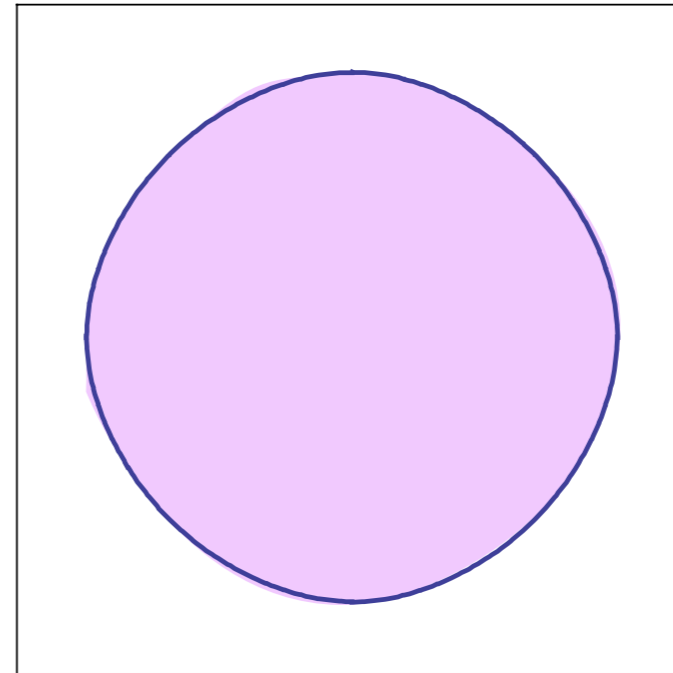
2. Conventional theory and its breakdown in two spatial dimensions

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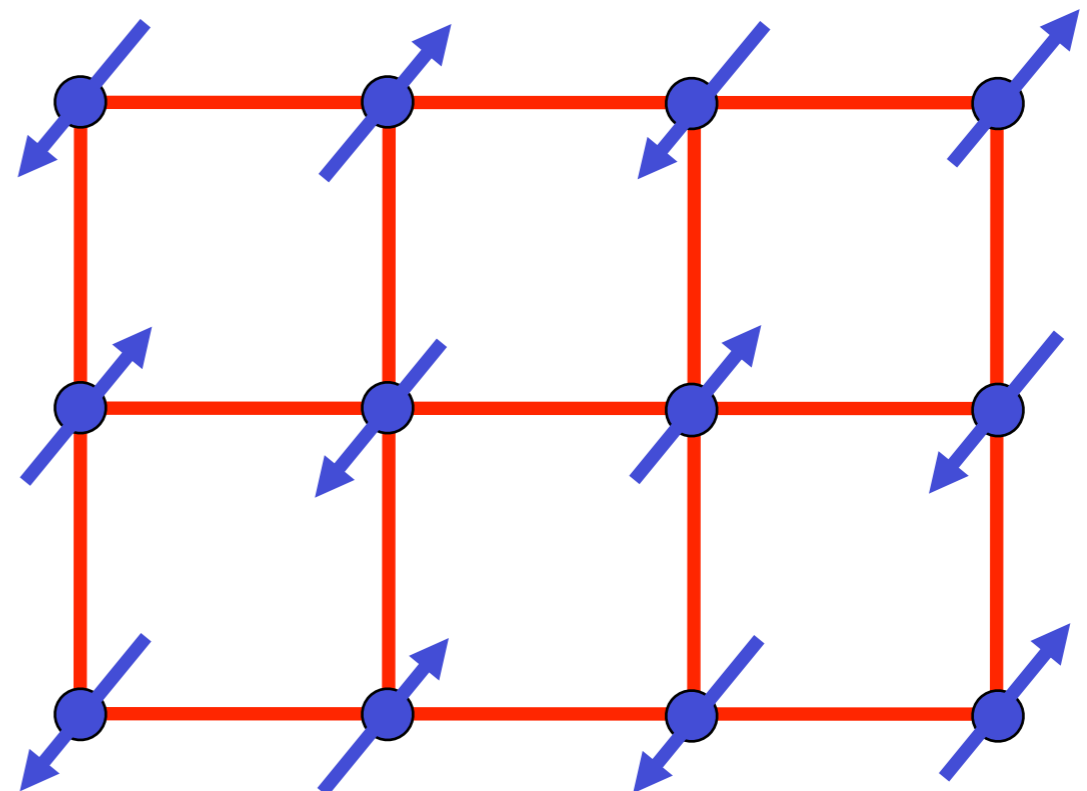
4. Fermi surface reconstruction *without* symmetry breaking: metals with “topological” order and the heavy fermion compounds

Fermi surface+antiferromagnetism

Metal with “large”
Fermi surface



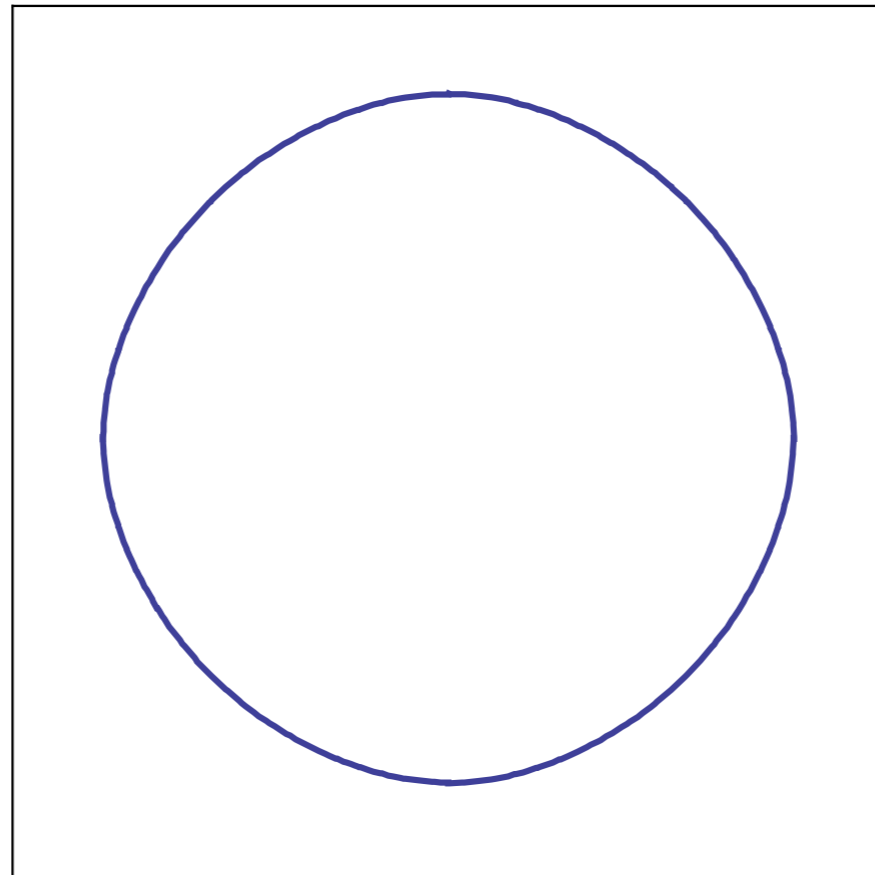
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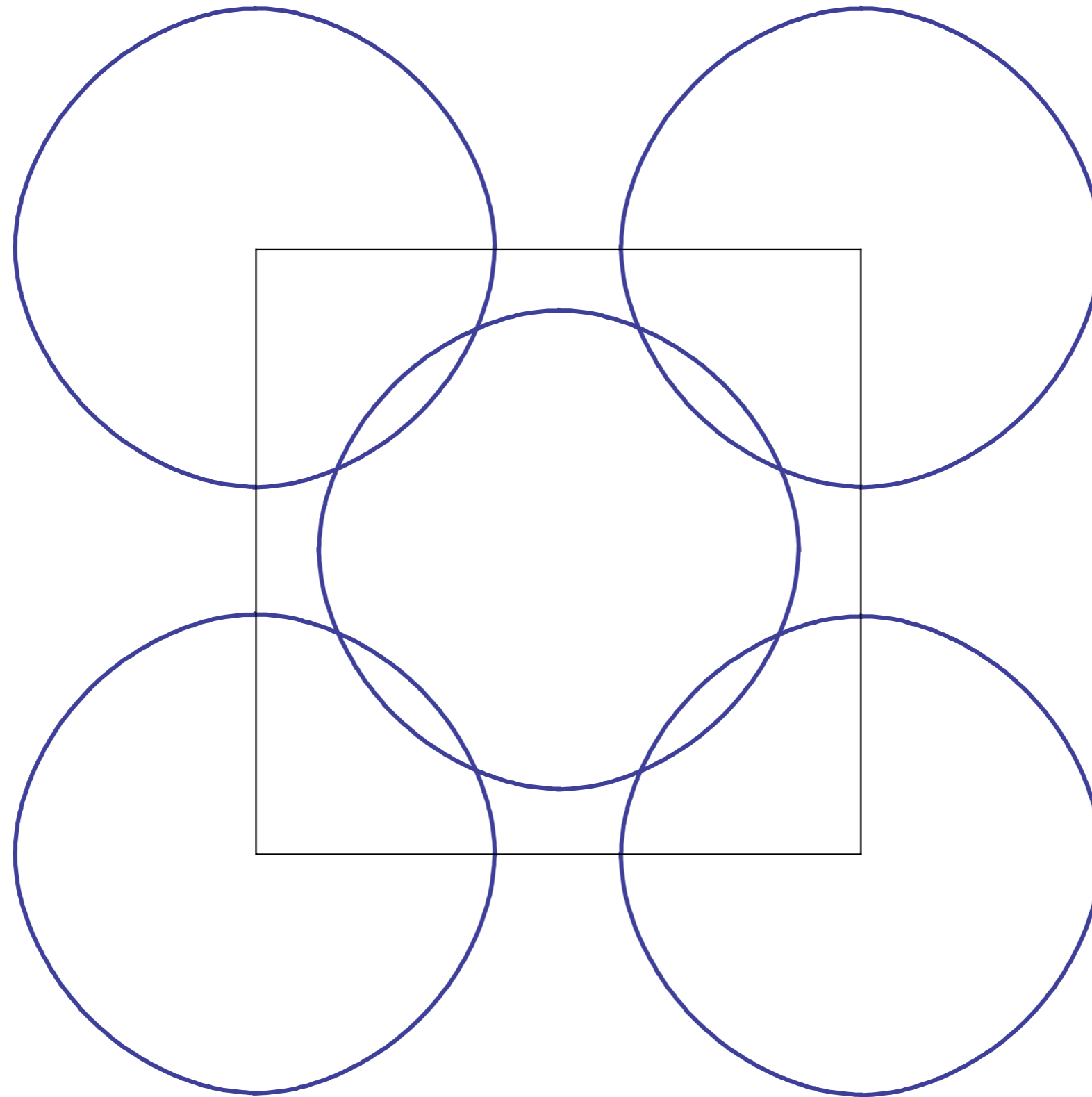
The electron spin polarization obeys

$$\langle \vec{S}(\mathbf{r}, \tau) \rangle = \vec{\varphi}(\mathbf{r}, \tau) e^{i\mathbf{K} \cdot \mathbf{r}}$$

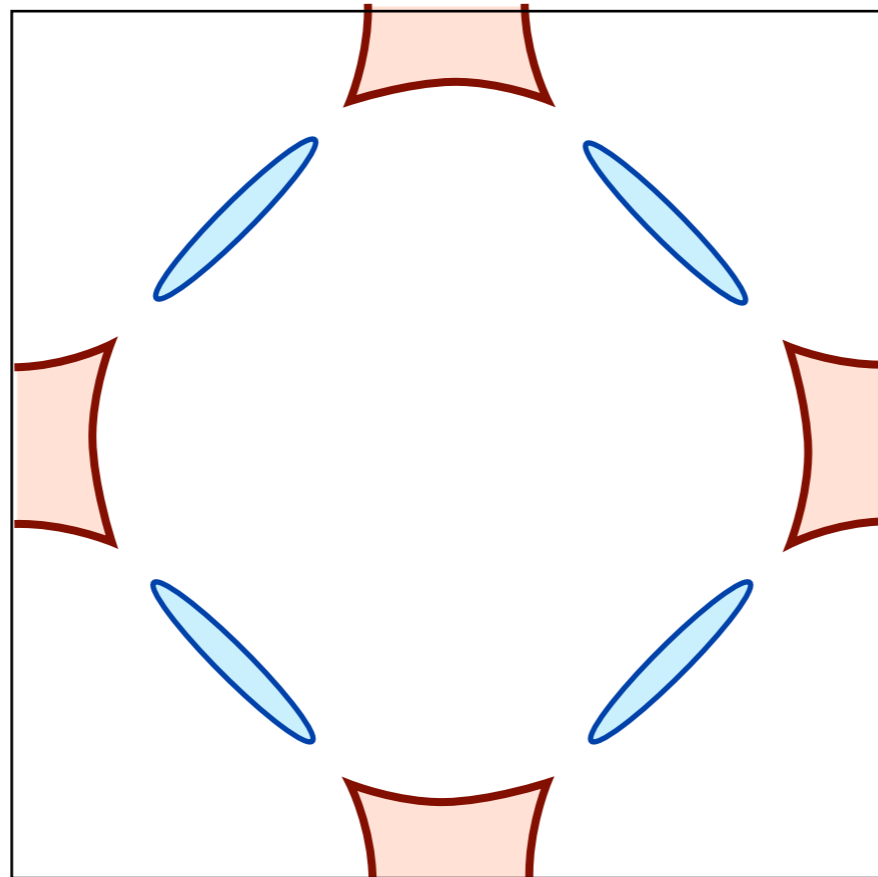
where \mathbf{K} is the ordering wavevector.



Metal with “large” Fermi surface

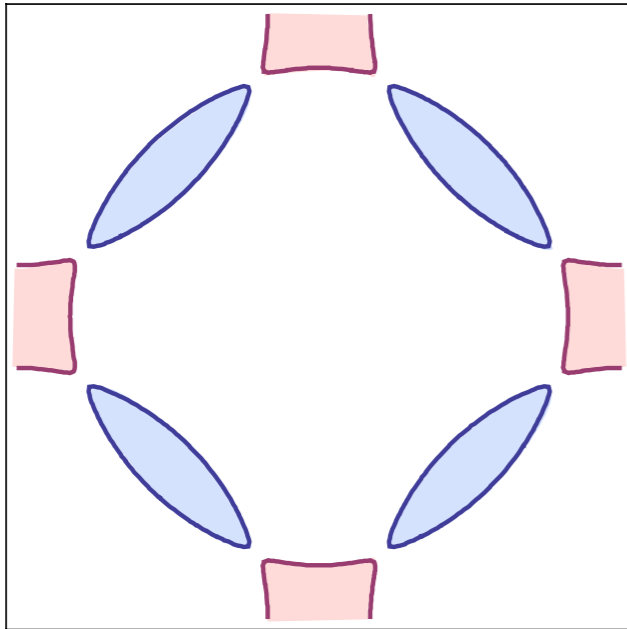


Fermi surfaces translated by $\mathbf{K} = (\pi, \pi)$.



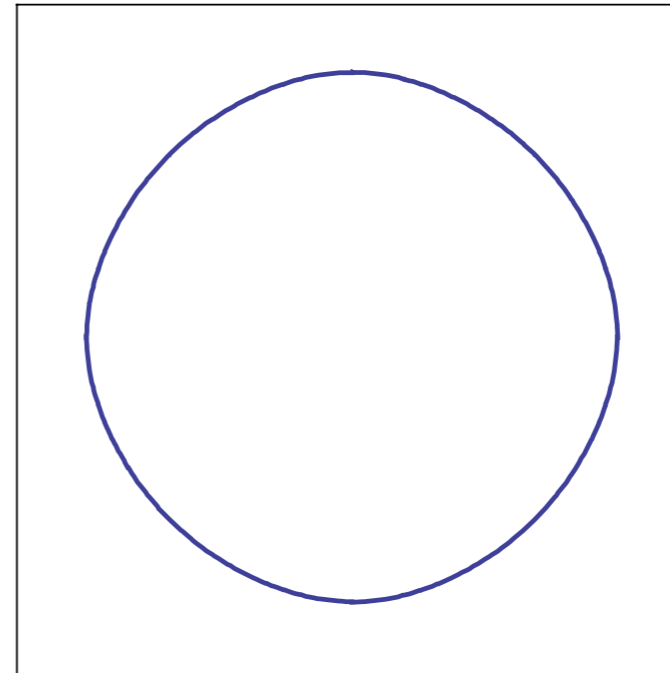
Fermi surface reconstruction
into electron and hole pockets in
antiferromagnetic phase with $\langle \vec{\varphi} \rangle \neq 0$

Quantum phase transition with Fermi surface reconstruction



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets



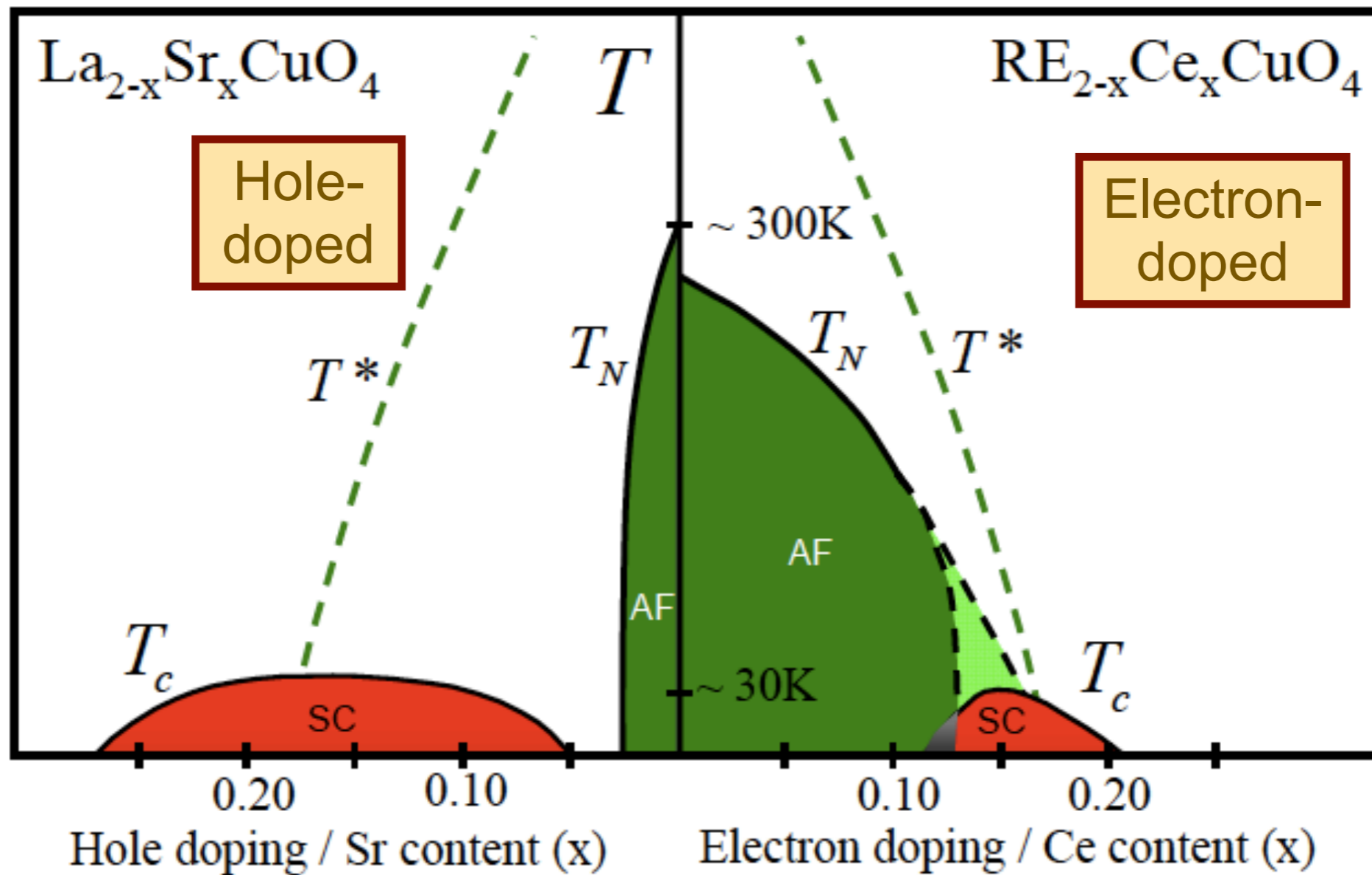
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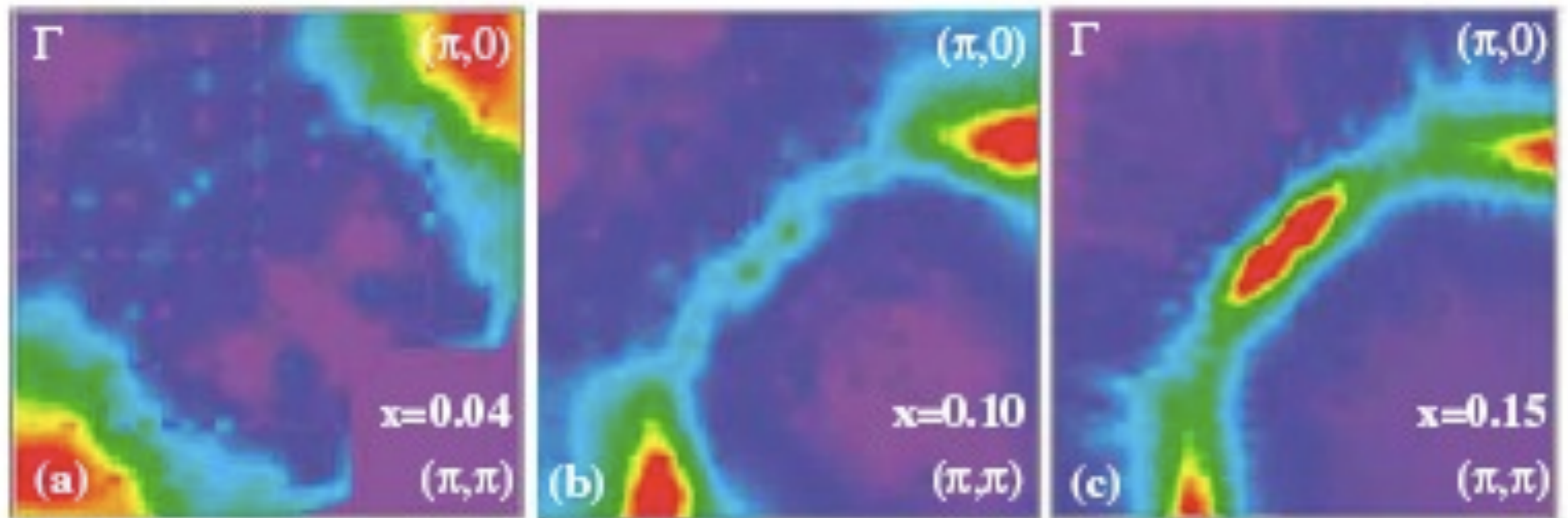
← Increasing interaction

S. Sachdev, A. V. Chubukov, and A. Sokol, *Phys. Rev. B* **51**, 14874 (1995).
A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Hole and electron-doped cuprate superconductors



Photoemission in $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$

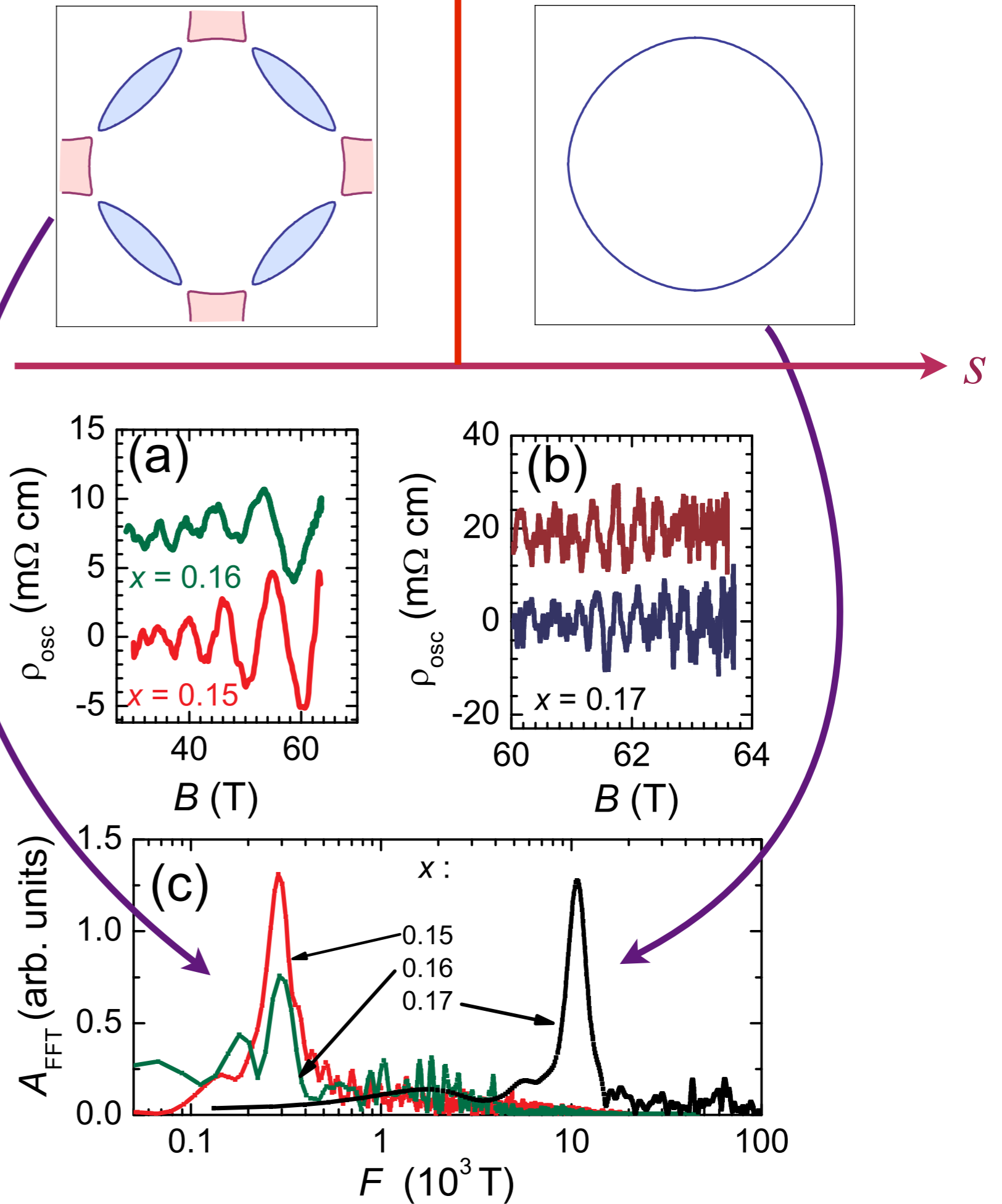


N. P. Armitage *et al.*, Phys. Rev. Lett. **88**, 257001 (2002).

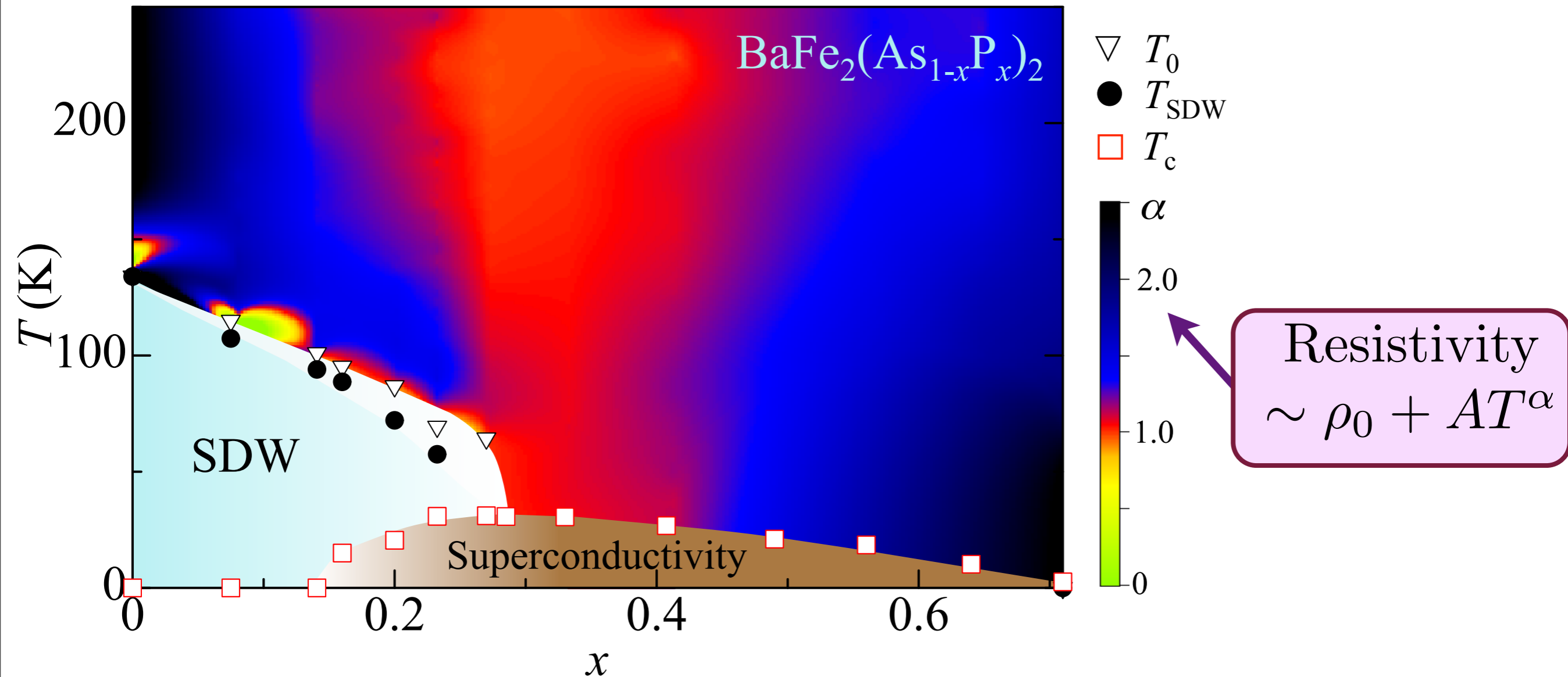
Quantum oscillations



T. Helm, M.V. Kartsovnik,
M. Bartkowiak, N. Bittner,
M. Lambacher, A. Erb, J. Wosnitza,
and R. Gross,
Phys. Rev. Lett. **103**, 157002 (2009).



Temperature-doping phase diagram of the iron pnictides:



S. Kasahara, T. Shibauchi, K. Hashimoto, K. Ikada, S. Tonegawa, R. Okazaki, H. Shishido, H. Ikeda, H. Takeya, K. Hirata, T. Terashima, and Y. Matsuda, *Physical Review B* **81**, 184519 (2010)

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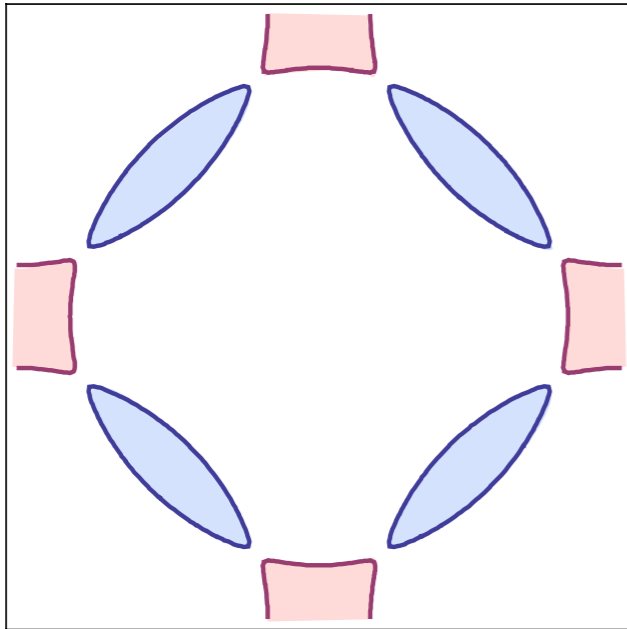
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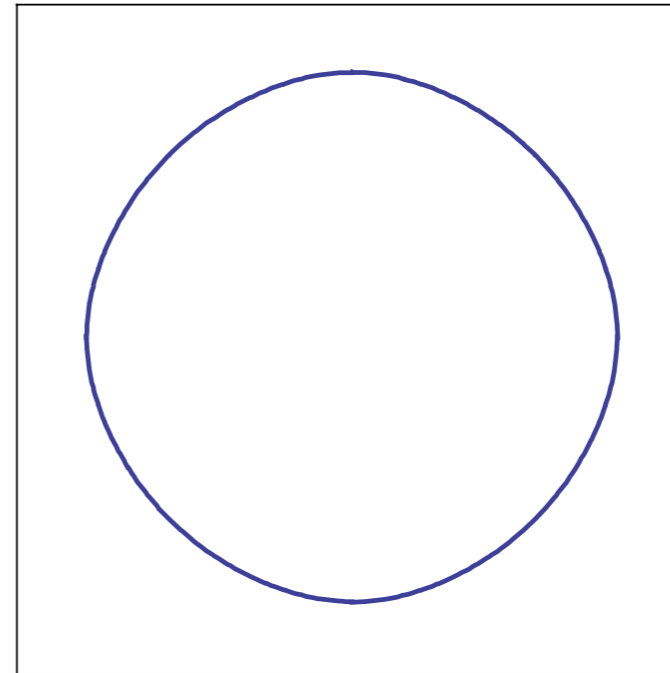
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Quantum phase transition with Fermi surface reconstruction



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
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Boson-fermion theory for both phases

$$\mathcal{S} = \int d^2r d\tau [\mathcal{L}_c + \mathcal{L}_\varphi + \mathcal{L}_{c\varphi}]$$

$$\mathcal{L}_c = c_a^\dagger \varepsilon (-i \nabla) c_a$$

$$\mathcal{L}_\varphi = \frac{1}{2} (\nabla \varphi_\alpha)^2 + \frac{r}{2} \varphi_\alpha^2 + \frac{u}{4} (\varphi_\alpha^2)^2$$

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$$\mathcal{L}_{c\varphi} = \lambda \varphi_\alpha e^{i\mathbf{K}\cdot\mathbf{r}} c_a^\dagger \sigma_{ab}^\alpha c_b.$$

“Yukawa” coupling between fermions and antiferromagnetic order:

$\lambda^2 \sim U$, the Hubbard repulsion

Hertz-Moriya-Millis theory

- Integrate out Fermi surface quasiparticles and obtain an effective theory for the order parameter $\vec{\varphi}$ alone.

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- Integrate out Fermi surface quasiparticles and obtain an effective theory for the order parameter $\vec{\varphi}$ alone.
- This is dangerous, and will lead to non-local in the $\vec{\varphi}$ theory. Hertz focused on only the simplest such non-local term.
- However, there are an infinite number of non-local terms at higher order, and these lead to a breakdown of the Hertz theory in two spatial dimensions.

Ar. Abanov and A.V. Chubukov, *Phys. Rev. Lett.* **93**, 255702 (2004).

- In $d = 2$, we *must* work in local theories which keeps both the order parameter and the Fermi surface quasiparticles “alive”.

Sung-Sik Lee, *Phys. Rev. B* **80**, 165102 (2009)

M.A. Metlitski and S. Sachdev, *Phys. Rev. B* **85**, 075127 (2010)

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- In $d = 2$, we *must* work in local theories which keeps both the order parameter and the Fermi surface quasiparticles “alive”.
- The theories can be organized in a $1/N$ expansion, where N is the number of fermion “flavors”.
- At subleading order, resummation of all “planar” graphics is required (at least): this theory is even more complicated than QCD.

Sung-Sik Lee, *Phys. Rev. B* **80**, 165102 (2009)

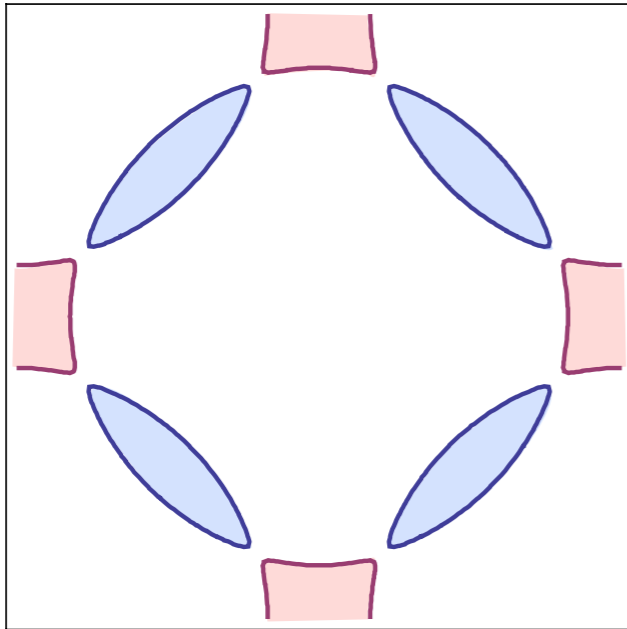
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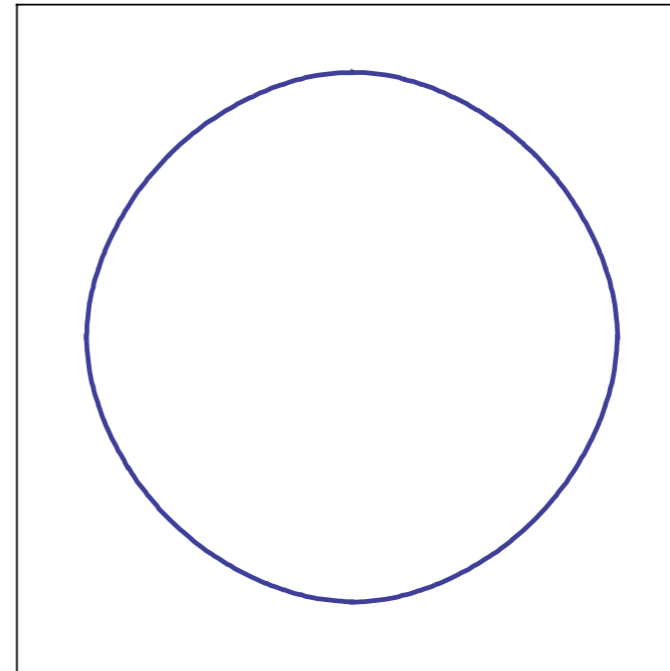
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A. V. Chubukov and D. K. Morr, *Physics Reports* **288**, 355 (1997).

Can antiferromagnetic fluctuations,
represented by the boson φ_α ,
provide the pairing glue which leads
to high temperature
superconductivity ?

There is an instability in weak-coupling,
but T_c is low where the theory is reliable:

***d*-wave pairing near a spin-density-wave instability**

D. J. Scalapino, E. Loh, Jr.,* and J. E. Hirsch†

Institute for Theoretical Physics, University of California, Santa Barbara, California 93106

(Received 23 June 1986)

We investigate the three-dimensional Hubbard model and show that paramagnon exchange near a spin-density-wave instability gives rise to a strong singlet *d*-wave pairing interaction. For a cubic band the singlet ($d_{x^2-y^2}$ and $d_{3z^2-r^2}$) channels are enhanced while the singlet (d_{xy}, d_{xz}, d_{yz}) and triplet *p*-wave channels are suppressed. A unique feature of this pairing mechanism is its sensitivity to band structure and band filling.

Physical Review B **34**, 8190 (1986)

At stronger coupling,
different effects compete:

- Pairing glue becomes stronger.



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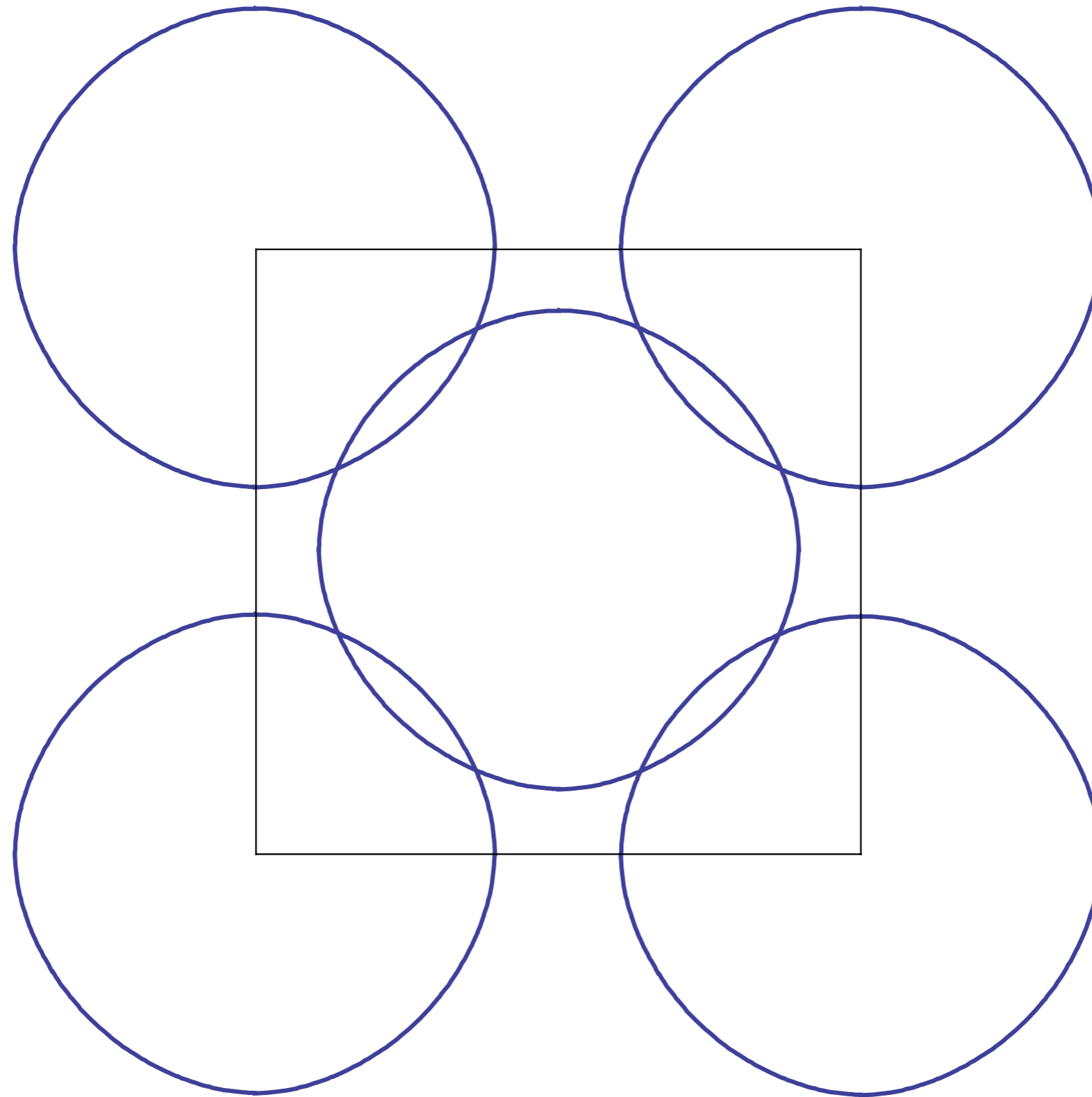
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- There is stronger fermion-boson scattering, and fermionic quasi-particles lose their integrity.



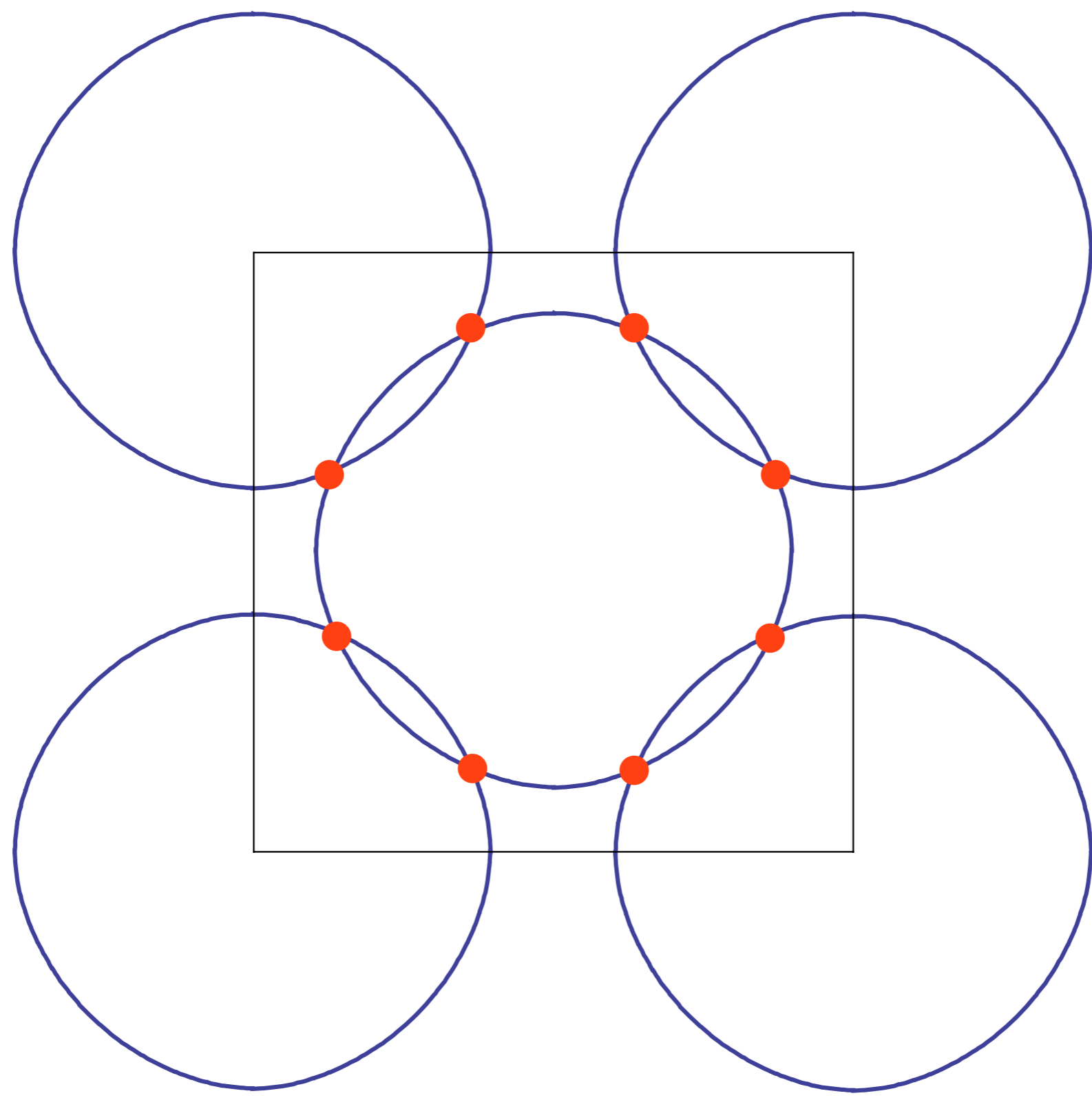
At stronger coupling,
different effects compete:

- Pairing glue becomes stronger.
- There is stronger fermion-boson scattering, and fermionic quasi-particles lose their integrity.
- Other instabilities can appear *e.g.* to charge density waves/stripe order.

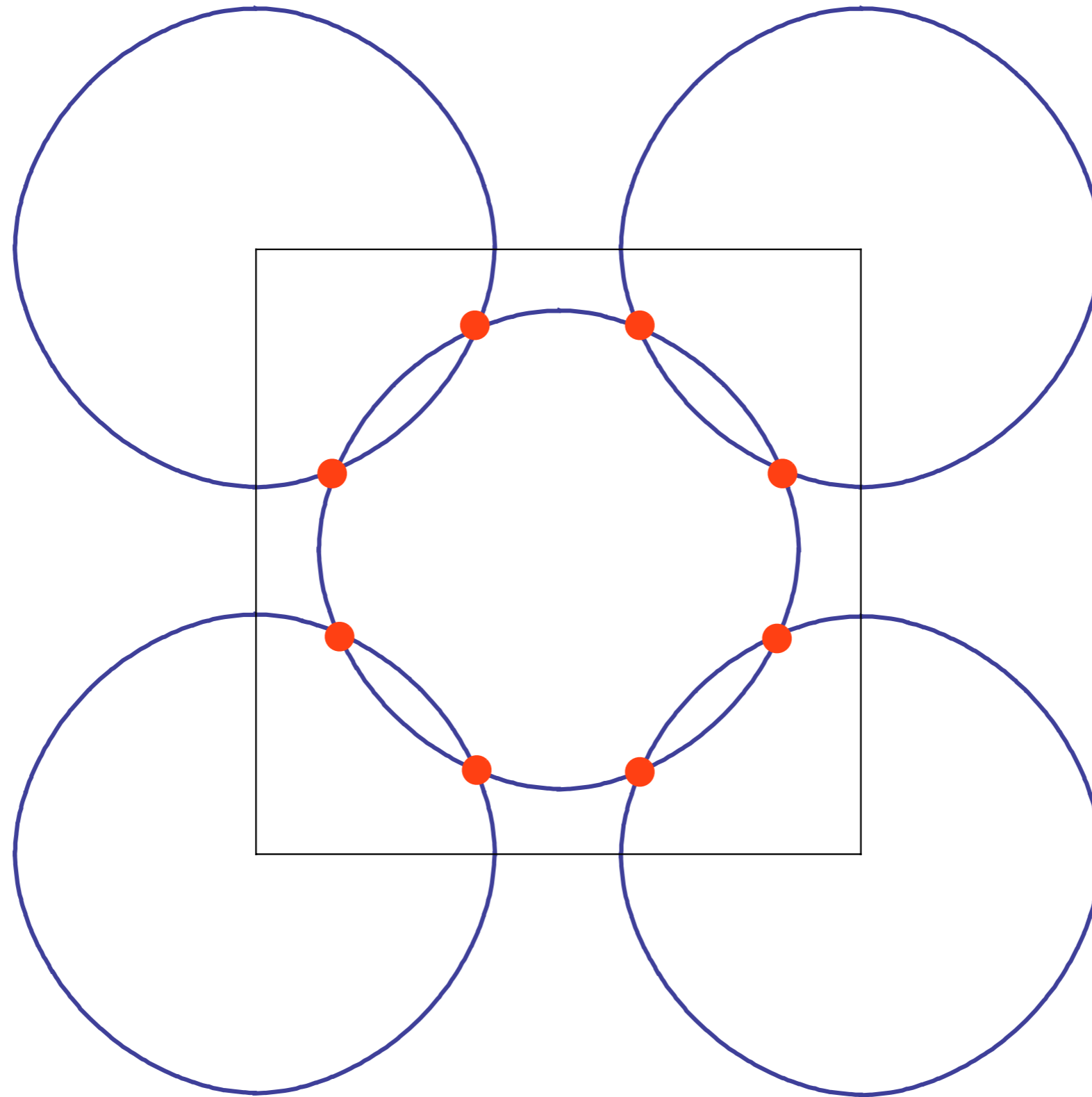




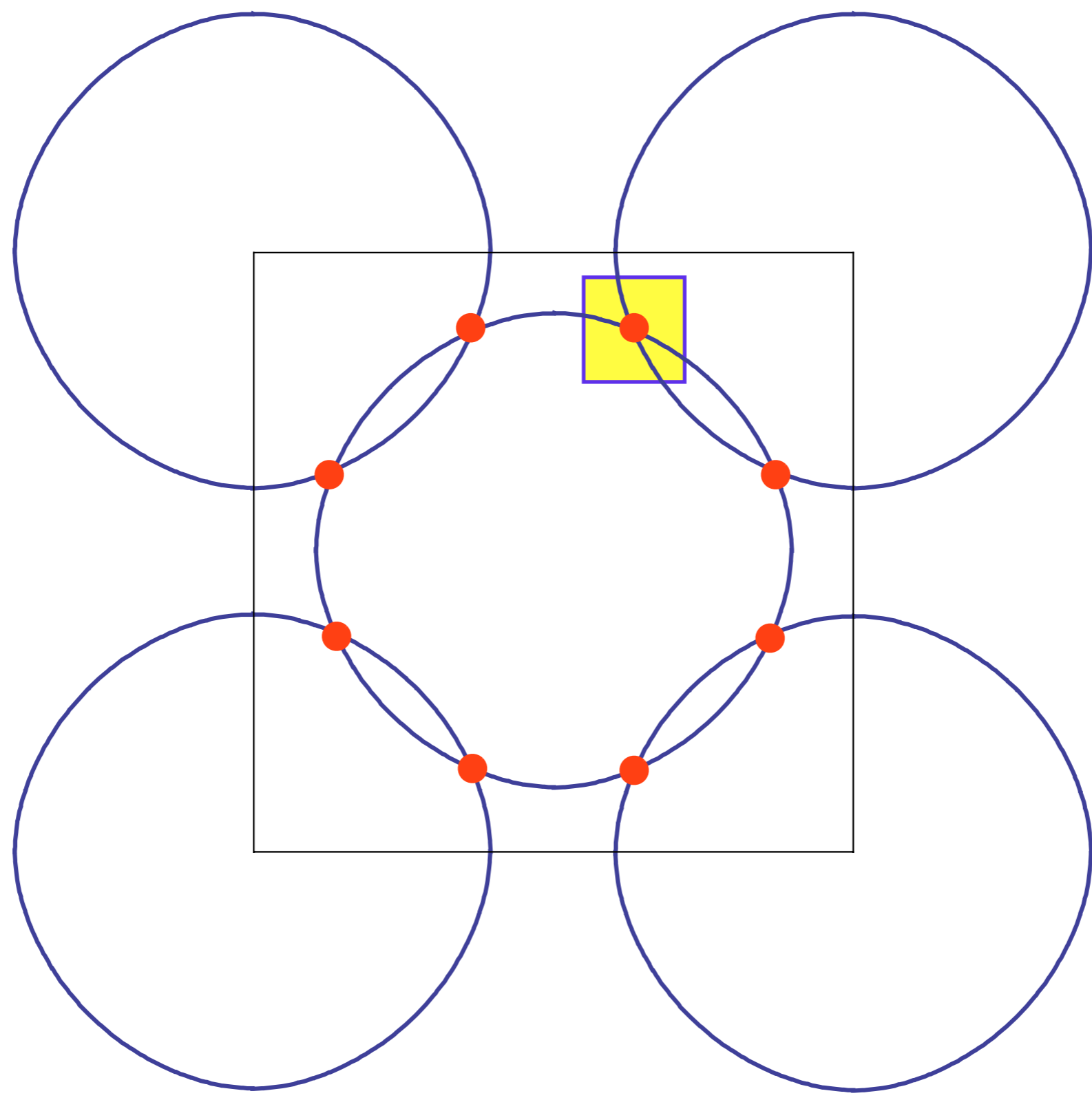
Fermi surfaces translated by $\mathbf{K} = (\pi, \pi)$.



“Hot” spots

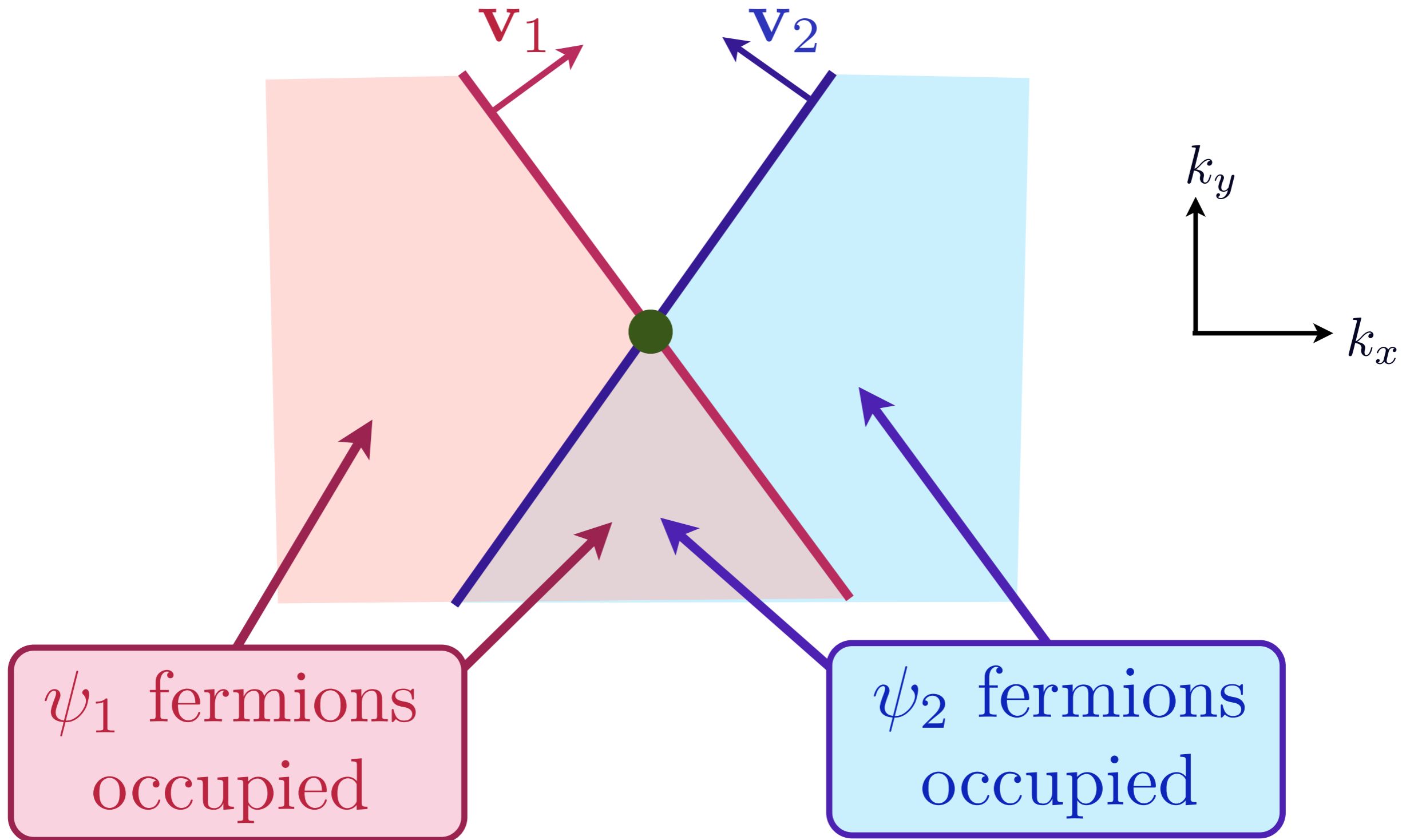


Low energy theory for critical point near hot spots



Low energy theory for critical point near hot spots

Theory has fermions $\psi_{1,2}$ (with Fermi velocities $\mathbf{v}_{1,2}$) and boson order parameter $\vec{\varphi}$, interacting with coupling λ



Low energy theory for critical point near hot spots

$$\mathcal{S} = \int d^2r d\tau [\mathcal{L}_\psi + \mathcal{L}_\varphi + \mathcal{L}_{\psi\varphi}]$$

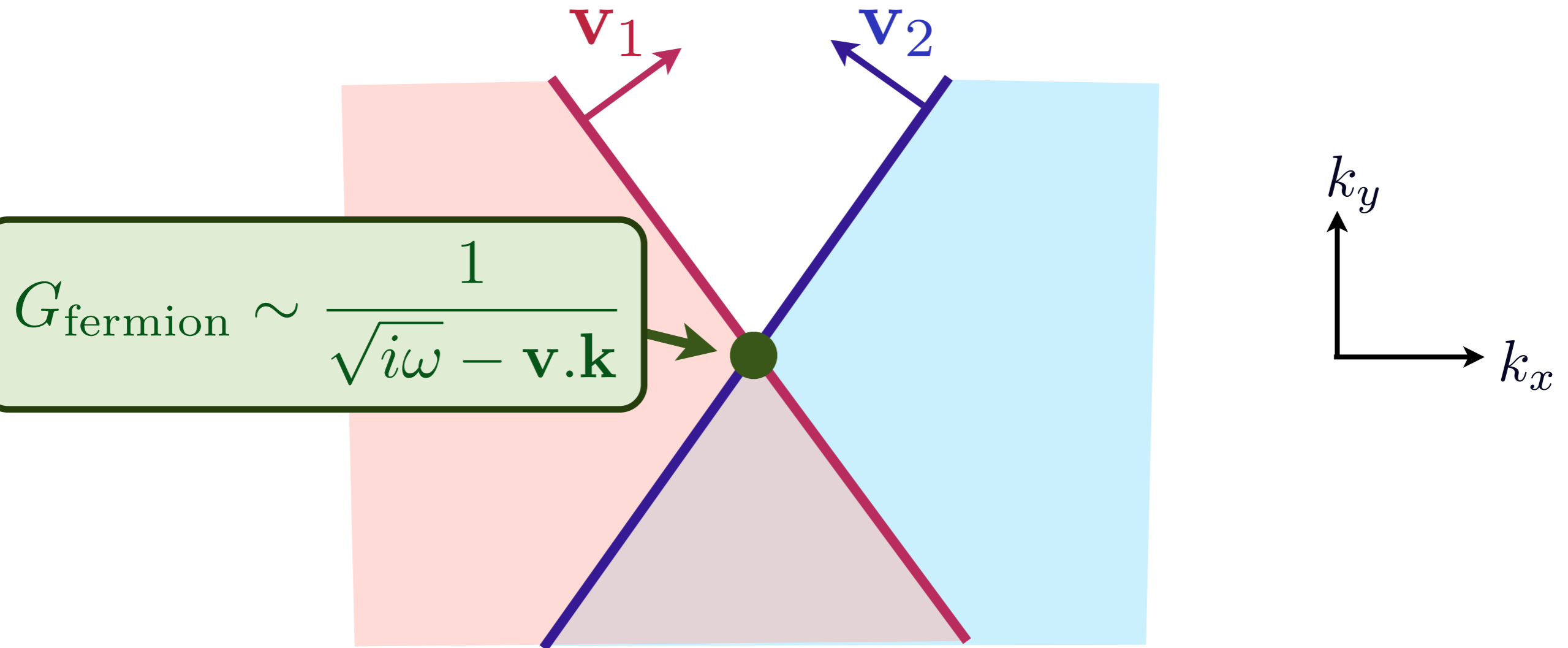
$$\mathcal{L}_\psi = \psi_{1a}^\dagger \left(\frac{\partial}{\partial\tau} - i\mathbf{v}_1 \cdot \nabla \right) \psi_{1a} \\ + \psi_{2a}^\dagger \left(\frac{\partial}{\partial\tau} - i\mathbf{v}_2 \cdot \nabla \right) \psi_{2a}$$

$$\mathcal{L}_\varphi = \frac{1}{2} (\nabla \varphi_\alpha)^2 + \frac{r}{2} \varphi_\alpha^2 + \frac{u}{4} (\varphi_\alpha^2)^2$$

$$\mathcal{L}_{\psi\varphi} = \lambda \varphi_\alpha \sigma_{ab}^\alpha \left(\psi_{1a}^\dagger \psi_{2b} + \psi_{2a}^\dagger \psi_{1b} \right).$$

“Yukawa” coupling between fermions and antiferromagnetic order:
 $\lambda^2 \sim U$, the Hubbard repulsion

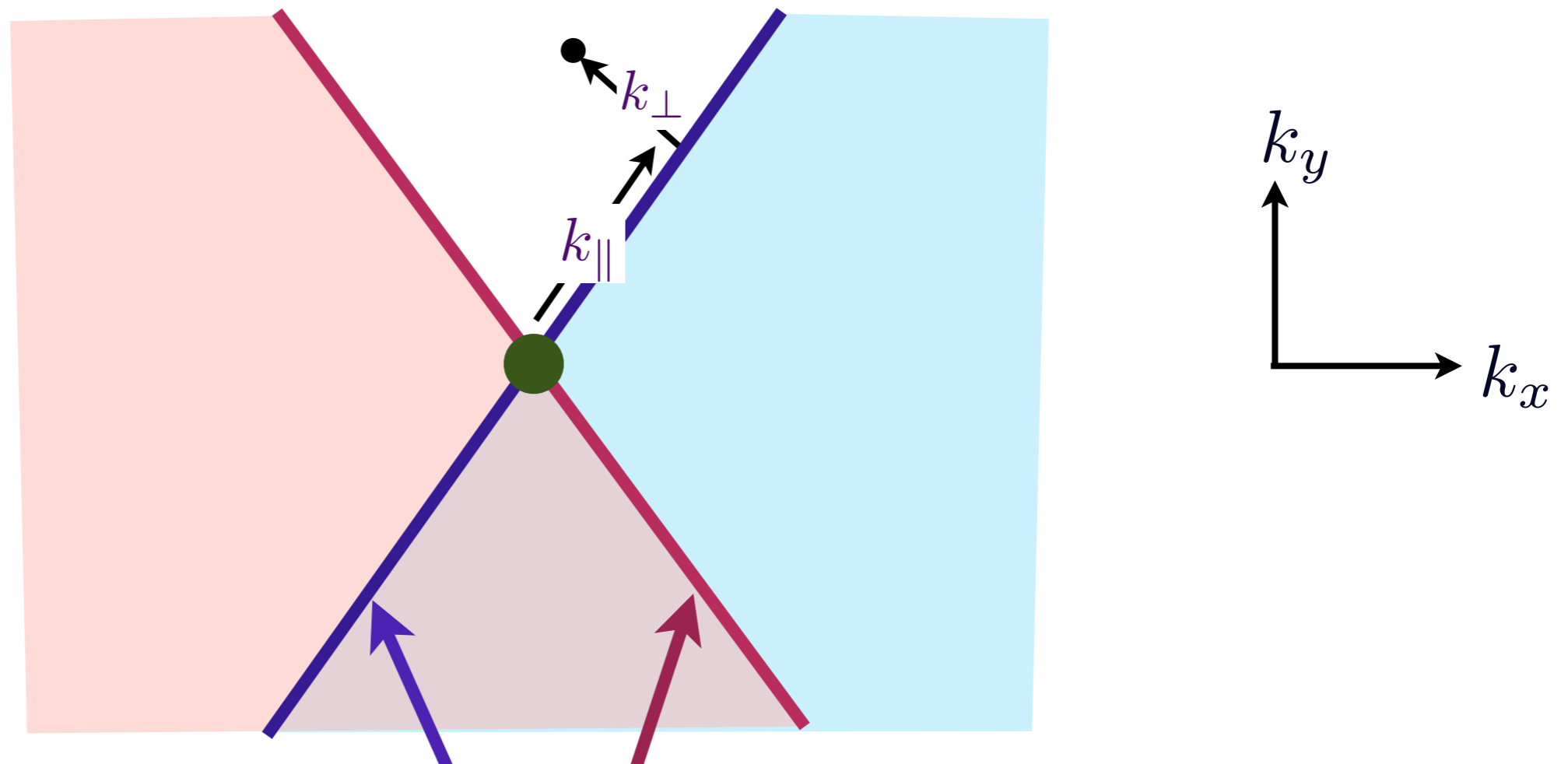
Critical point theory is strongly coupled in $d = 2$



A. J. Millis, *Phys. Rev. B* **45**, 13047 (1992)

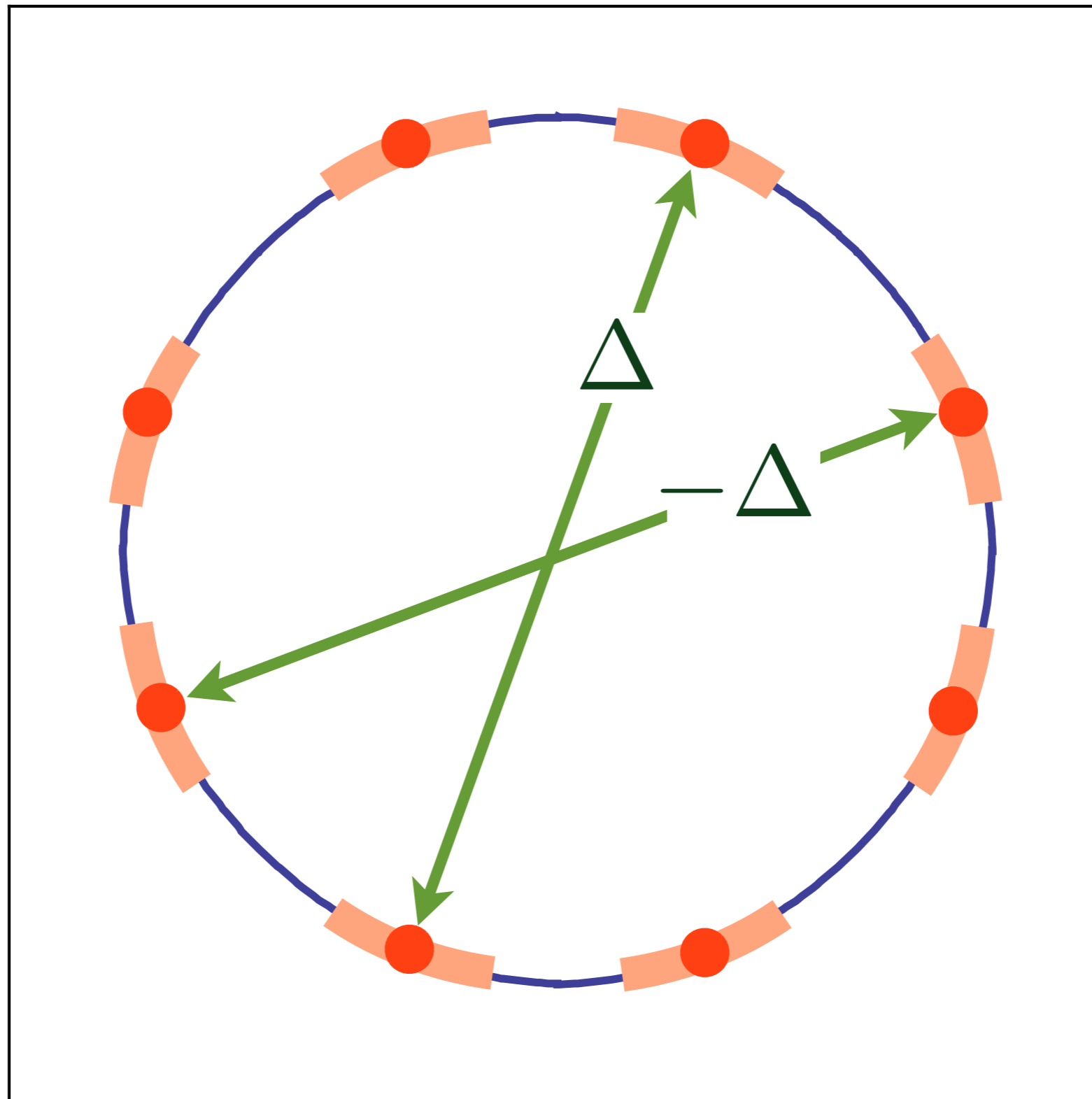
Ar. Abanov and A.V. Chubukov, *Phys. Rev. Lett.* **93**, 255702 (2004)

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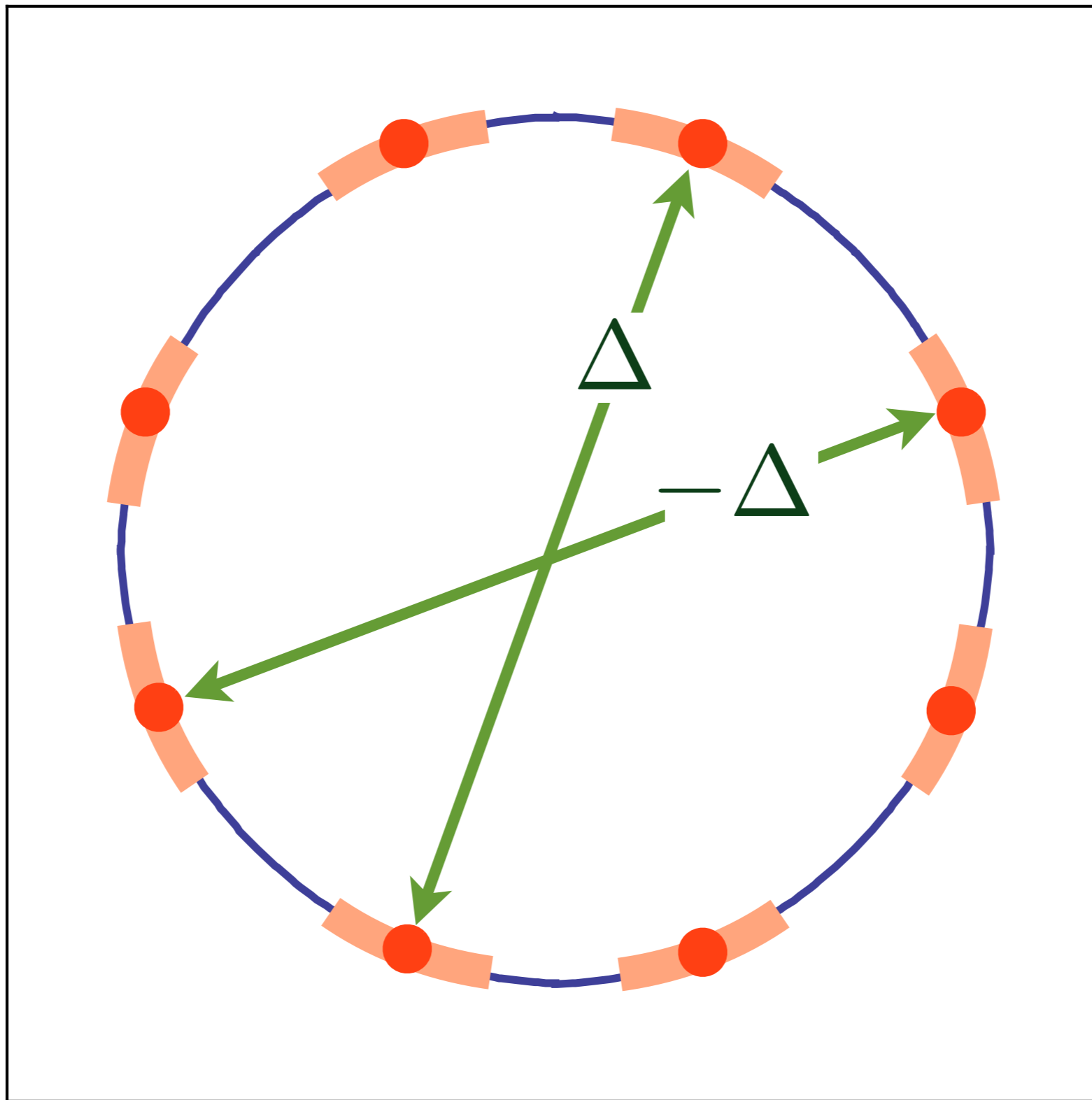
$$G_{\text{fermion}} = \frac{Z(k_{||})}{\omega - v_F(k_{||})k_{\perp}}, \quad Z(k_{||}) \sim v_F(k_{||}) \sim k_{||}$$

M.A. Metlitski and S. Sachdev, *Phys. Rev. B* **85**, 075127 (2010)



Unconventional pairing at and near hot spots

$$\langle c_{\mathbf{k}\alpha}^\dagger c_{-\mathbf{k}\beta}^\dagger \rangle = \varepsilon_{\alpha\beta} \Delta (\cos k_x - \cos k_y)$$



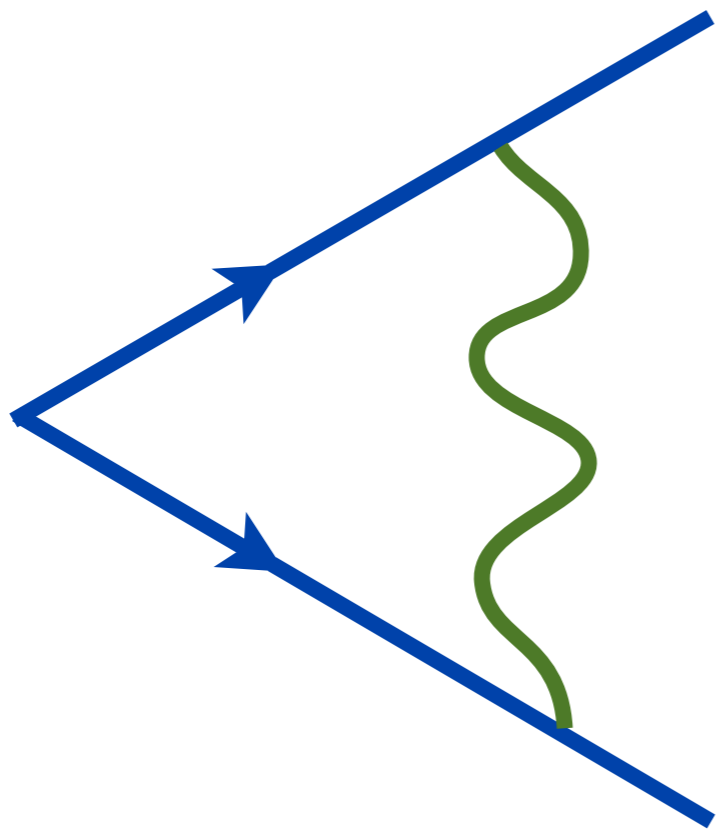
Unconventional pairing at and near hot spots

BCS theory

$$1 + \lambda_{\text{e-ph}} \log \left(\frac{\omega_D}{\omega} \right)$$



Cooper
logarithm



BCS theory

$$1 + \lambda_{\text{e-ph}} \log \left(\frac{\omega_D}{\omega} \right)$$

Electron-phonon
coupling

Debye
frequency

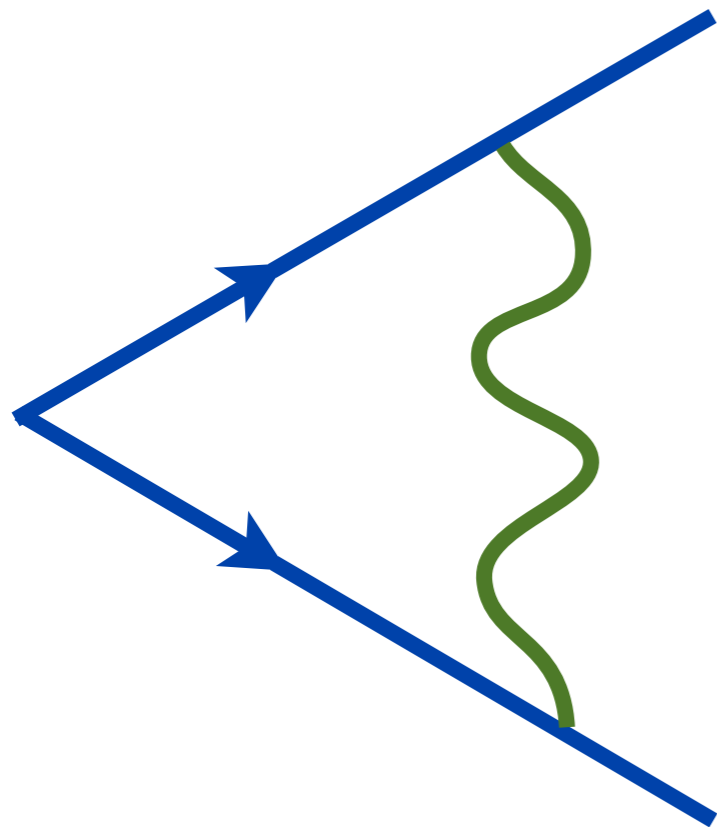
Implies

$$T_c \sim \omega_D \exp(-1/\lambda)$$

Enhancement of pairing susceptibility by interactions

Antiferromagnetic fluctuations: weak-coupling

$$1 + \left(\frac{U}{t}\right)^2 \log\left(\frac{E_F}{\omega}\right)$$



Cooper
logarithm

V. J. Emery, *J. Phys. (Paris) Colloq.* **44**, C3-977 (1983)

D. J. Scalapino, E. Loh, and J. E. Hirsch, *Phys. Rev. B* **34**, 8190 (1986)

K. Miyake, S. Schmitt-Rink, and C. M. Varma, *Phys. Rev. B* **34**, 6554 (1986)

S. Raghu, S. A. Kivelson, and D. J. Scalapino, *Phys. Rev. B* **81**, 224505 (2010)

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Antiferromagnetic fluctuations: weak-coupling

$$1 + \left(\frac{U}{t}\right)^2 \log\left(\frac{E_F}{\omega}\right)$$

Applies in a Fermi liquid
as repulsive interaction $U \rightarrow 0$.

Fermi
energy

Implies

$$T_c \sim E_F \exp\left(-\left(t/U\right)^2\right)$$

V. J. Emery, *J. Phys. (Paris) Colloq.* **44**, C3-977 (1983)

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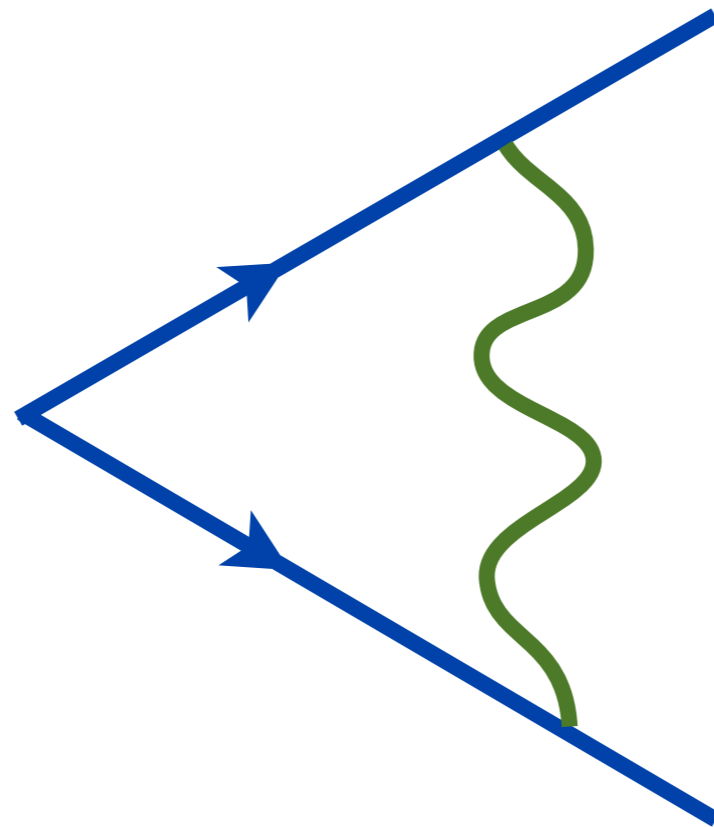
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Antiferromagnetic critical point

$$1 + \frac{\sin \theta}{2\pi} \log^2 \left(\frac{E_F}{\omega} \right)$$



M.A. Metlitski and S. Sachdev, *Phys. Rev. B* **85**, 075127 (2010)

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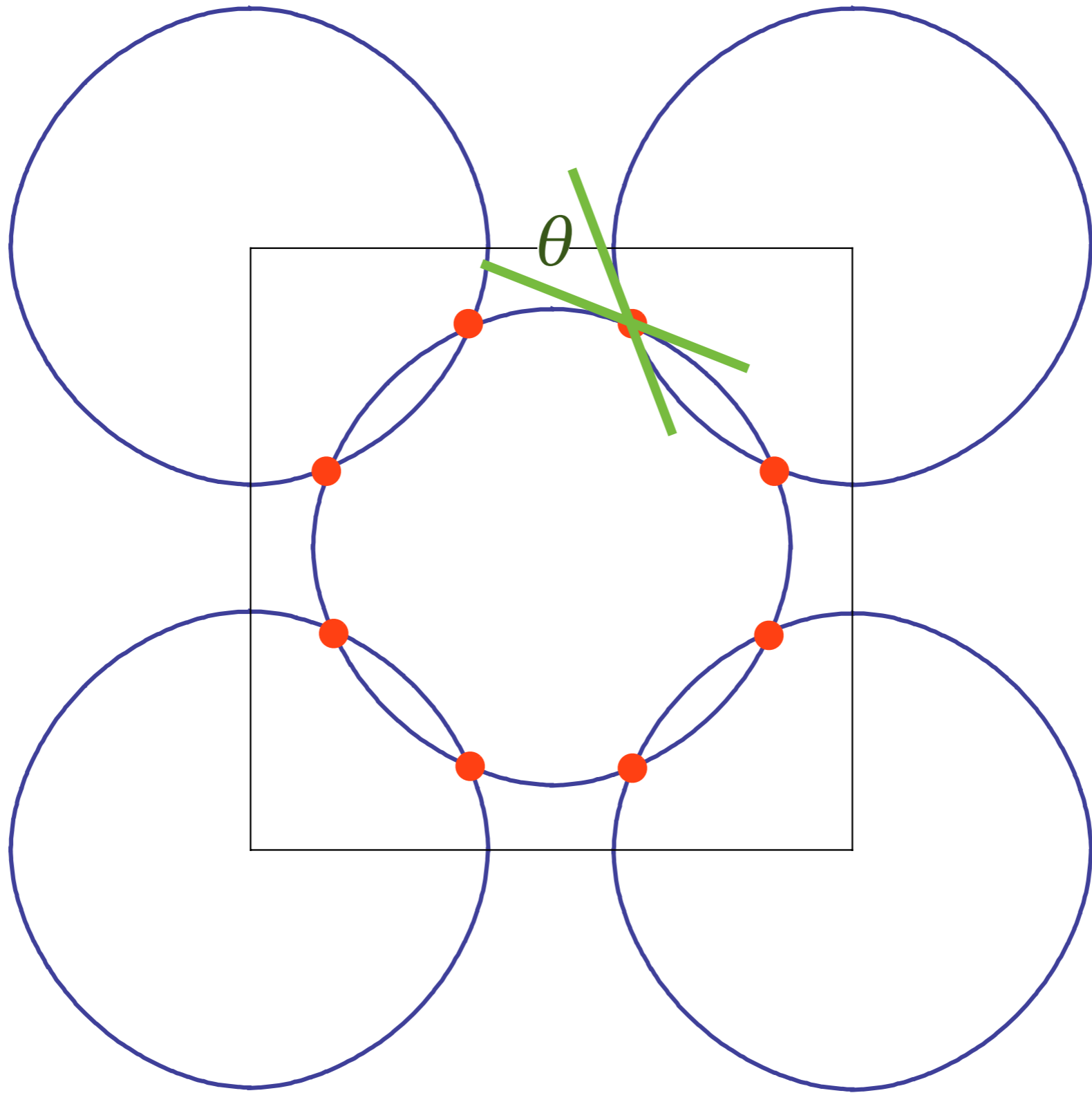
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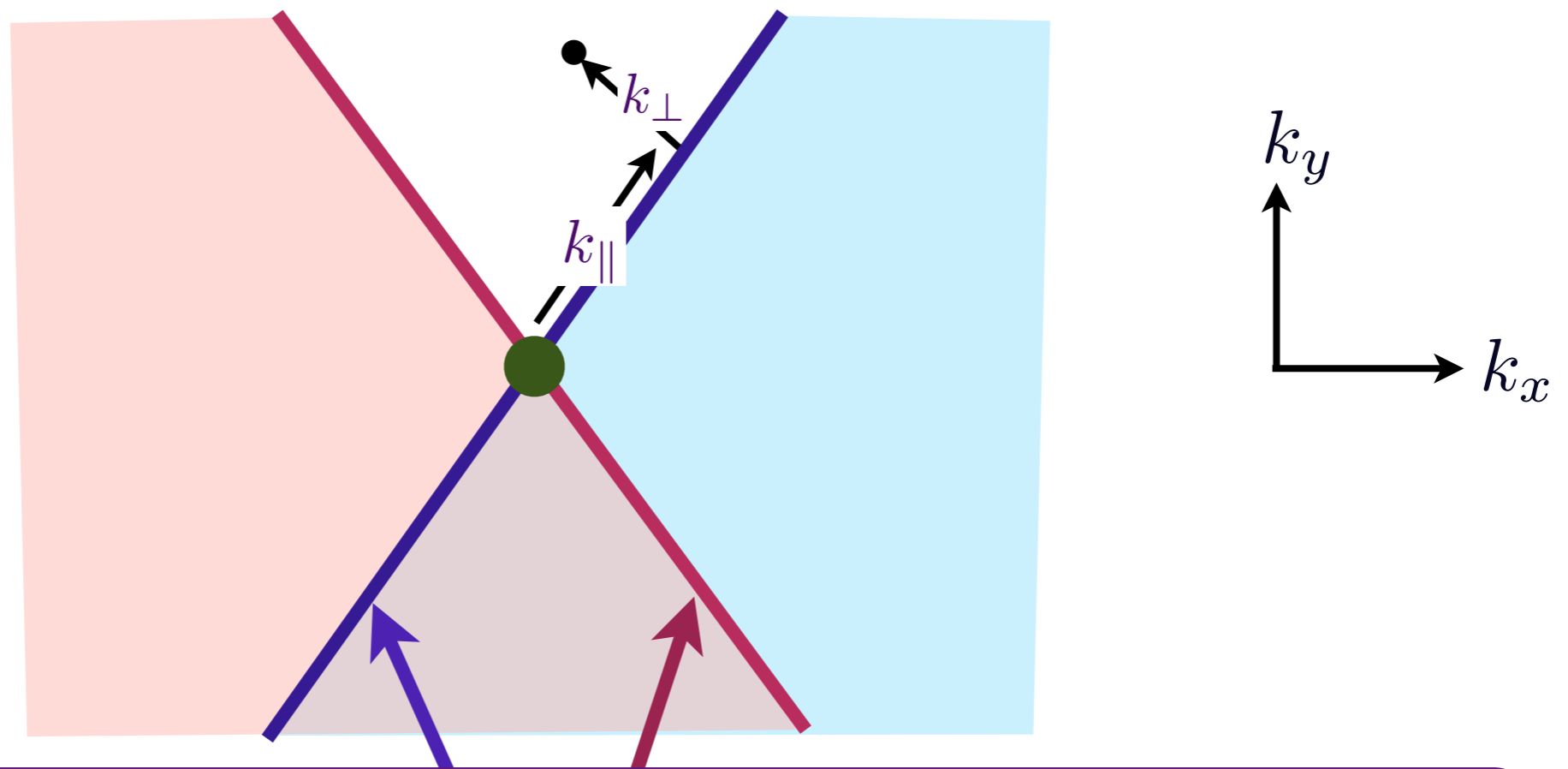
Fermi
energy

θ is the angle between Fermi lines.
Independent of interaction strength
 U in 2 dimensions.

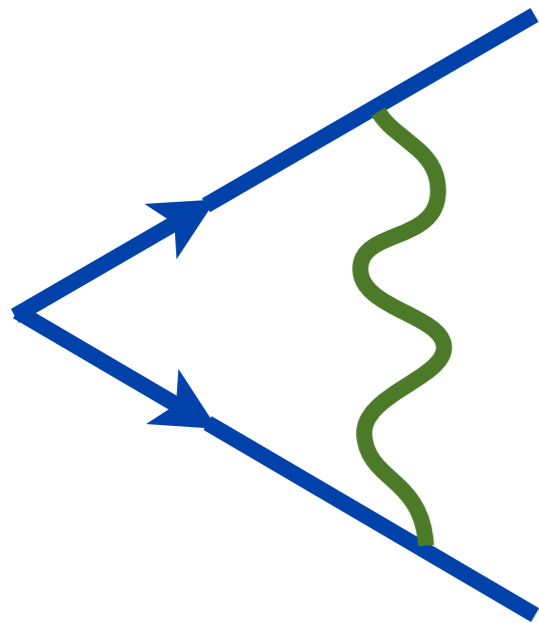
(see also Ar. Abanov, A. V. Chubukov, and A. M. Finkel'stein, *Europhys. Lett.* **54**, 488 (2001))
M. A. Metlitski and S. Sachdev, *Phys. Rev. B* **85**, 075127 (2010)



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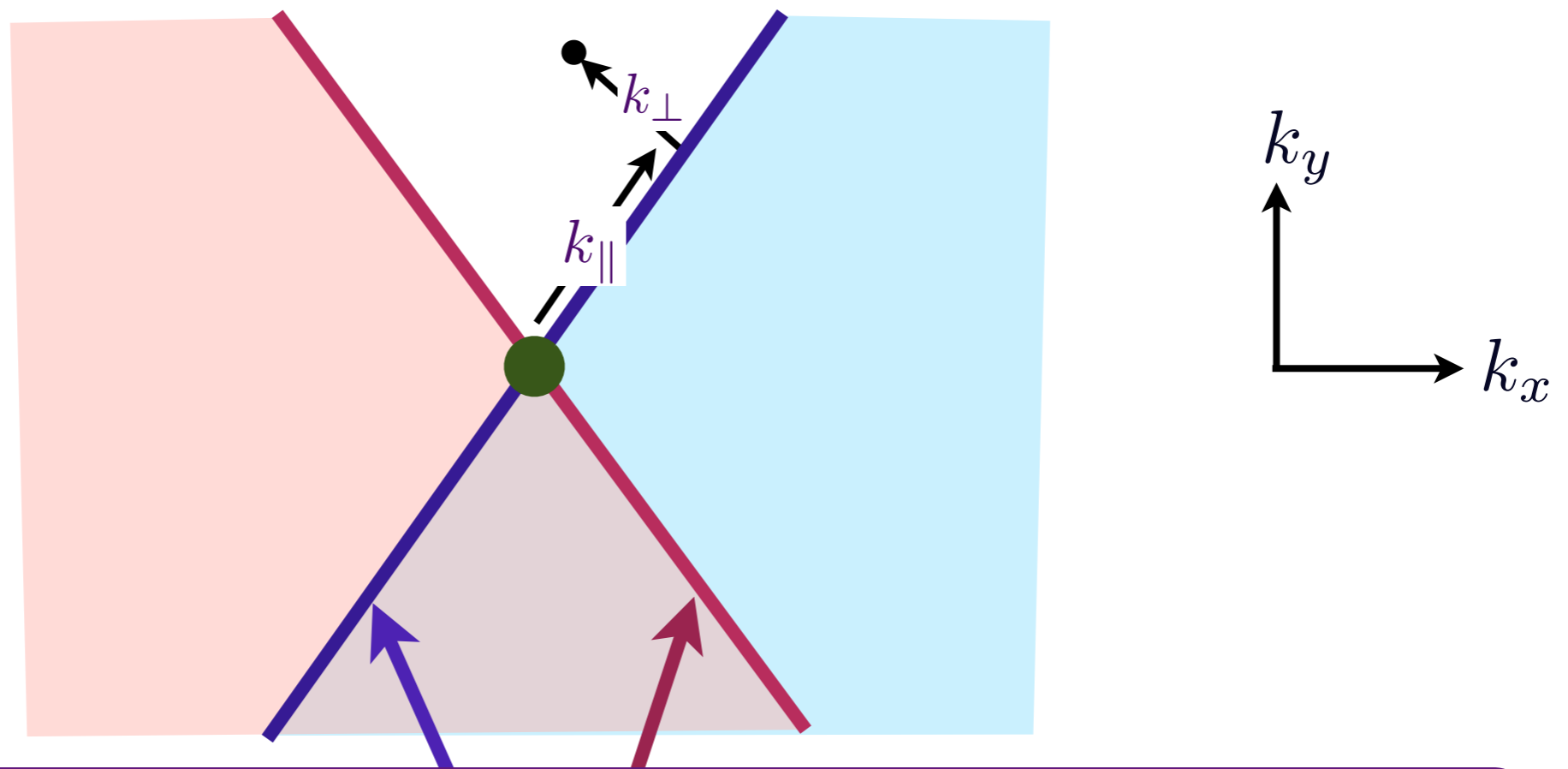


$$G_{\text{fermion}} = \frac{Z(k_{\parallel})}{i\omega - v_F(k_{\parallel})k_{\perp}}, \quad Z(k_{\parallel}) \sim v_F(k_{\parallel}) \sim k_{\parallel}$$

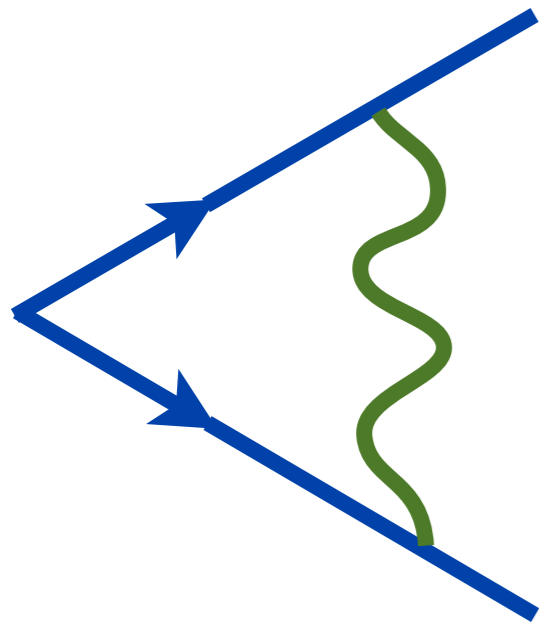


$$\int dk_{\parallel} \frac{1}{k_{\parallel}^2} \left(\frac{Z^2(k_{\parallel})}{v_F(k_{\parallel})} \right) \log \frac{k_{\parallel}^2}{\omega}$$

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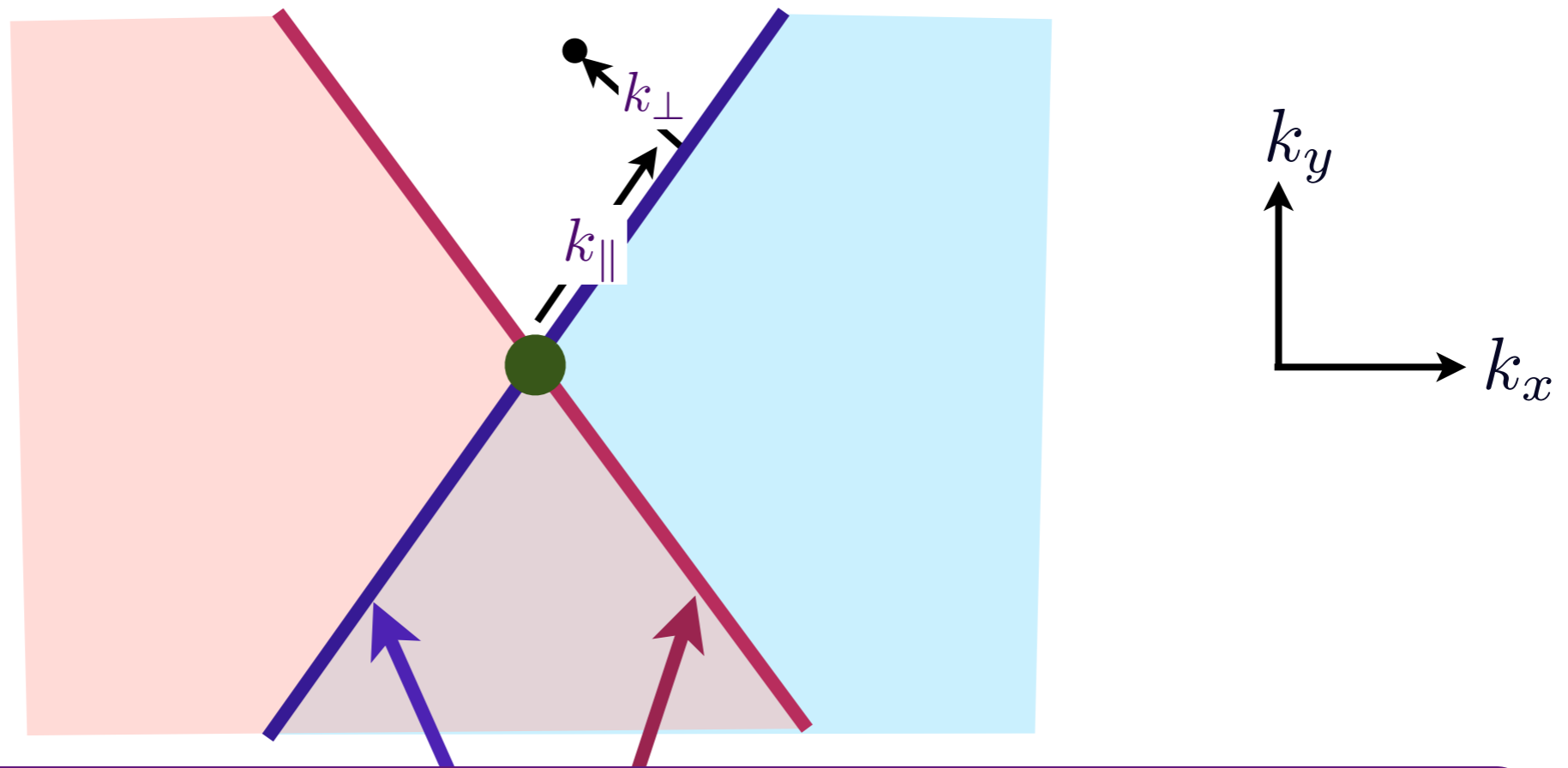
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$$\int dk_{\parallel} \frac{1}{k_{\parallel}^2} \underbrace{\left(\frac{Z^2(k_{\parallel})}{v_F(k_{\parallel})} \right)}_{\text{Cooper logarithm}} \log \frac{k_{\parallel}^2}{\omega}$$

Cooper
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Spin fluctuation propagator

Cooper logarithm

Enhancement of pairing susceptibility by interactions

Antiferromagnetic critical point

$$1 + \frac{\sin \theta}{2\pi} \log^2 \left(\frac{E_F}{\omega} \right)$$



- \log^2 singularity arises from Fermi lines; singularity *at* hot spots is weaker.
- Interference between BCS and quantum-critical logs.
- Momentum dependence of self-energy is crucial.
- Not suppressed by $1/N$ factor in $1/N$ expansion.

M.A. Metlitski and S. Sachdev, *Phys. Rev. B* **85**, 075127 (2010)

Is there a \log^2 towards any
other instability ?

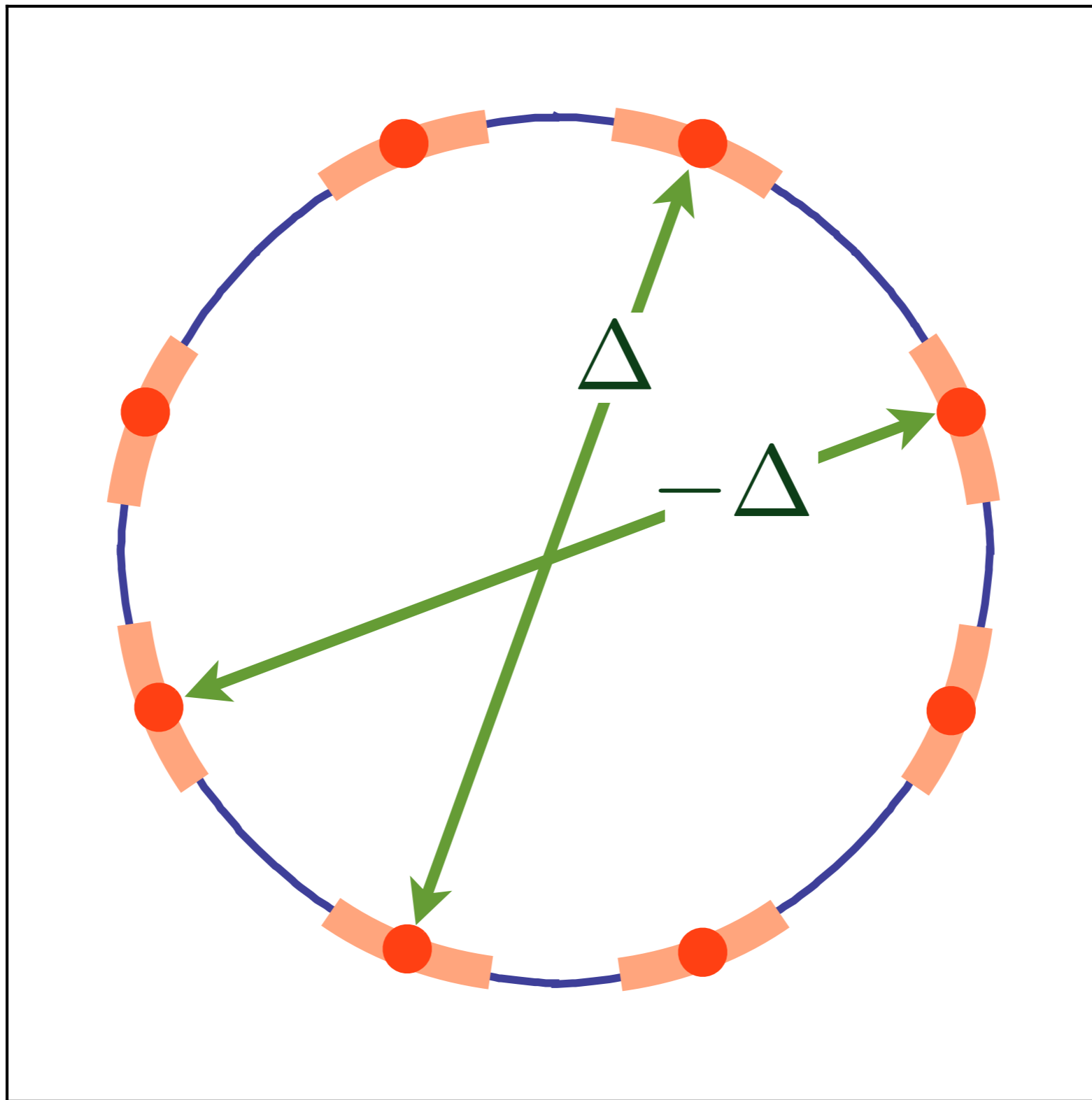
Is there a \log^2 towards any other instability ?

Only one other:
to a $2k_F$ bond-nematic order,
which is smaller by a factor of 3.

$$1 + \frac{\sin \theta}{6\pi} \log^2 \left(\frac{E_F}{\omega} \right)$$

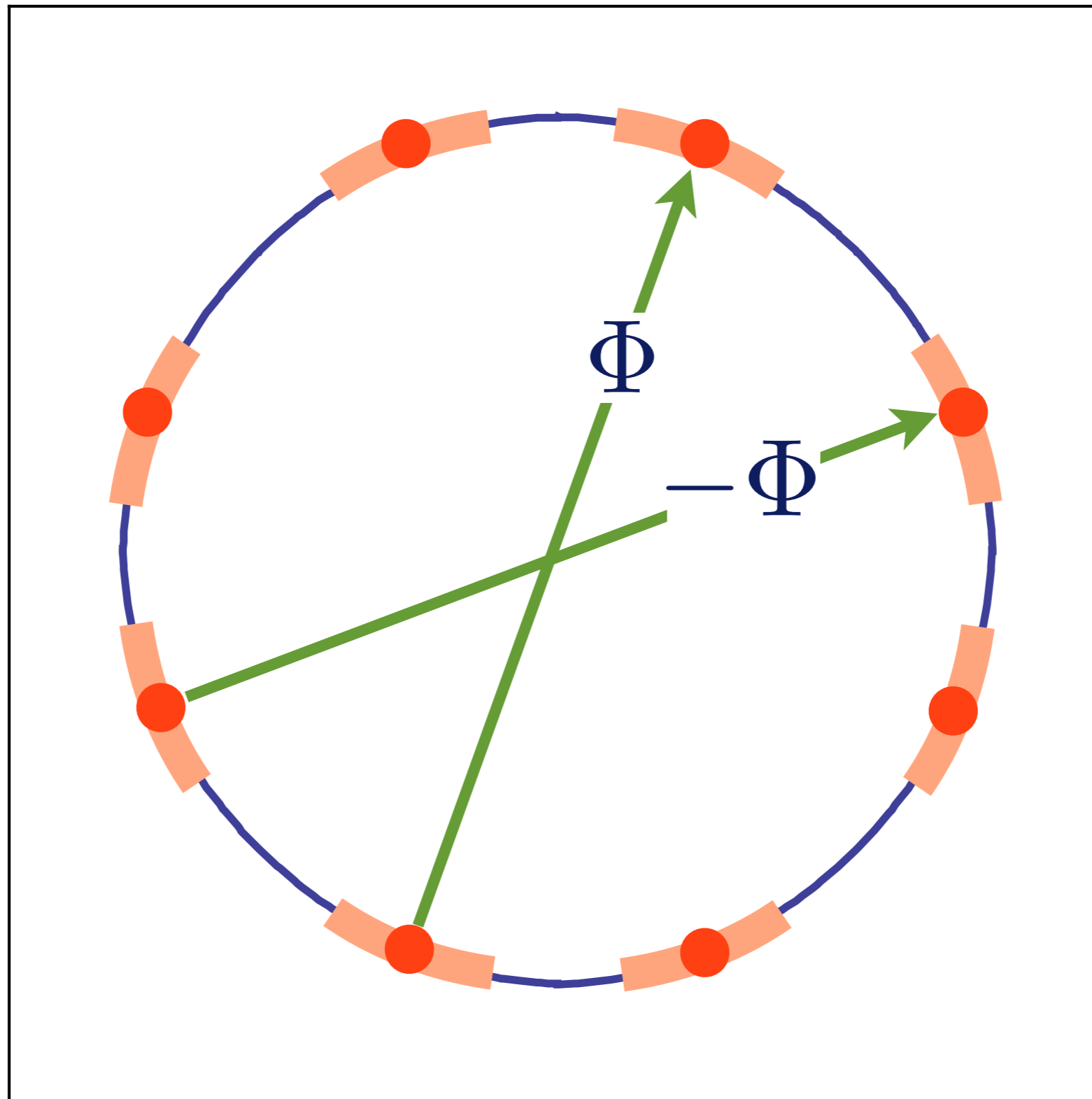


$$\langle c_{\mathbf{k}\alpha}^\dagger c_{-\mathbf{k}\beta}^\dagger \rangle = \varepsilon_{\alpha\beta} \Delta (\cos k_x - \cos k_y)$$



Unconventional pairing at and near hot spots

$$\left\langle c_{\mathbf{k}-\mathbf{Q}/2,\alpha}^\dagger c_{\mathbf{k}+\mathbf{Q}/2,\alpha} \right\rangle = \Phi(\cos k_x - \cos k_y)$$



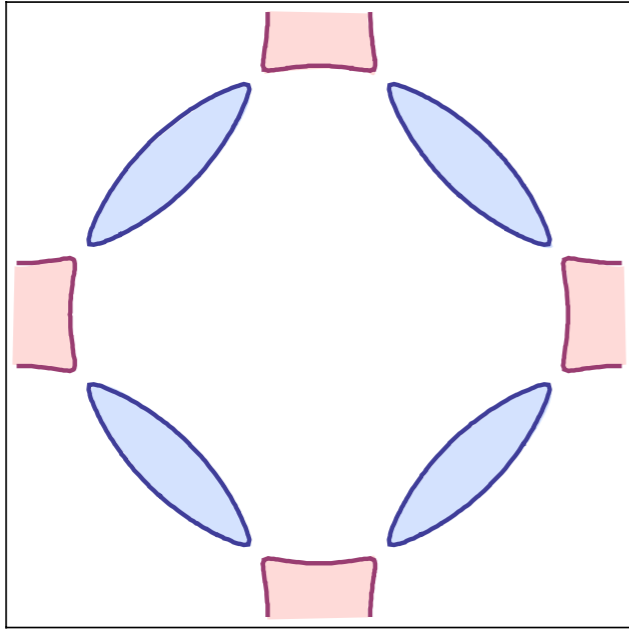
\mathbf{Q} is ' $2k_F$ '
wavevector

Unconventional particle-hole pairing at and near hot spots

1. Experimental motivations from cuprates and pnictides
2. Conventional theory and its breakdown in two spatial dimensions
3. Fermi surface reconstruction: onset of unconventional superconductivity

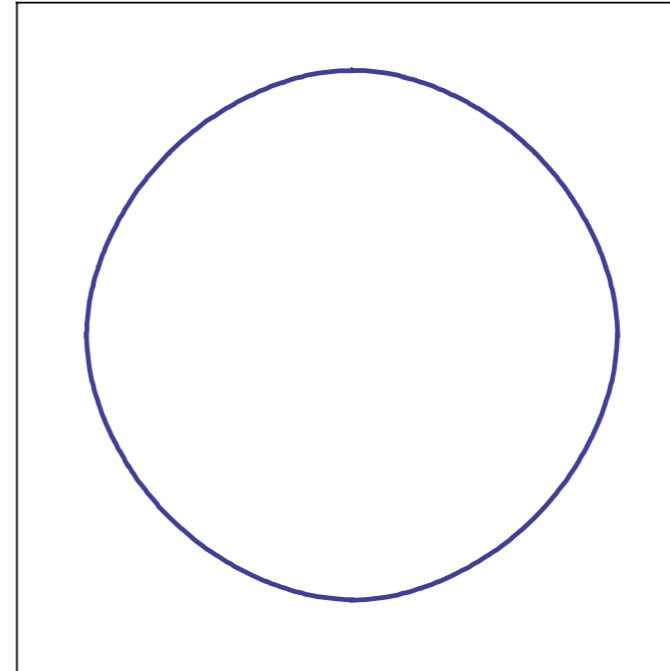
4. Fermi surface reconstruction *without* symmetry breaking: metals with “topological” order and the heavy fermion compounds

Quantum phase transition with Fermi surface reconstruction



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets

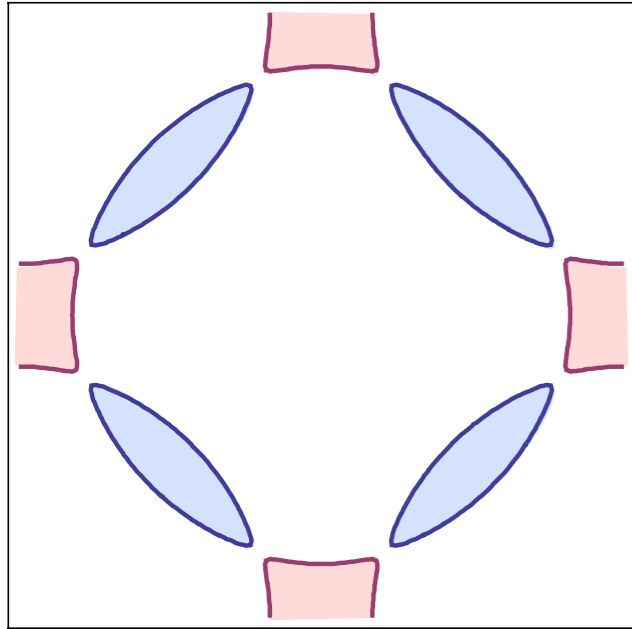


$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large”
Fermi surface

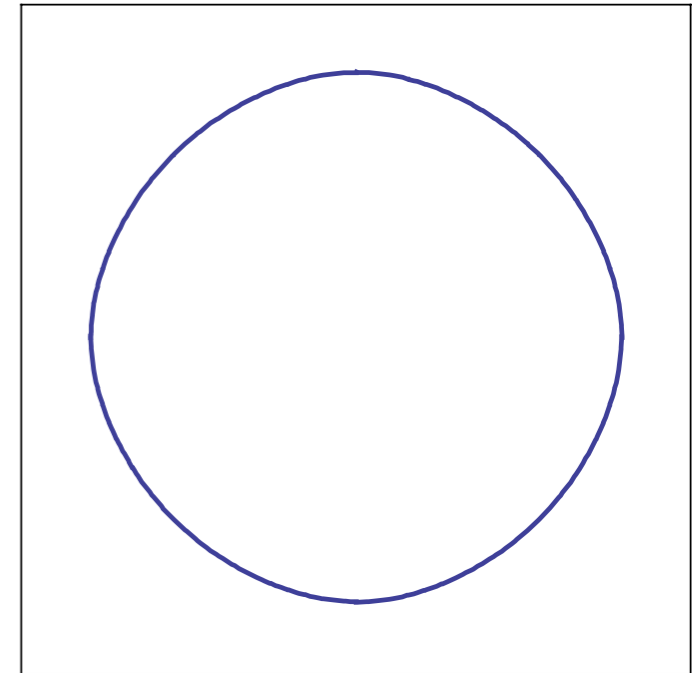


Separating onset of SDW order and Fermi surface reconstruction



$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets

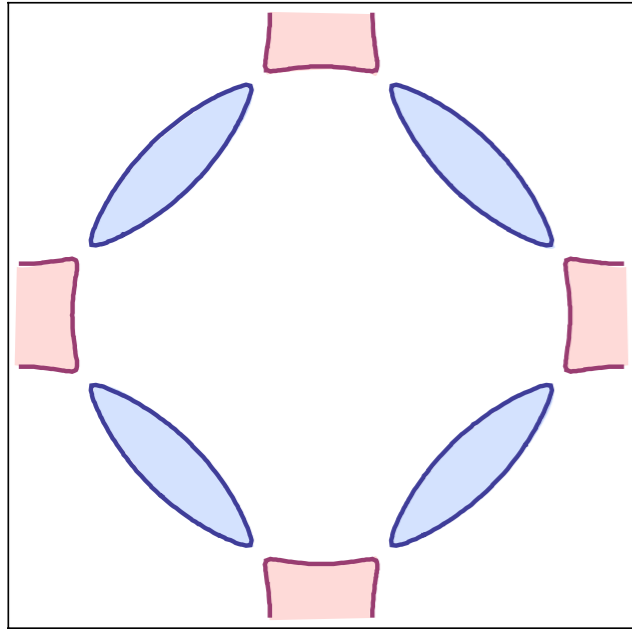


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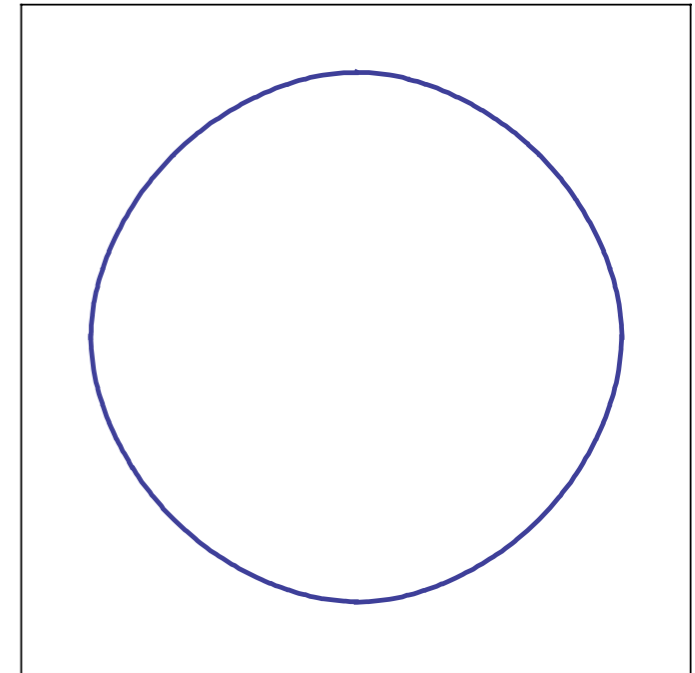


$$\langle \vec{\varphi} \rangle \neq 0$$

Metal with electron
and hole pockets

Electron and/or hole
Fermi pockets form in
“local” SDW order, but
quantum fluctuations
destroy long-range
SDW order

$$\langle \vec{\varphi} \rangle = 0$$

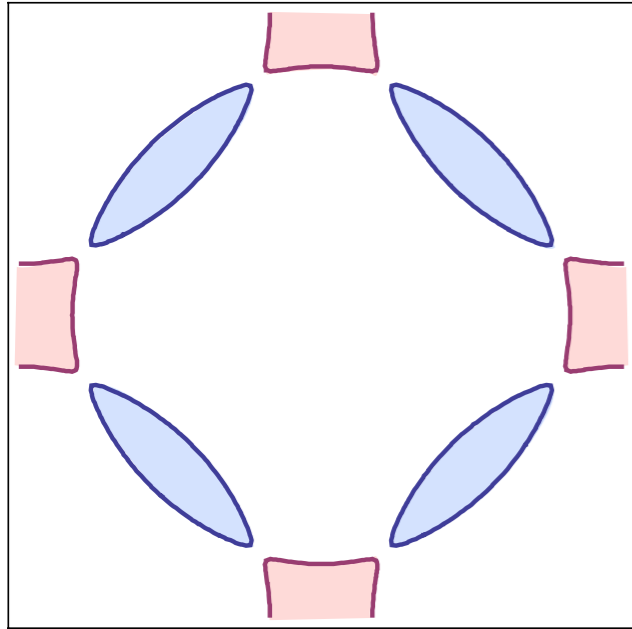


$$\langle \vec{\varphi} \rangle = 0$$

Metal with “large”
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T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Separating onset of SDW order and Fermi surface reconstruction



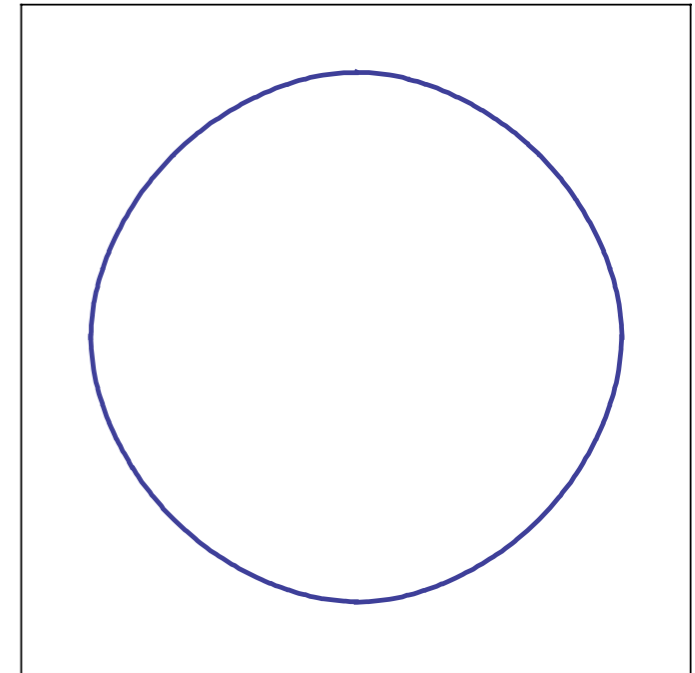
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$$\langle \vec{\varphi} \rangle = 0$$

Fractionalized Fermi
liquid (FL*) phase
with no symmetry
breaking and “small”
Fermi surface

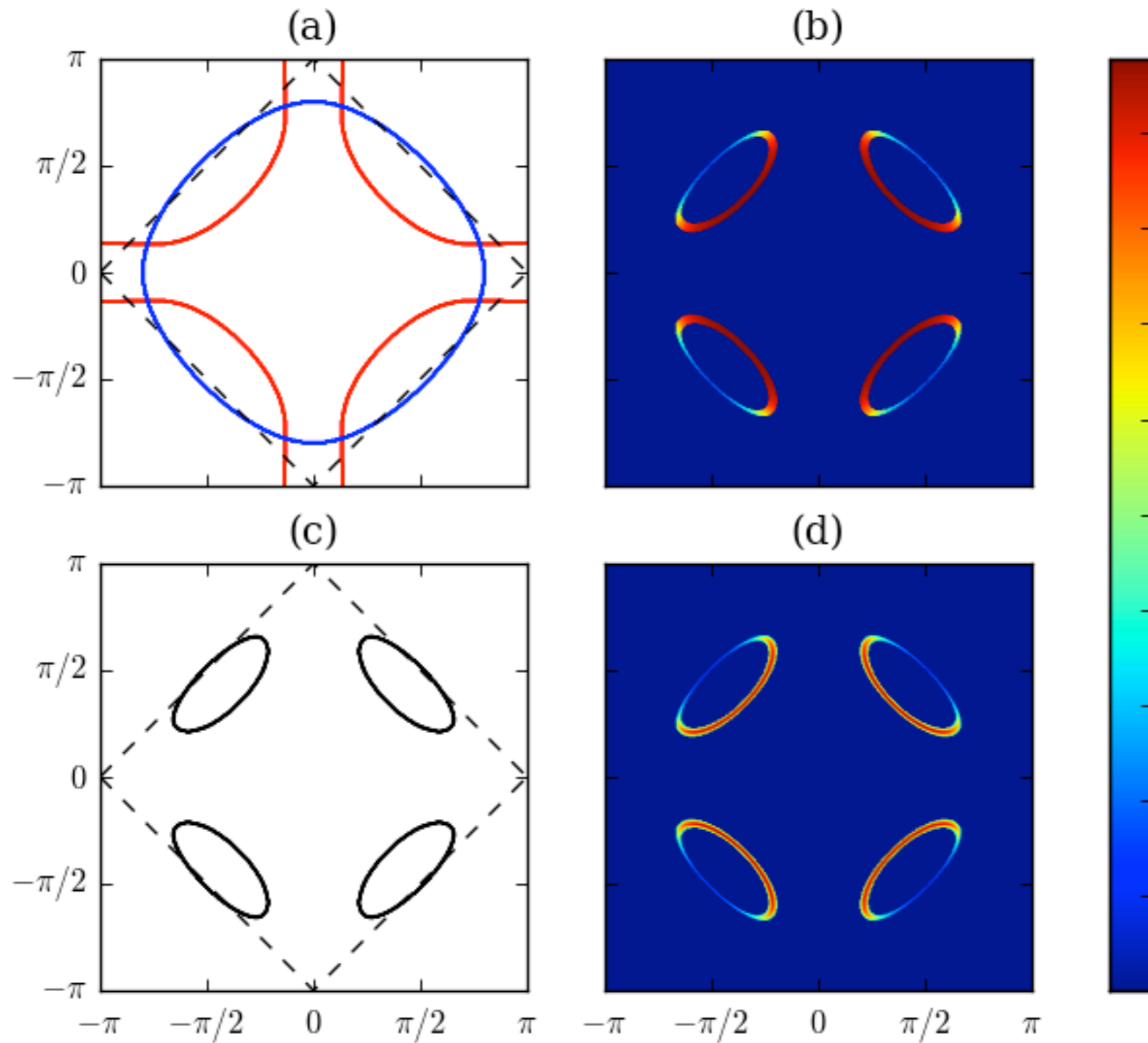


$$\langle \vec{\varphi} \rangle = 0$$

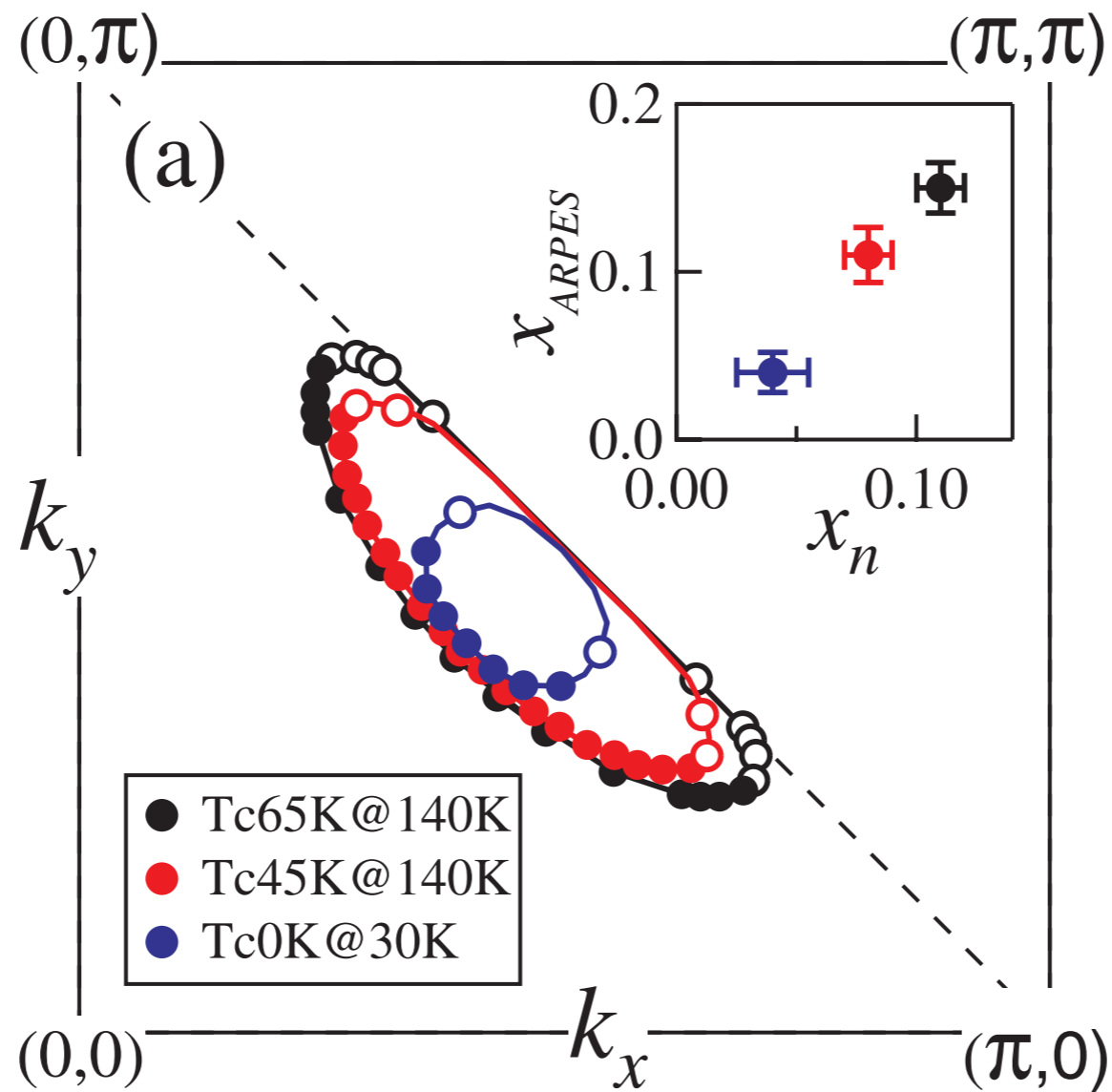
Metal with “large”
Fermi surface

T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

FL* phase has Fermi pockets without long-range antiferromagnetism, along with emergent gauge excitations



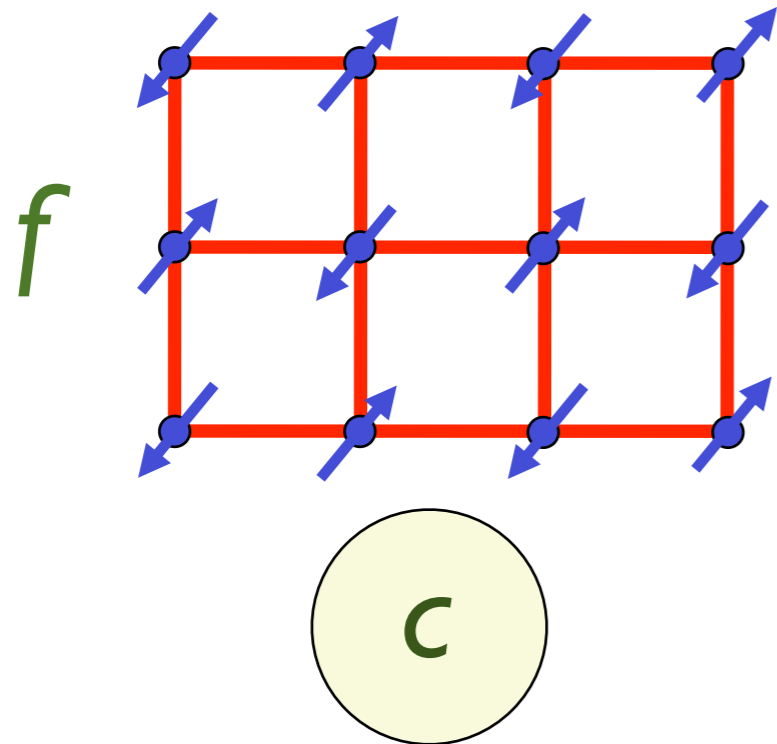
Y. Qi and S. Sachdev, *Physical Review B* **81**, 115129 (2010)



Reconstructed Fermi Surface of Underdoped $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$ Cuprate Superconductors

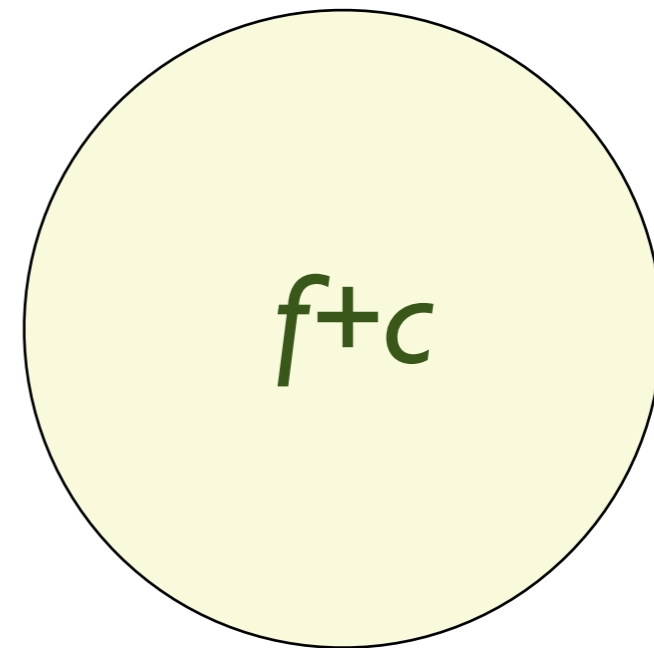
H.-B. Yang,¹ J. D. Rameau,¹ Z.-H. Pan,¹ G. D. Gu,¹ P. D. Johnson,¹ H. Claus,² D. G. Hinks,² and T. E. Kidd³

Magnetic order and the heavy Fermi liquid in the Kondo lattice



$$\langle \vec{\varphi} \rangle \neq 0$$

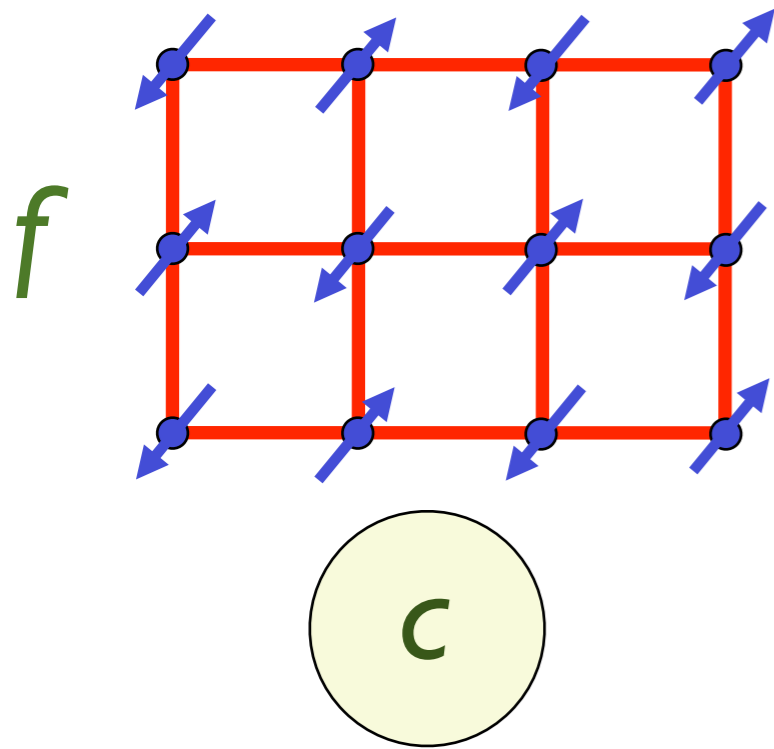
Magnetic Metal:
f-electron moments
and
c-conduction electron
Fermi surface



$$\langle \vec{\varphi} \rangle = 0$$

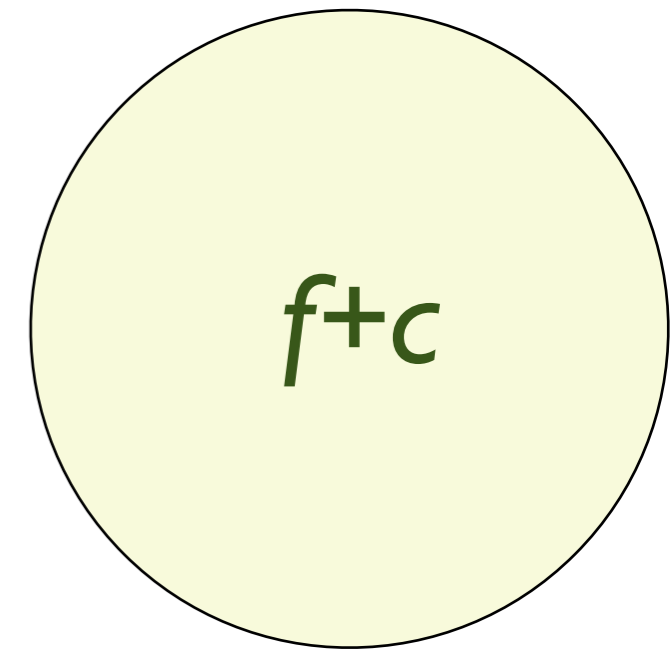
Heavy Fermi liquid
with “large” Fermi
surface of
hybridized f and
c-conduction
electrons

Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



$$\langle \vec{\varphi} \rangle \neq 0$$

Magnetic Metal:
f-electron moments
and
c-conduction electron
Fermi surface

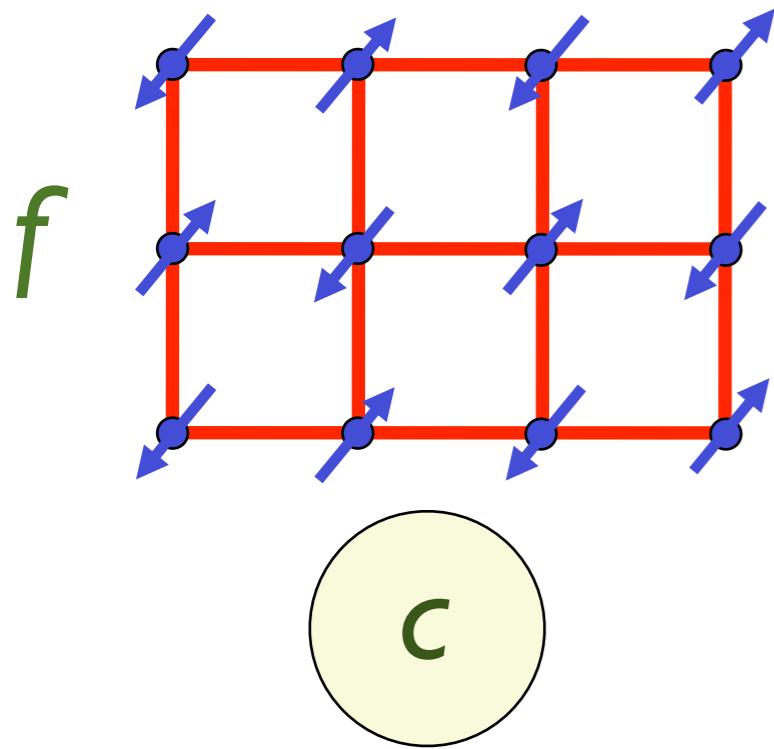


$$\langle \vec{\varphi} \rangle = 0$$

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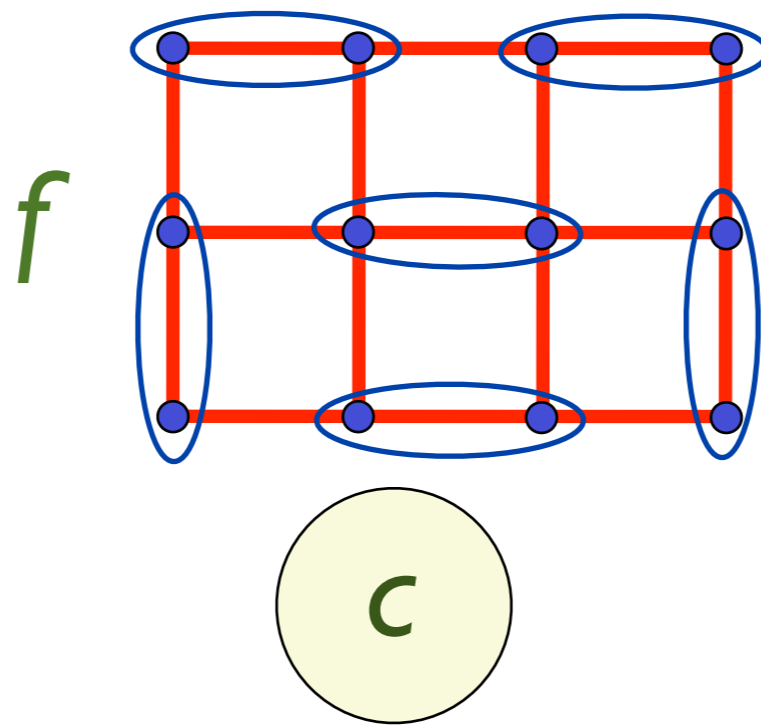
T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



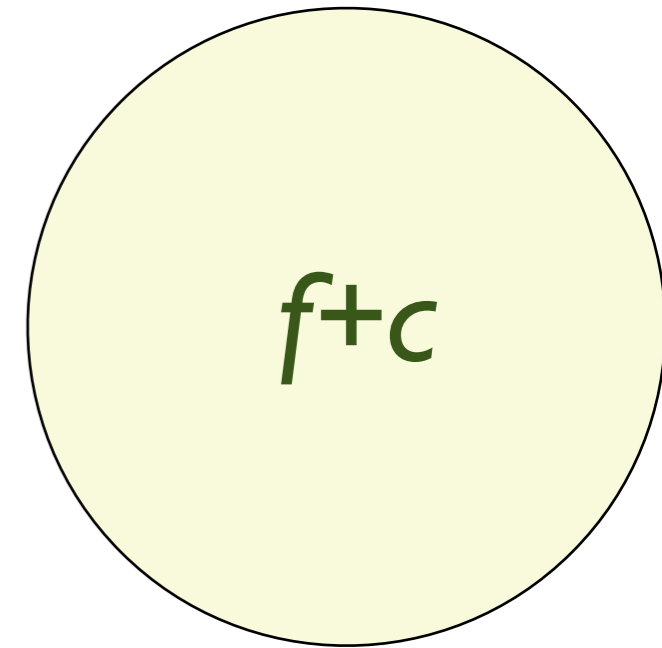
$$\langle \vec{\varphi} \rangle \neq 0$$

Magnetic Metal:
f-electron moments
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Fermi surface



$$\langle \vec{\varphi} \rangle = 0$$

Conduction electron
Fermi surface
and
spin-liquid of
f-electrons

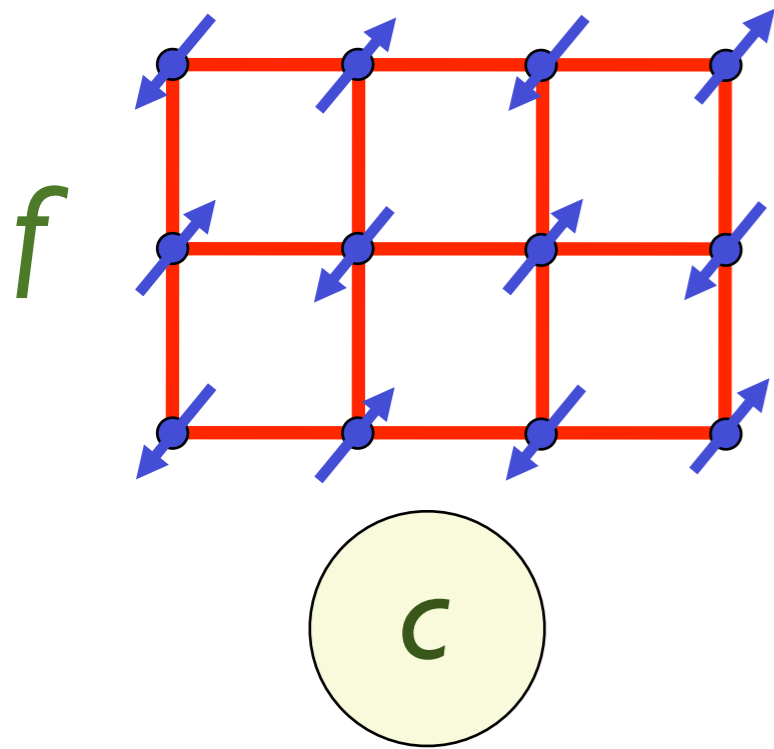


$$\langle \vec{\varphi} \rangle = 0$$

Heavy Fermi liquid
with “large” Fermi
surface of
hybridized f and
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electrons

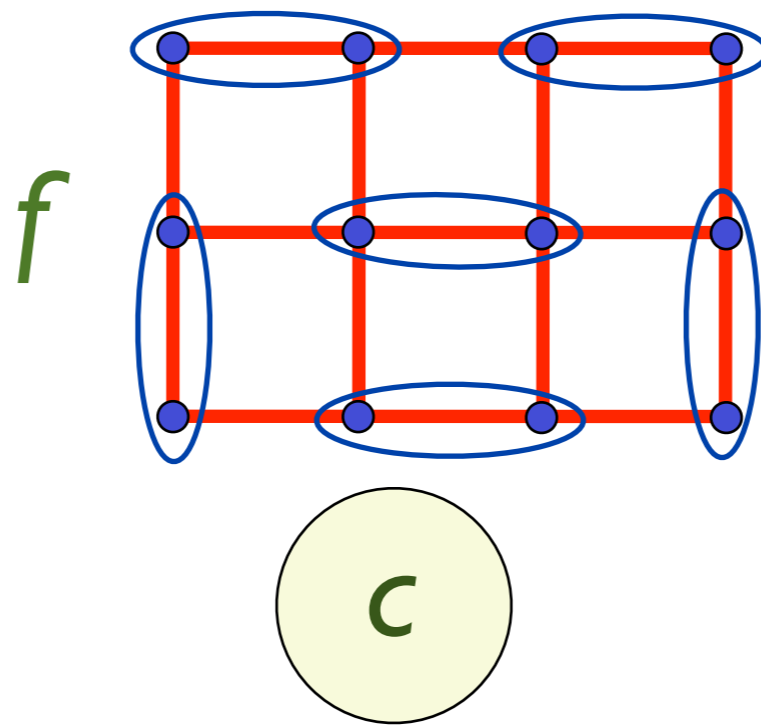
T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Separating onset of SDW order and the heavy Fermi liquid in the Kondo lattice



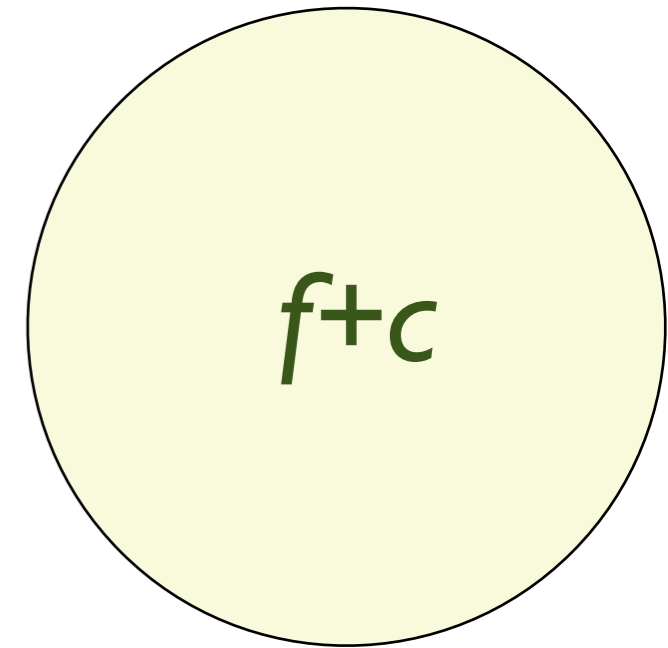
$$\langle \vec{\varphi} \rangle \neq 0$$

Magnetic Metal:
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$$\langle \vec{\varphi} \rangle = 0$$

Fractionalized Fermi
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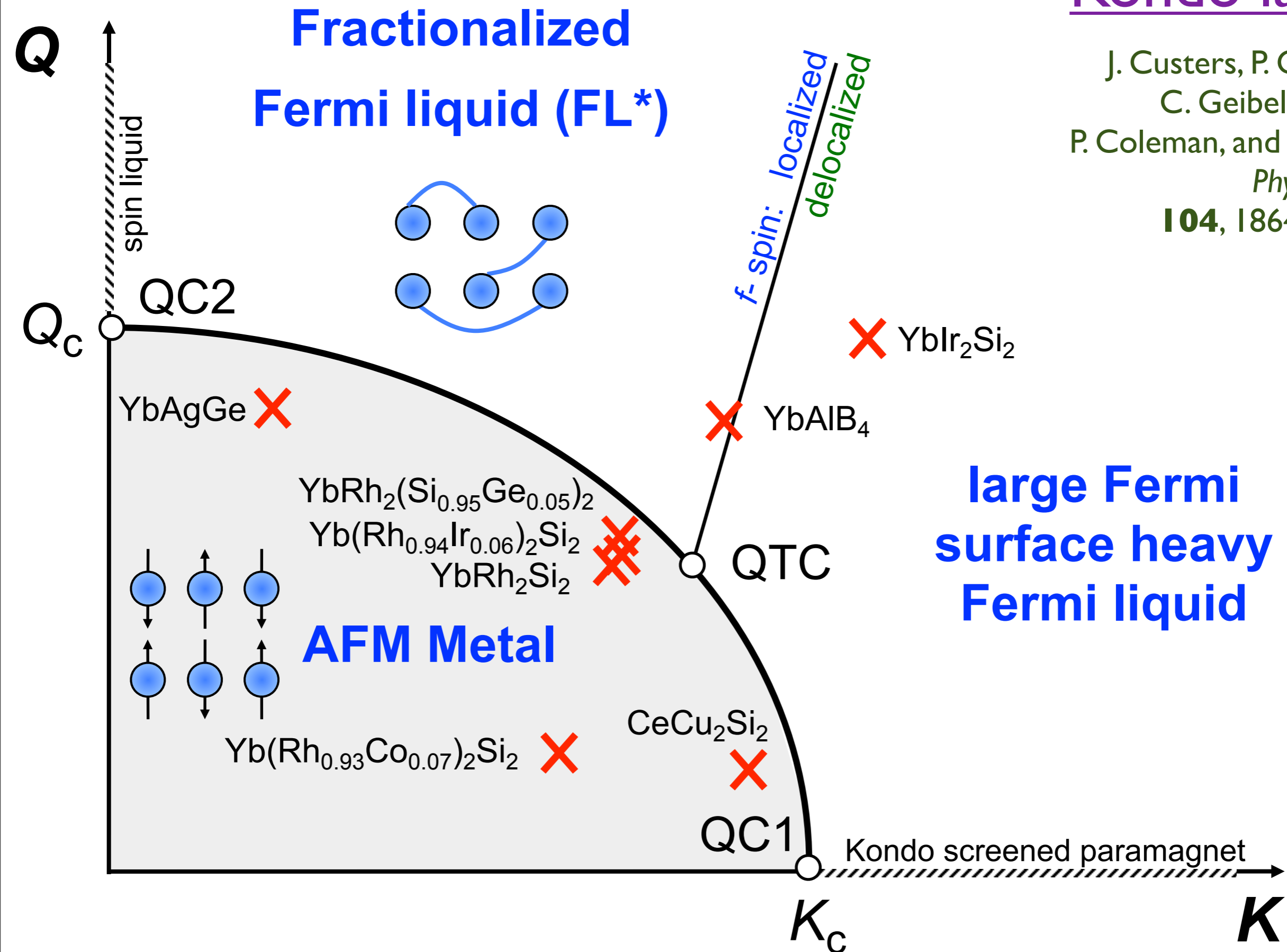
$$\langle \vec{\varphi} \rangle = 0$$

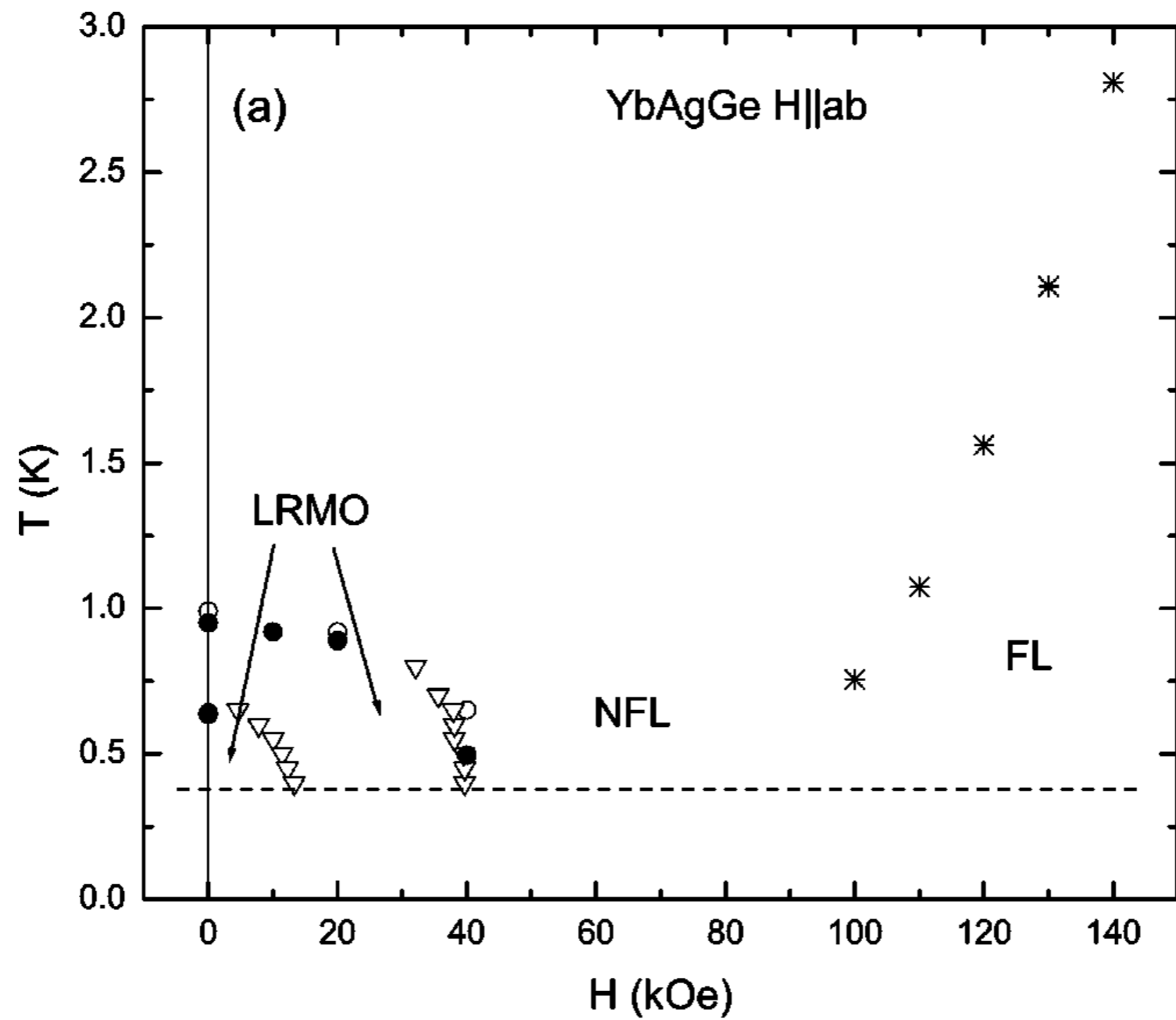
Heavy Fermi liquid
 with “large” Fermi
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T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Experimental perspective on same phase diagrams of Kondo lattice

J. Custers, P. Gegenwart,
C. Geibel, F. Steglich,
P. Coleman, and S. Paschen,
Phys. Rev. Lett.
104, 186402 (2010)

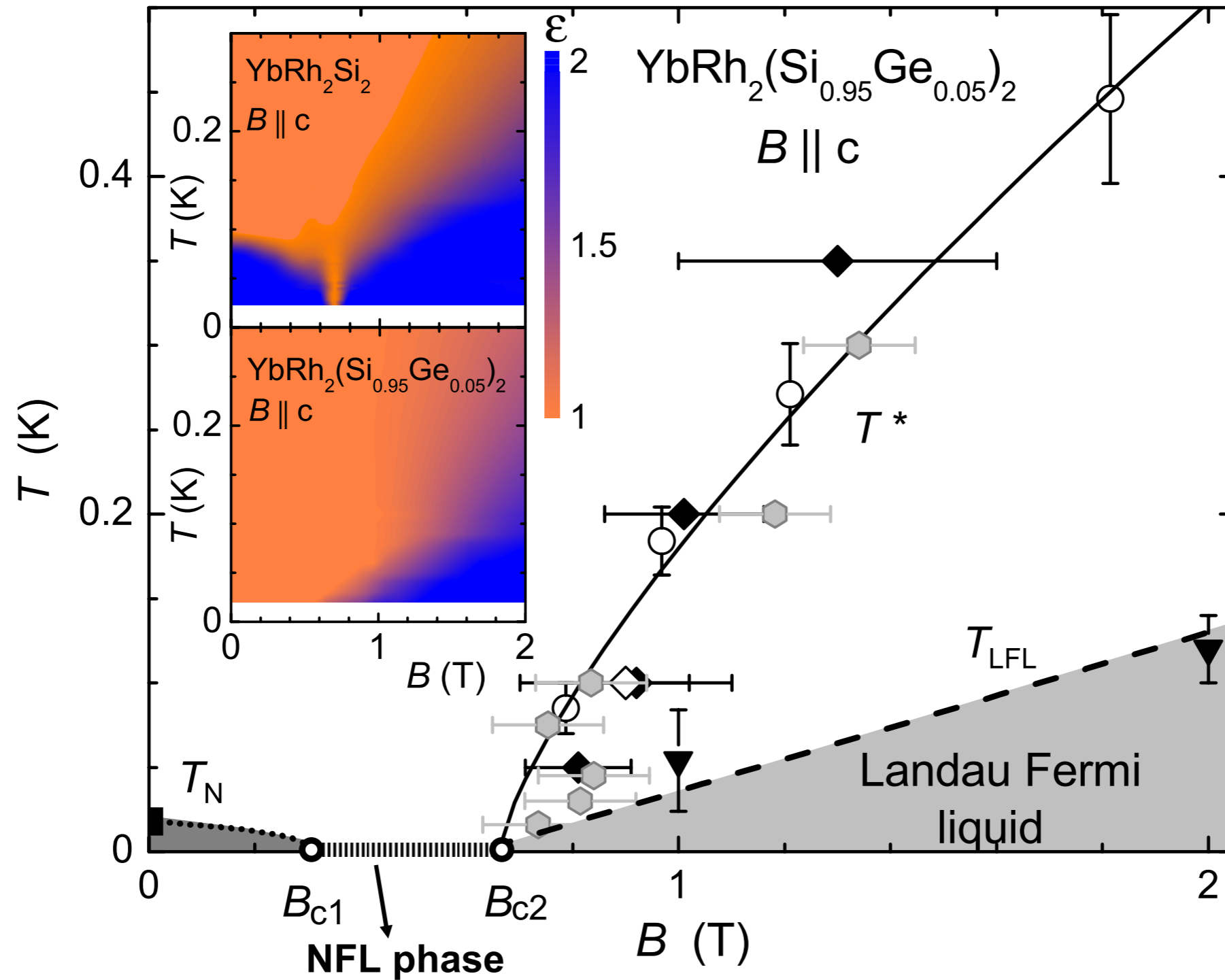




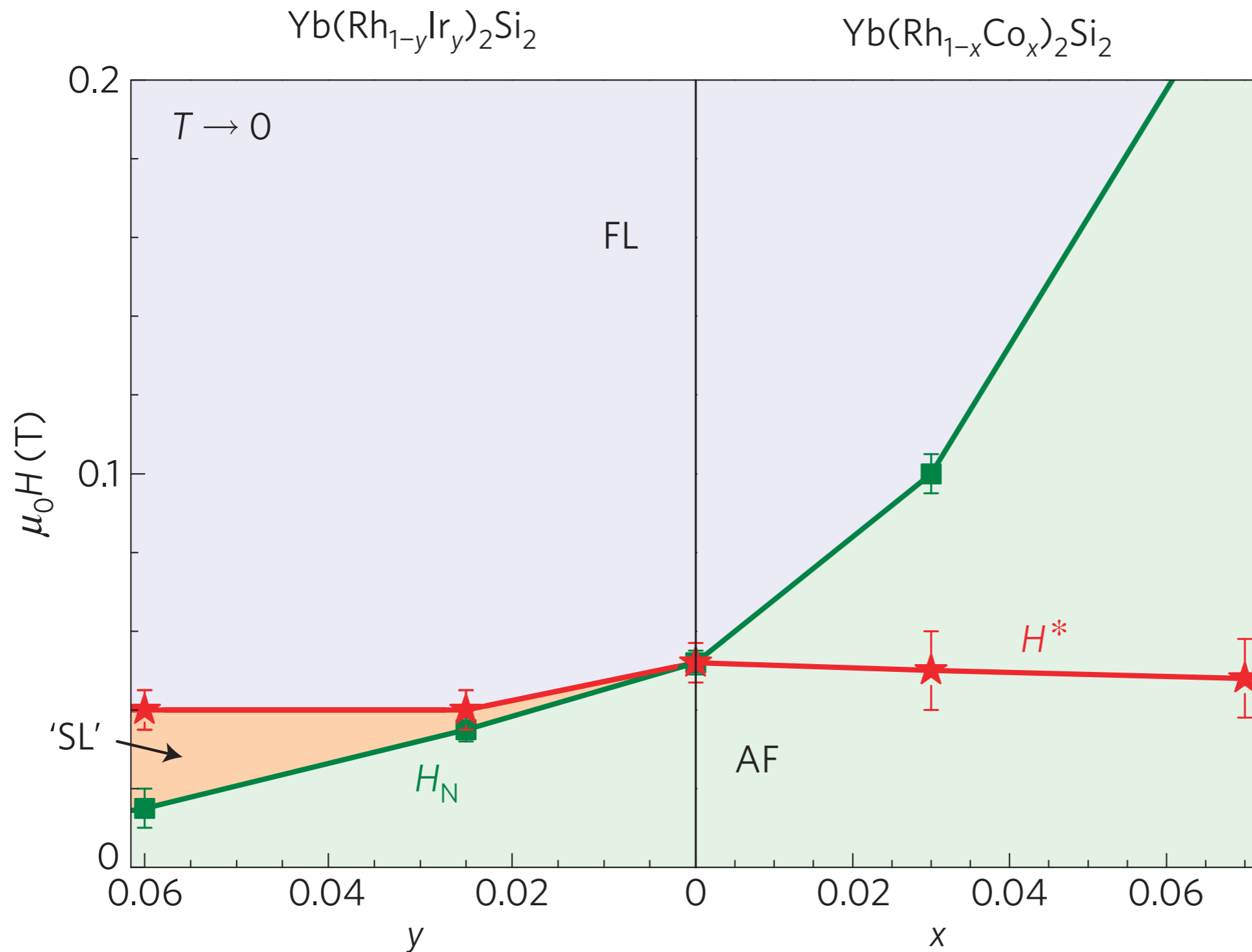
PHYSICAL REVIEW B **69**, 014415 (2004)

Magnetic field induced non-Fermi-liquid behavior in YbAgGe single crystals

S. L. Bud'ko,¹ E. Morosan,^{1,2} and P. C. Canfield^{1,2}



J. Custers, P. Gegenwart, C. Geibel, F. Steglich, P. Coleman, and S. Paschen,
Phys. Rev. Lett. **104**, 186402 (2010)



Detaching the antiferromagnetic quantum critical point from the Fermi-surface reconstruction in YbRh₂Si₂

Nature Physics 5, 465 (2009)

S. Friedemann^{1*}, T. Westerkamp¹, M. Brando¹, N. Oeschler¹, S. Wirth¹, P. Gegenwart^{1,2}, C. Krellner¹, C. Geibel¹ and F. Steglich^{1*}

Characteristics of FL* phase

- Fermi surface volume does not count all electrons.

T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Characteristics of FL* phase

- Fermi surface volume does not count all electrons.
- Such a phase *must* have neutral $S = 1/2$ excitations (“spinons”), and collective spinless gauge excitations (“topological” order).

T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Characteristics of FL* phase

- Fermi surface volume does not count all electrons.
- Such a phase *must* have neutral $S = 1/2$ excitations (“spinons”), and collective spinless gauge excitations (“topological” order).
- These topological excitations are needed to account for the deficit in the Fermi surface volume, in M. Oshikawa’s proof of the Luttinger theorem.

T. Senthil, S. Sachdev, and M. Vojta, *Phys. Rev. Lett.* **90**, 216403 (2003)

Conclusions

All quantum phase transitions of metals
in two spatial dimensions
involving symmetry breaking
are strongly-coupled
and
and very different from the
“Stoner” mean field theory

Conclusions

There is an instability of
universal strength to
unconventional superconductivity
near the onset of antiferromagnetism
in a two-dimensional metal

Conclusions

There can be an intermediate
non-Fermi liquid phase
between the two Fermi liquids:
the antiferromagnetic metal
with “small” Fermi surfaces
and
the metal with “large” Fermi surfaces

Conclusions

This *non-Fermi liquid phase* has neutral $S=1/2$ excitations, and “topological” gauge excitations, which account for deficits in the Luttinger count of the volume enclosed by the Fermi surfaces