

Fermi surfaces and gauge-gravity duality

APS meeting, March 22, 2011

Liza Huijse and Max Metlitski

sachdev.physics.harvard.edu



Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.

Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.
- We are interested in zero temperature phases where $\langle Q \rangle$ varies smoothly as a function of any external parameter μ (the “chemical potential”). For simplicity, we assume μ couples linearly to Q .

Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.
- We are interested in zero temperature phases where $\langle Q \rangle$ varies smoothly as a function of any external parameter μ (the “chemical potential”). For simplicity, we assume μ couples linearly to Q .
- We will also restrict our attention to phases where this global U(1) symmetry is not spontaneously broken, and translational symmetry is preserved.

Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.
- We are interested in zero temperature phases where $\langle Q \rangle$ varies smoothly as a function of any external parameter μ (the “chemical potential”). For simplicity, we assume μ couples linearly to Q .
- We will also restrict our attention to phases where this global U(1) symmetry is not spontaneously broken, and translational symmetry is preserved.

There are only a few established examples of such phases in condensed matter physics.

However, they appear naturally as duals of gravitational theories, and we want to interpret them in the gauge theory.

Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.
- We are interested in zero temperature phases where $\langle Q \rangle$ varies smoothly as a function of any external parameter μ (the “chemical potential”). For simplicity, we assume μ couples linearly to Q .
- We will also restrict our attention to phases where this global U(1) symmetry is not spontaneously broken, and translational symmetry is preserved.

Compressible quantum matter

- Consider a continuum quantum system with a globally conserved U(1) charge Q (the “electron density”) in spatial dimension $d > 1$.
- We are interested in zero temperature phases where $\langle Q \rangle$ varies smoothly as a function of any external parameter μ (the “chemical potential”). For simplicity, we assume μ couples linearly to Q .
- We will also restrict our attention to phases where this global U(1) symmetry is not spontaneously broken, and translational symmetry is preserved.

All known examples of such phases have a
Fermi Surface

(even in systems with only bosons in the Hamiltonian)

$$\mathcal{L} = f^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu \right) f$$

- Couple fermions to a dynamical gauge field A_a .

$$\mathcal{L} = f_\sigma^\dagger \left(\partial_\tau - i\sigma A_\tau - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right) f_\sigma \quad ; \quad \sigma = \pm 1$$

- Couple fermions to a dynamical gauge field A_a .
- Longitudinal gauge fluctuations are screened by the fermions. But transverse gauge fluctuations remain unscreened, and are Landau-damped by excitations near the Fermi surface. The theory of a Fermi surface coupled to transverse gauge fluctuations is *strongly coupled in two spatial dimensions*.

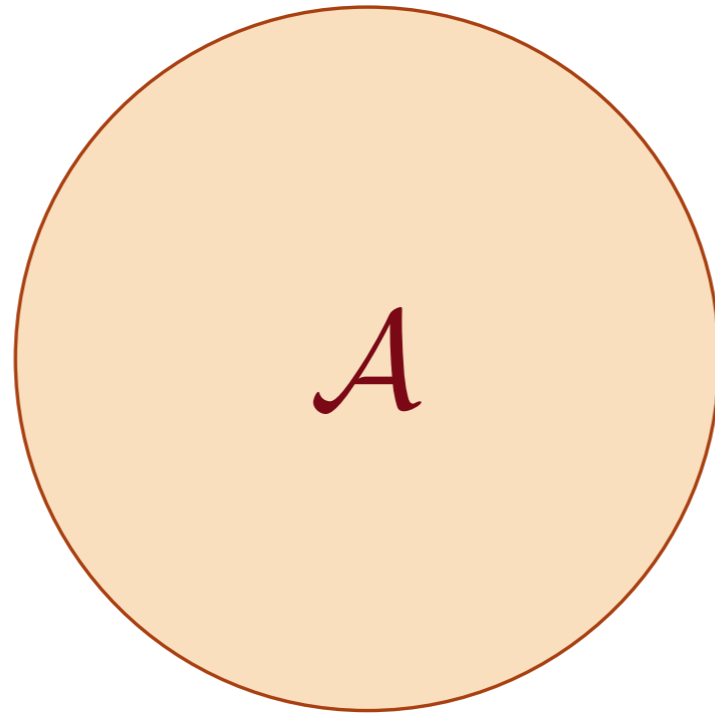
S.-S. Lee, Phys. Rev. B **80**, 165102 (2009)

M. A. Metlitski and S. Sachdev, Phys. Rev. B **82**, 075127 (2010)

$$\mathcal{L} = f_\sigma^\dagger \left(\partial_\tau - i\sigma A_\tau - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right) f_\sigma \quad ; \quad \sigma = \pm 1$$

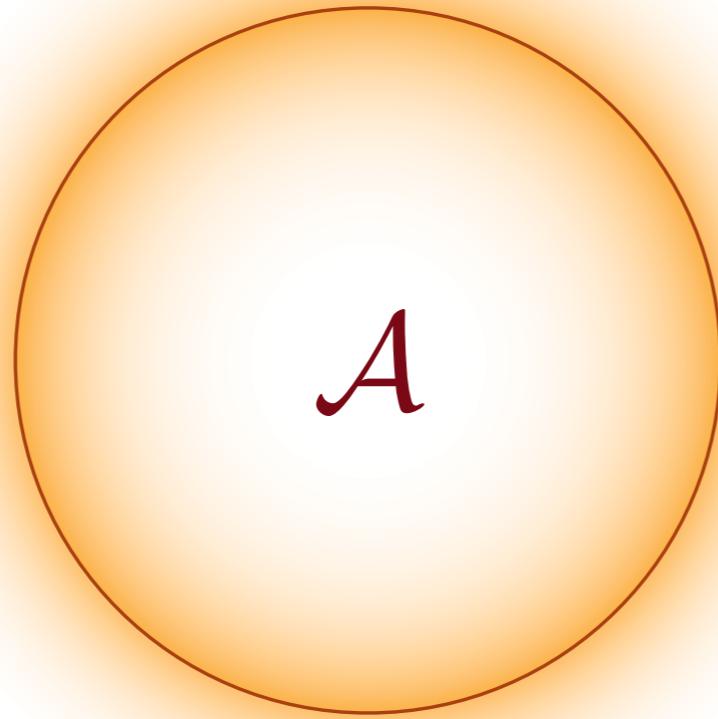
- Couple fermions to a dynamical gauge field A_a .
- Longitudinal gauge fluctuations are screened by the fermions. But transverse gauge fluctuations remain unscreened, and are Landau-damped by excitations near the Fermi surface. The theory of a Fermi surface coupled to transverse gauge fluctuations is *strongly coupled in two spatial dimensions*.
- The overdamped transverse gauge modes lead to “non-Fermi liquid” broadening of the fermion pole near the Fermi surface.

$$\mathcal{L} = f_\sigma^\dagger \left(\partial_\tau - i\sigma A_\tau - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right) f_\sigma \quad ; \quad \sigma = \pm 1$$



$$A = \langle f^\dagger f \rangle = \langle Q \rangle$$

$$\mathcal{L} = f^\dagger \left(\partial_\tau - \frac{\nabla^2}{2m} - \mu \right) f$$



$$2\mathcal{A} = \langle f_{\sigma}^{\dagger} f_{\sigma} \rangle = \langle \mathcal{Q} \rangle$$

The location of the Fermi surfaces is well defined, and the Luttinger relation applies as before.

$$\mathcal{L} = f_{\sigma}^{\dagger} \left(\partial_{\tau} - i\sigma A_{\tau} - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right) f_{\sigma} \quad ; \quad \sigma = \pm 1$$

ABJM theory in $D=2+1$ dimensions

- $4N^2$ Weyl fermions carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $4N^2$ complex bosons carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $\mathcal{N} = 6$ supersymmetry

ABJM theory in $D=2+1$ dimensions

- $4N^2$ Weyl fermions carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $4N^2$ complex bosons carrying fundamental charges of $U(N) \times U(N) \times SU(4)_R$.
- $\mathcal{N} = 6$ supersymmetry

Adding a chemical potential coupling to a $SU(4)$ charge breaks supersymmetry and $SU(4)$ invariance

Theory similar to ABJM

- U(1) gauge invariance and U(1) global symmetry
- Fermions, f_+ and f_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
- Bosons, b_+ and b_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
- No supersymmetry

Theory similar to ABJM

- U(1) gauge invariance and U(1) global symmetry
- Fermions, f_+ and f_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
- Bosons, b_+ and b_- , carry U(1) gauge charges ± 1 , and global U(1) charge 1.
- No supersymmetry
- Fermions, c , gauge-invariant bound states of fermions and bosons carrying global U(1) charge 2.

Theory similar to ABJM

$$\begin{aligned}\mathcal{L} &= f_\sigma^\dagger \left[(\partial_\tau - i\sigma A_\tau) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right] f_\sigma \\ &+ b_\sigma^\dagger \left[(\partial_\tau - i\sigma A_\tau) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m_b} + \epsilon_1 - \mu \right] b_\sigma \\ &+ \frac{u}{2} (b_\sigma^\dagger b_\sigma)^2 - g_1 \left(b_+^\dagger b_-^\dagger f_- f_+ + \text{H.c.} \right)\end{aligned}$$

The index $\sigma = \pm 1$

Theory similar to ABJM

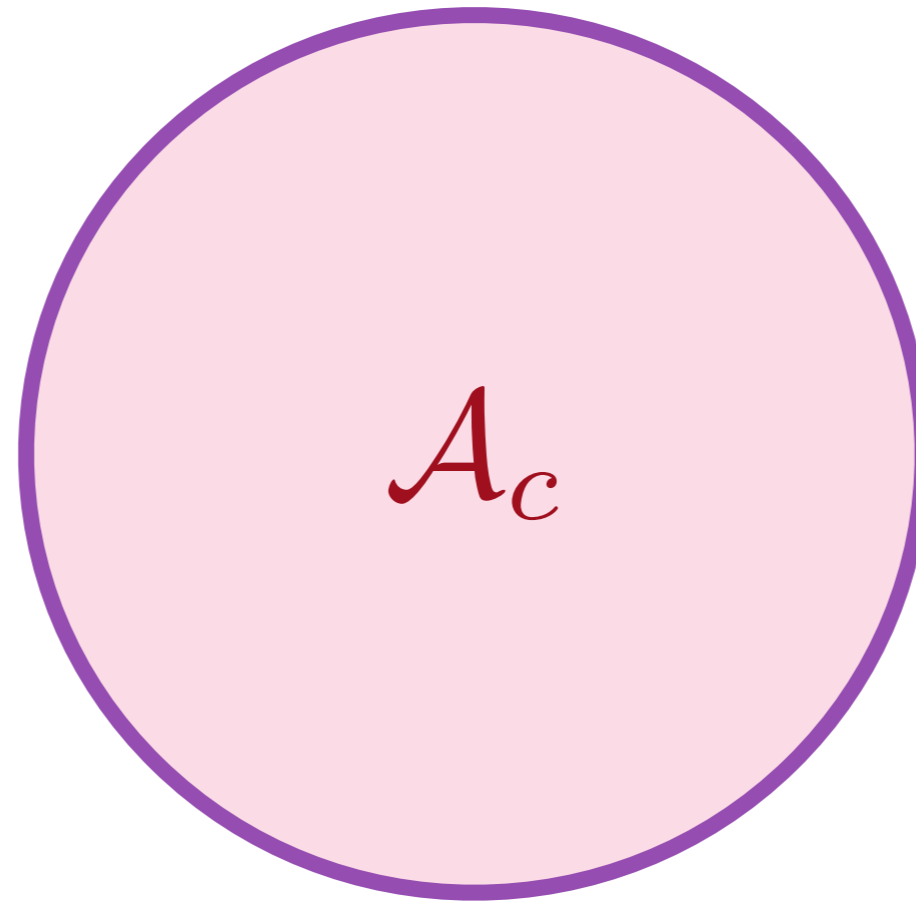
$$\begin{aligned}\mathcal{L} &= f_\sigma^\dagger \left[(\partial_\tau - i\sigma A_\tau) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m} - \mu \right] f_\sigma \\ &+ b_\sigma^\dagger \left[(\partial_\tau - i\sigma A_\tau) - \frac{(\nabla - i\sigma \mathbf{A})^2}{2m_b} + \epsilon_1 - \mu \right] b_\sigma \\ &+ \frac{u}{2} (b_\sigma^\dagger b_\sigma)^2 - g_1 \left(b_+^\dagger b_-^\dagger f_- f_+ + \text{H.c.} \right) \\ &+ c^\dagger \left[\partial_\tau - \frac{\nabla^2}{2m_c} + \epsilon_2 - 2\mu \right] c \\ &- g_2 \left[c^\dagger (f_+ b_- + f_- b_+) + \text{H.c.} \right]\end{aligned}$$

The index $\sigma = \pm 1$, and $\epsilon_{1,2}$ are tuning parameters of phase diagram

$$Q = f_\sigma^\dagger f_\sigma + b_\sigma^\dagger b_\sigma + 2c^\dagger c$$

Phases of ABJM-like theories

$$\langle b_{\pm} \rangle = 0$$



$$2\mathcal{A}_c = \langle Q \rangle$$

Fermi liquid (FL) of gauge-neutral particles
U(1) gauge theory is in confining phase

Phases of ABJM-like theories

$$\langle b_{\pm} \rangle \neq 0$$

$$\langle b_+ b_- \rangle \neq 0$$

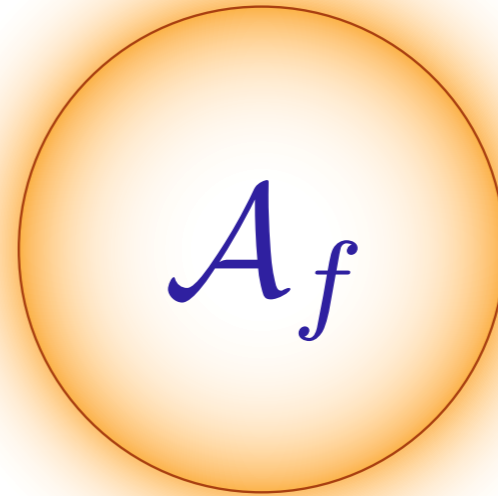
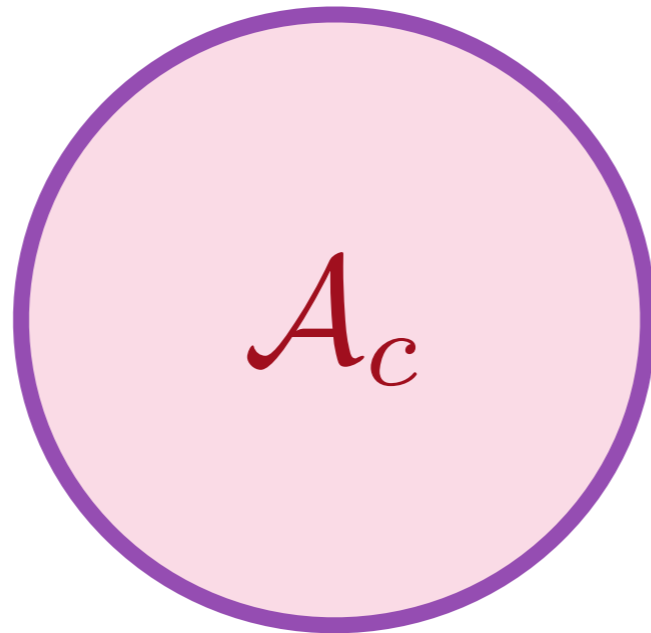
No constraint on Fermi surface area,
which can be zero

Superconductor

$U(1)$ gauge theory is in Higgs phase
and global $U(1)$ is broken

Phases of ABJM-like theories

$$\langle b_{\pm} \rangle = 0$$



$$2\mathcal{A}_c + 2\mathcal{A}_f = \langle \mathcal{Q} \rangle$$

Fractionalized Fermi liquid (FL*)

U(1) gauge theory is in deconfined phase

S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

Claim: the FL* phase underlies recent holographic theories of compressible metallic states.

S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

Claim: the FL* phase underlies recent holographic theories of compressible metallic states.

- The holographic background has a large entropy and compressibility: this is presumed to be that of the many f Fermi surfaces coupled to gauge fields.

S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

Claim: the FL* phase underlies recent holographic theories of compressible metallic states.

- The holographic background has a large entropy and compressibility: this is presumed to be that of the many f Fermi surfaces coupled to gauge fields.
- A probe gauge-invariant fermion has a Fermi surface: this is linked to the c fermions.

S. Sachdev, *Phys. Rev. Lett.* **105**, 151602 (2010).

Claim: the FL* phase underlies recent holographic theories of compressible metallic states.

- Dynamic mean-field solutions of FL* phases in models with infinite-range hopping have properties which match precisely with those of the $\text{AdS}_2 \times R^2$ holographic solutions:
 - A non-zero ground state entropy.
 - Single fermion self energies are momentum independent, and their singular behavior is the same on and off the Fermi surface.
 - A marginal Fermi liquid spectrum for the c fermions (for the holographic solution, this requires tuning a free parameter).
 - The low energy sector has conformally invariant correlations which are consistent with the AdS_2 geometry.

S. Sachdev, *Phys. Rev. Lett.* **105, 151602 (2010).**

Conclusions

- Compressible quantum matter is characterized by Fermi surfaces.
- Fermi surfaces can be removed from the Luttinger count if the fermions acquire gauge charges
- Phases of a strongly-coupled gauge theory: Fermi liquids (FL) and fractionalized Fermi liquids (FL*)

Conclusions

- Mean field Kondo lattice models capture the physics of holographic metals with a $AdS_2 \times R^d$ geometry
- Needed: Holographic theory for FL^* or related compressible phases, without a factorized geometry. Challenge: detect Fermi surfaces of fermions with gauge charges