

Quantum matter without quasiparticles: SYK models, black holes, and the cuprate strange metal

Workshop on Frontiers of Quantum Materials
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Subir Sachdev



PERIMETER INSTITUTE
FOR THEORETICAL PHYSICS

Talk online: sachdev.physics.harvard.edu

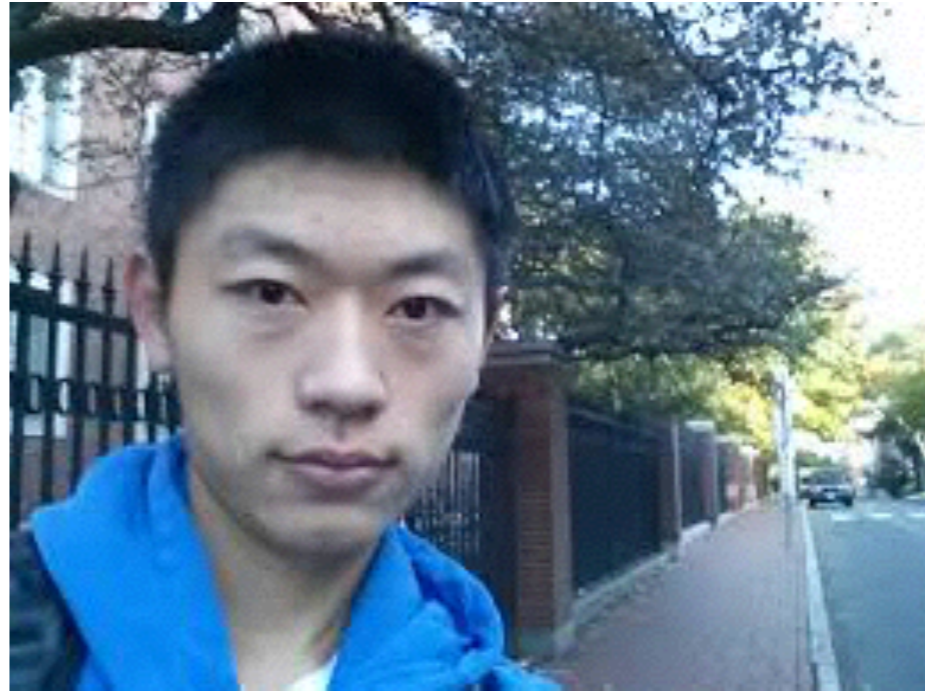
PHYSICS



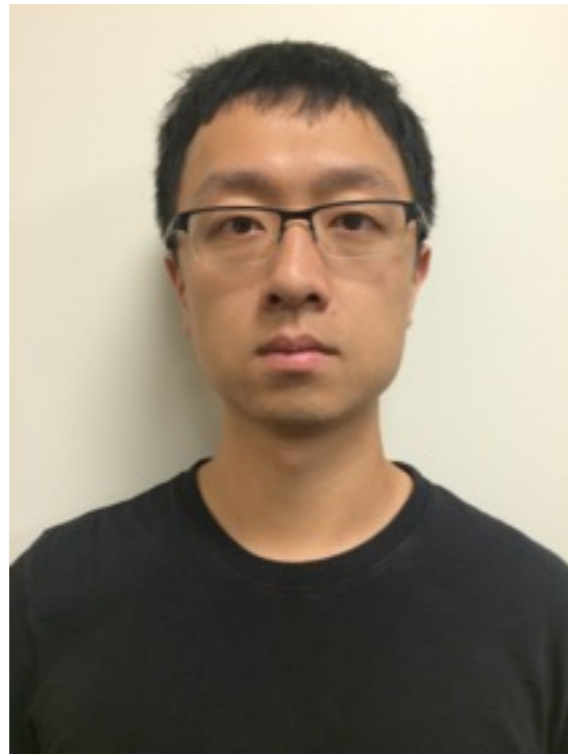
HARVARD



Aavishkar Patel, Harvard



Wenbo Fu, Harvard



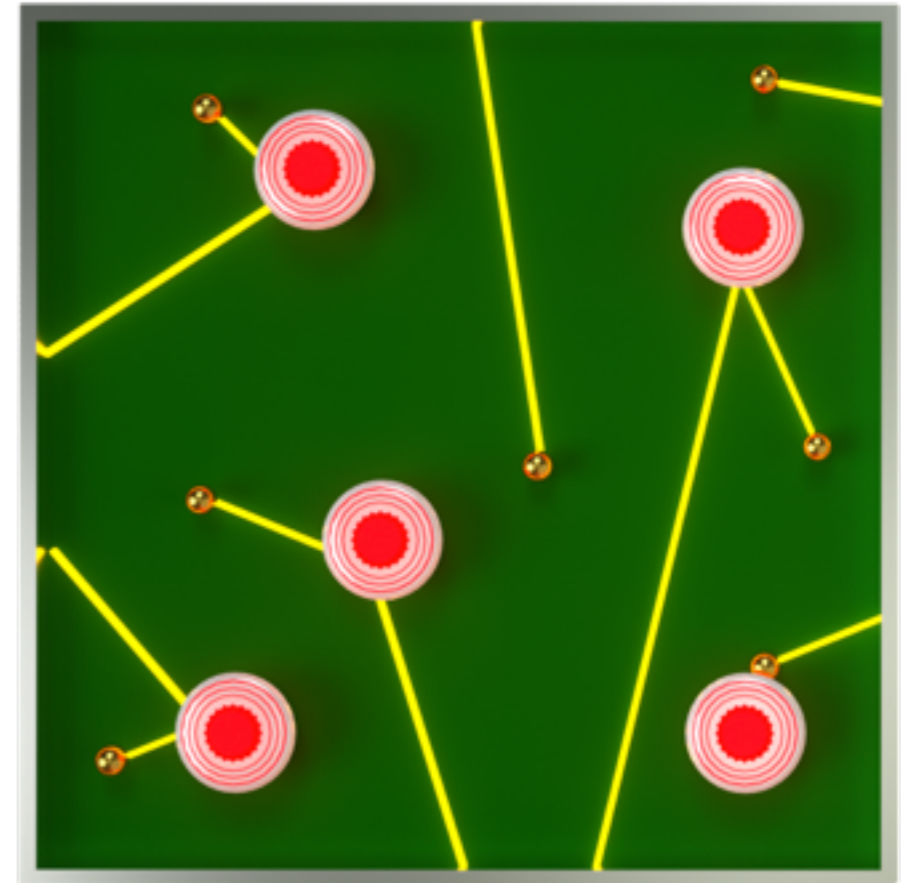
Yingfei Gu, Stanford



Richard Davison, Harvard

Quantum matter with quasiparticles:

- *magnon*
- *Roton*
- *Plasmon*
- *Polaron*
- *Exciton*
- *Laughlin quasiparticle*
- *Composite fermion*
- *Bogoliubovon*
- *Anderson-Higgs mode*
- *Massless Dirac Fermions*
- *Weyl fermions*
-



Quantum matter with quasiparticles:

Most generally, a quasiparticle is an “additive” excitation:

Quasiparticles can be combined to yield additional excitations, with energy determined by the energies and densities of the constituents. Such a procedure yields all the low-lying excitations. Then we can apply the Boltzmann-Landau theory to make predictions for dynamics.

Quantum matter without quasiparticles:

No quasiparticle structure to excitations.

But how can we be sure that no
quasiparticles exist in a given system?
Perhaps there are some exotic quasiparticles
inaccessible to current experiments.....

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Consider how rapidly the system loses “phase coherence”, reaches local thermal equilibrium, or becomes “chaotic”

Local thermal equilibration or phase coherence time, τ_φ :

- There is an *lower bound* on τ_φ in all many-body quantum systems of order $\hbar/(k_B T)$,

$$\tau_\varphi > C \frac{\hbar}{k_B T},$$

and the lower bound is realized by systems *without* quasiparticles.

- In systems *with* quasiparticles, τ_φ is parametrically larger at low T ;
e.g. in Fermi liquids $\tau_\varphi \sim 1/T^2$,
and in gapped insulators $\tau_\varphi \sim e^{\Delta/(k_B T)}$ where Δ is the energy gap.

K. Damle and S. Sachdev, PRB 56, 8714 (1997)

S. Sachdev, *Quantum Phase Transitions*, Cambridge (1999)

A bound on quantum chaos:

- The time over which a many-body quantum system becomes “chaotic” is given by $\tau_L = 1/\lambda_L$, where λ_L is the “Lyapunov exponent” determining memory of initial conditions. This LYAPUNOV TIME obeys the rigorous lower bound

$$\tau_L \geq \frac{1}{2\pi} \frac{\hbar}{k_B T}$$

A. I. Larkin and Y. N. Ovchinnikov, JETP **28**, 6 (1969)

J. Maldacena, S. H. Shenker and D. Stanford, arXiv:1503.01409

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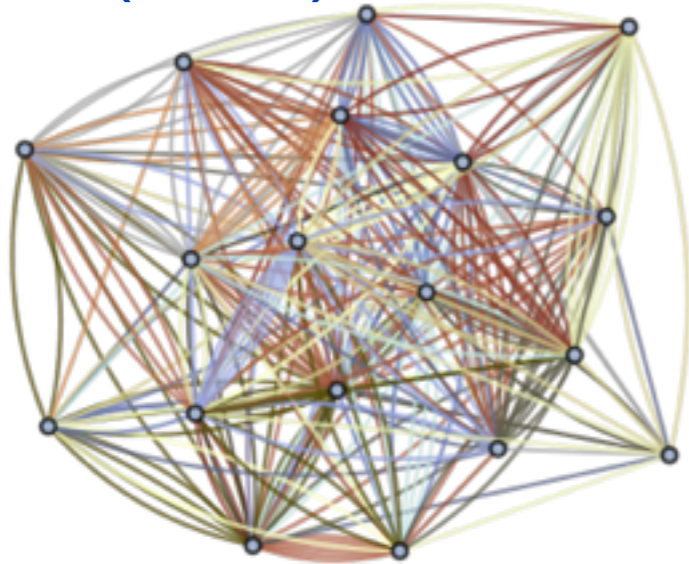
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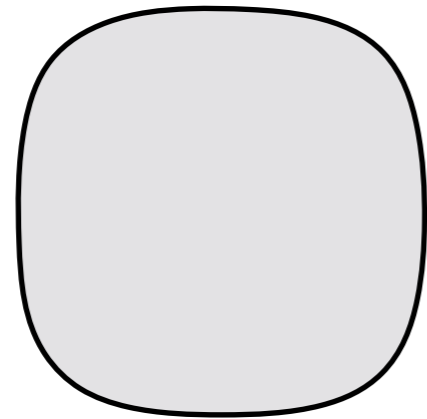
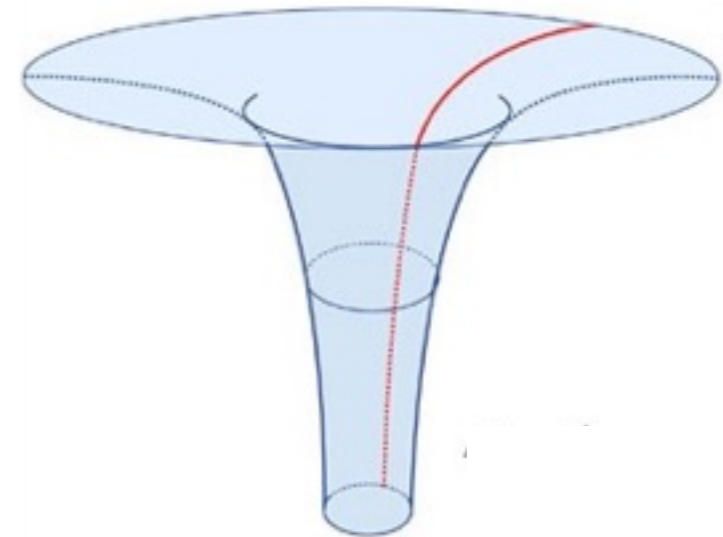
Quantum matter without quasiparticles
 \approx fastest possible many-body quantum chaos

Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS₂ horizons

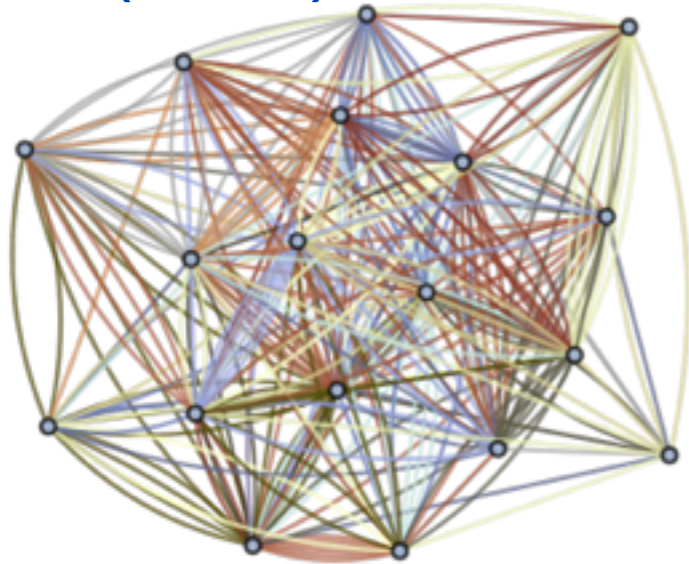


Fermi surface coupled
to a gauge field

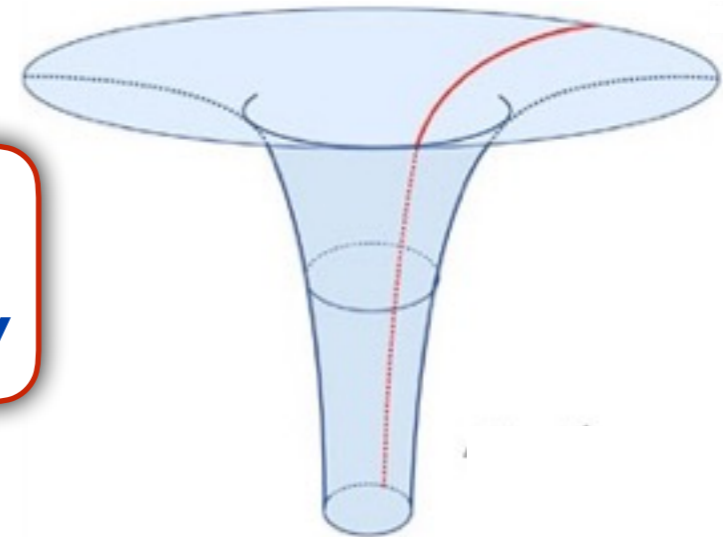
$$\mathcal{L}[\Psi, a] = \Psi^\dagger \left(\partial_\tau - ia_\tau - \frac{(\nabla - i\vec{a})^2}{2m} - \mu \right) \Psi + \frac{1}{2g^2} (\nabla \times \vec{a})^2$$

Quantum matter without quasiparticles:

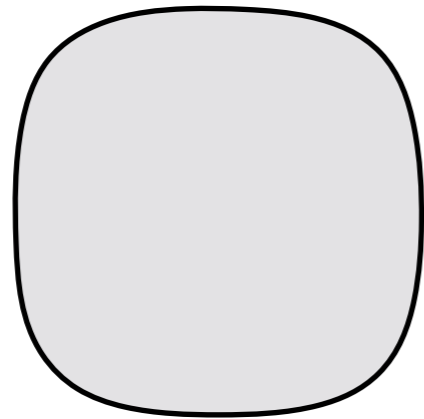
The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS₂ horizons



Same low energy theory

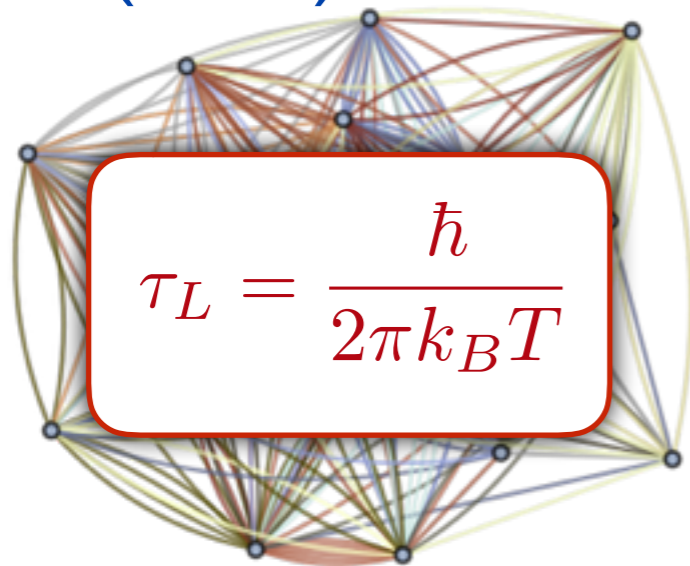


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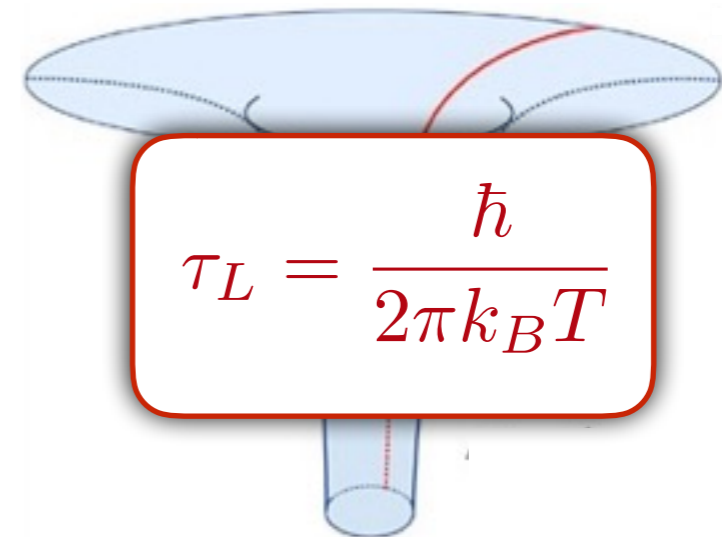
Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models

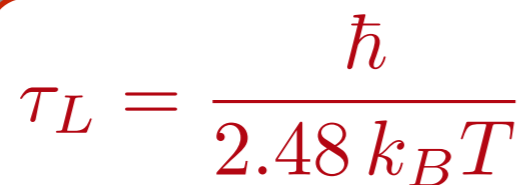


$$\tau_L = \frac{\hbar}{2\pi k_B T}$$

Black holes with AdS₂ horizons



$$\tau_L = \frac{\hbar}{2\pi k_B T}$$



A diagram illustrating a Fermi surface coupled to a gauge field. It shows a gray, semi-circular shape representing the Fermi surface. A red-bordered box is overlaid on the diagram, containing the equation for the Lyapunov time τ_L .

$$\tau_L = \frac{\hbar}{2.48 k_B T}$$

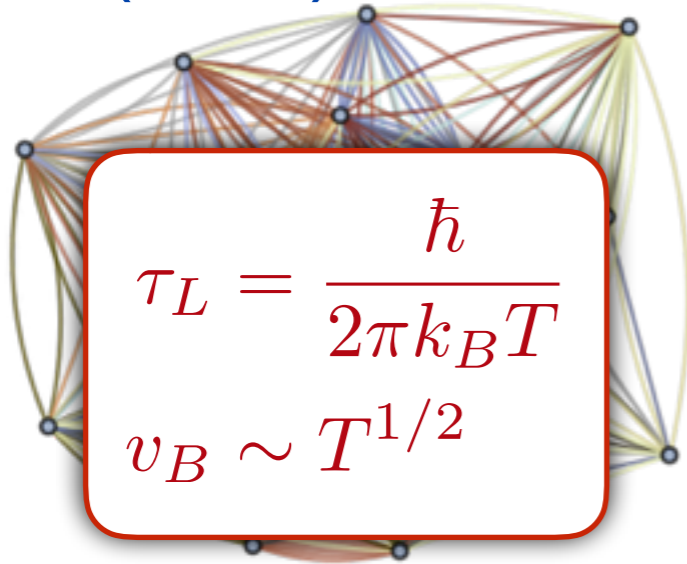
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τ_L : the Lyapunov time to reach quantum chaos

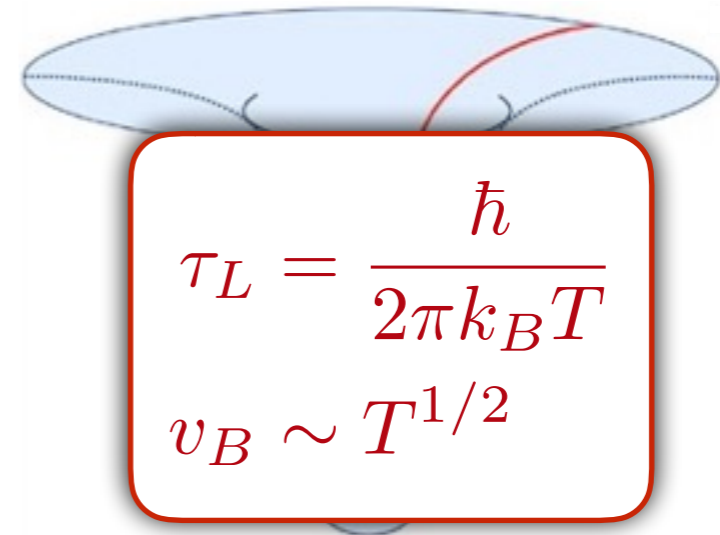
Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



$$\tau_L = \frac{\hbar}{2\pi k_B T}$$
$$v_B \sim T^{1/2}$$

Black holes with AdS₂ horizons



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$$\tau_L = \frac{\hbar}{2.48 k_B T}$$
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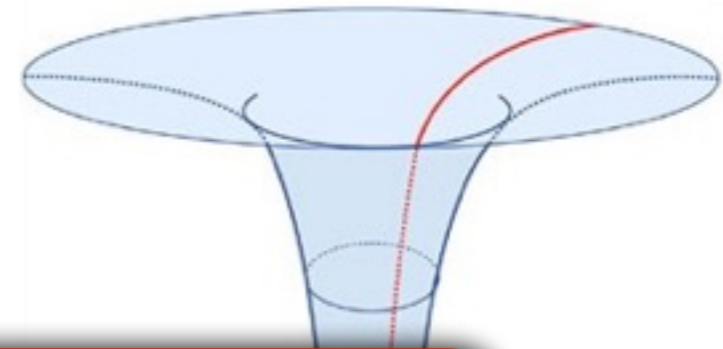
v_B : the “butterfly velocity” for the spatial propagation of chaos

Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS₂ horizons



Thermal diffusivity, D_E :

$$D_E = (\text{universal number}) \times v_B^2 \tau_L$$

in all three models

Fermi surface coupled
to a gauge field

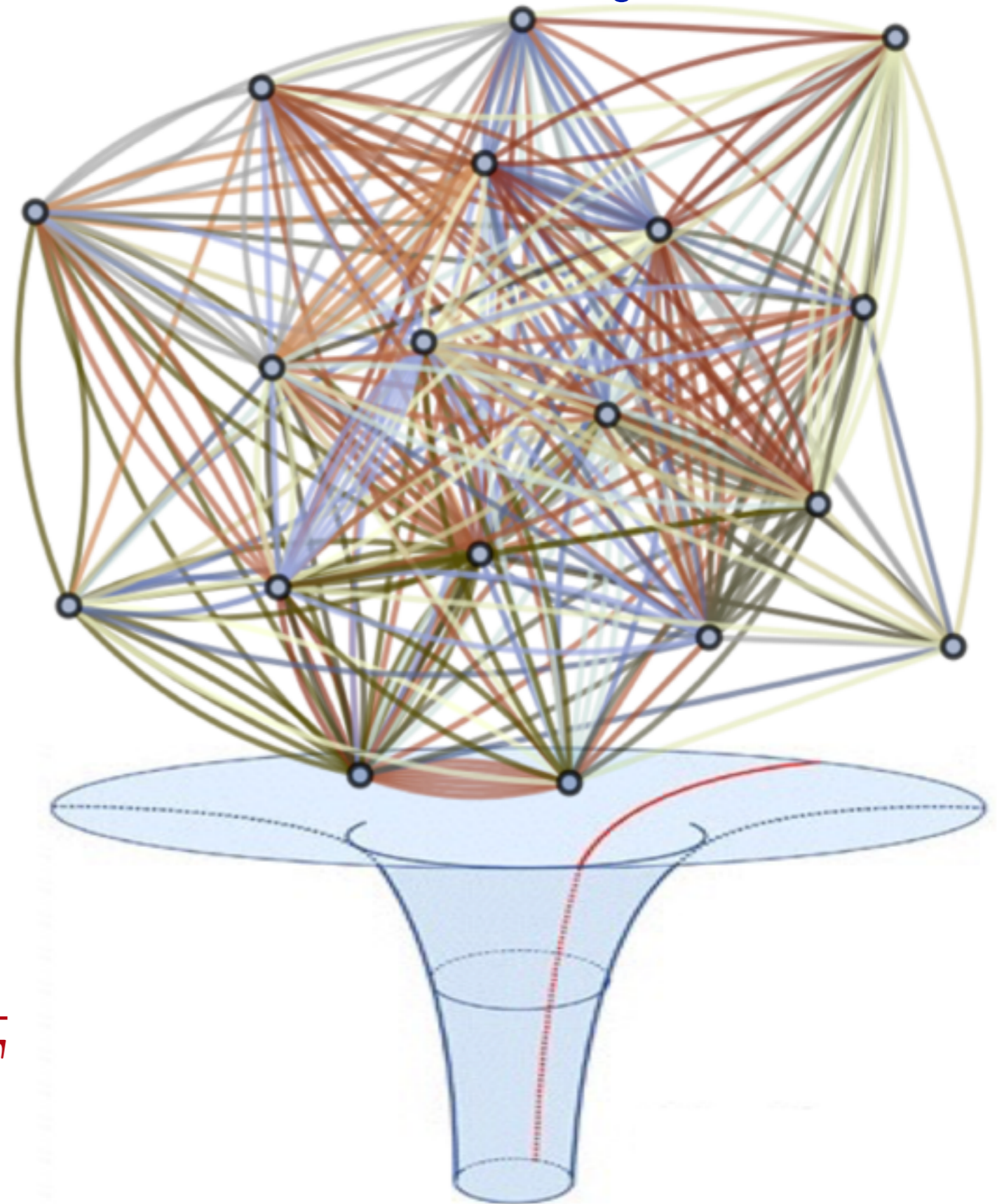
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The Sachdev-Ye-Kitaev (SYK) model:

- A theory of a strange metal
- Dual theory of gravity on AdS_2
- Fastest possible quantum chaos with $\tau_L = \frac{\hbar}{2\pi k_B T}$

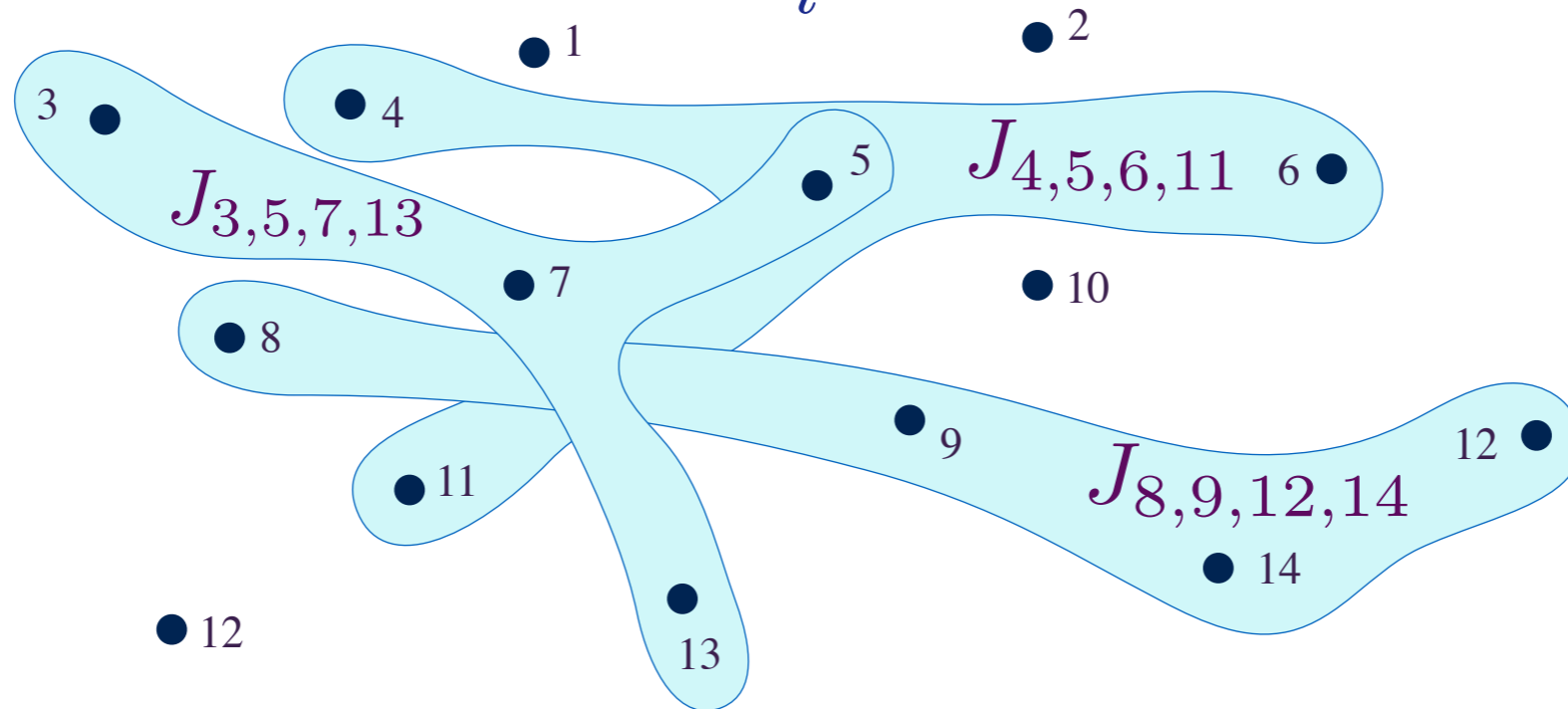


SYK model

$$H = \frac{1}{(2N)^{3/2}} \sum_{i,j,k,\ell=1}^N J_{ij;kl} c_i^\dagger c_j^\dagger c_k c_\ell - \mu \sum_i c_i^\dagger c_i$$

$$c_i c_j + c_j c_i = 0 \quad , \quad c_i c_j^\dagger + c_j^\dagger c_i = \delta_{ij}$$

$$Q = \frac{1}{N} \sum_i c_i^\dagger c_i$$



$J_{ij;kl}$ are independent random variables with $\overline{J_{ij;kl}} = 0$ and $\overline{|J_{ij;kl}|^2} = J^2$
 $N \rightarrow \infty$ yields critical strange metal.

S. Sachdev and J. Ye, PRL 70, 3339 (1993)

A. Kitaev, unpublished; S. Sachdev, PRX 5, 041025 (2015)

SYK model

Feynman graph expansion in $J_{ij..}$, and graph-by-graph average, yields exact equations in the large N limit:

$$G(i\omega) = \frac{1}{i\omega + \mu - \Sigma(i\omega)} \quad , \quad \Sigma(\tau) = -J^2 G^2(\tau) G(-\tau)$$
$$G(\tau = 0^-) = Q.$$

Low frequency analysis shows that the solutions must be gapless and obey

$$\Sigma(z) = \mu - \frac{1}{A} \sqrt{z} + \dots \quad , \quad G(z) = \frac{A}{\sqrt{z}}$$

for some complex A . The ground state is a non-Fermi liquid, with a continuously variable density Q .

SYK and AdS₂

- Non-zero GPS entropy as $T \rightarrow 0$, $S(T \rightarrow 0) = NS_0 + \dots$
Not a ground state degeneracy: due to an exponentially small (in N) many-body level spacing at all energies down to the ground state energy.



A. Georges, O. Parcollet, and S. Sachdev, PRB 63, 134406 (2001)

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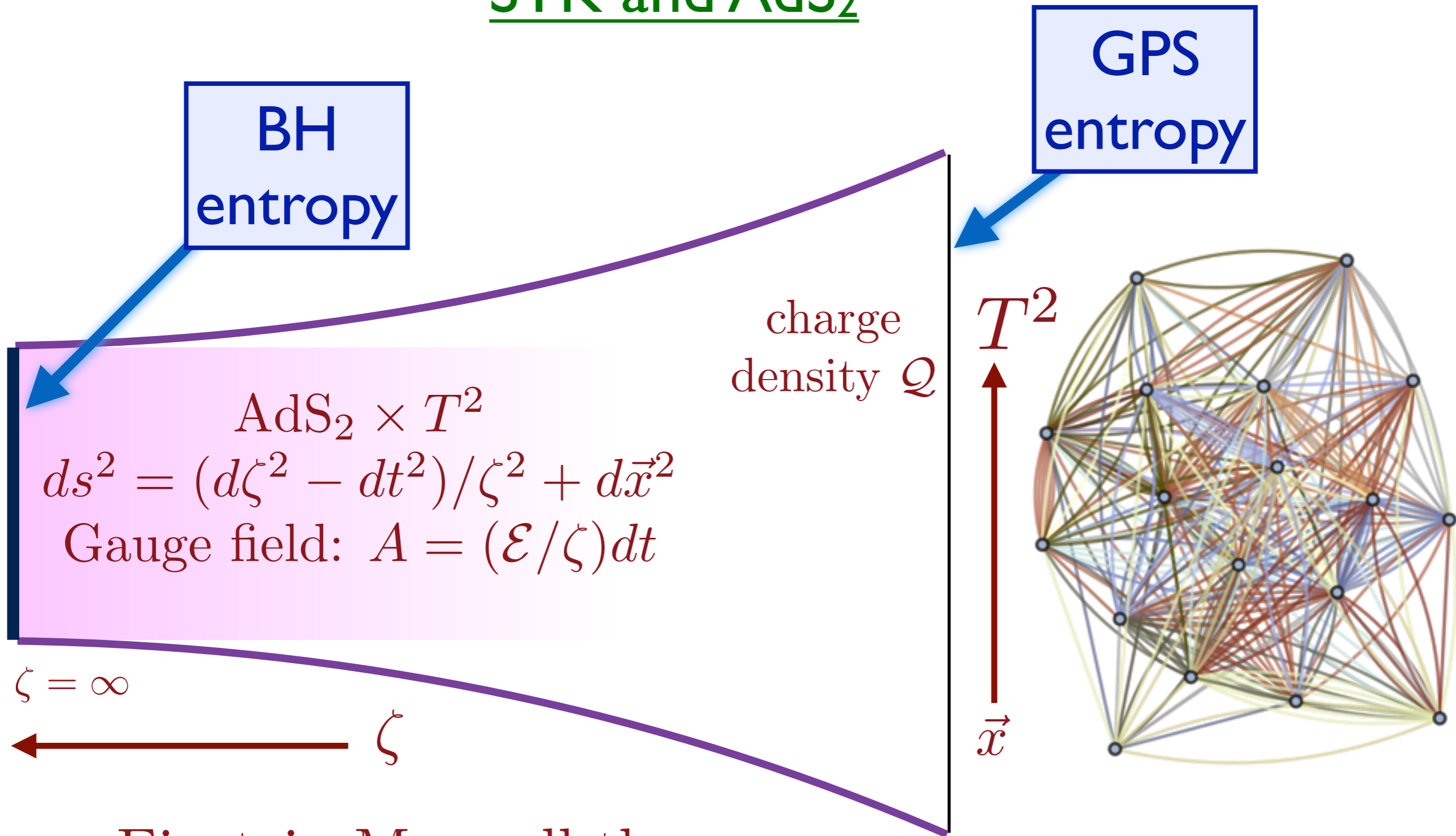


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- The correlators and thermodynamics of SYK match those of quantum matter holographically dual to AdS₂ black hole horizons (as computed by T. Faulkner, H. Liu, J. McGreevy, and D. Vegh, PRD **83**, 125002 (2011)). SYK models are “are states of matter at non-zero density realizing the near-horizon, AdS₂ × R² physics of Reissner-Nördstrom black holes”. The Bekenstein-Hawking entropy is NS_0 (**GPS = BH**).

S. Sachdev, PRL **105**, 151602 (2010)

SYK and AdS₂



Einstein-Maxwell theory
+ cosmological constant

S. Sachdev, PRL **105**, 151602 (2010)

Mapping to SYK applies when temperature $\ll 1/(\text{size of } T^2)$

SYK and AdS₂

$$G(i\omega) = \frac{1}{i\omega + \mu - \Sigma(i\omega)} \quad , \quad \Sigma(\tau) = -J^2 G^2(\tau) G(-\tau)$$
$$\Sigma(z) = \mu - \frac{1}{A} \sqrt{z} + \dots \quad , \quad G(z) = \frac{A}{\sqrt{z}}$$

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At frequencies $\ll J$, the $i\omega + \mu$ can be dropped, and without it equations are invariant under the reparametrization and gauge transformations

$$\tau = f(\sigma)$$

$$G(\tau_1, \tau_2) = [f'(\sigma_1) f'(\sigma_2)]^{-1/4} \frac{g(\sigma_1)}{g(\sigma_2)} G(\sigma_1, \sigma_2)$$

$$\Sigma(\tau_1, \tau_2) = [f'(\sigma_1) f'(\sigma_2)]^{-3/4} \frac{g(\sigma_1)}{g(\sigma_2)} \Sigma(\sigma_1, \sigma_2)$$

where $f(\sigma)$ and $g(\sigma)$ are arbitrary functions.

SYK and AdS₂

Let us write the large N saddle point solutions of S as

$$\begin{aligned} G_s(\tau_1 - \tau_2) &\sim (\tau_1 - \tau_2)^{-1/2} \\ \Sigma_s(\tau_1 - \tau_2) &\sim (\tau_1 - \tau_2)^{-3/2}. \end{aligned}$$

These are not invariant under the reparametrization symmetry but are invariant only under a $SL(2, \mathbb{R})$ subgroup under which

$$f(\tau) = \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1.$$

So the (approximate) reparametrization symmetry is spontaneously broken.

SYK and AdS₂

Connections of SYK to gravity and AdS₂ horizons

- Reparameterization and gauge invariance are the ‘symmetries’ of the Einstein-Maxwell theory of gravity and electromagnetism
- $SL(2, \mathbb{R})$ is the isometry group of AdS₂.

Coupled SYK models

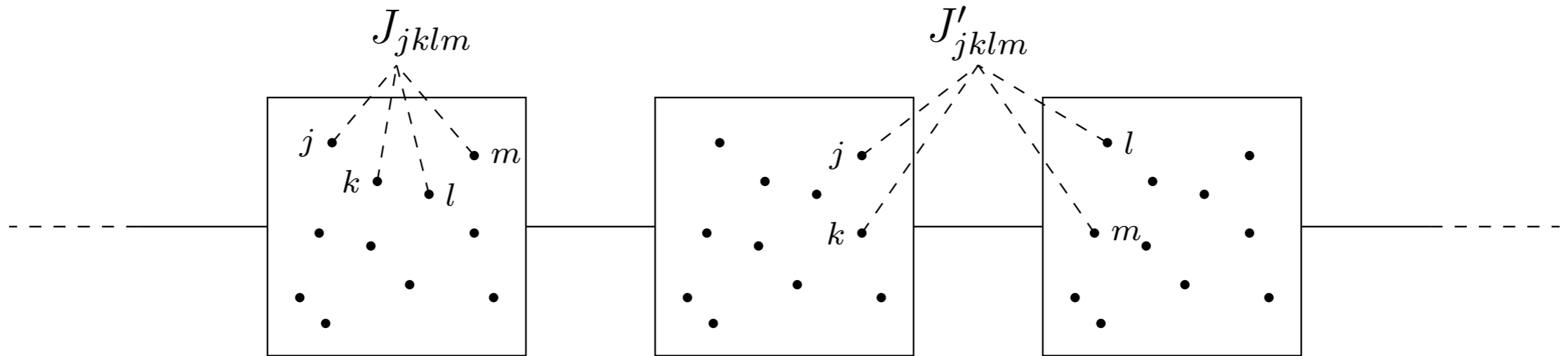


Figure 1: A chain of coupled SYK sites: each site contains $N \gg 1$ fermion with SYK interaction. The coupling between nearest neighbor sites are four fermion interaction with two from each site.

Yingfei Gu, Xiao-Liang Qi, and D. Stanford, arXiv:1609.07832

Coupled SYK models

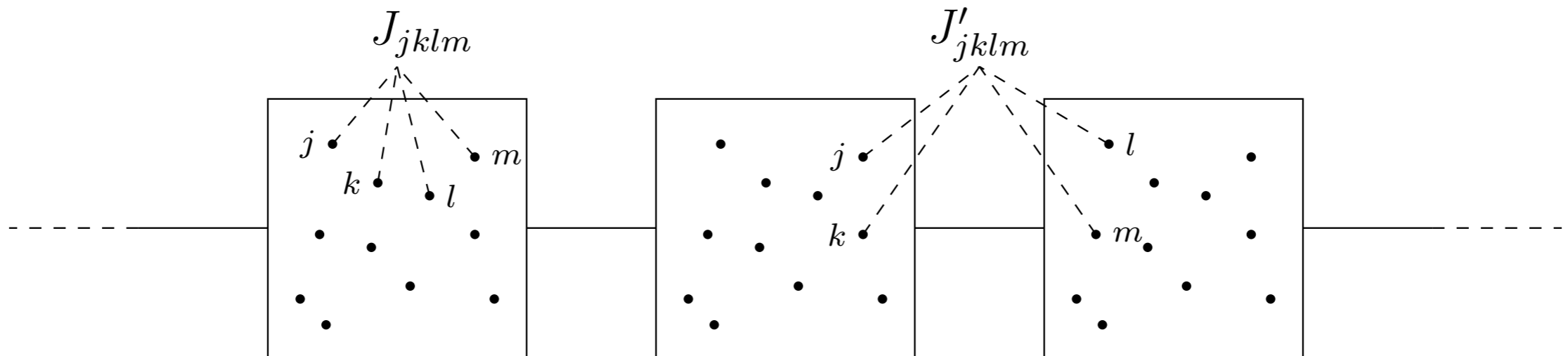


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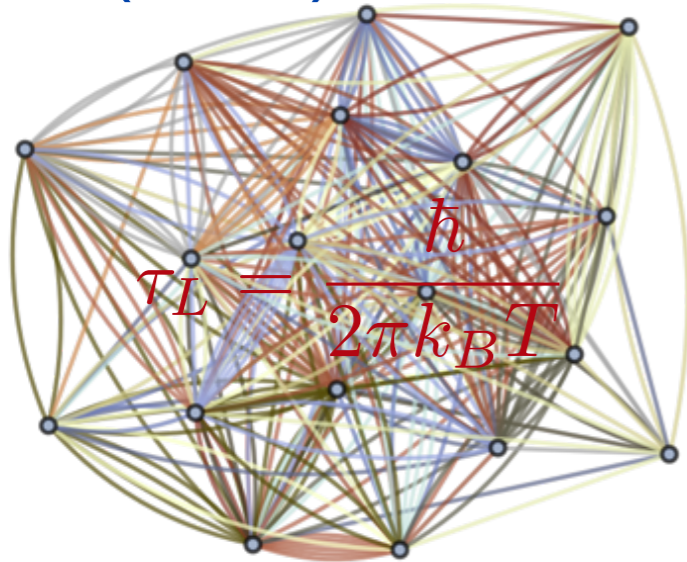
Yingfei Gu, Xiao-Liang Qi, and D. Stanford, arXiv:1609.07832

Can compute butterfly velocity, and thermo-electric transport correlators, and they precisely match those of momentum-dissipating charged black holes with $\text{AdS}_2 \times R^d$ horizons.

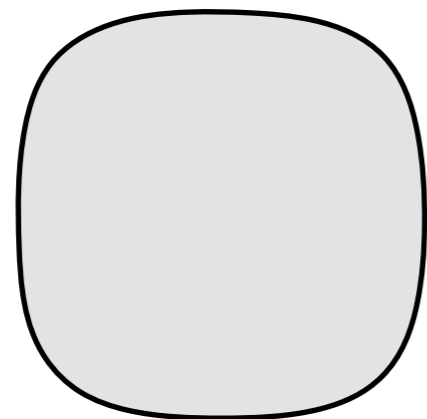
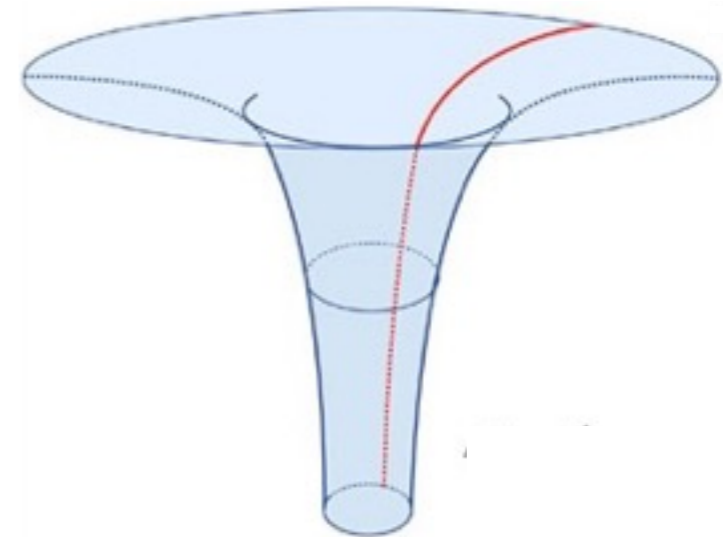
R. Davison, Wenbo Fu, Yingfei Gu, K. Jensen. S. Sachdev, unpublished

Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS_2 horizons

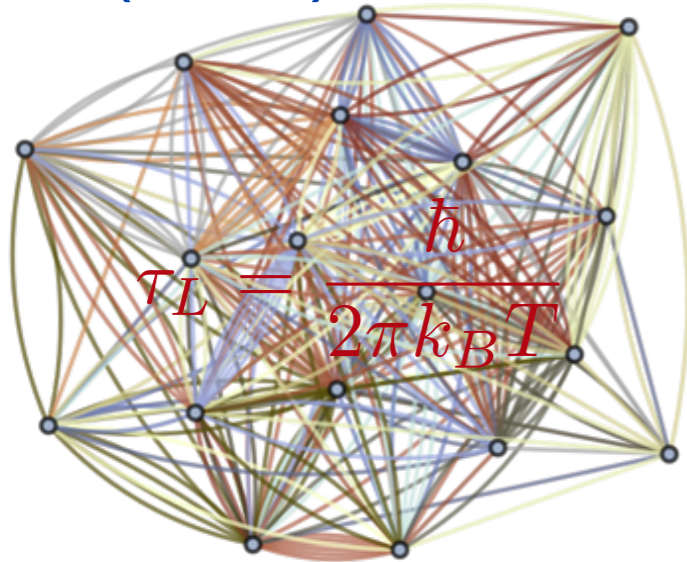


Fermi surface coupled to a gauge field

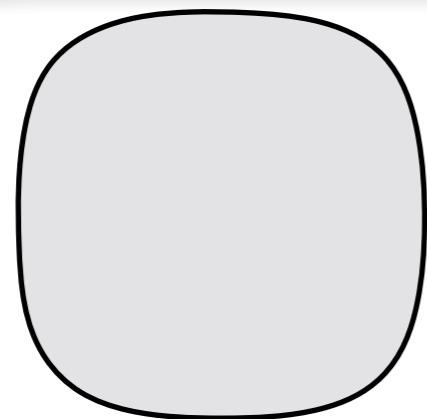
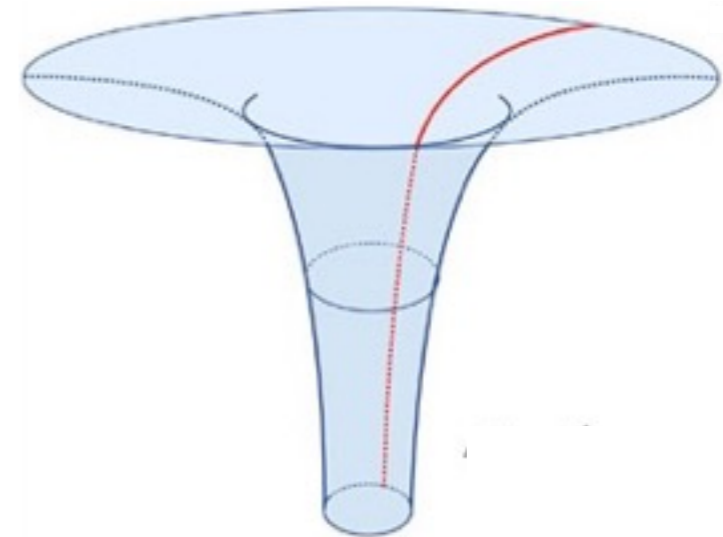
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Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



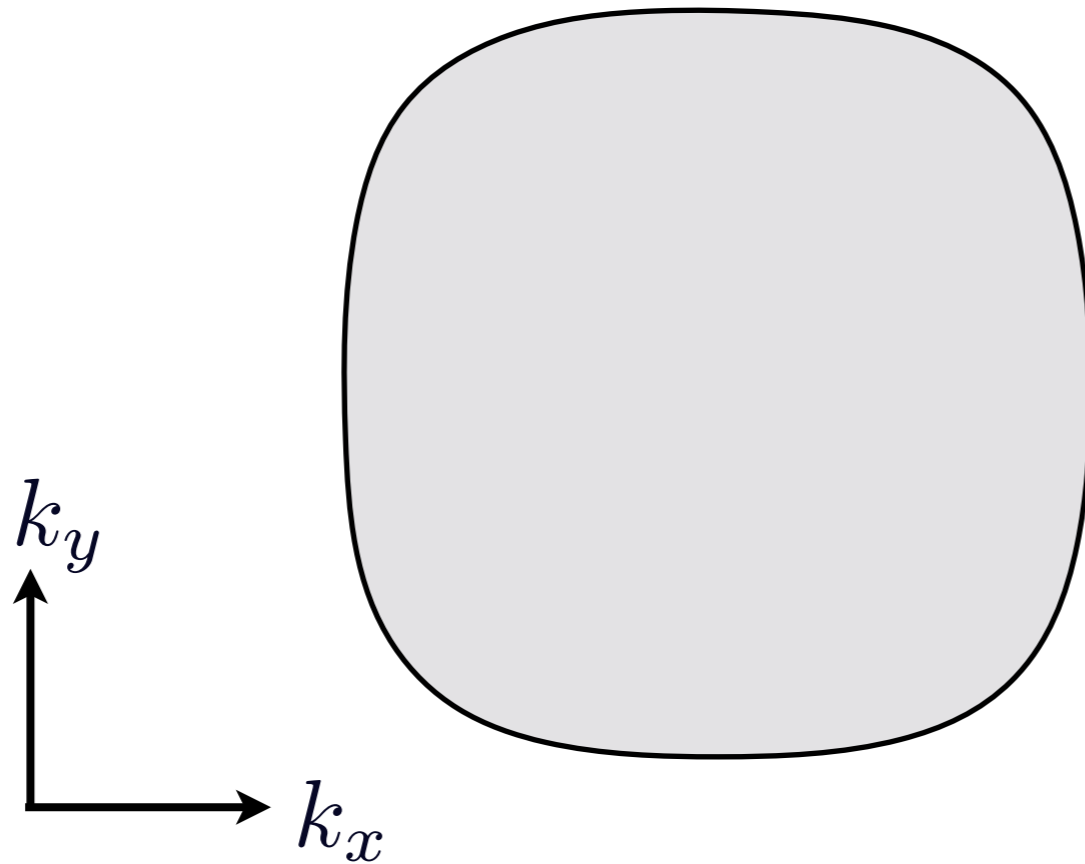
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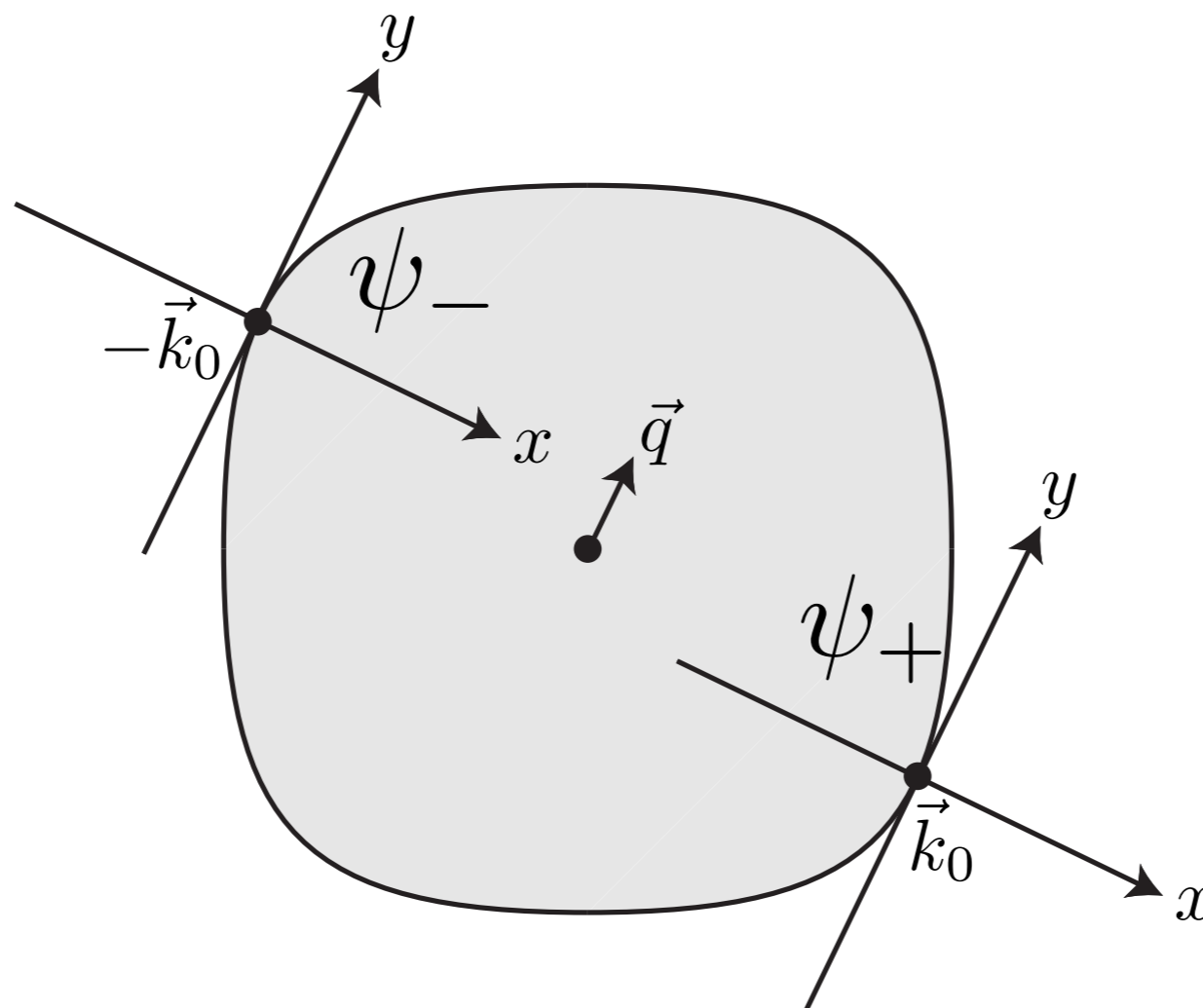
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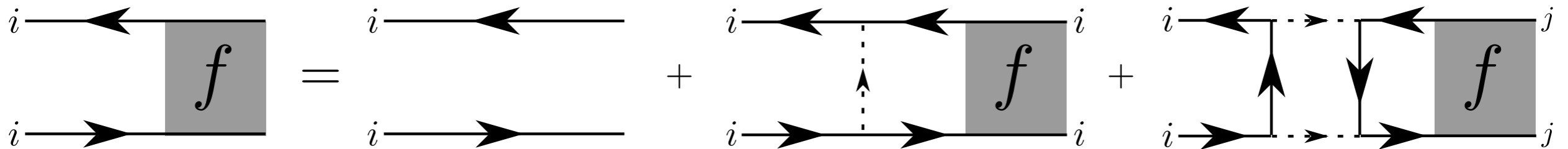
Fermi surface coupled to a gauge field



$$\begin{aligned} \mathcal{L}[\psi_{\pm}, a] = & \psi_+^\dagger (\partial_\tau - i\partial_x - \partial_y^2) \psi_+ + \psi_-^\dagger (\partial_\tau + i\partial_x - \partial_y^2) \psi_- \\ & - a \left(\psi_+^\dagger \psi_+ - \psi_-^\dagger \psi_- \right) + \frac{1}{2g^2} (\partial_y a)^2 \end{aligned}$$

Fermi surface coupled to a gauge field

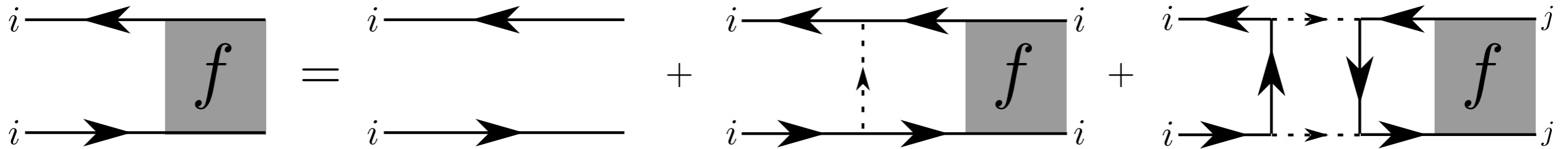
Compute out-of-time-order correlator to
diagnose quantum chaos



$$f(t) = \frac{1}{N^2} \theta(t) \sum_{i,j=1}^N \int d^2x \operatorname{Tr} \left[e^{-\beta H/2} \{ \psi_i(x, t), \psi_j^\dagger(0) \} \right. \\ \left. \times e^{-\beta H/2} \{ \psi_i(x, t), \psi_j^\dagger(0) \}^\dagger \right] \\ \sim \exp\left((t - x/v_B)/\tau_L \right)$$

Fermi surface coupled to a gauge field

Compute out-of-time-order correlator to diagnose quantum chaos



Strongly-coupled theory with no quasiparticles and fast scrambling:

$$\begin{aligned}\tau_L &\approx \frac{\hbar}{2.48 k_B T} \\ v_B &\approx 4.1 \frac{NT^{1/3}}{e^{4/3}} \frac{v_F^{5/3}}{\gamma^{1/3}} \\ D_E &\approx 0.42 v_B^2 \tau_L\end{aligned}$$

N is the number of fermion flavors, v_F is the Fermi velocity, γ is the Fermi surface curvature, e is the gauge coupling constant.

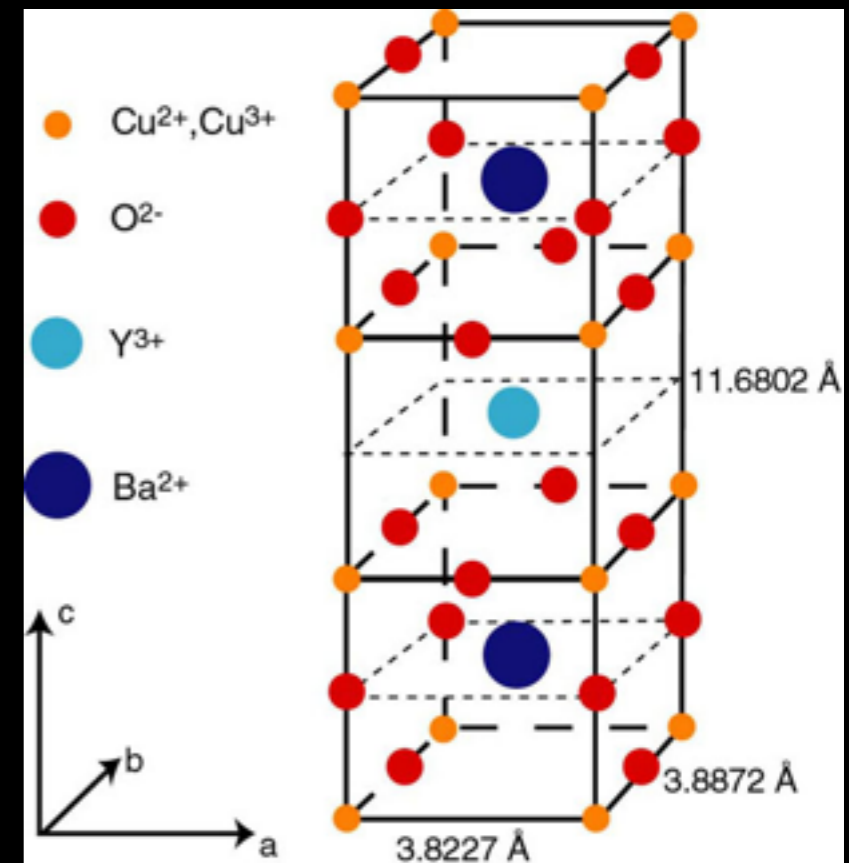
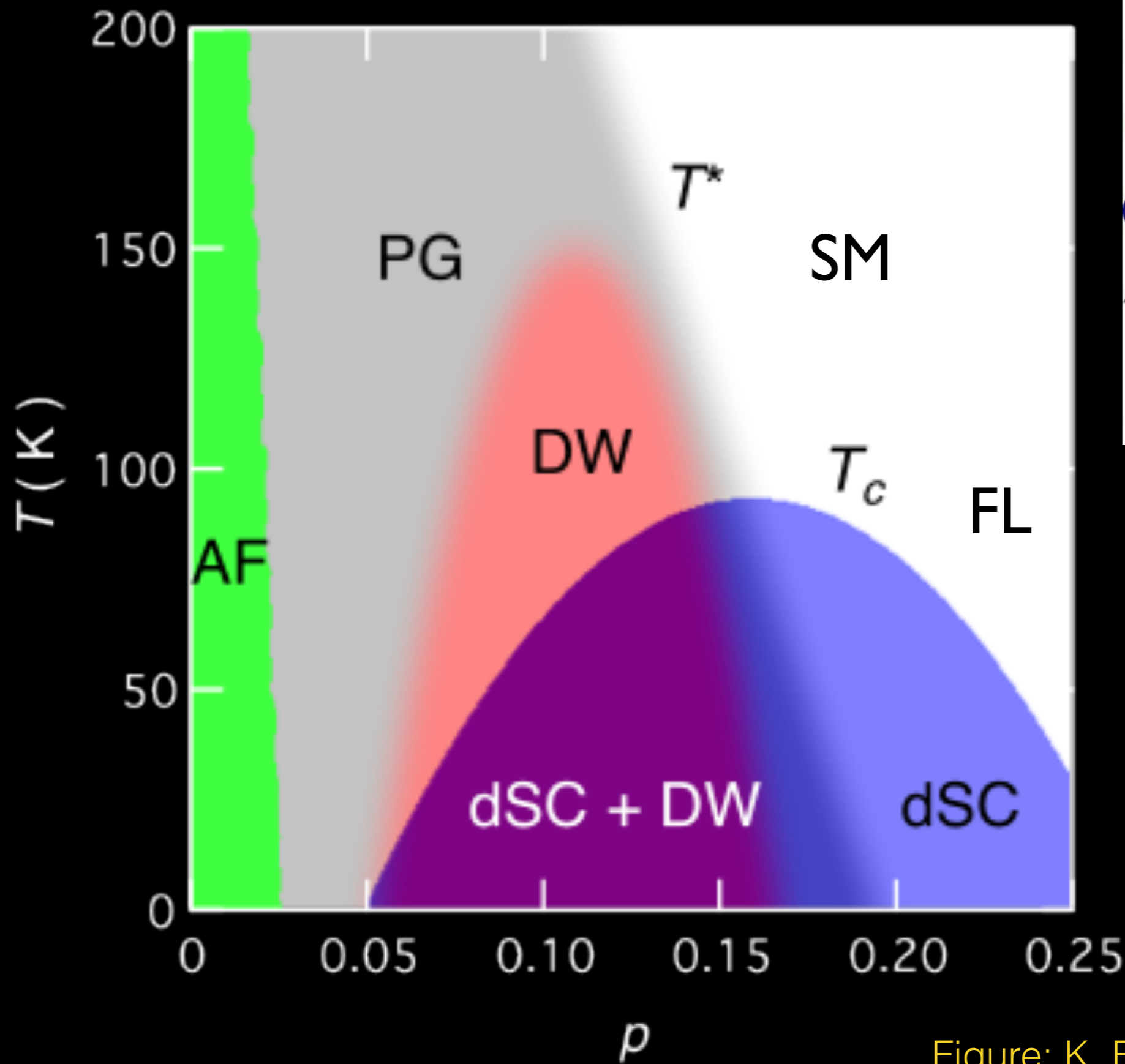
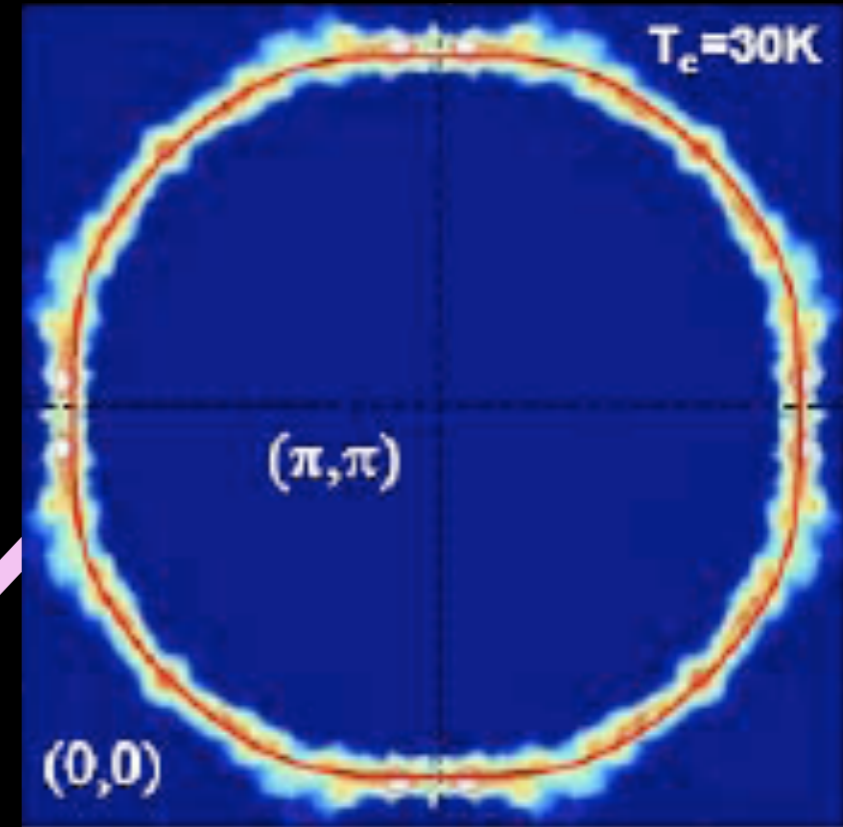
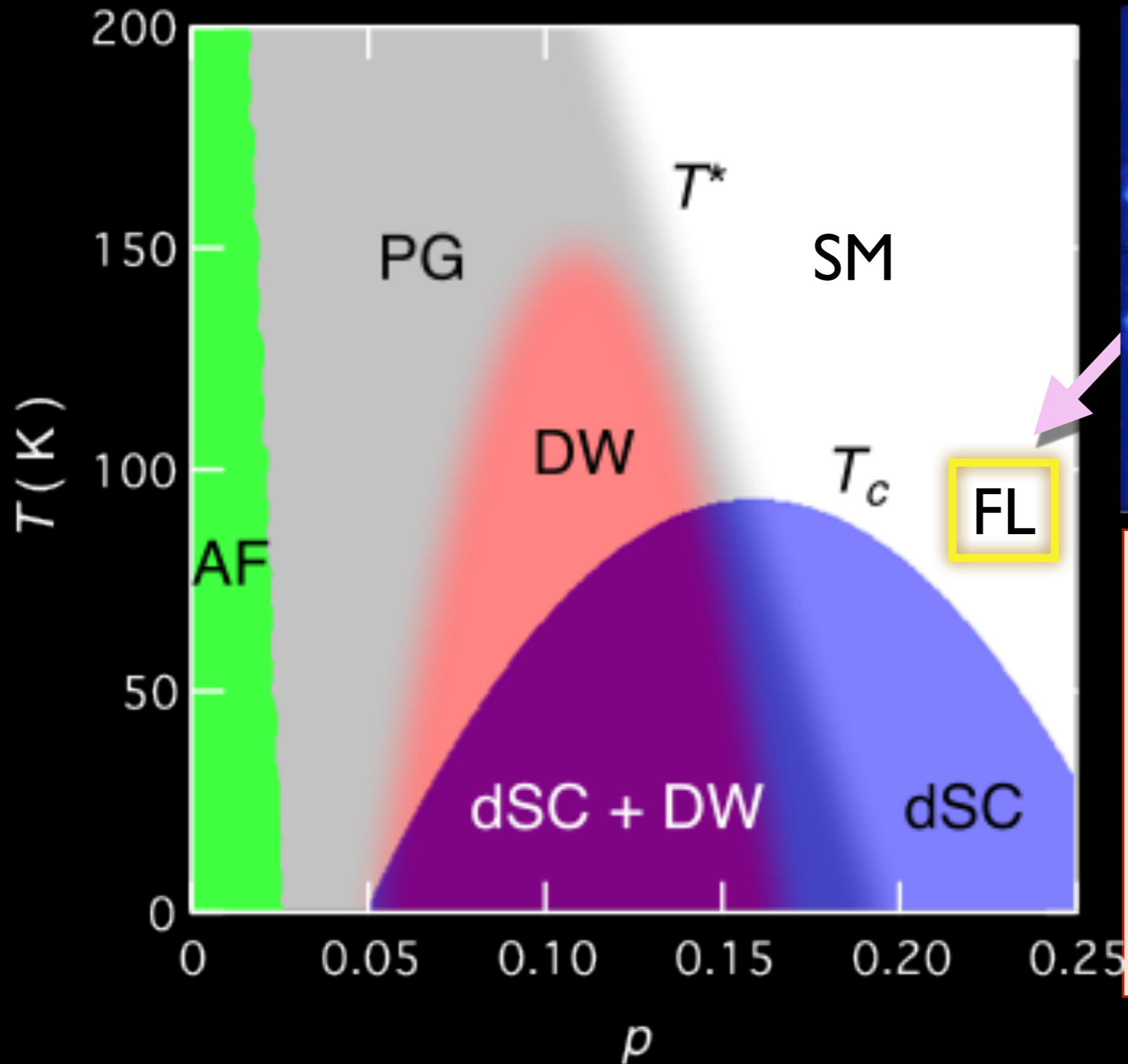


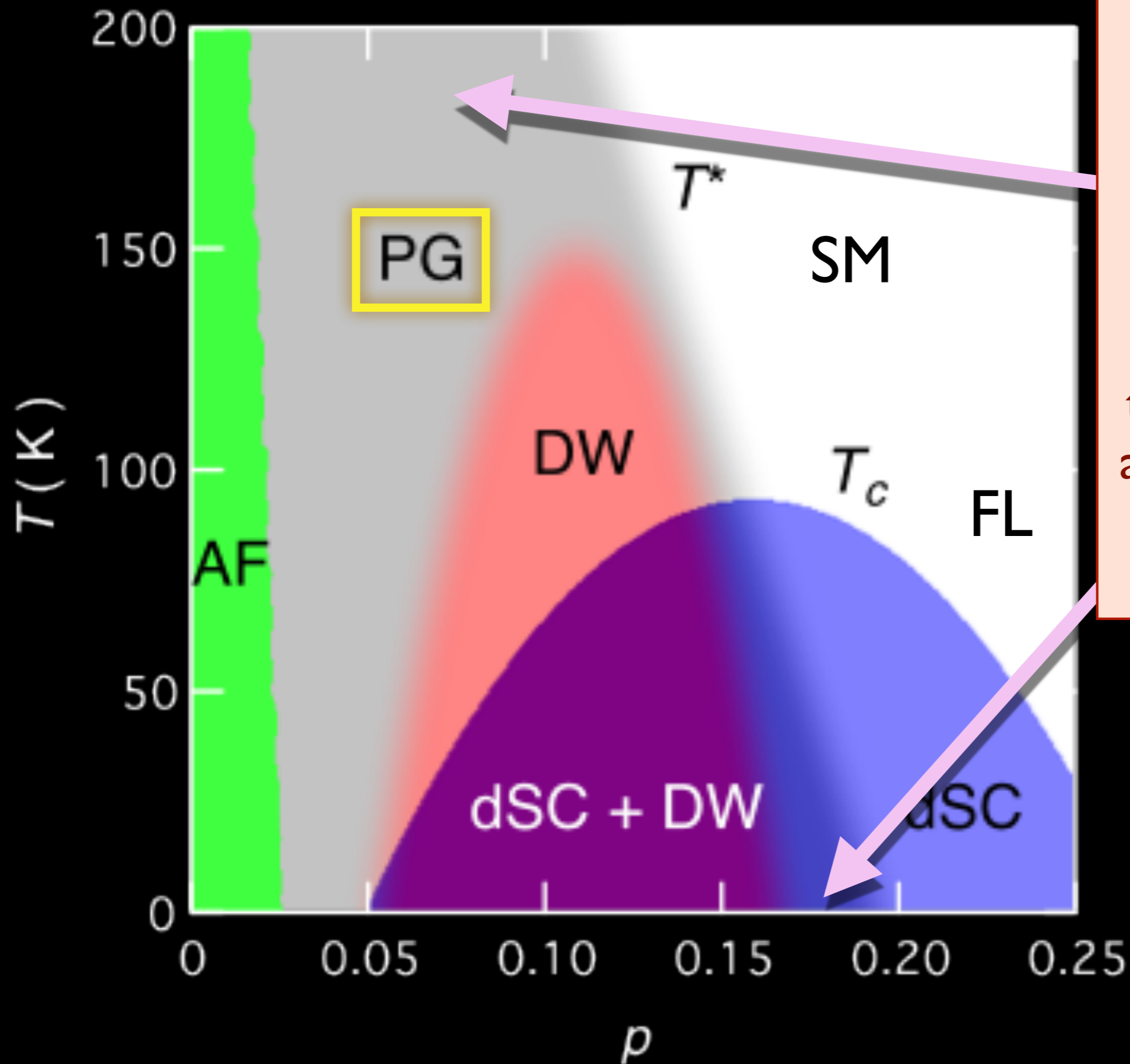
Figure: K. Fujita and J. C. Seamus Davis

M. Platié, J. D. F. Mottershead, I. S. Elfimov, D. C. Peets, Ruixing Liang, D. A. Bonn, W. N. Hardy, S. Chiuzbaian, M. Falub, M. Shi, L. Patthey, and A. Damascelli, Phys. Rev. Lett. **95**, 077001 (2005)



A conventional metal:
the Fermi liquid
with Fermi
surface of size
 $l+p$

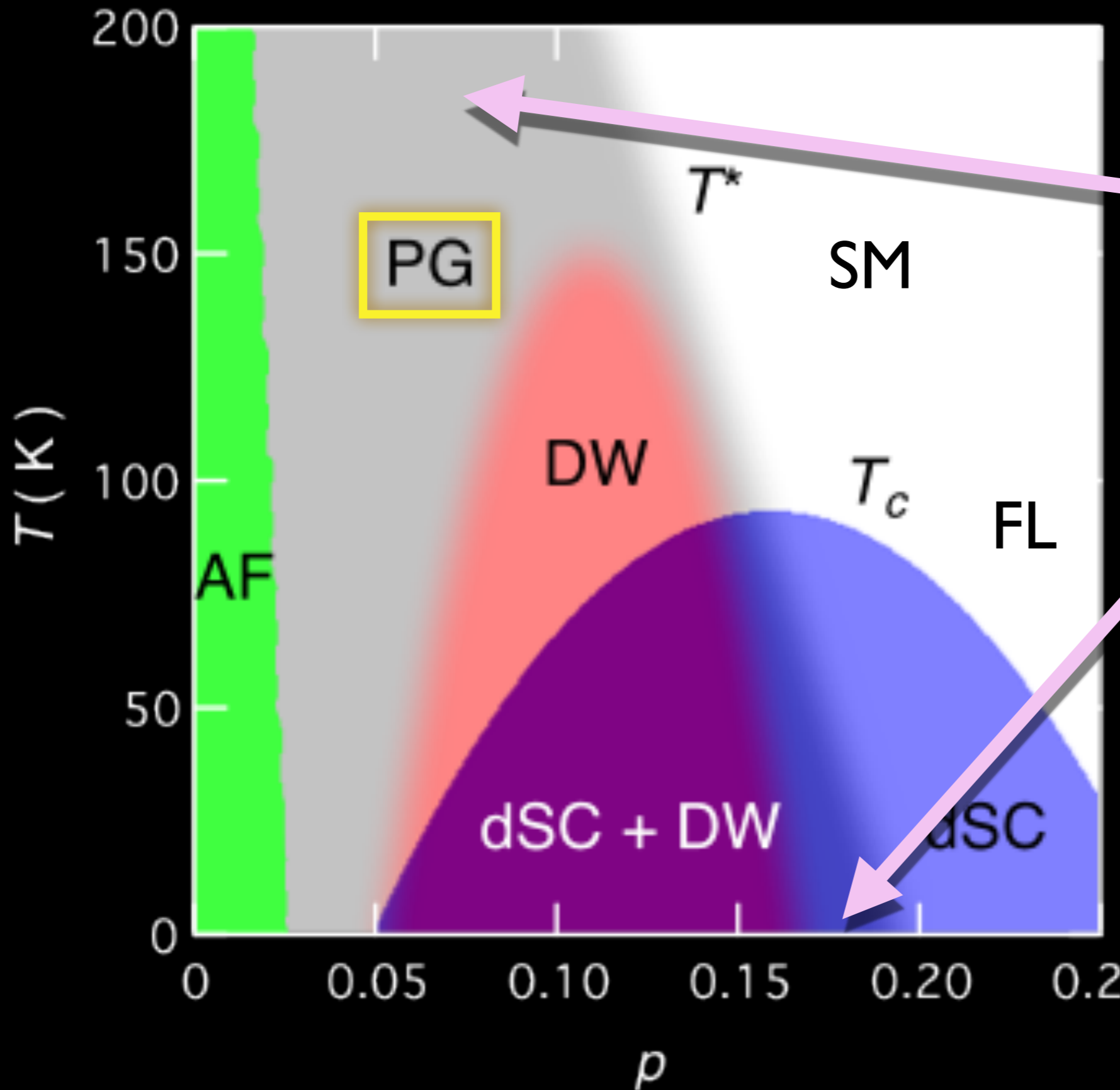
S. Badoux, W. Tabis, F. Laliberté, G. Grissonnanche, B. Vignolle, D. Vignolles, J. Béard, D.A. Bonn, W.N. Hardy, R. Liang, N. Doiron-Leyraud, L. Taillefer, and C. Proust, Nature **531**, 210 (2016).



Pseudogap
metal

at low p

Many indications that this metal behaves like a Fermi liquid, but with Fermi surface size p and *not* $1+p$.



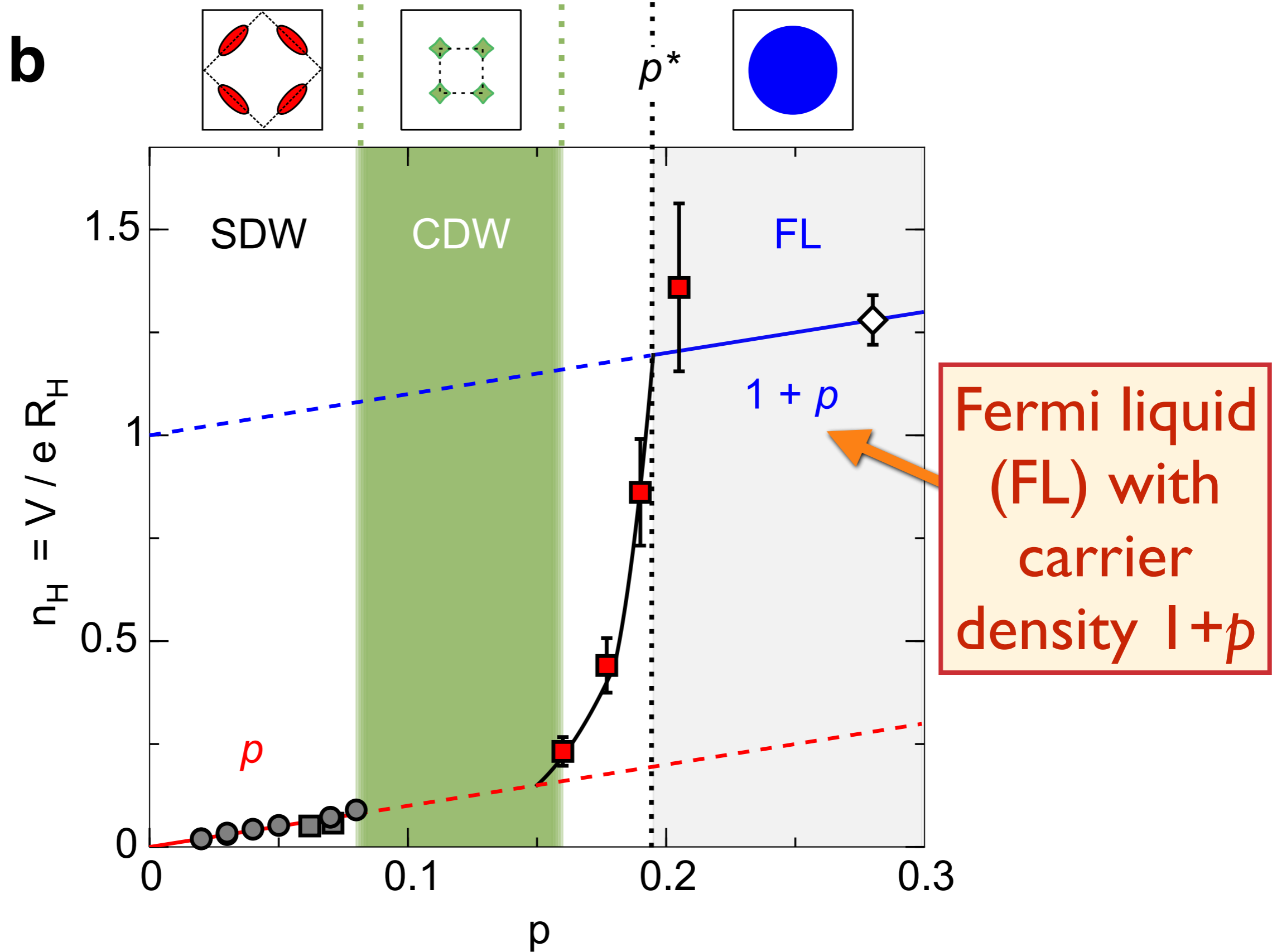
Pseudogap metal

at low p

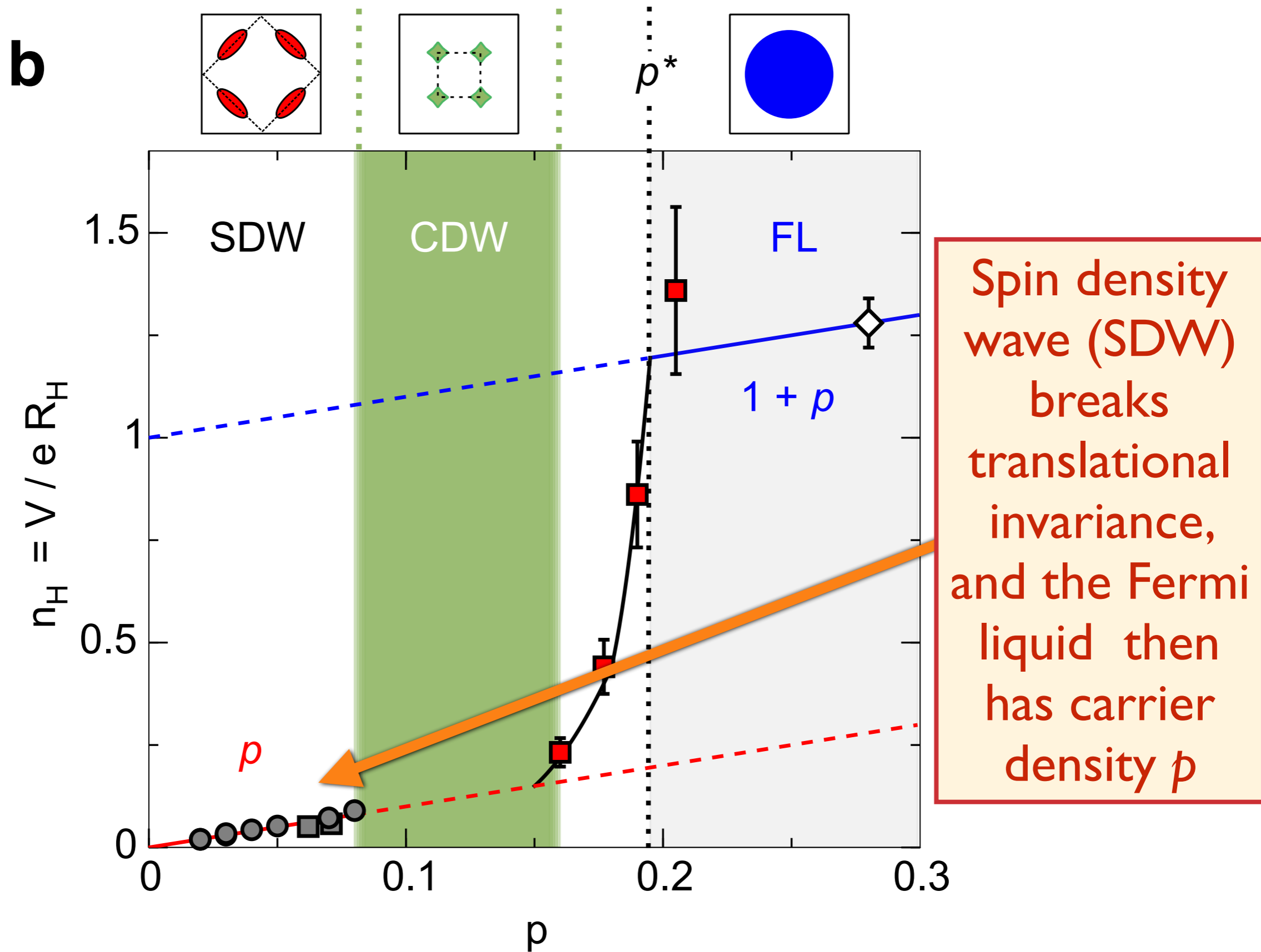
Many indications that this metal behaves like a Fermi liquid, but with Fermi surface size p and *not* $1+p$.

If present at $T=0$, a metal with a size p Fermi surface (and translational symmetry preserved) must have topological order

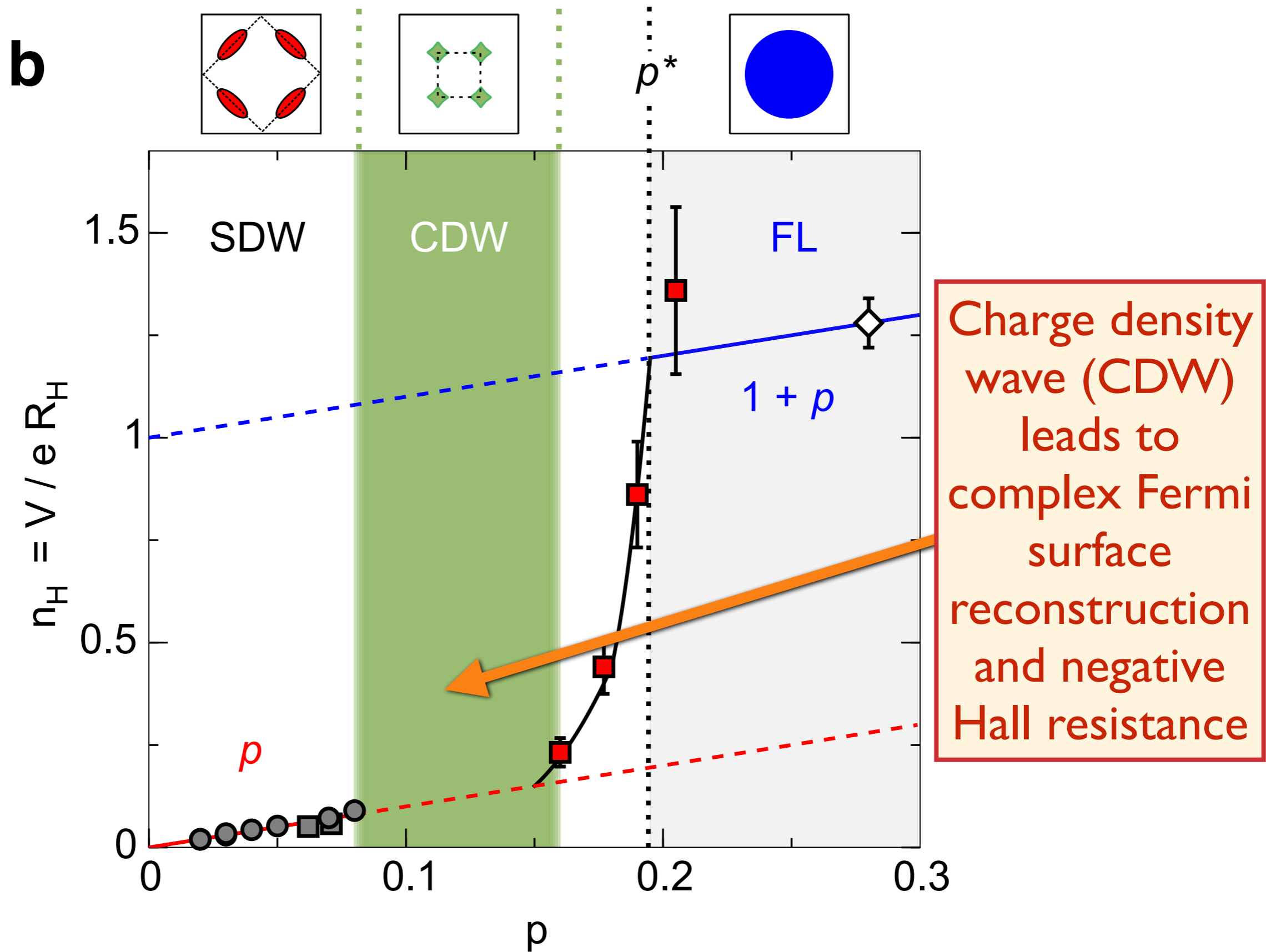
Hall effect measurements in YBCO



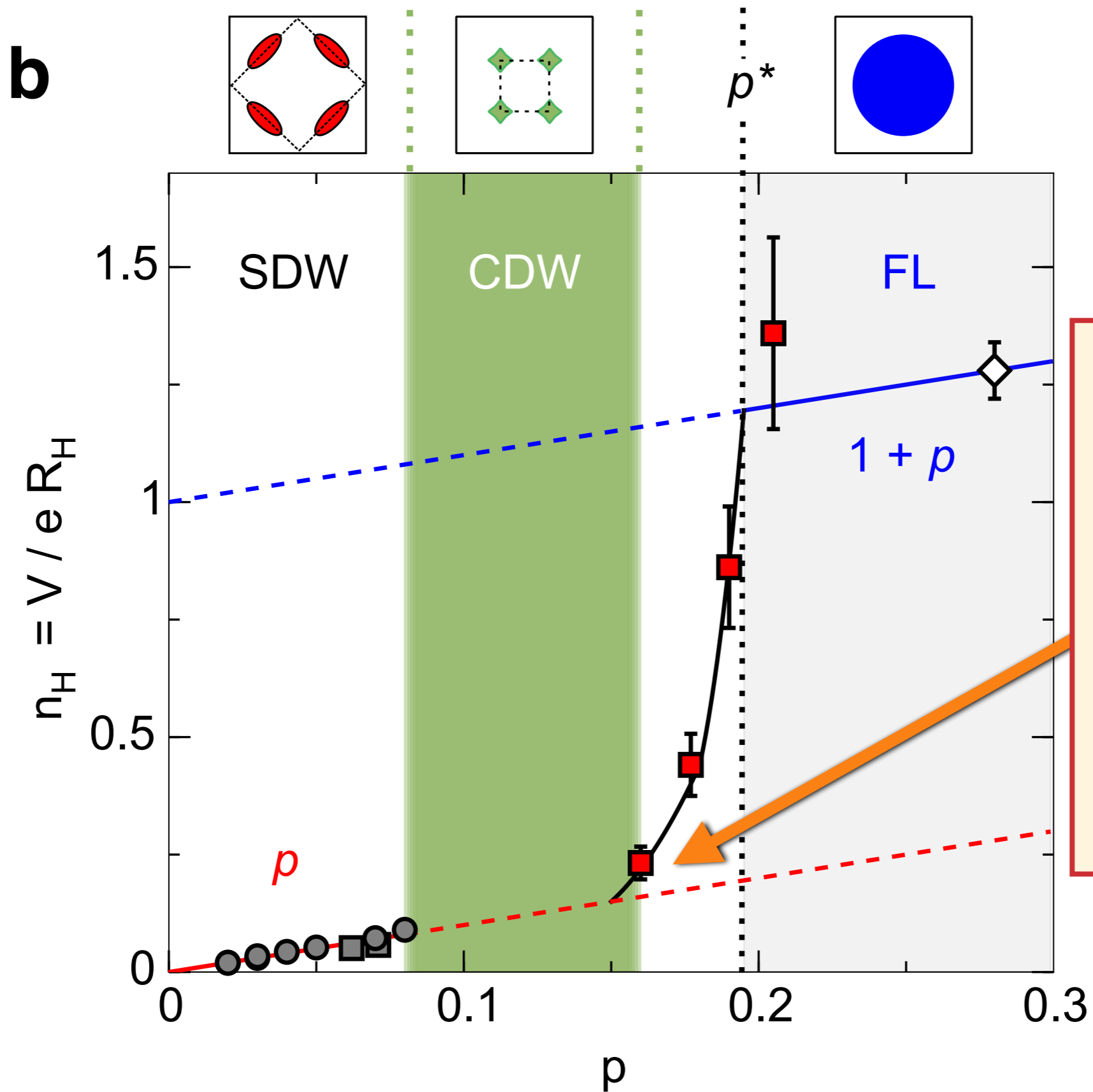
Hall effect measurements in YBCO



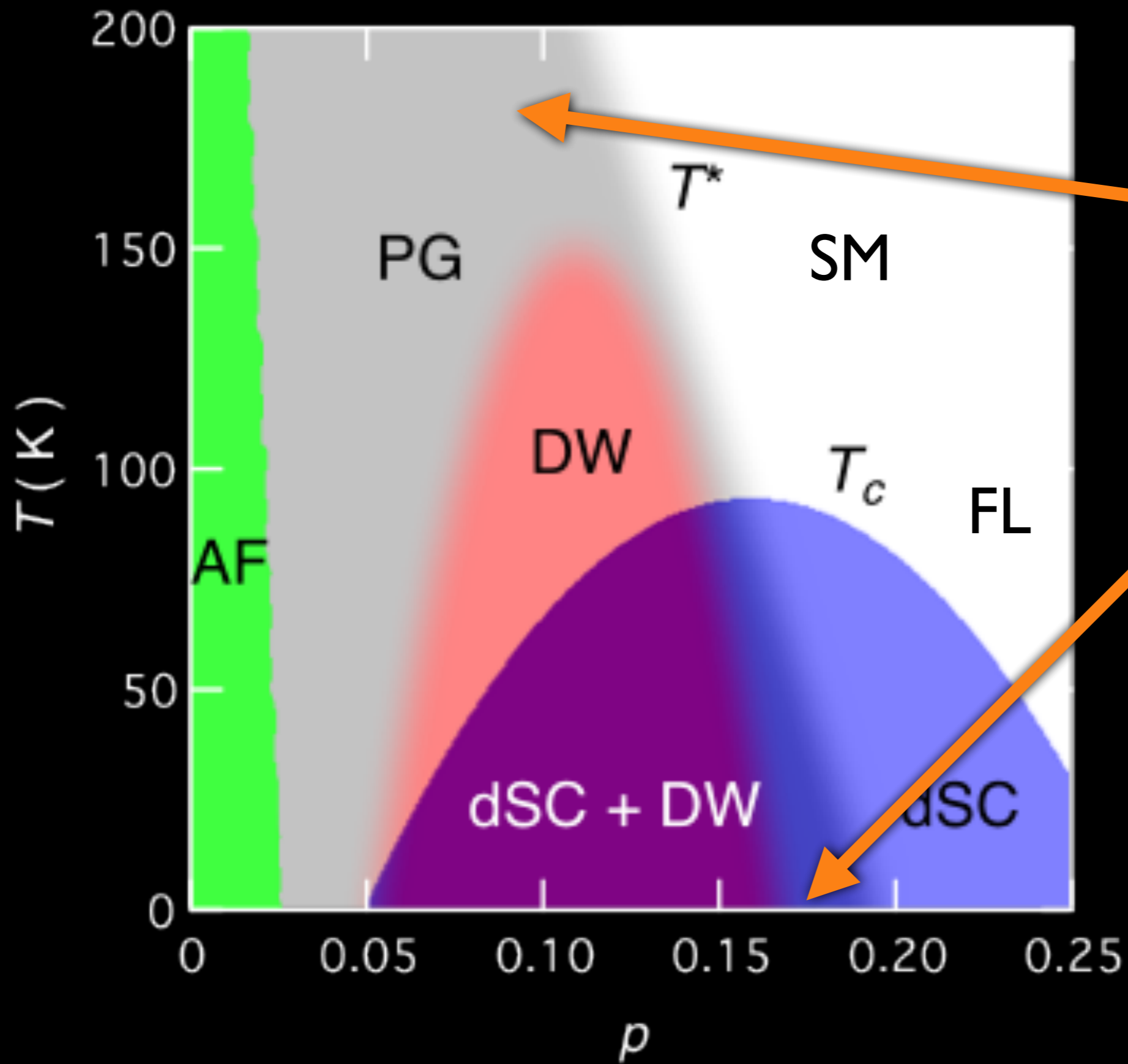
Hall effect measurements in YBCO



Hall effect measurements in YBCO

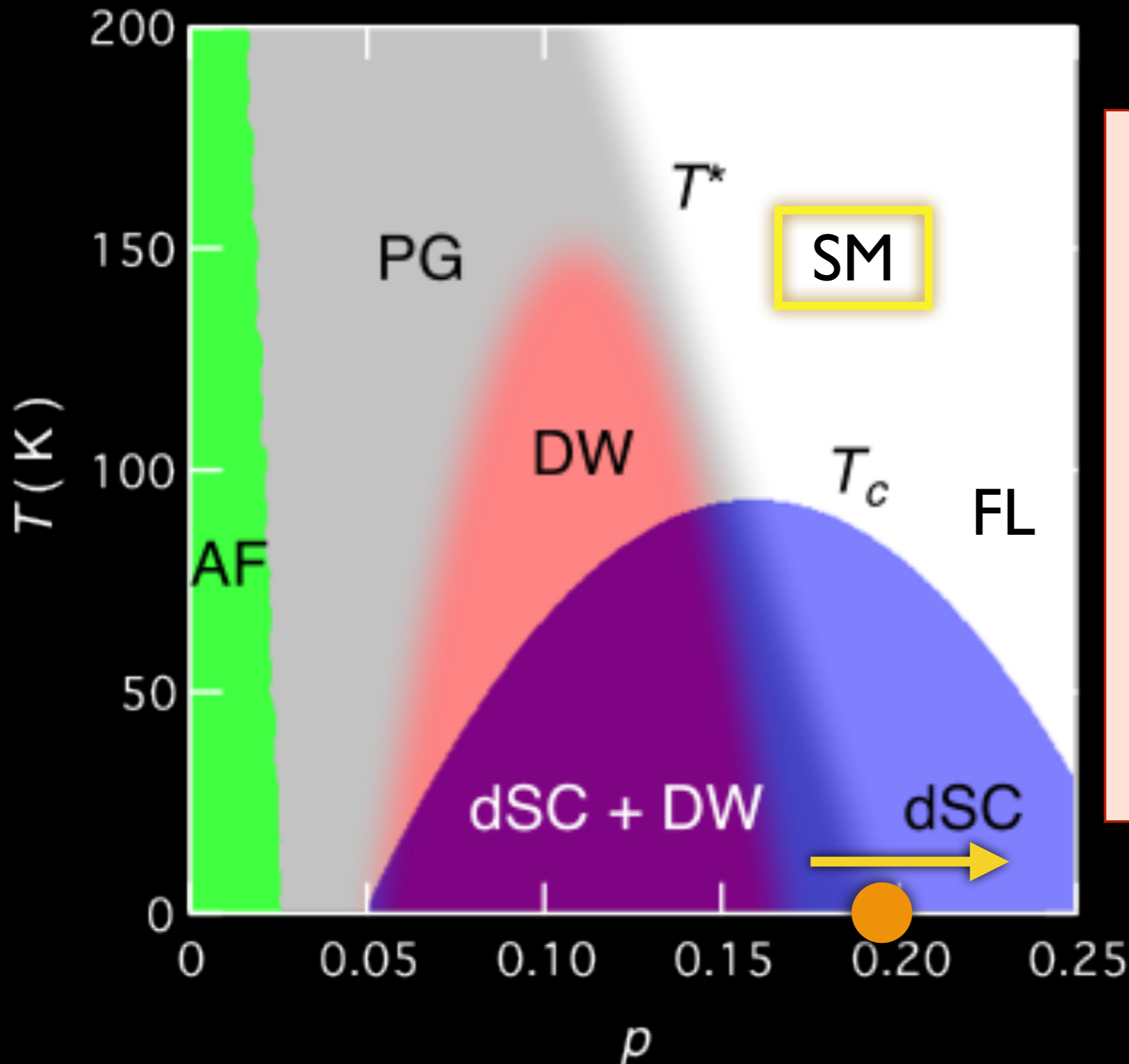


Evidence for a metal with topological order: Fermi surface of size p !



Metal with topological order ?

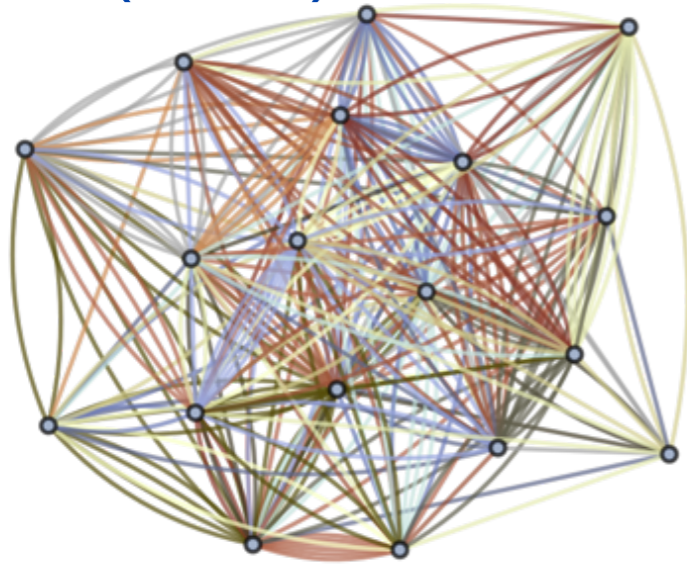
S. Sachdev, M. A. Metlitski, Y. Qi, and C. Xu, PRB **80**, 155129 (2009); D. Chowdhury and S. Sachdev, PRB **91**, 115123 (2015); S. Sachdev and D. Chowdhury, arXiv:1605.03579.



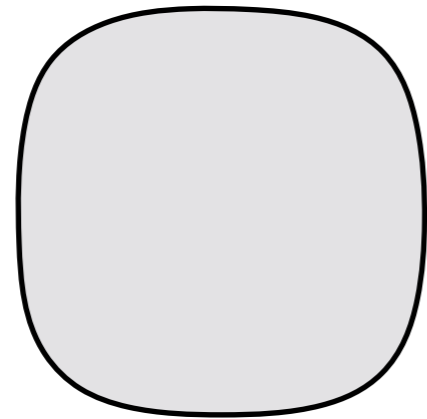
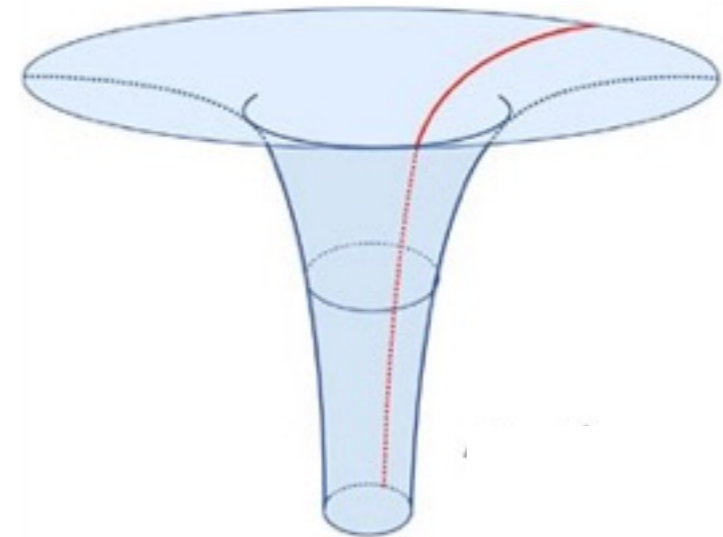
Gauge theory
for a
topological
phase
transition,
and
for the strange
metal (SM)

Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS₂ horizons



Fermi surface coupled
to a gauge field

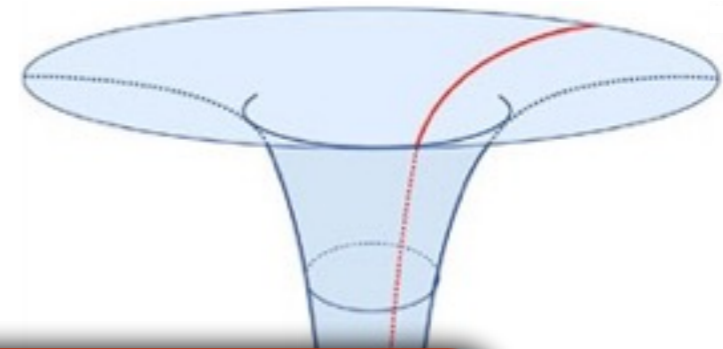
$$\mathcal{L}[\Psi, a] = \Psi^\dagger \left(\partial_\tau - ia_\tau - \frac{(\nabla - i\vec{a})^2}{2m} - \mu \right) \Psi + \frac{1}{2g^2} (\nabla \times \vec{a})^2$$

Quantum matter without quasiparticles:

The Sachdev-Ye-Kitaev (SYK) models



Black holes with AdS₂ horizons



Thermal diffusivity, D_E :

$$D_E = (\text{universal number}) \times v_B^2 \tau_L$$

in all three models

Fermi surface coupled
to a gauge field

$$\mathcal{L}[\Psi, a] = \Psi^\dagger \left(\partial_\tau - ia_\tau - \frac{(\nabla - i\vec{a})^2}{2m} - \mu \right) \Psi + \frac{1}{2g^2} (\nabla \times \vec{a})^2$$

τ_L : the Lyapunov time to reach quantum chaos

v_B : the “butterfly velocity” for the spatial propagation of chaos