



*Deconfined criticality  
in the underdoped cuprates*

Ribhu Kaul (Harvard), Yong-Baek Kim (Toronto)  
Alexei Kolezhuk (Hannover), Michael Levin (Harvard)  
Subir Sachdev (Harvard), T. Senthil (MIT)



# Outline

1. Quantum transitions in dimerized antiferromagnets  
*Landau-Ginzburg-Wilson (LGW) theory*
2. Square lattice antiferromagnets  
*VBS order and deconfined criticality*
3. Doped square lattice antiferromagnets  
*Fermi liquid states*
4. Doped square lattice antiferromagnets  
*Non-Fermi liquid holon metal*
5. Instabilities of the holon metal

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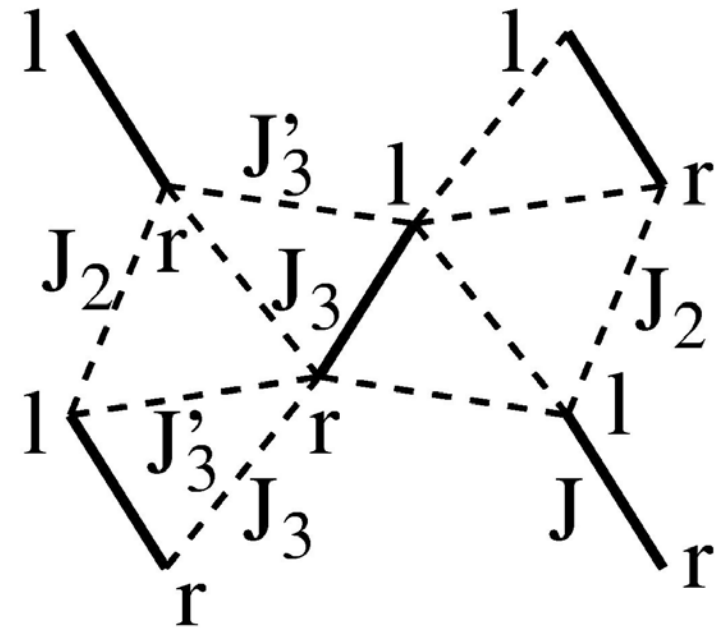
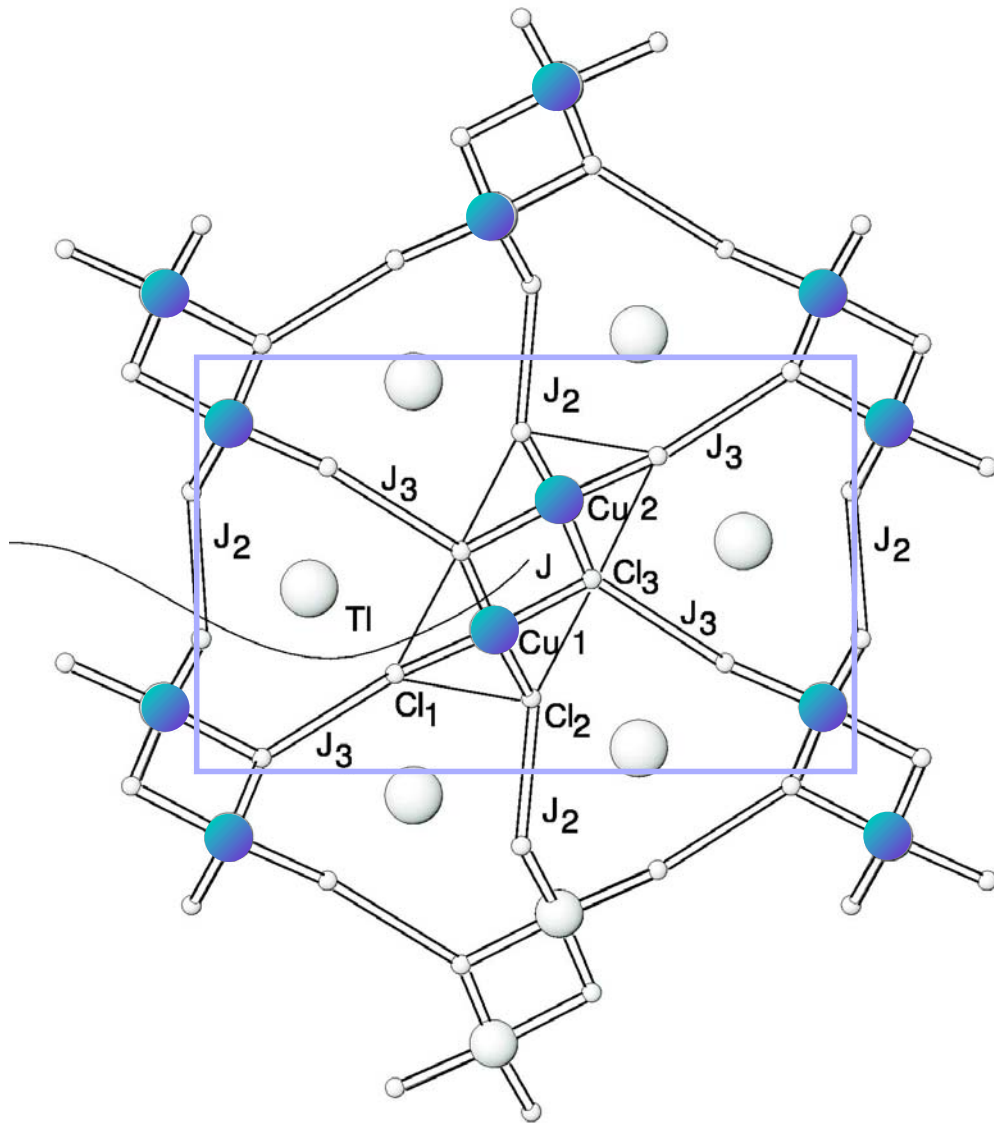
*Fermi liquid states*

4. Doped square lattice antiferromagnets

*Non-Fermi liquid holon metal*

5. Instabilities of the holon metal

# TiCuCl<sub>3</sub>



M. Matsumoto, B. Normand, T.M. Rice, and M. Sigrist, cond-mat/0309440.

# Coupled Dimer Antiferromagnet

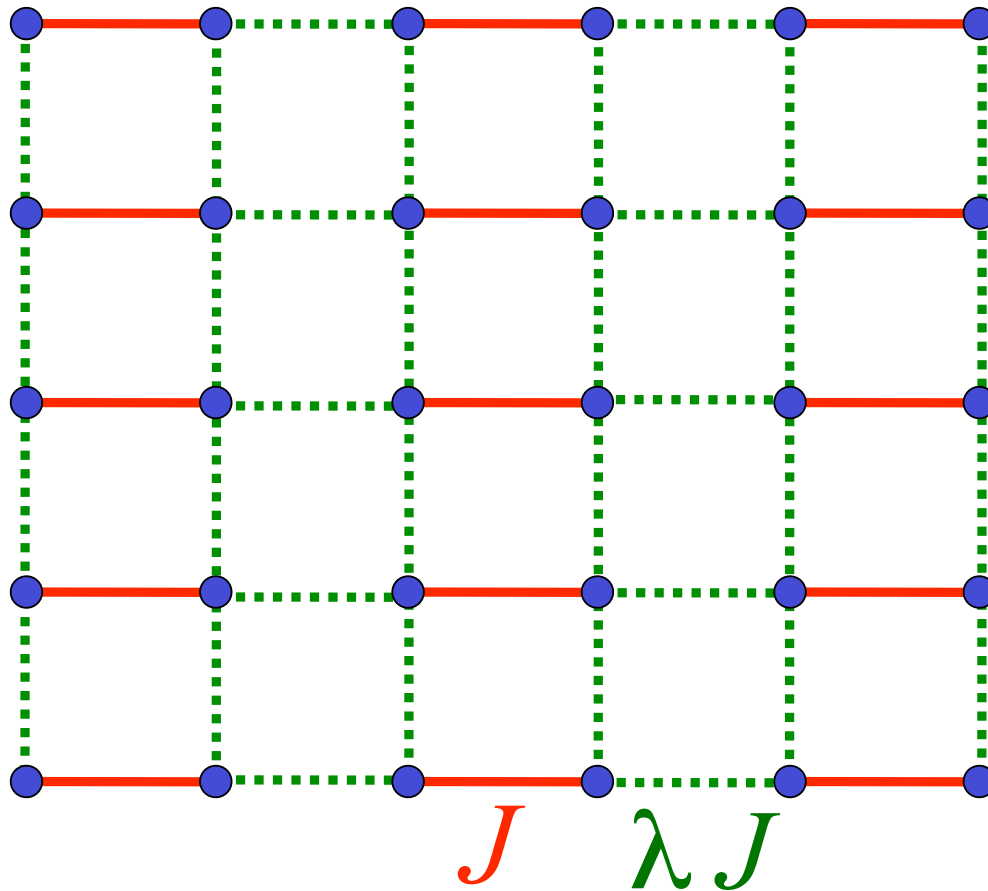
M. P. Gelfand, R. R. P. Singh, and D. A. Huse, *Phys. Rev. B* **40**, 10801-10809 (1989).

N. Katoh and M. Imada, *J. Phys. Soc. Jpn.* **63**, 4529 (1994).

J. Tworzydło, O. Y. Osman, C. N. A. van Duin, J. Zaanen, *Phys. Rev. B* **59**, 115 (1999).

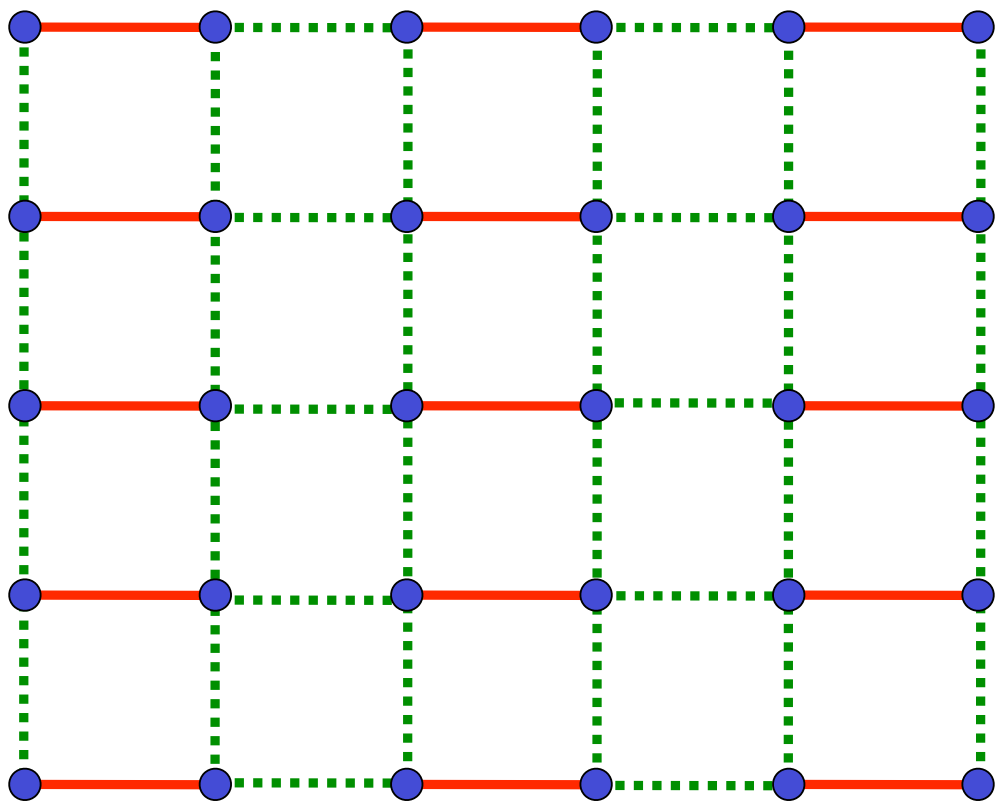
M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, *Phys. Rev. B* **65**, 014407 (2002).

$S=1/2$  spins on coupled dimers



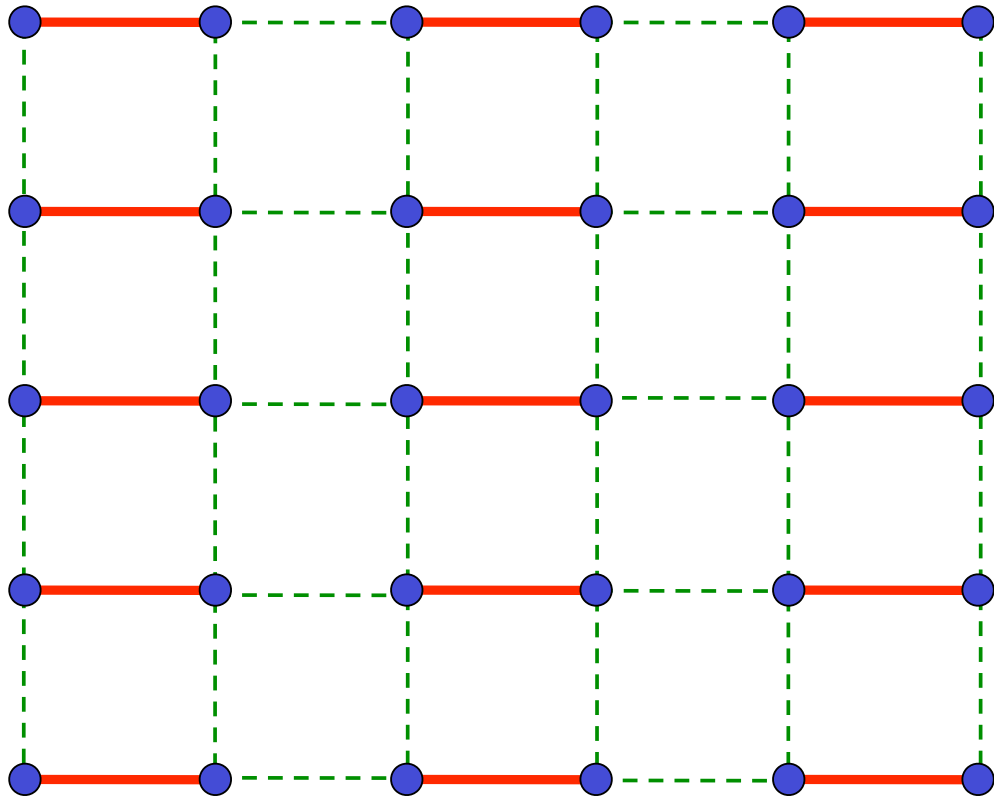
$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$

$$0 \leq \lambda \leq 1$$



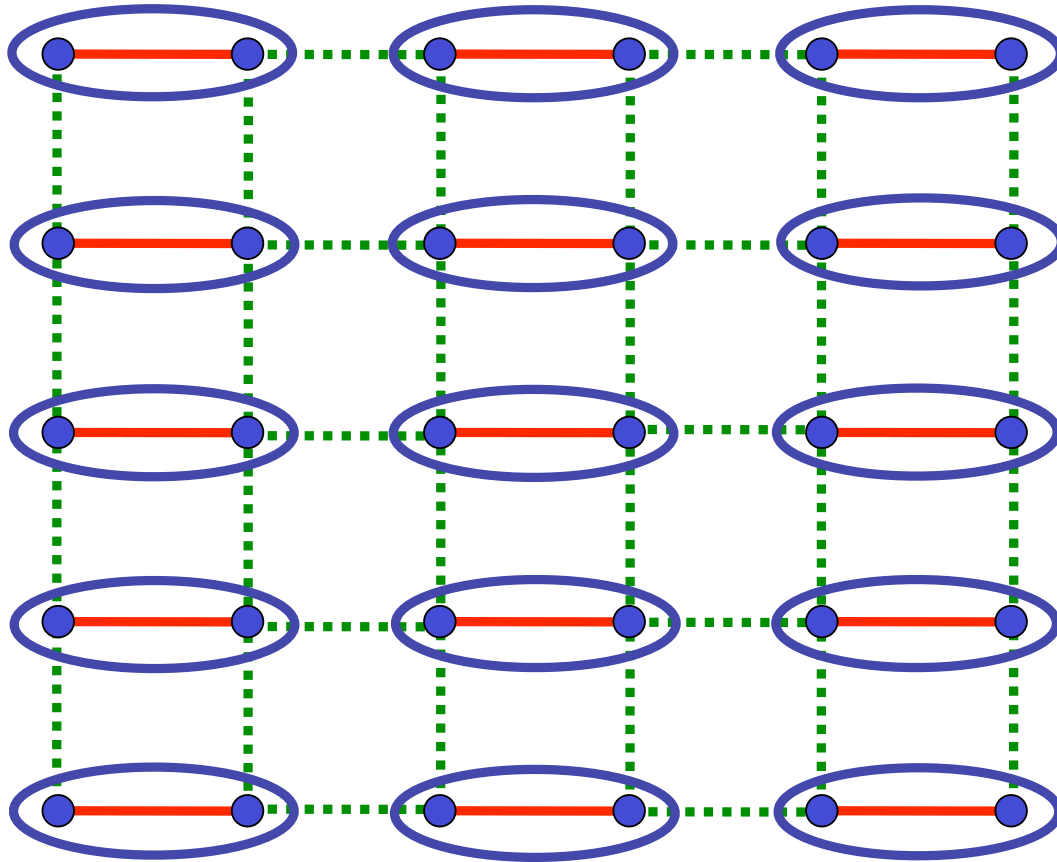
$\lambda$  close to 0

Weakly coupled dimers



$\lambda$  close to 0

Weakly coupled dimers



Paramagnetic ground state

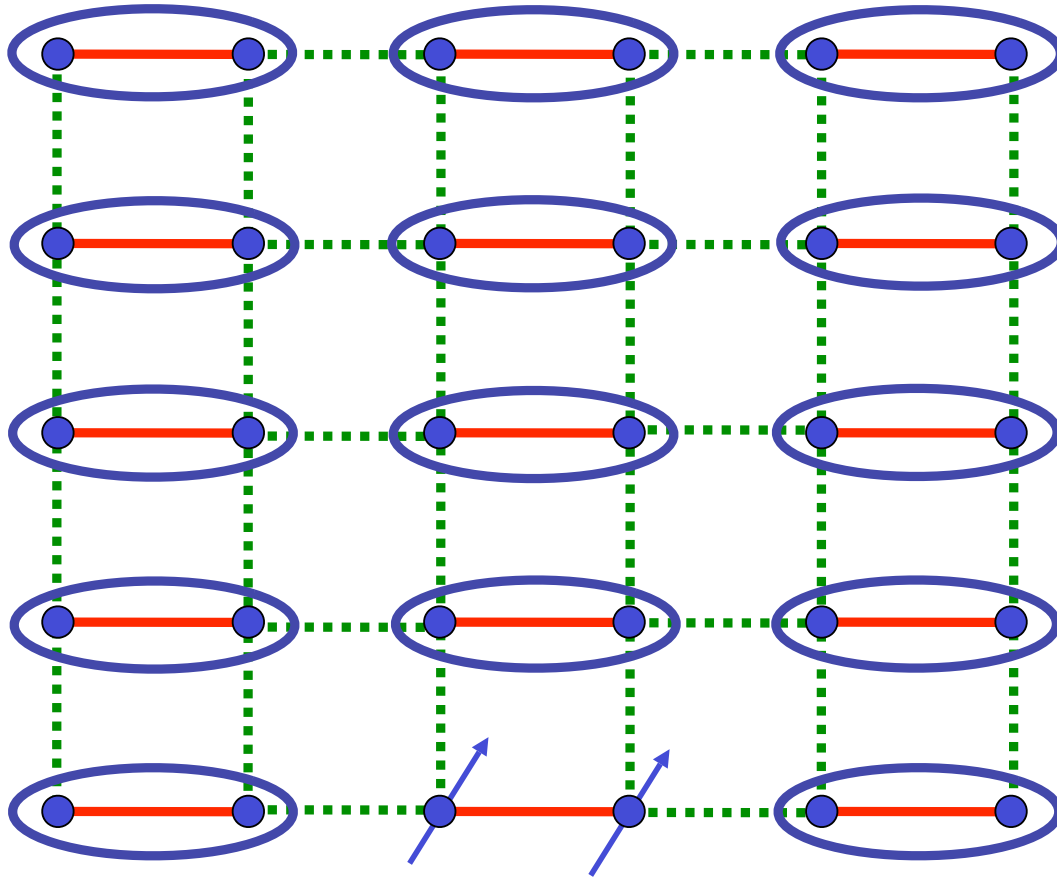


$$= \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

$$\langle \vec{S}_i \rangle = 0$$

$\lambda$  close to 0

Weakly coupled dimers

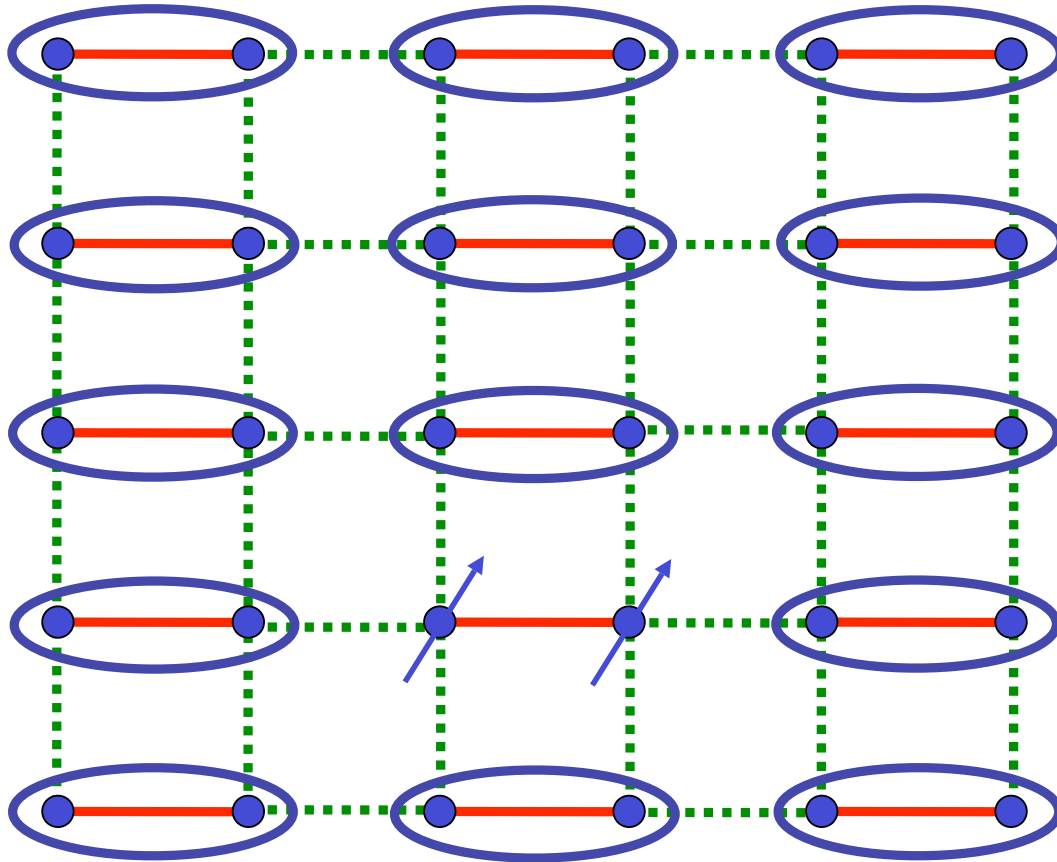


$$\text{dimer} = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

Excitation:  
 $S=1$  quasiparticle

$\lambda$  close to 0

Weakly coupled dimers

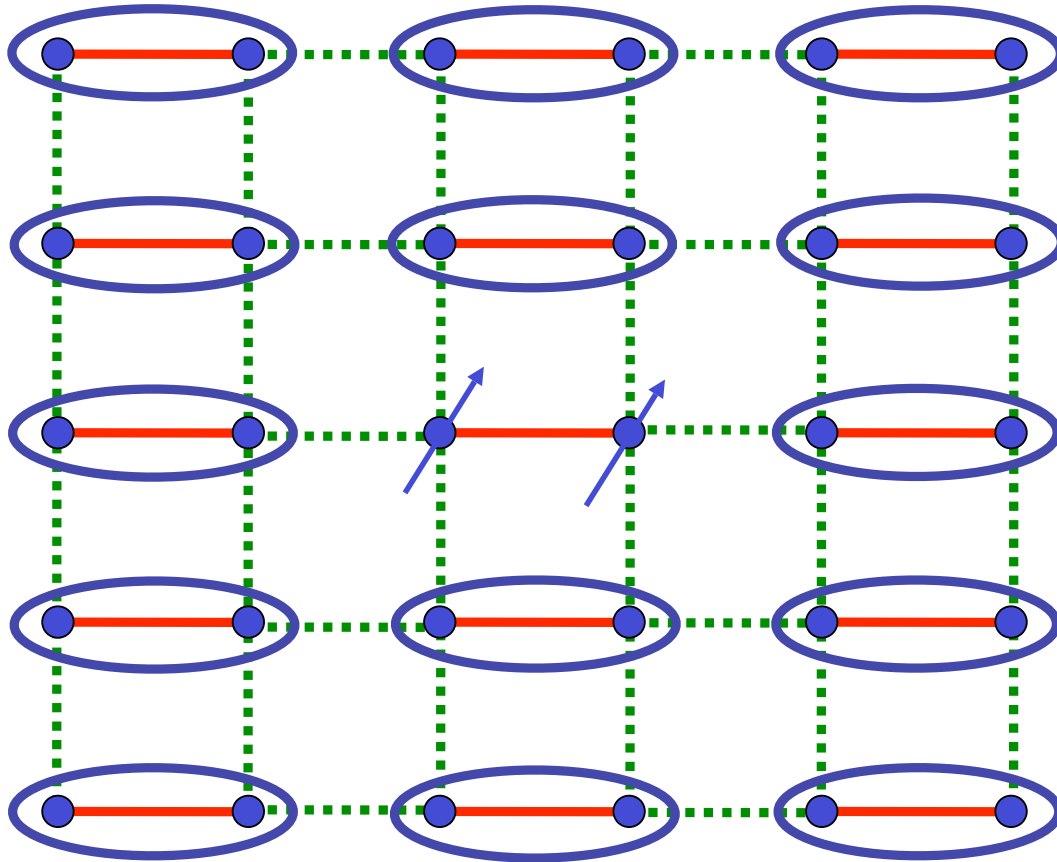


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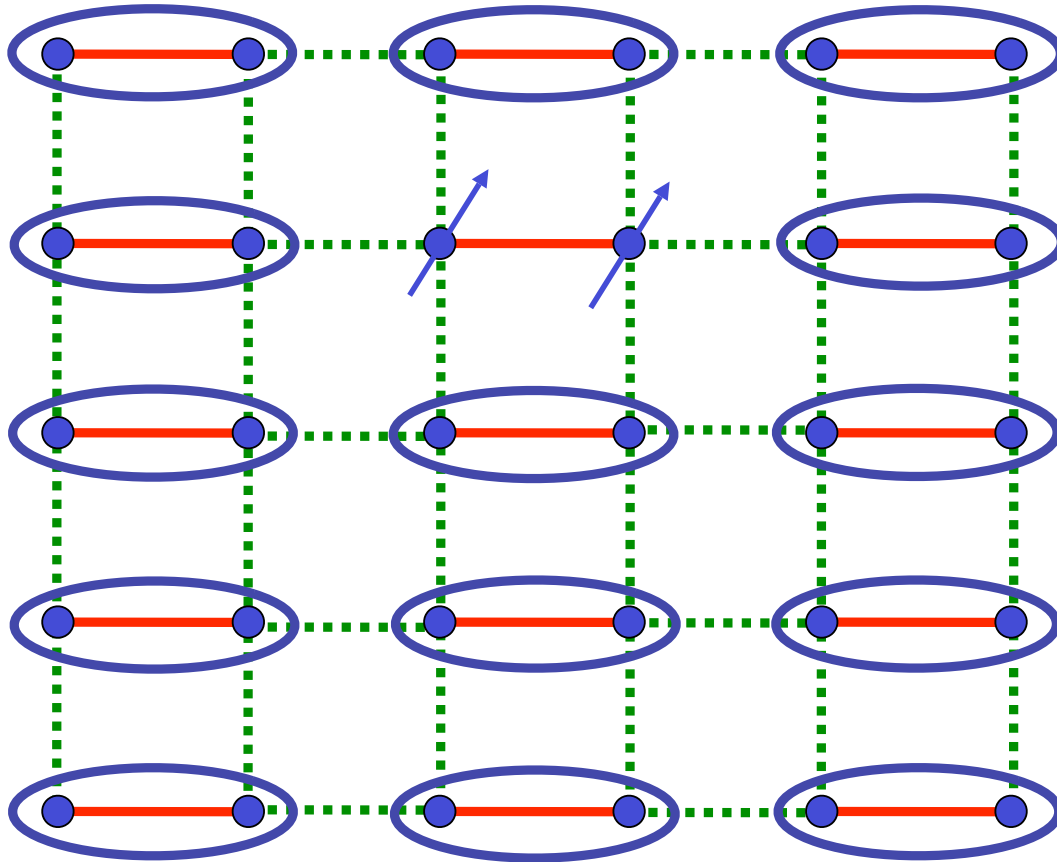


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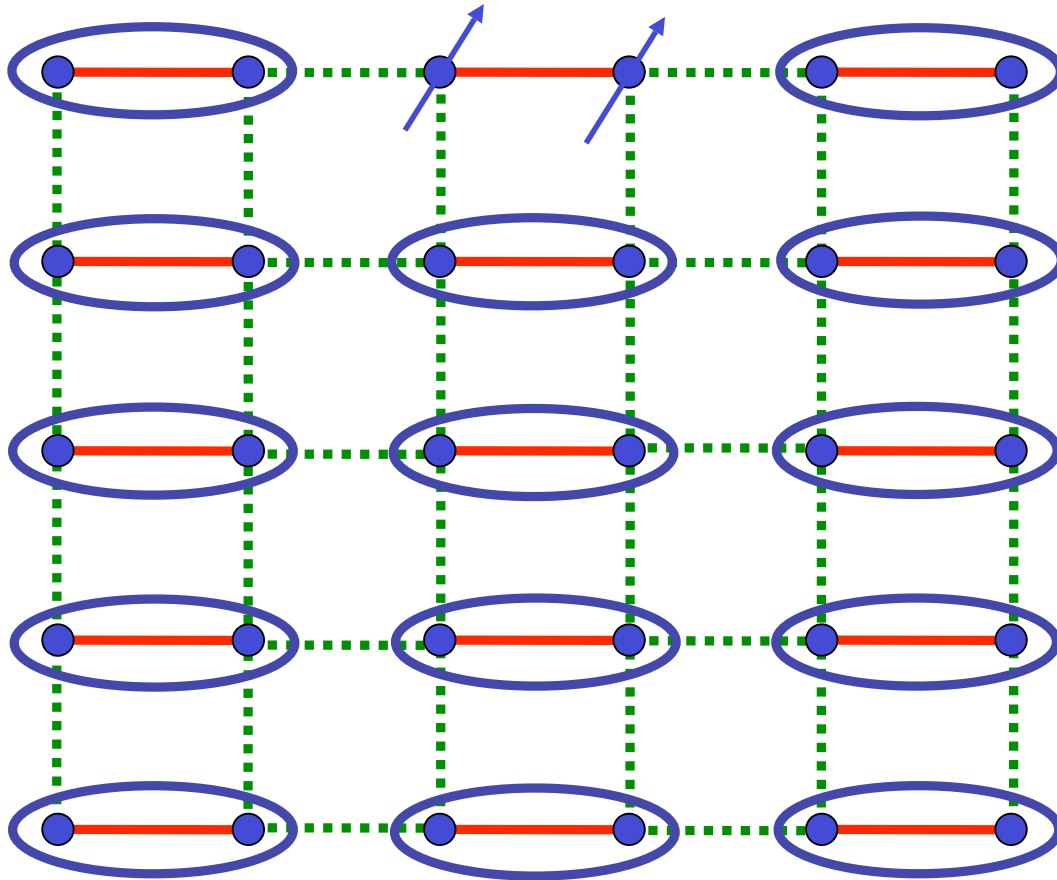


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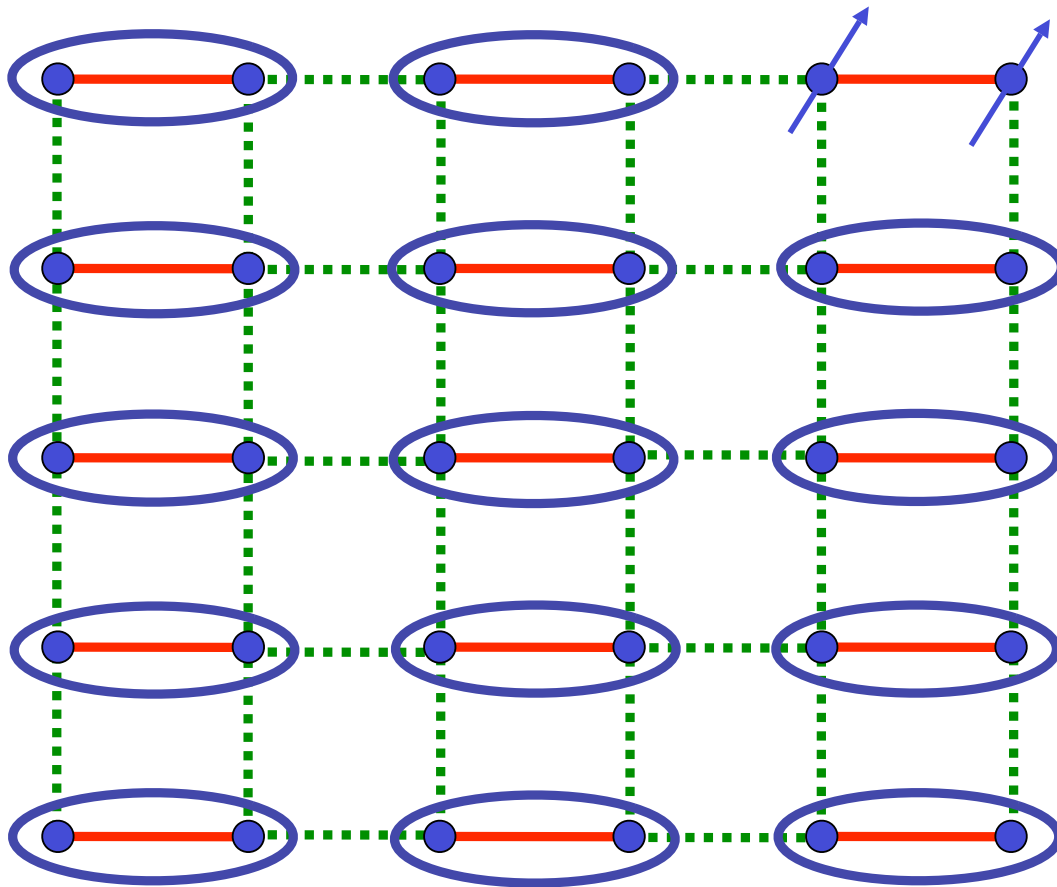


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Weakly coupled dimers



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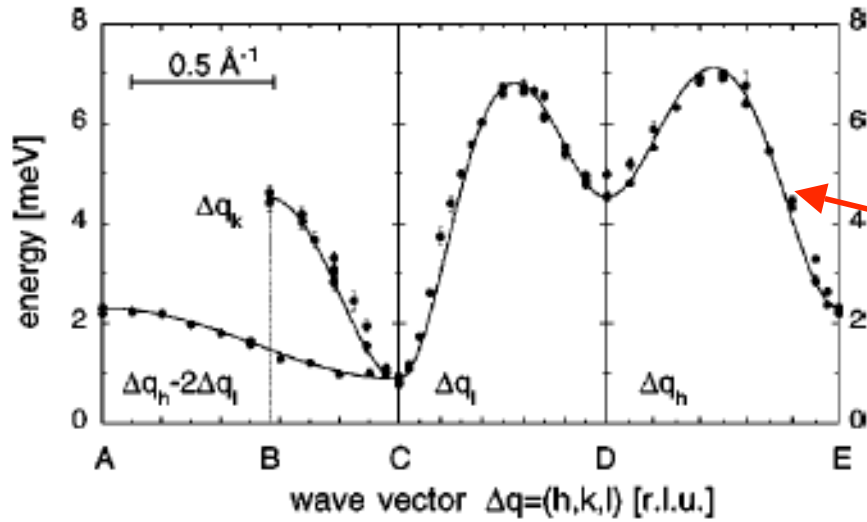
Excitation:  
S=1 quasiparticle

Energy dispersion away from antiferromagnetic wavevector

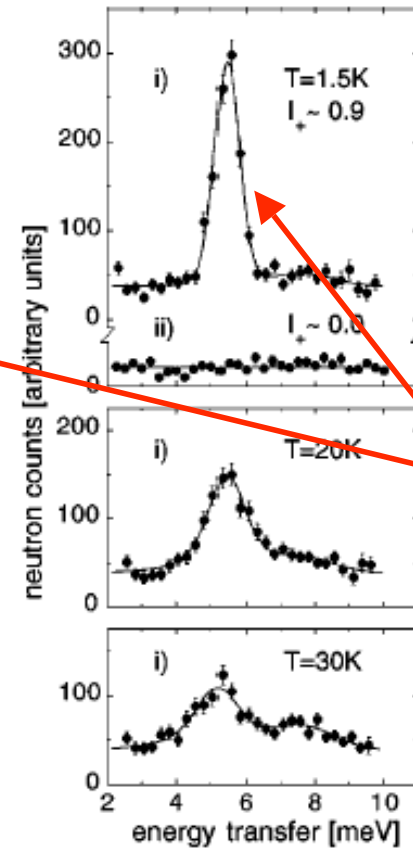
$$\epsilon_p = \Delta + \frac{c_x^2 p_x^2 + c_y^2 p_y^2}{2\Delta}$$

$\Delta \rightarrow$  spin gap

# TiCuCl<sub>3</sub>



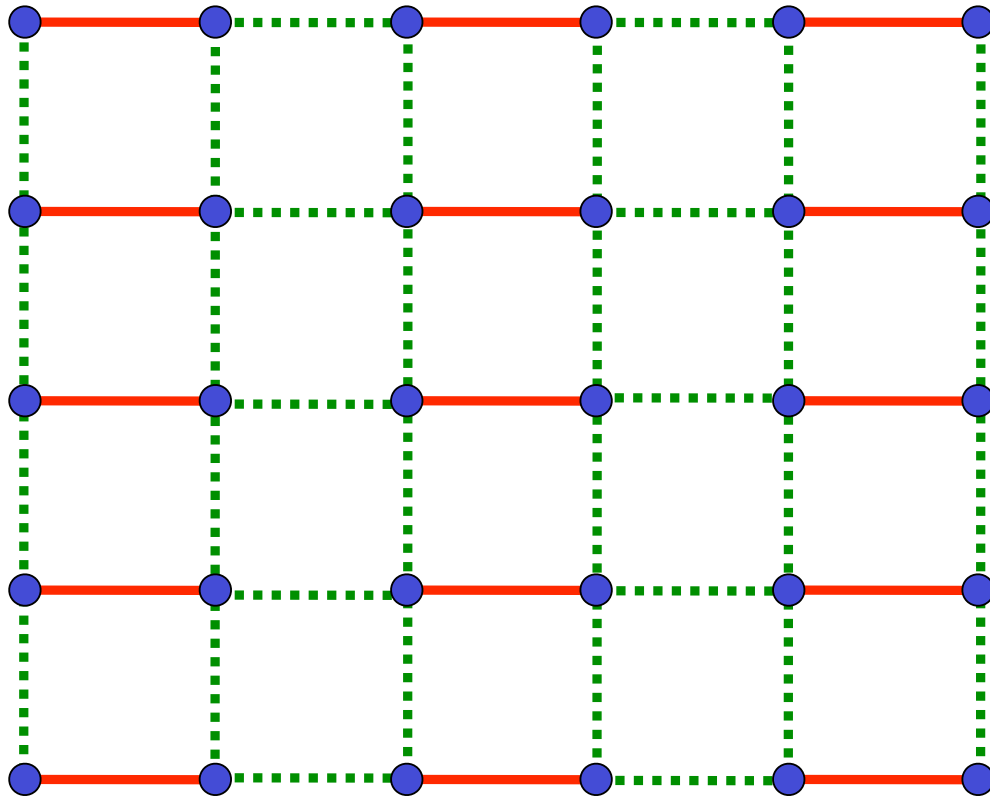
N. Cavadini, G. Heigold, W. Henggeler, A. Furrer, H.-U. Güdel, K. Krämer and H. Mutka, *Phys. Rev. B* 63 172414 (2001).



S=1  
quasi-  
particle

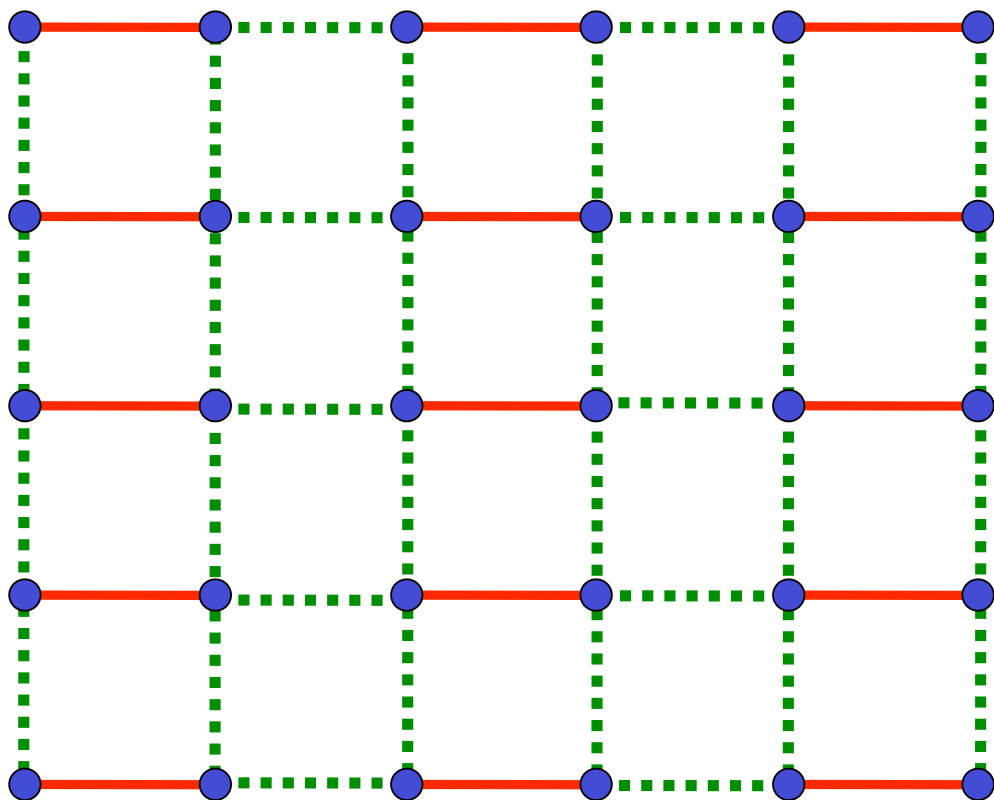
FIG. 1. Measured neutron profiles in the  $a^*c^*$  plane of TiCuCl<sub>3</sub> for  $i=(1.35,0,0)$ ,  $ii=(0,0,3.15)$  [r.l.u.]. The spectrum at  $T=1.5 \text{ K}$

# Coupled Dimer Antiferromagnet



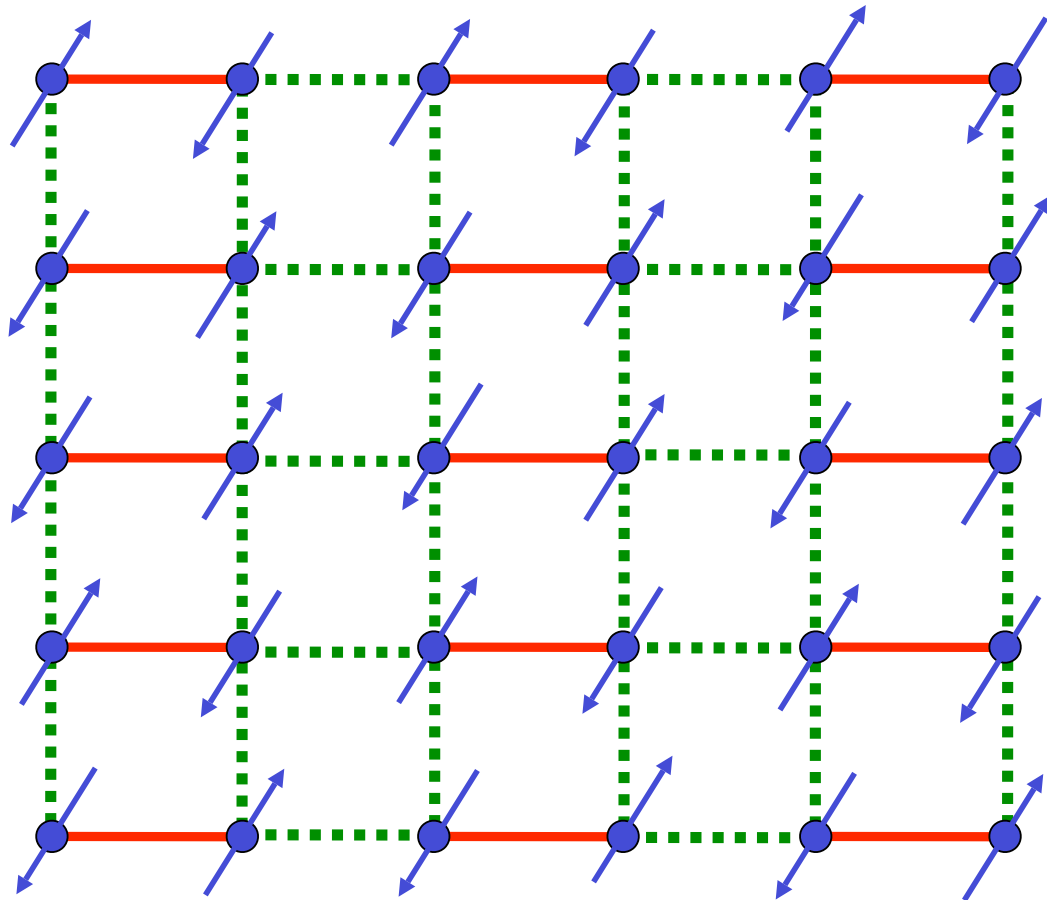
$\lambda$  close to 1

Weakly dimerized square lattice



$\lambda$  close to 1

Weakly dimerized square lattice



Excitations:  
2 spin waves (*magnons*)

$$\epsilon_p = \sqrt{c_x^2 p_x^2 + c_y^2 p_y^2}$$

Ground state has long-range spin density wave  
(Néel) order at wavevector  $\mathbf{K} = (\pi, \pi)$

$$\langle \vec{\varphi} \rangle \neq 0$$

spin density wave order parameter:  $\vec{\varphi} = \eta_i \frac{\vec{S}_i}{S}$  ;  $\eta_i = \pm 1$  on two sublattices



## Neutron Diffraction Study of the Pressure-Induced Magnetic Ordering in the Spin Gap System TiCuCl<sub>3</sub>

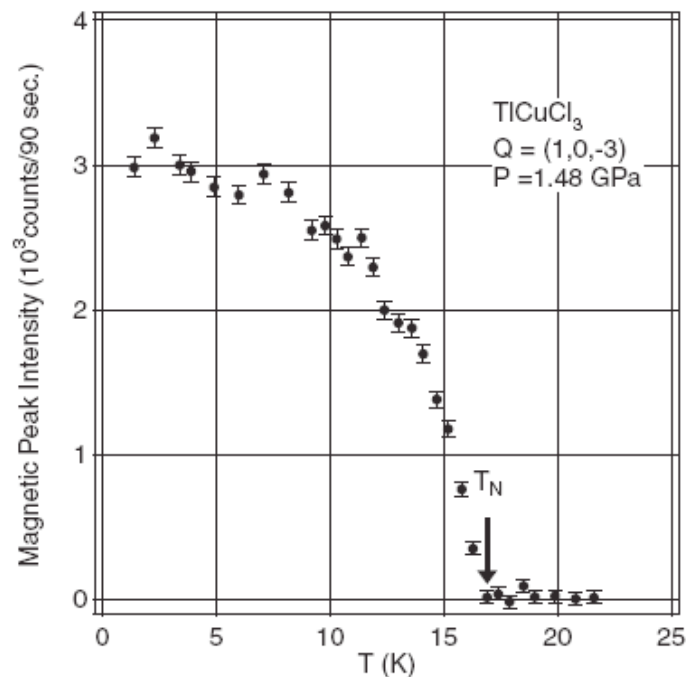
Akira OOSAWA\*, Masashi FUJISAWA<sup>1</sup>, Toyotaka OSAKABE, Kazuhisa KAKURAI and Hidekazu TANAKA<sup>2</sup>

*Advanced Science Research Center, Japan Atomic Energy Research Institute, Tokai, Ibaraki 319-1195*

<sup>1</sup>*Department of Physics, Tokyo Institute of Technology, Oh-okayama, Meguro-ku, Tokyo 152-8551*

<sup>2</sup>*Research Center for Low Temperature Physics, Tokyo Institute of Technology, Oh-okayama, Meguro-ku, Tokyo 152-8551*

(Received February 3, 2003)



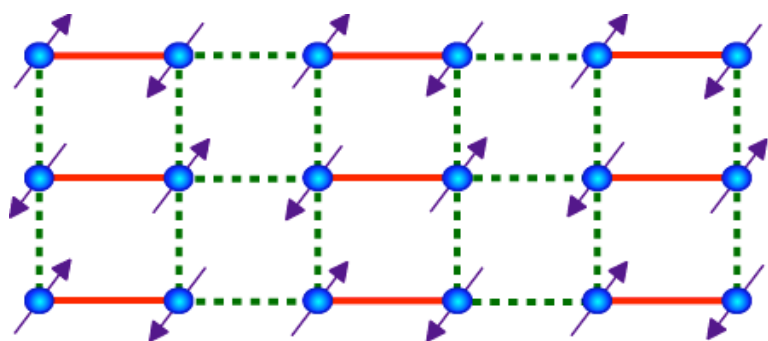
*J. Phys. Soc. Jpn* **72**, 1026 (2003)

Fig. 3. Temperature dependence of the magnetic Bragg peak intensity for  $Q = (1, 0, -3)$  reflection measured at  $P = 1.48$  GPa in TiCuCl<sub>3</sub>.

$T=0$

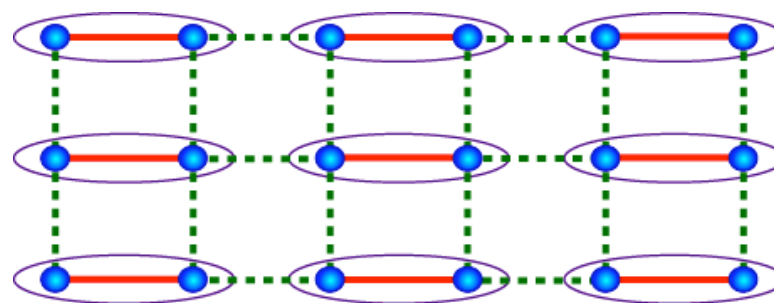
$$\lambda_c = 0.52337(3)$$

M. Matsumoto, C. Yasuda, S. Todo, and H. Takayama, *Phys. Rev. B* **65**, 014407 (2002)



Néel state

$$\langle \vec{\varphi} \rangle \neq 0$$



Quantum paramagnet

$$\langle \vec{\varphi} \rangle = 0$$

$\lambda$

1

$\lambda_c$

Pressure in  $\text{TlCuCl}_3$

## LGW theory for quantum criticality

Landau-Ginzburg-Wilson theory: write down an effective action for the antiferromagnetic order parameter  $\vec{\varphi}$  by expanding in powers of  $\vec{\varphi}$  and its spatial and temporal derivatives, while preserving all symmetries of the microscopic Hamiltonian

$$\mathcal{S}_\varphi = \int d^2x d\tau \left[ \frac{1}{2} \left( (\nabla_x \vec{\varphi})^2 + c^2 (\partial_\tau \vec{\varphi})^2 + (\lambda_c - \lambda) \vec{\varphi}^2 \right) + u (\vec{\varphi}^2)^2 \right]$$

S. Chakravarty, B.I. Halperin, and D.R. Nelson, *Phys. Rev. B* **39**, 2344 (1989)

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S. Chakravarty, B.I. Halperin, and D.R. Nelson, *Phys. Rev. B* **39**, 2344 (1989)

For  $\lambda < \lambda_c$ , oscillations of  $\vec{\varphi}$  about  $\vec{\varphi} = 0$  constitute the *triplon* excitation

A.V. Chubukov, S. Sachdev, and J.Ye, *Phys. Rev. B* **49**, 11919 (1994)

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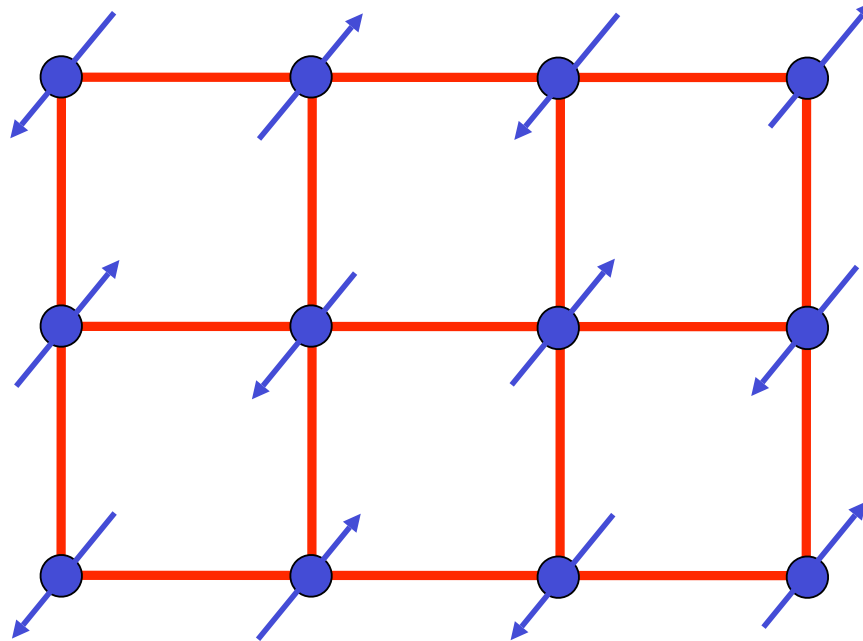
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## Square lattice antiferromagnet

$$H = \sum_{\langle ij \rangle} J_{ij} \vec{S}_i \cdot \vec{S}_j$$



Ground state has long-range Néel order

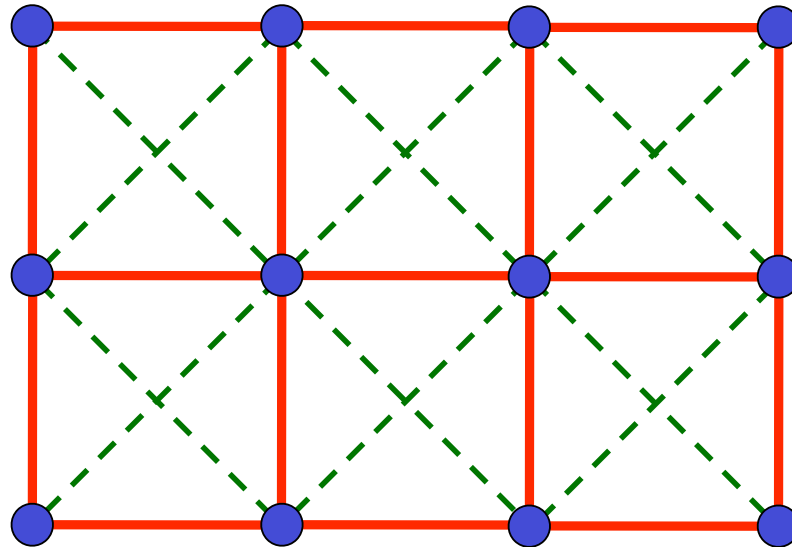
Order parameter  $\vec{\varphi} = \eta_i \vec{S}_i$

$\eta_i = \pm 1$  on two sublattices

$$\langle \vec{\varphi} \rangle \neq 0$$

## Square lattice antiferromagnet

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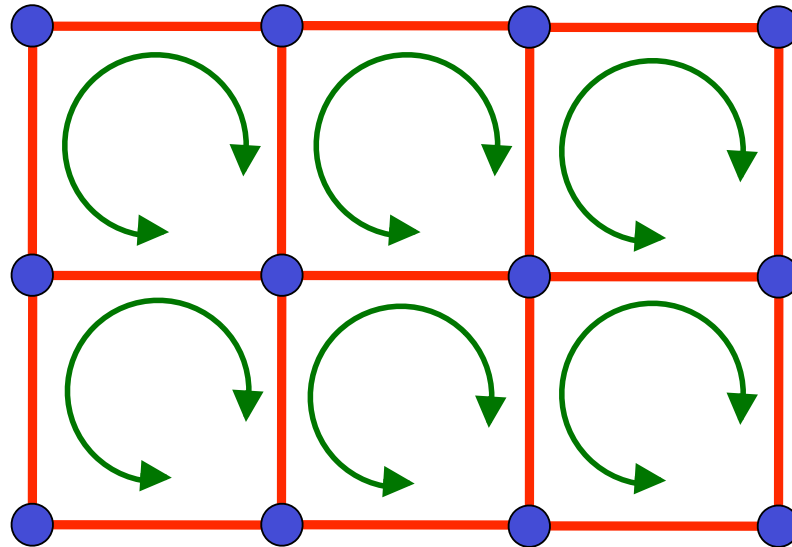


Destroy Neel order by perturbations which preserve full square lattice symmetry *e.g.* second-neighbor or ring exchange.

What is the state with  $\langle \vec{\varphi} \rangle = 0$  ?

## Square lattice antiferromagnet

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What is the state with  $\langle \vec{\varphi} \rangle = 0$  ?

## Quantum theory for destruction of Neel order

Partition function on cubic lattice in spacetime

$$\mathcal{Z} = \prod_a \int d\vec{\varphi}_a \delta(\vec{\varphi}_a^2 - 1) \exp\left(\frac{1}{g} \sum_{a,\mu} \vec{\varphi}_a \cdot \vec{\varphi}_{a+\mu}\right)$$

LGW theory: weights in partition function are those of a classical ferromagnet at a “temperature”  $g$

Small  $g \Rightarrow$  ground state has Neel order with  $\langle \vec{\varphi} \rangle \neq 0$

Large  $g \Rightarrow$  paramagnetic ground state with  $\langle \vec{\varphi} \rangle = 0$

## Quantum theory for destruction of Neel order

Coherent state path integral on cubic lattice in spacetime

$$\mathcal{Z} = \prod_a \int d\vec{\varphi}_a \delta(\vec{\varphi}_a^2 - 1) \exp \left( \frac{1}{g} \sum_{a,\mu} \vec{\varphi}_a \cdot \vec{\varphi}_{a+\mu} + i\mathcal{S}_{\text{Berry}} \right)$$

Modulus of weights in partition function: those of a classical ferromagnet at a “temperature”  $g$

Small  $g \Rightarrow$  ground state has Neel order with  $\langle \vec{\varphi} \rangle \neq 0$

Large  $g \Rightarrow$  paramagnetic ground state with  $\langle \vec{\varphi} \rangle = 0$

Berry phases lead to large cancellations between different time histories

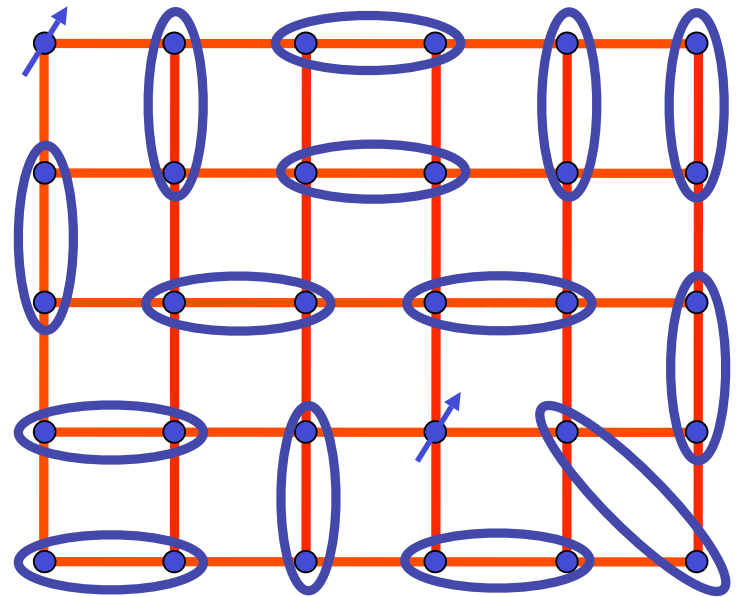
## Quantum theory for destruction of Neel order

Partition function on cubic lattice

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Rewrite partition function in terms of spinors  $z_{a\alpha}$ ,  
with  $\alpha = \uparrow, \downarrow$  and

$$\vec{\varphi}_a = z_{a\alpha}^* \vec{\sigma}_{\alpha\beta} z_{a\beta}$$



## Quantum theory for destruction of Neel order

Partition function on cubic lattice

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Partition function expressed as a gauge theory of spinor degrees of freedom

$$\mathcal{Z} = \prod_a \int dz_{a\alpha} dA_{a\mu} \delta \left( \sum_{\alpha} |z_{a\alpha}|^2 - 1 \right) \\ \times \exp \left( \frac{1}{g} \sum_{a,\mu} z_{a\alpha}^* e^{iA_{a\mu}} z_{a+\mu,\alpha} + i \sum_a (2S) \eta_a A_{a\tau} \right)$$

Large  $g$  effective action for the  $A_{a\mu}$  after integrating  $z_{\alpha\mu}$

$$\mathcal{Z} = \prod_{a,\mu} \int dA_{a\mu} \exp \left( \frac{1}{2e^2} \sum_{\square} \cos (\Delta_{\mu} A_{a\nu} - \Delta_{\nu} A_{a\mu}) + i \sum_a \eta_a A_{a\tau} \right)$$

with  $e^2 \sim g^2$

This is compact QED in 3 spacetime dimensions with static charges  $\pm 1$  on two sublattices.

This theory can be reliably analyzed by a duality mapping.

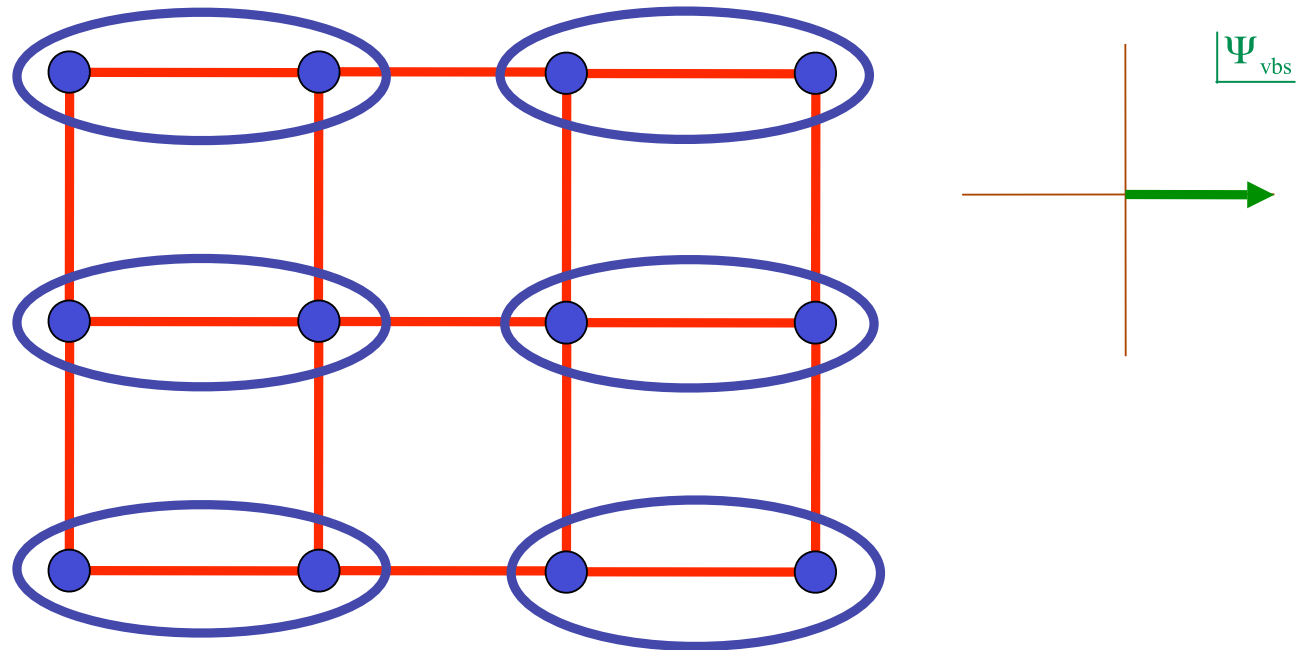
The gauge theory is in a *confining* phase, and there is VBS order in the ground state. (Proliferation of monopoles in the presence of Berry phases).

N. Read and S. Sachdev, *Phys. Rev. Lett.* **62**, 1694 (1989).

S. Sachdev and R. Jalabert, *Mod. Phys. Lett. B* **4**, 1043 (1990).

K. Park and S. Sachdev, *Phys. Rev. B* **65**, 220405 (2002).

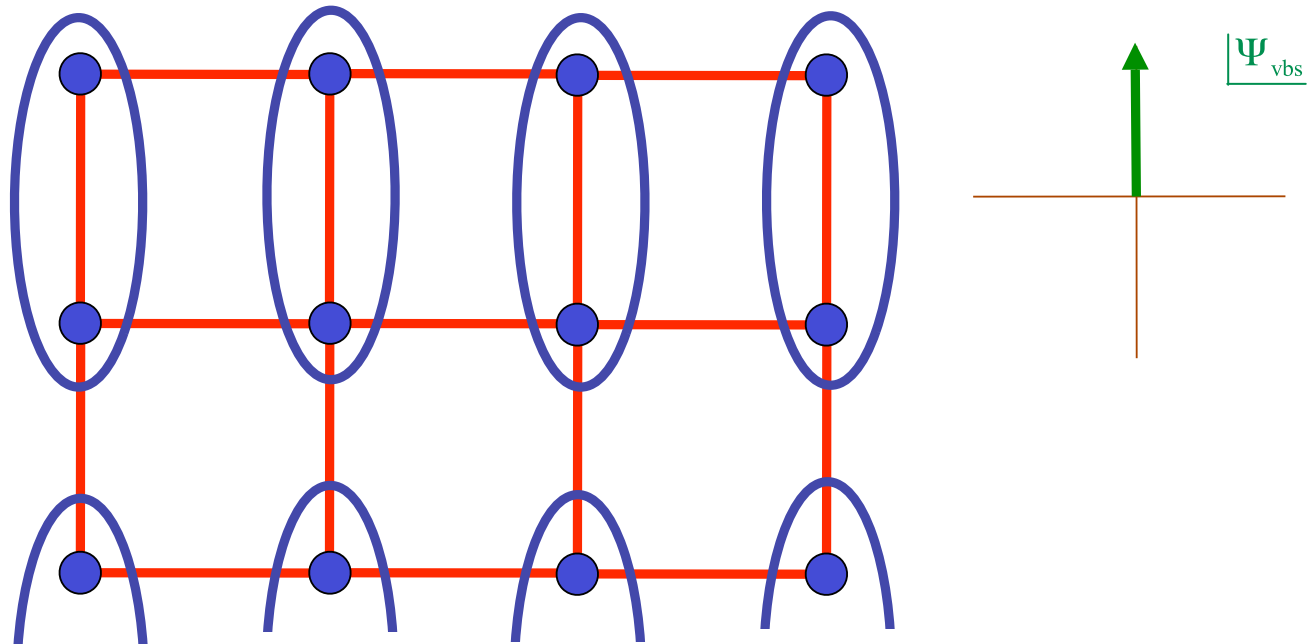
# Characterization of VBS state with $\langle \vec{\varphi} \rangle = 0$



Such a state breaks the symmetry of rotations by  $n\pi / 2$  about lattice sites, and has  $\langle \Psi_{\text{vbs}} \rangle \neq 0$ , where  $\Psi_{\text{vbs}}$  is the *VBS order parameter*

$$\Psi_{\text{vbs}}(i) = \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j e^{i \arctan(\mathbf{r}_j - \mathbf{r}_i)}$$

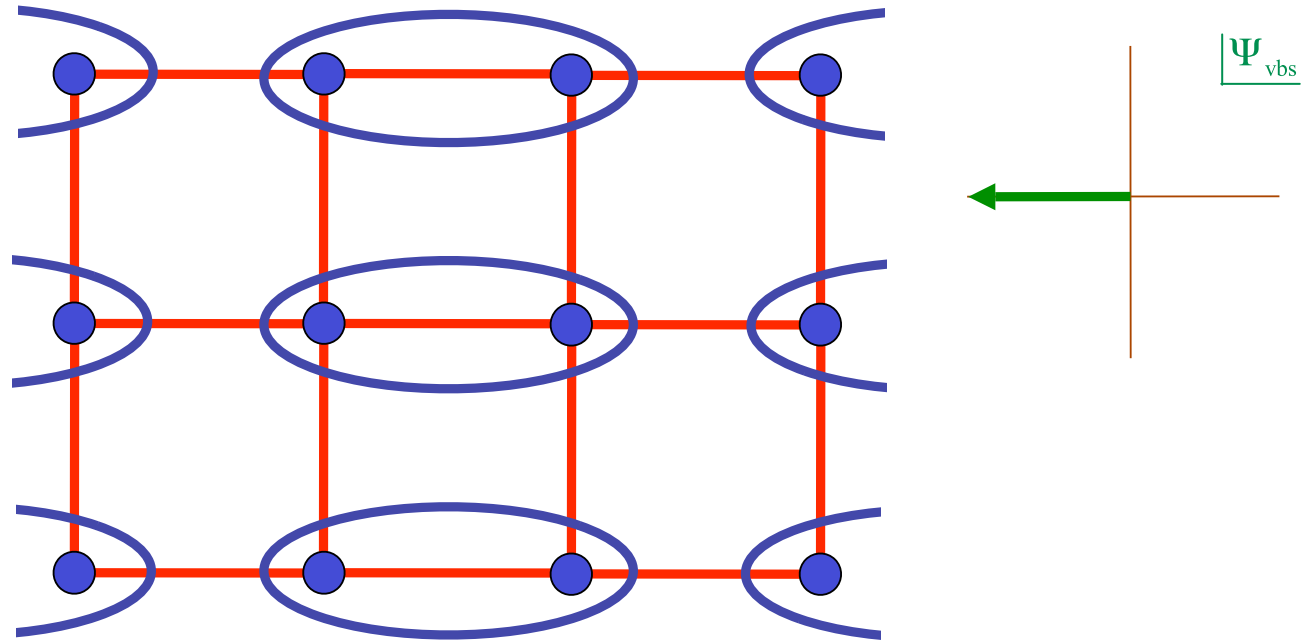
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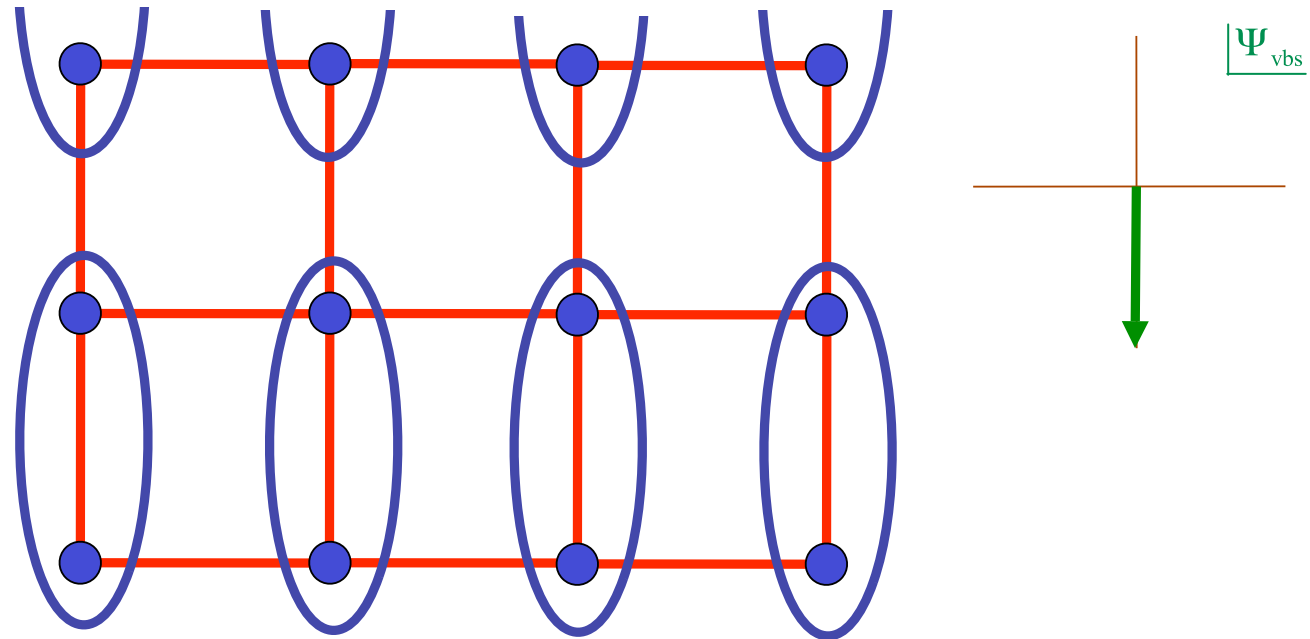
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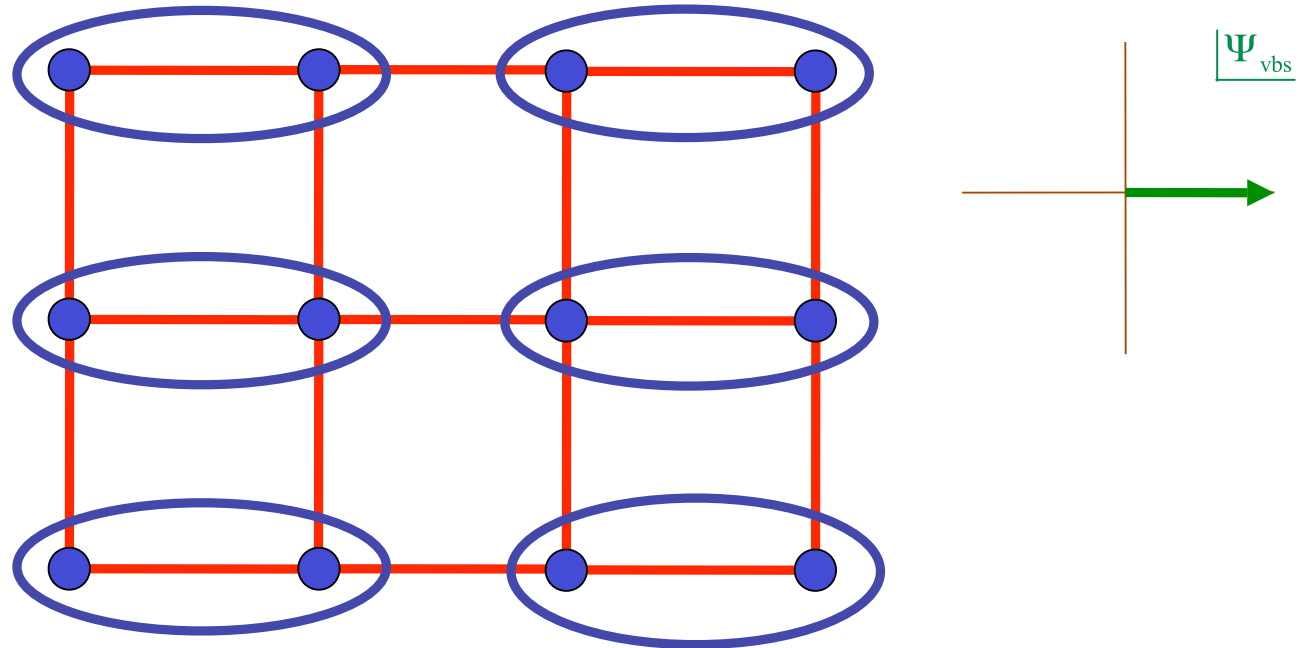
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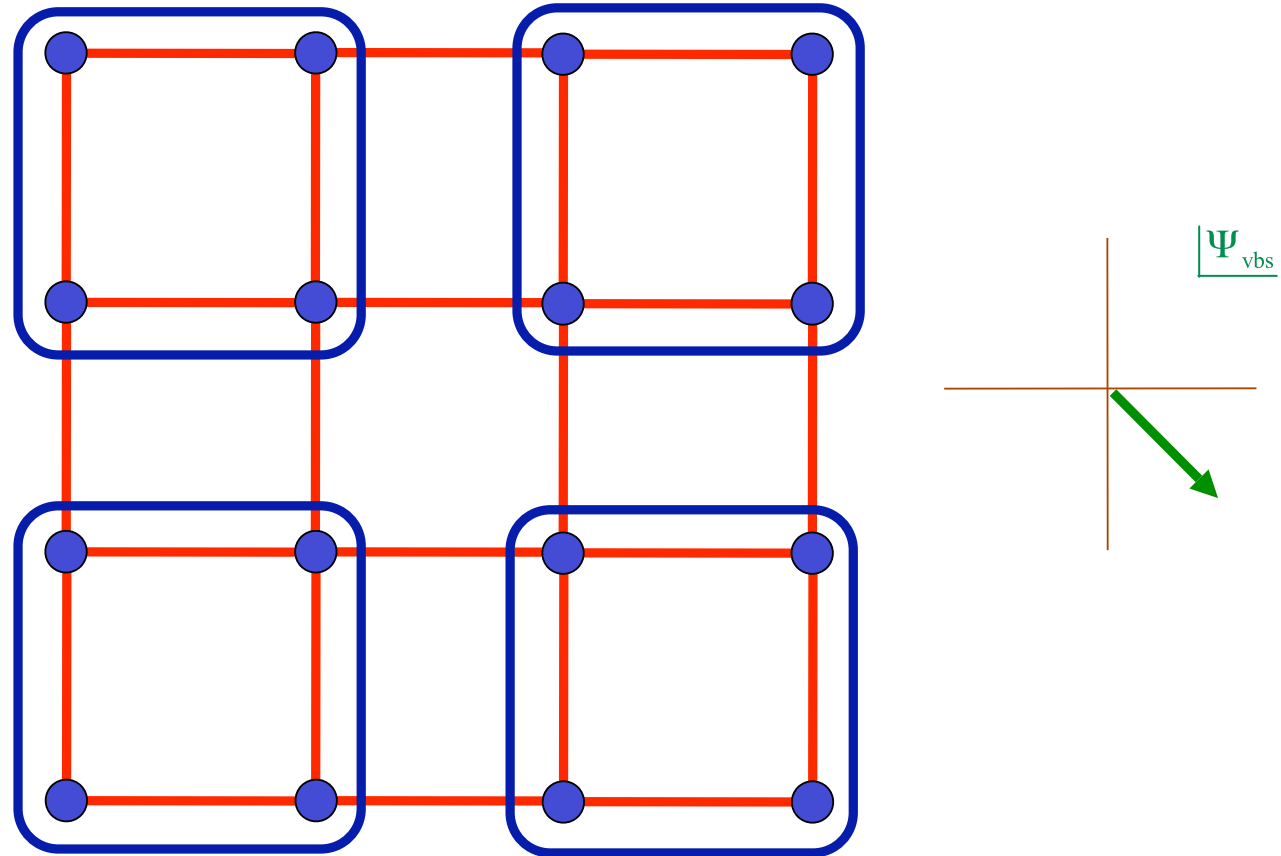
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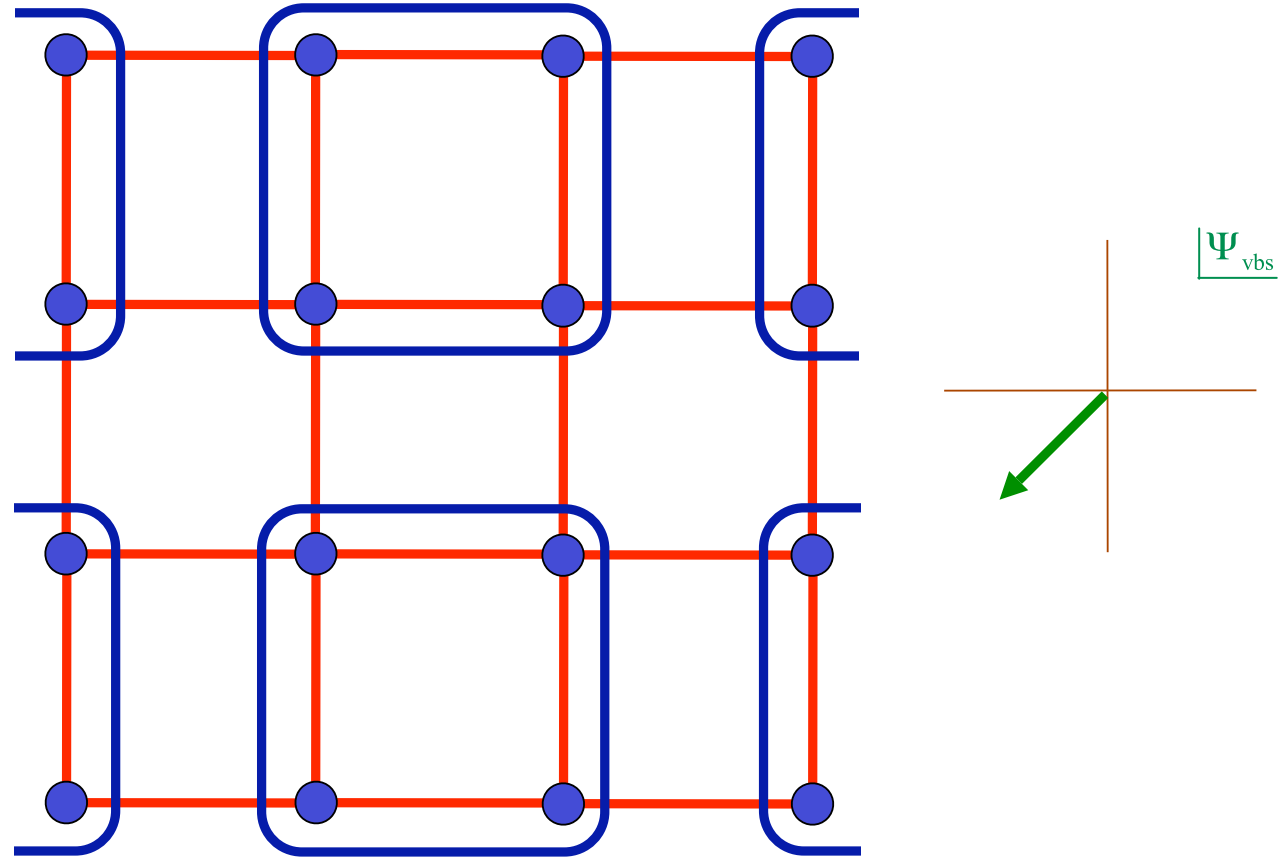
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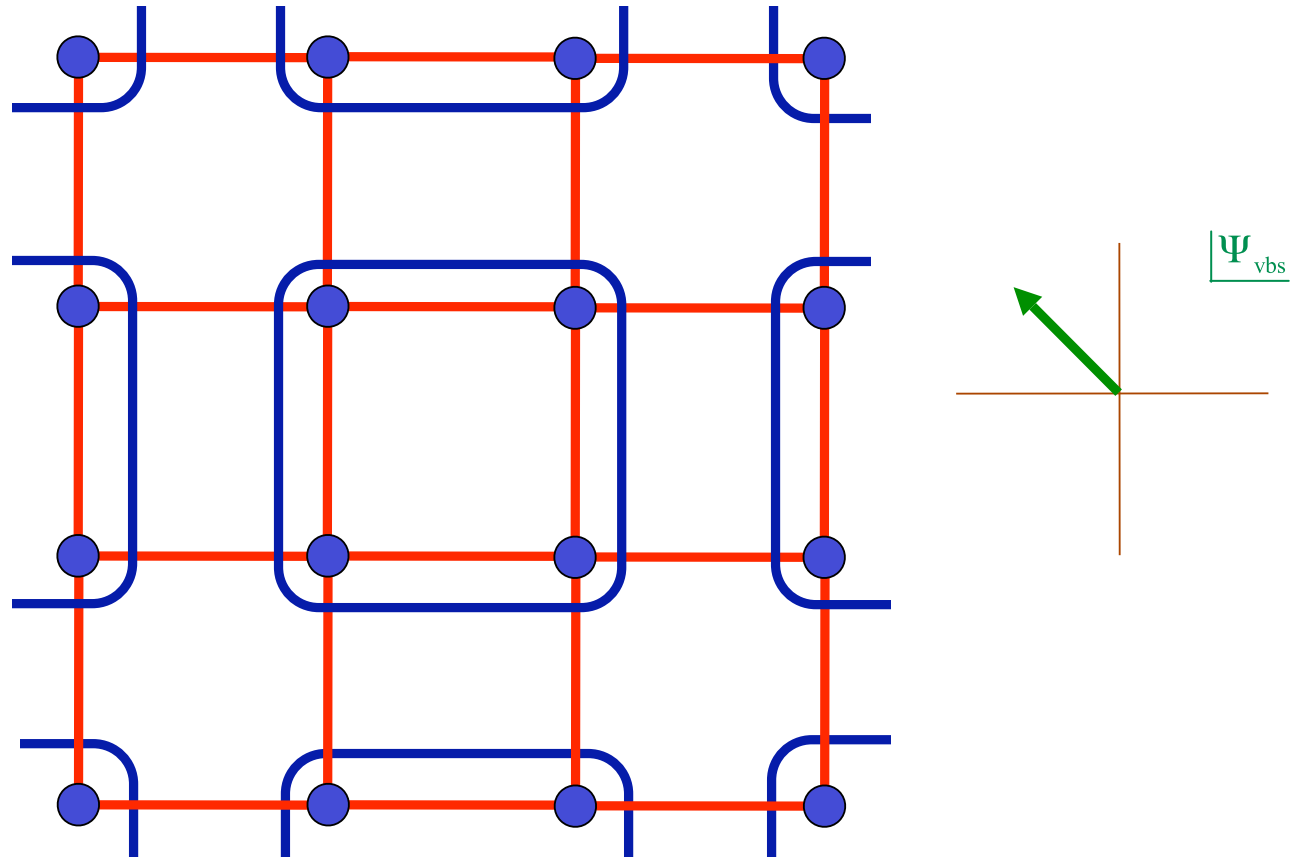
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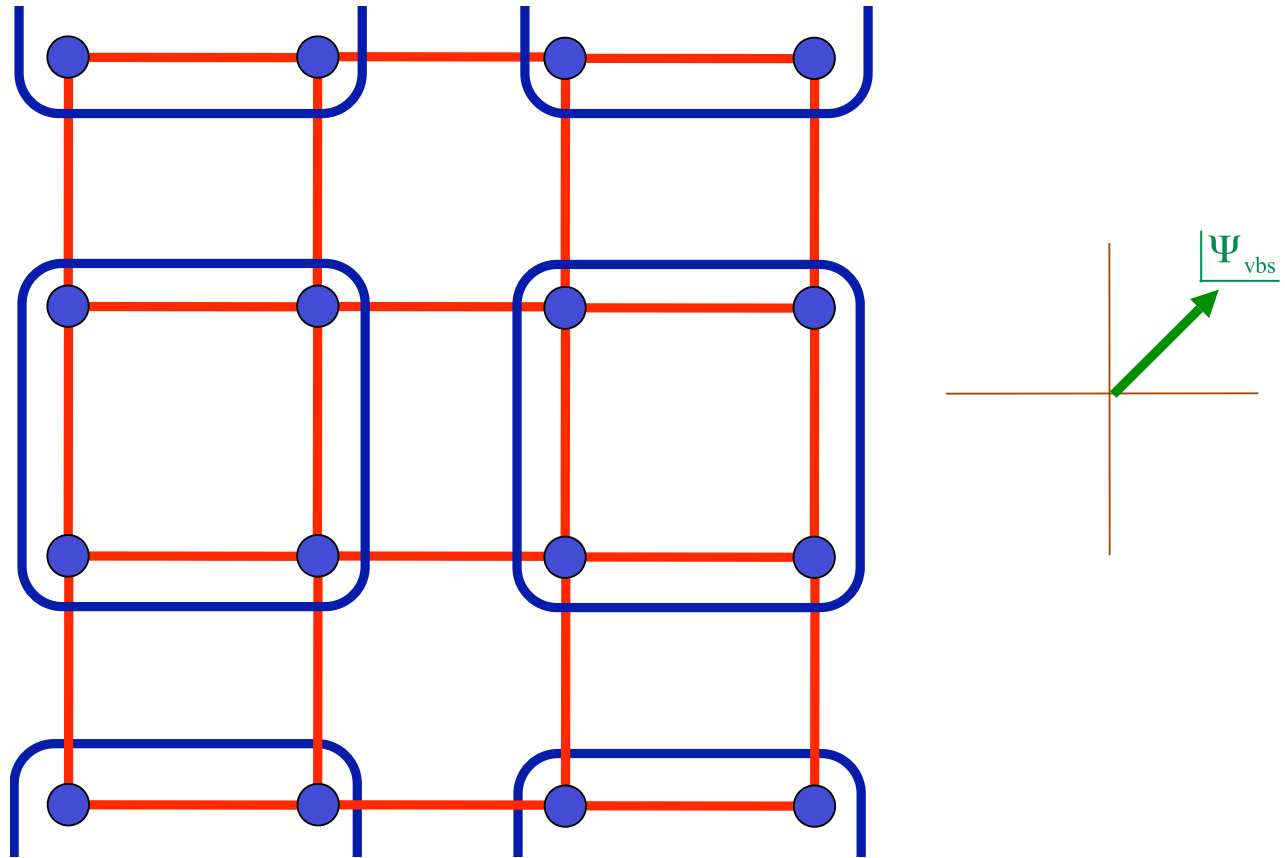
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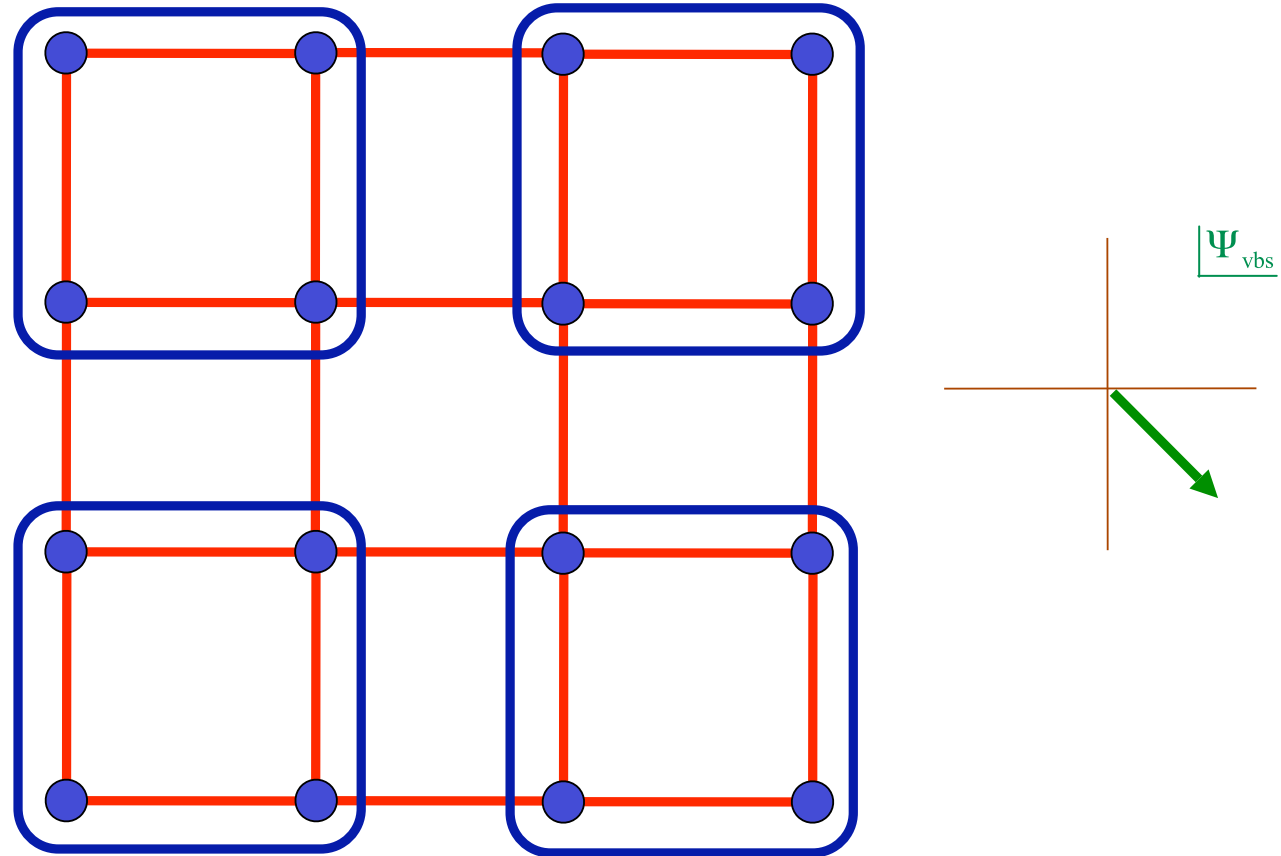
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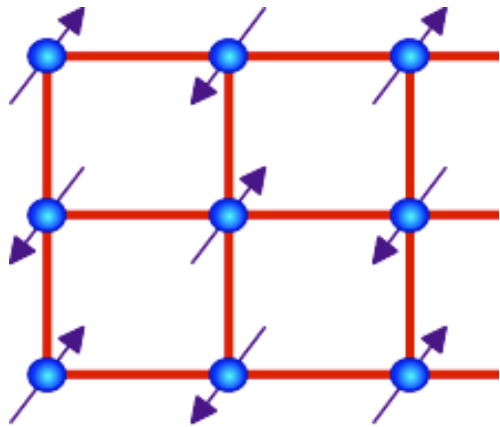
# Characterization of VBS state with $\langle \vec{\varphi} \rangle = 0$



Such a state breaks the symmetry of rotations by  $n\pi / 2$  about lattice sites,  
and has  $\langle \Psi_{\text{vbs}} \rangle \neq 0$ , where  $\Psi_{\text{vbs}}$  is the *VBS order parameter*

$$\Psi_{\text{vbs}}(i) = \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j e^{i \arctan(\mathbf{r}_j - \mathbf{r}_i)}$$

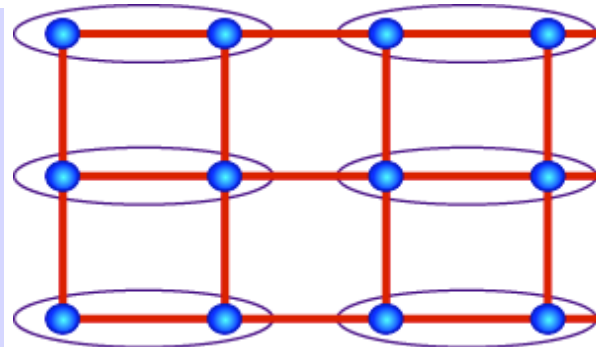
$$\mathcal{Z} = \prod_a \int dz_{a\alpha} dA_{a\mu} \delta \left( \sum_{\alpha} |z_{a\alpha}|^2 - 1 \right) \exp \left( \frac{1}{g} \sum_{a,\mu} z_{a\alpha}^* e^{iA_{a\mu}} z_{a+\mu,\alpha} + i \sum_a \eta_a A_{a\tau} \right)$$



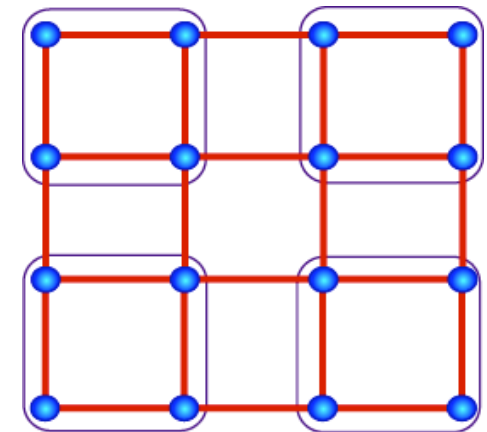
Neel order

$$\langle \vec{\varphi} \rangle \neq 0$$

?



or



VBS order

$$\langle \Psi_{\text{vbs}} \rangle \neq 0$$

Not present in  
LGW theory  
of  $\vec{\varphi}$  order

0

$g$

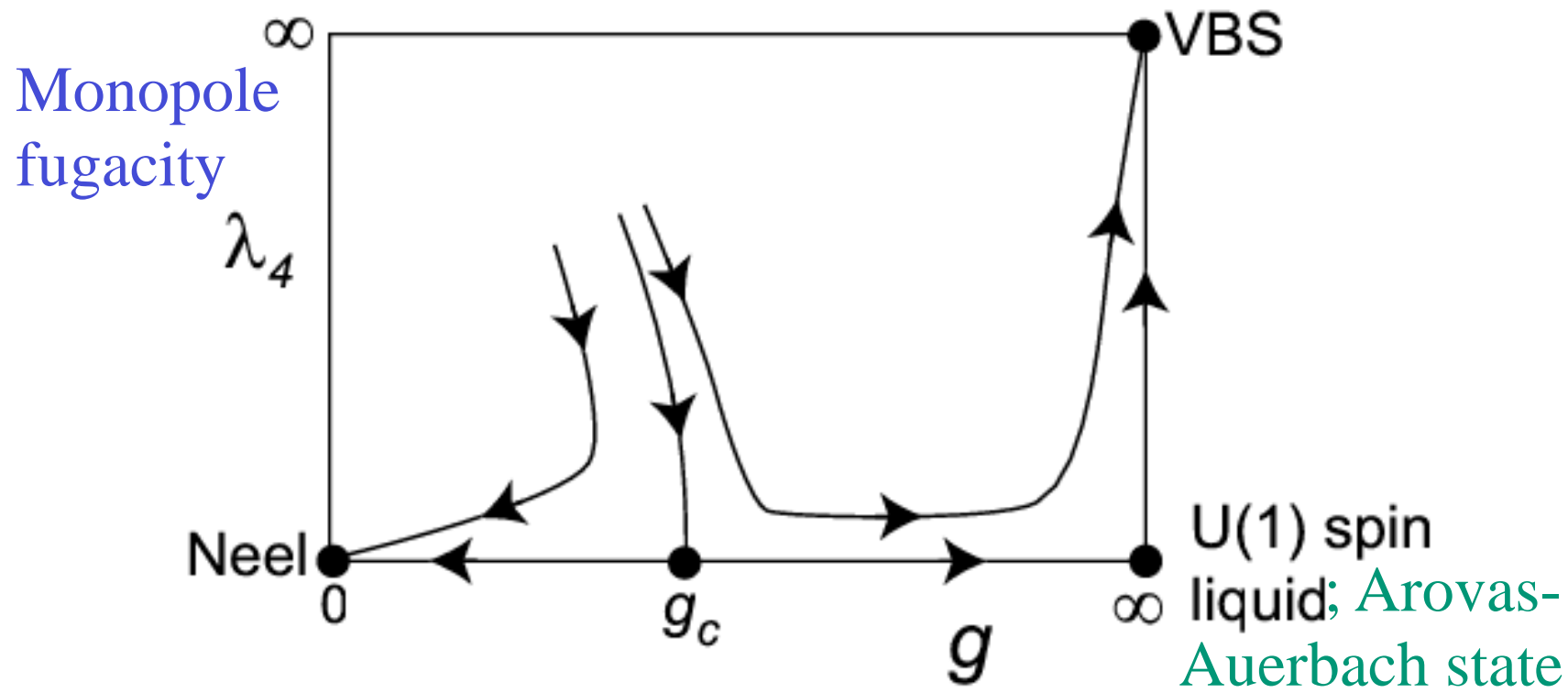
Theory of a second-order quantum phase transition  
between Neel and VBS phases

At the quantum critical point:

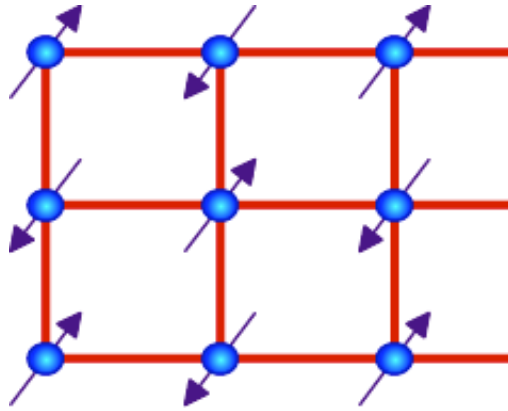
- $A_\mu \rightarrow A_\mu + 2\pi$  periodicity can be ignored

(Monopoles interfere destructively and are dangerously irrelevant).

- $S=1/2$  spinons  $z_\alpha$ , with  $\vec{\varphi} \sim z_\alpha^* \vec{\sigma}_{\alpha\beta} z_\beta$ , are globally propagating degrees of freedom.

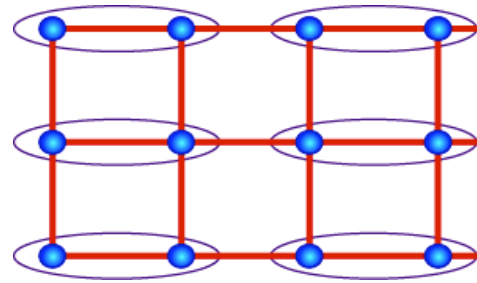


## Phase diagram of S=1/2 square lattice antiferromagnet

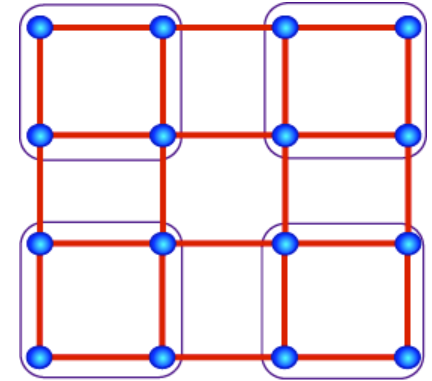


Neel order

$$\langle \vec{\varphi} \rangle \sim \langle z_\alpha^* \vec{\sigma}_{\alpha\beta} z_\beta \rangle \neq 0$$



or



VBS order  $\langle \Psi_{\text{vbs}} \rangle \neq 0$

(associated with condensation of monopoles in  $A_\mu$ ),

$S = 1/2$  spinons  $z_\alpha$  confined,

$S = 1$  triplon excitations

$g$

Second-order critical point described by

$$\mathcal{S}_z = \int d^2x d\tau \left[ |(\partial_\mu - iA_\mu)z_\alpha|^2 + r|z_\alpha|^2 + \frac{u}{2} (|z_\alpha|^2)^2 + \frac{1}{4e^2} (\partial_\mu A_\nu - \partial_\nu A_\mu)^2 \right]$$

at its critical point  $r = r_c$  where  $A_\mu$  is *non-compact*.

## Large scale Quantum Monte Carlo studies

Easy-plane model:

$$\mathcal{H}_{XY} = 2J \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y) - K \sum_{\langle ijkl \rangle} (S_i^+ S_j^- S_k^+ S_l^- + S_i^- S_j^+ S_k^- S_l^+)$$

A.W. Sandvik, S. Daul, R. R. P. Singh, and D. J. Scalapino, *Phys. Rev. Lett.* **89**, 247201 (2002); A.W. Sandvik and R.G. Melko, cond-mat/0604451.

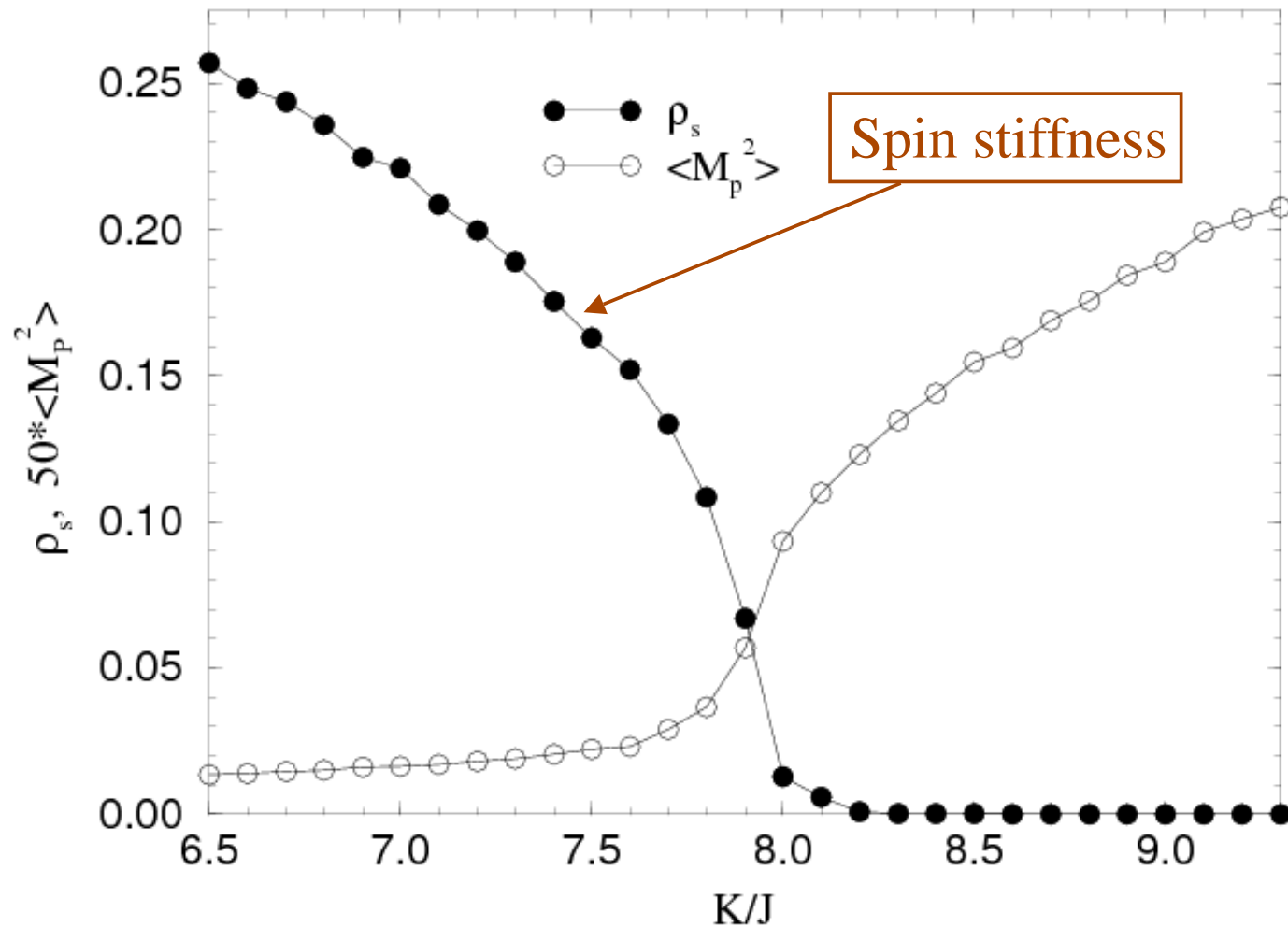
SU(2)-invariant model:

$$\mathcal{H}_{\text{SU}(2)} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - Q \sum_{\langle ijkl \rangle} (\mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4}) (\mathbf{S}_k \cdot \mathbf{S}_l - \frac{1}{4})$$

A.W. Sandvik, *Phys. Rev. Lett.* **98**, 2272020 (2007).

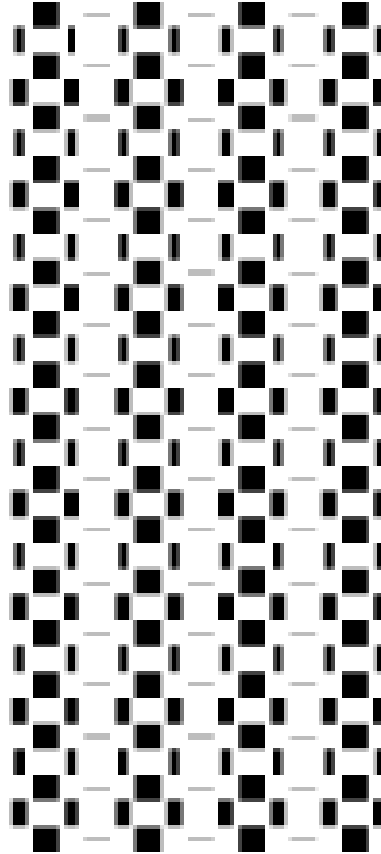
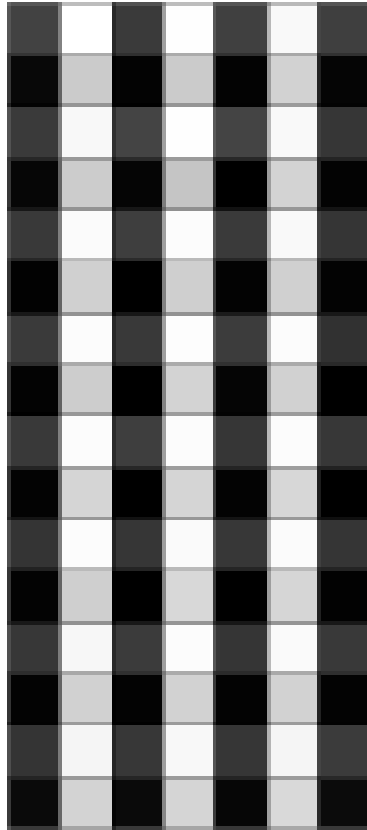
## Easy-plane model

$$\mathcal{H}_{XY} = 2J \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y) - K \sum_{\langle ijkl \rangle} (S_i^+ S_j^- S_k^+ S_l^- + S_i^- S_j^+ S_k^- S_l^+)$$



## Easy-plane model

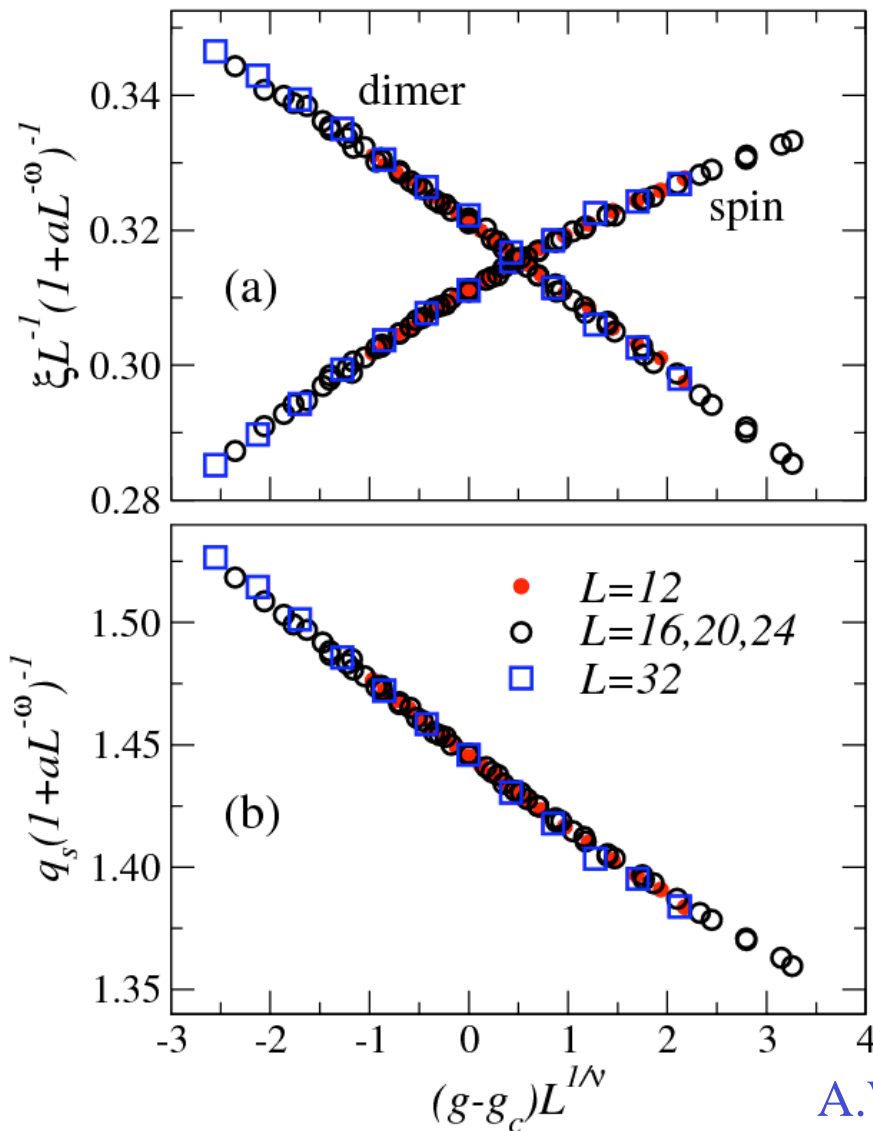
$$\mathcal{H}_{\text{XY}} = 2J \sum_{\langle ij \rangle} (S_i^x S_j^x + S_i^y S_j^y) - K \sum_{\langle ijkl \rangle} (S_i^+ S_j^- S_k^+ S_l^- + S_i^- S_j^+ S_k^- S_l^+)$$



Valence bond solid (VBS) order in expectation values of  
plaquette and exchange terms

## SU(2) invariant model

$$\mathcal{H}_{\text{SU}(2)} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - Q \sum_{\langle ijkl \rangle} \left( \mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} \right) \left( \mathbf{S}_k \cdot \mathbf{S}_l - \frac{1}{4} \right)$$



Strong evidence for a continuous “deconfined” quantum critical point

T. Senthil, A. Vishwanath, L. Balents, S. Sachdev and M.P.A. Fisher, *Science* **303**, 1490 (2004).

A.W. Sandvik, *Phys. Rev. Lett.* **98**, 2272020 (2007).

## SU(2) invariant model

$$\mathcal{H}_{\text{SU}(2)} = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j - Q \sum_{\langle ijkl \rangle} \left( \mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} \right) \left( \mathbf{S}_k \cdot \mathbf{S}_l - \frac{1}{4} \right)$$

Probability distribution  
of VBS order  $\Psi$  at  
quantum critical point

Emergent circular symmetry is  
a consequence of a gapless  
photon excitation

T. Senthil, A. Vishwanath, L. Balents,  
S. Sachdev and M.P.A. Fisher, *Science* **303**,  
1490 (2004).

A.W. Sandvik, *Phys. Rev. Lett.* **98**, 2272020 (2007).

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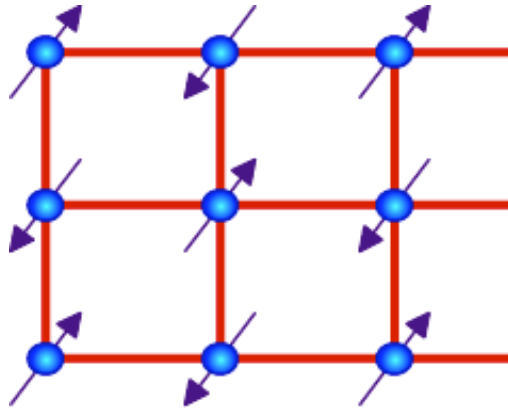
Hole dynamics in an antiferromagnet across a deconfined quantum critical point,

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil,  
*Physical Review B* **75** , 235122 (2007)

Algebraic charge liquids and the underdoped cuprates,

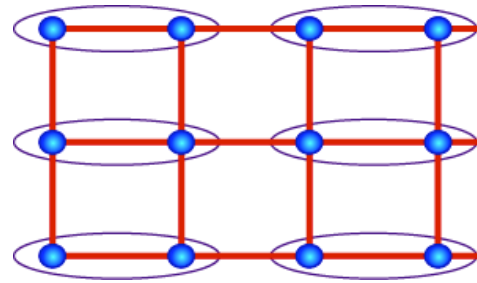
R. K. Kaul, Y. B. Kim, S. Sachdev, and T. Senthil,  
arXiv:0706.2187

## Phase diagram of S=1/2 square lattice antiferromagnet

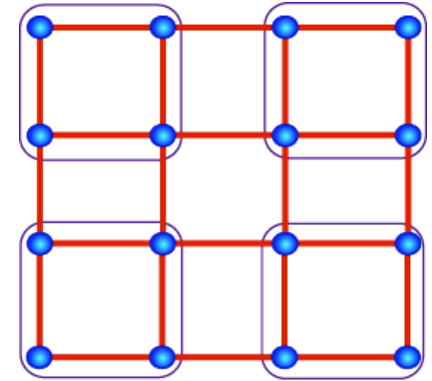


Neel order

$$\langle \vec{\varphi} \rangle \sim \langle z_\alpha^* \vec{S}_{\alpha\beta} z_\beta \rangle \neq 0$$



or



VBS order  $\langle \Psi_{\text{vbs}} \rangle \neq 0$

(associated with condensation of monopoles in  $A_\mu$ ),

$S = 1/2$  spinons  $z_\alpha$  confined,

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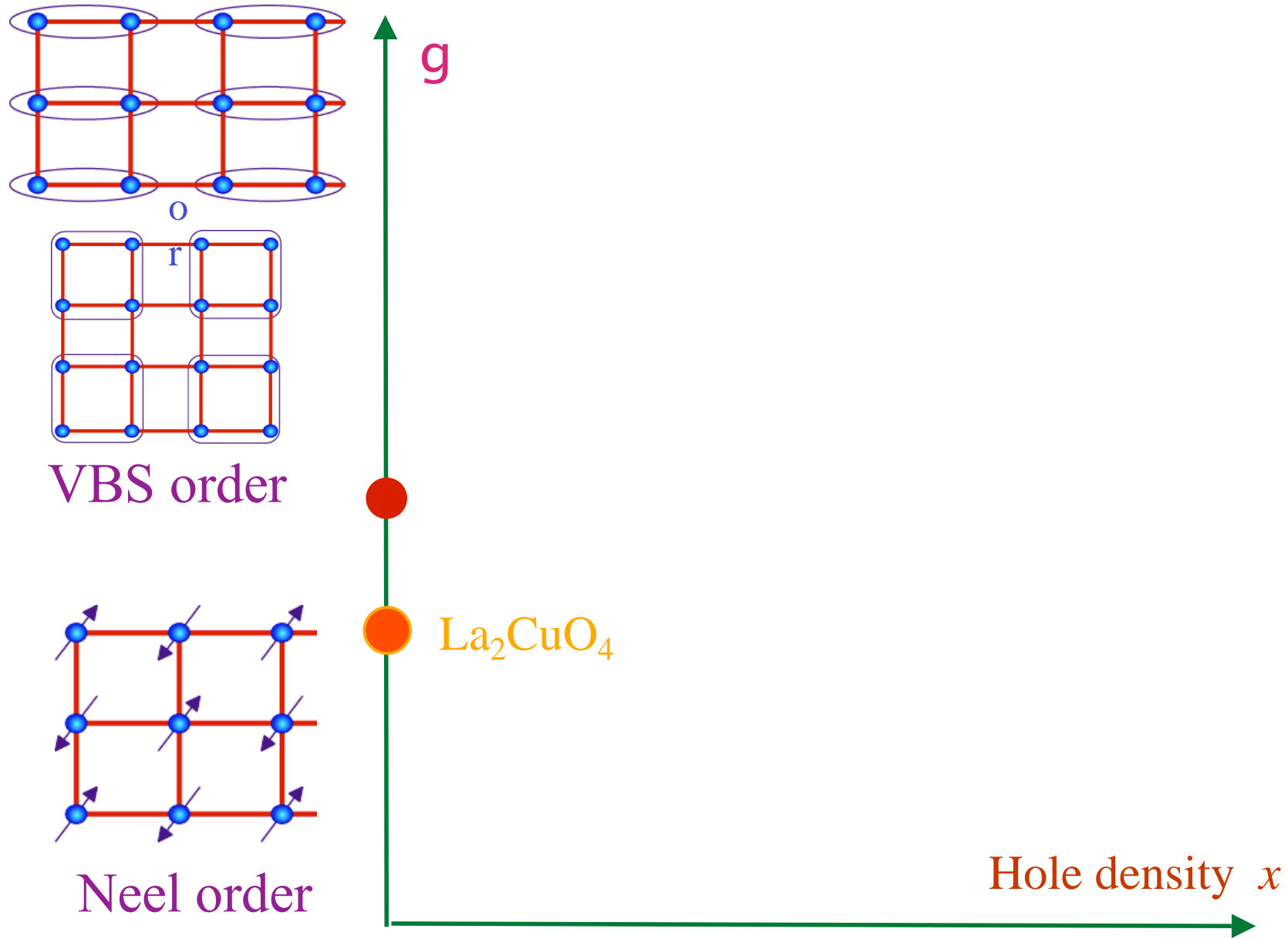
$g$

Second-order critical point described by

$$\mathcal{S}_z = \int d^2x d\tau \left[ |(\partial_\mu - iA_\mu)z_\alpha|^2 + r|z_\alpha|^2 + \frac{u}{2} (|z_\alpha|^2)^2 + \frac{1}{4e^2} (\partial_\mu A_\nu - \partial_\nu A_\mu)^2 \right]$$

at its critical point  $r = r_c$  where  $A_\mu$  is *non-compact*.

# Phase diagram of doped antiferromagnets



## Holes in the Néel state

Self-consistent spin-wave theory of a single hole dispersion in the Néel state shows that the energy minima are at  $(\pm\pi/2, \pm\pi/2)$ .

S. A. Trugman, Phys. Rev. B **37**, 1597 (1988).

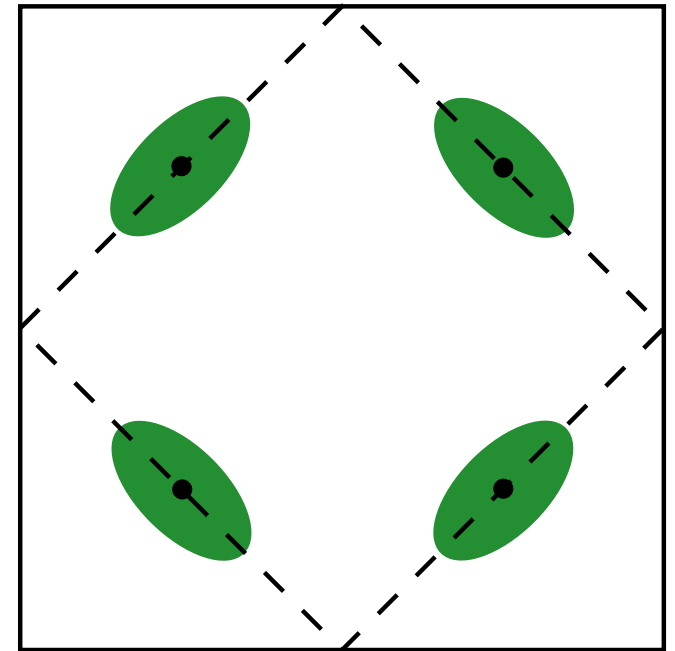
C. L. Kane, P. A. Lee, and N. Read, Phys. Rev. B **39**, 6880 (1989).

S. Sachdev, Phys. Rev. B **39**, 12232 (1989).

V. Elser, D. A. Huse, B. I. Shraiman, and E. D. Siggia, Phys. Rev. B **41**, 6715 (1990).

Y. M. Li, D. N. Sheng, Z. B. Su, and L. Yu, Phys. Rev. B **45**, 5428 (1992).

Z. Y. Weng, V. N. Muthukumar, D. N. Sheng, and C. S. Ting, Phys. Rev. B **63**, 075102 (2001).



*Néel*

Holes in two valleys at  $(\pi/2, \pm\pi/2)$ . Each hole also has a sublattice index. So area of each pocket at hole density  $x$  is

$$\mathcal{A} = (2\pi)^2 x/4$$

In the Néel state, the sublattice index is the same as the spin index

- Begin with the representation of the quantum antiferromagnet as the lattice  $CP^1$  model:

$$\mathcal{S}_z = -\frac{1}{g} \sum_{a,\mu} z_{a\alpha}^* e^{iA_{a\mu}} z_{a+\mu,\alpha} + i \sum_a \eta_a A_{a\tau}$$

- Write the electron operator at site  $r$ ,  $c_\alpha(r)$  in terms of **fermionic holon** operators  $f_\pm$

$$c_\alpha(r) = \begin{cases} f_+^\dagger(r) z_{r\alpha} & \text{for } r \text{ on sublattice A} \\ \varepsilon_{\alpha\beta} f_-^\dagger(r) z_{r\beta}^* & \text{for } r \text{ on sublattice B} \end{cases}$$

Note that the holons  $f_s$  have charge  $s$  under the U(1) gauge field  $A_\mu$ .

- Choose the dispersion,  $\epsilon(\vec{k})$  of the  $f_{\pm}$  in momentum space so that its minima are at  $(\pm\pi/2, \pm\pi/2)$ . *To avoid double-counting, these dispersions must be restricted to be within the diamond Brillouin zone.*

$$\mathcal{S}_f = \int d\tau \sum_{s=\pm} \int_{\diamond} \frac{d^2k}{4\pi^2} f_s^\dagger(\vec{k}) \left( \partial_\tau - isA_\tau + \epsilon(\vec{k} - s\vec{A}) \right) f_s(\vec{k})$$

- Include the hopping between opposite sublattices (Shraiman-Siggia term):

$$\begin{aligned} \mathcal{S}_t &= -t \sum_{\langle rr' \rangle} c_\alpha^\dagger(r) c_\alpha(r') + \text{h.c.} \\ &= -t \sum_{\langle rr' \rangle} (f_+^\dagger(r) z_{r\alpha})^\dagger \epsilon_{\alpha\beta} f_-^\dagger(r') z_{r'\beta}^* \end{aligned}$$

- Complete theory for doped antiferromagnet:

$$\mathcal{S} = \mathcal{S}_z + \mathcal{S}_f + \mathcal{S}_t$$

# Holes in the Néel state

In the Néel state, the holon gauge charge  $s = \pm$  is *identical* to the  $S_z$  quantum number.

This can be seen as an example of the “Meissner effect”. Apply a magnetic field  $H$  along the direction of the Néel state, and choose the “Higgs” condensate  $z_\alpha \propto (1, 0)$ . Then this condensate leads to a term in the action

$$\propto [iA_\mu - (H/2)\delta_{\mu\tau}]^2$$

So the  $A_\mu$  flux is “expelled”, but about a non-zero minimum with  $iA_\tau = H/2$ . Now evaluating the magnetization,  $M$ , by taking the derivative of the free energy with respect to  $H$ , the coupling of  $A_\tau$  to the fermions leads to a contribution

$$M = \frac{1}{2} \sum_s \int_{\diamond} \frac{d^2k}{4\pi^2} f_s^\dagger(k) f_s(k)$$

So the  $f_s$  holons carry spin  $s/2$  in the Néel state.

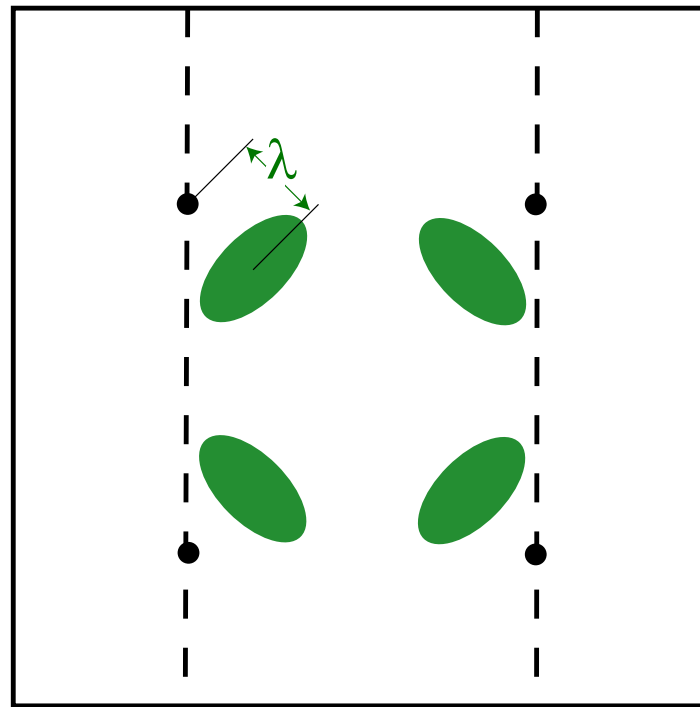
## Holes in the VBS state

In the VBS state, the holon gauge charge  $s = \pm$  is *decoupled* from the  $S_z$  quantum number.

- If we initially ignore the sublattice mixing, the holons and spinons bind to form  $S = 1/2$  holes. The  $f_+$  holon with bind with a spinon to give 2 hole species, and similarly for the  $f_-$  holon, for a total of *four* hole species.
- Sublattice mixing term will couple the holes with the same spin to yield new mixed eigenstates. This mixing has the effect of moving the dispersion minimum of the *hole* away from  $(\pi/2, \pm\pi/2)$ .

## Holes in the VBS state

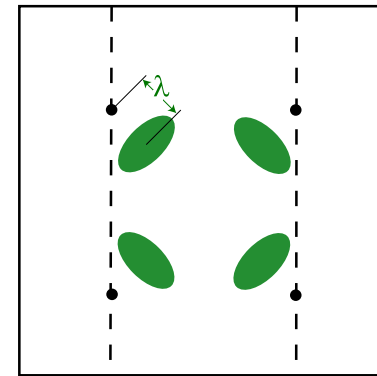
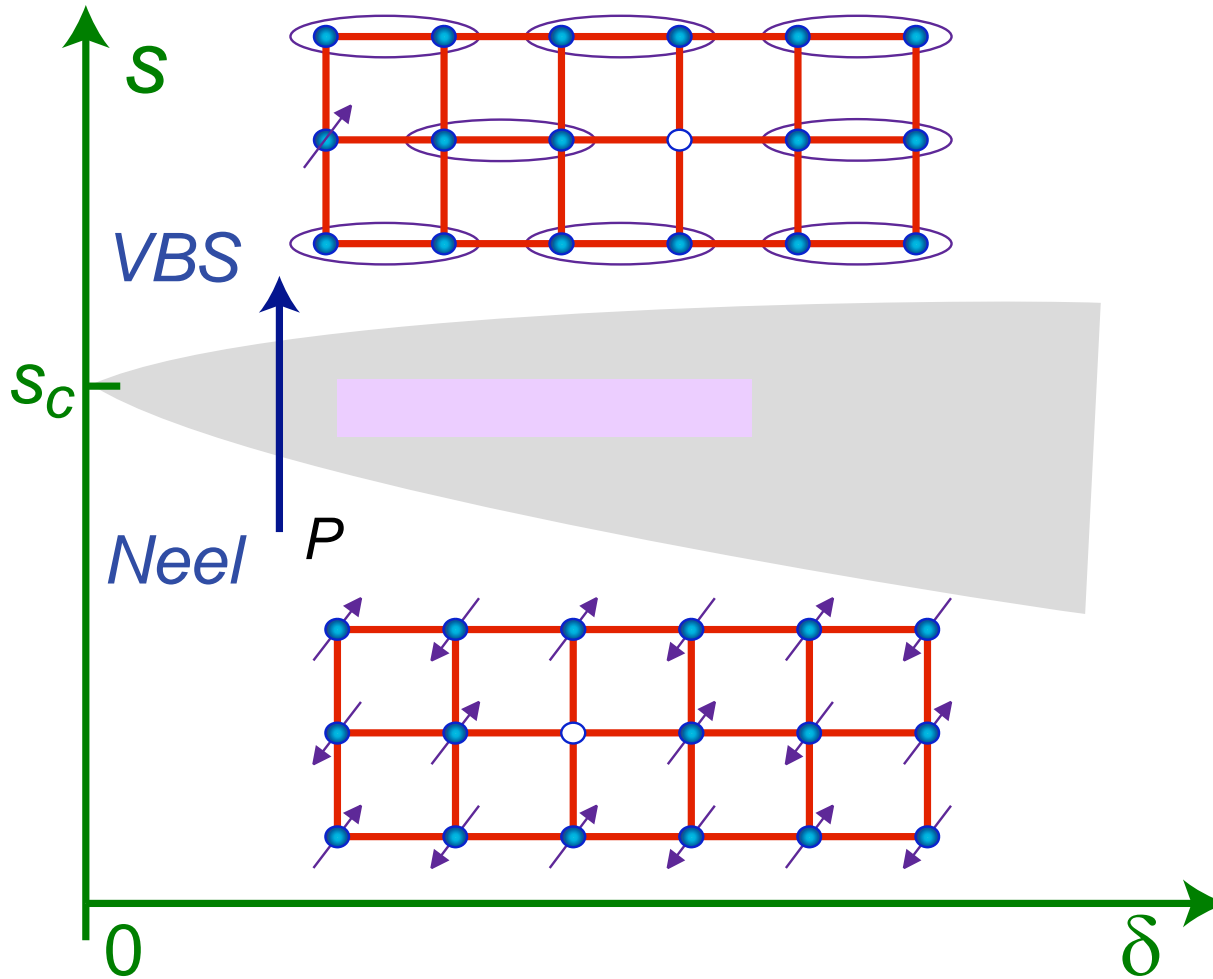
In the VBS state, the holon gauge charge  $s = \pm$  is *decoupled* from the  $S_z$  quantum number.



VBS

Area of each pocket,  $\mathcal{A} = (2\pi)^2 x/8$

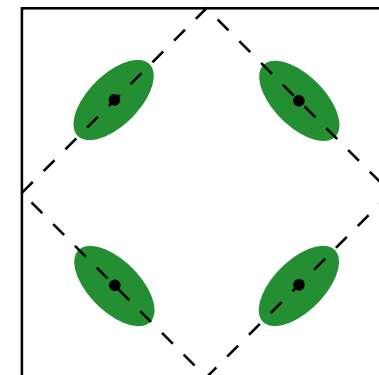
# Phase diagram of lightly doped antiferromagnet



VBS

$$\mathcal{A} = (2\pi)^2 x/8$$

$$\mathcal{A} = (2\pi)^2 x/4$$



Neel

## Pictorial explanation of factor of 2:

- In the Néel phase, sublattice index is identical to spin index. So for each valley and momentum, degeneracy of the hole state is 2.
- In the VBS state, the sublattice index and the spin index are distinct. So for each valley and momentum, degeneracy of the hole state is 4.

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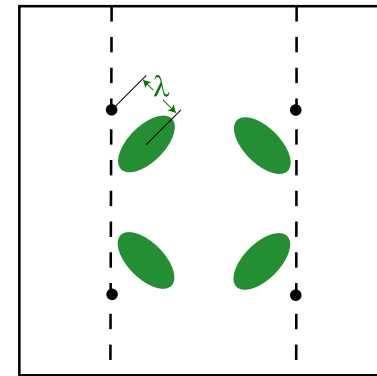
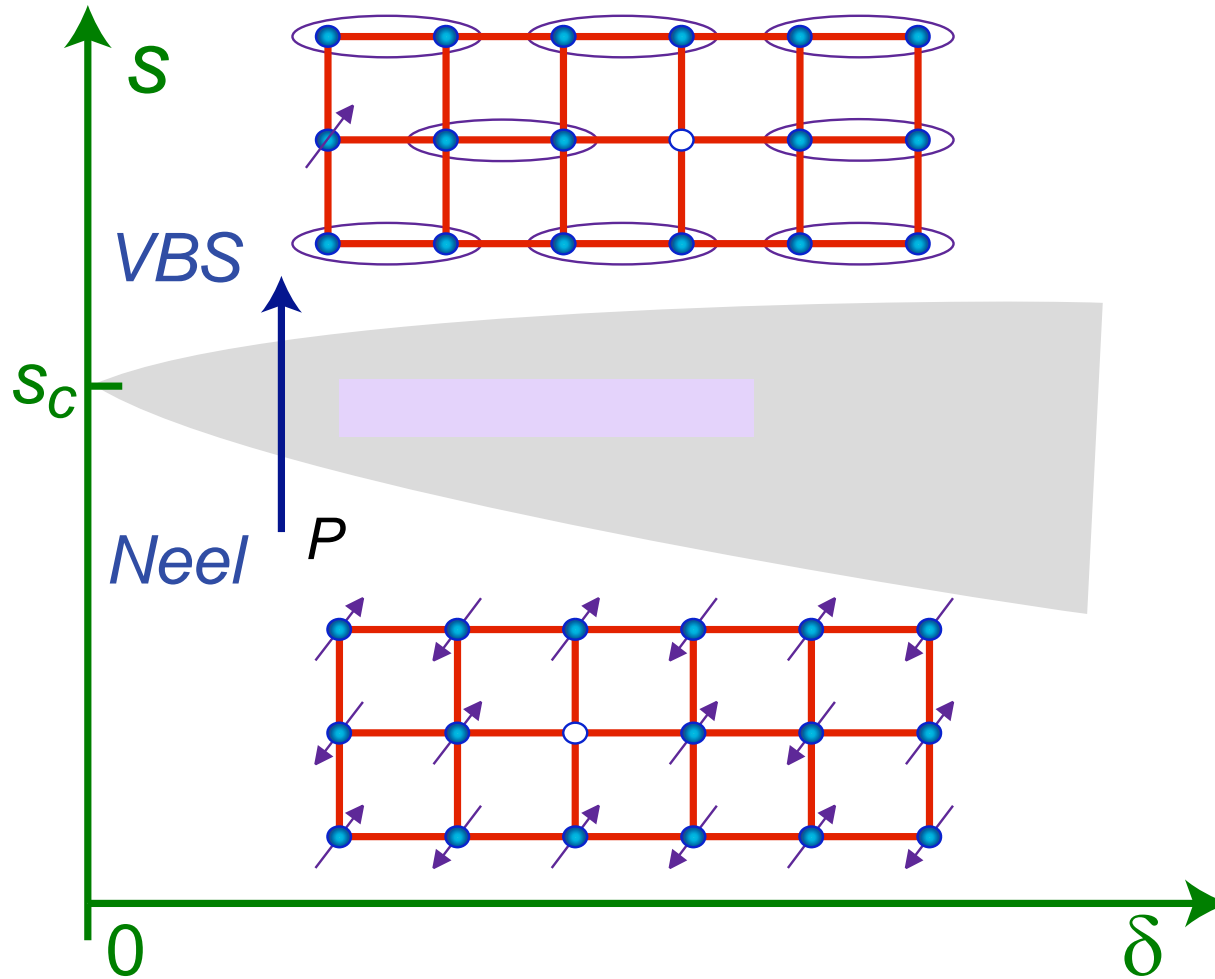
*Fermi liquid states*

## 4. Doped square lattice antiferromagnets

*Non-Fermi liquid holon metal*

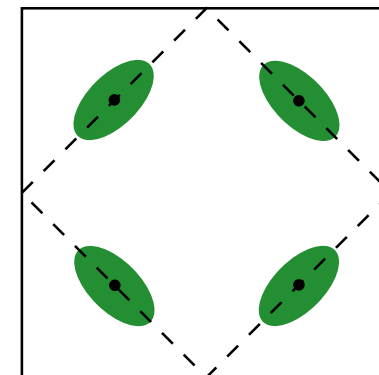
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# Phase diagram of lightly doped antiferromagnet

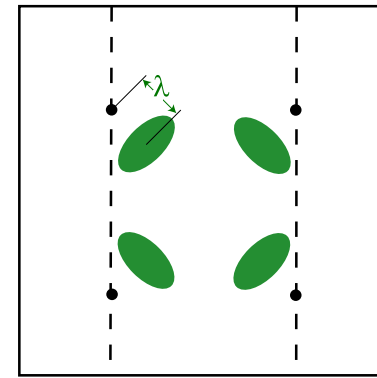
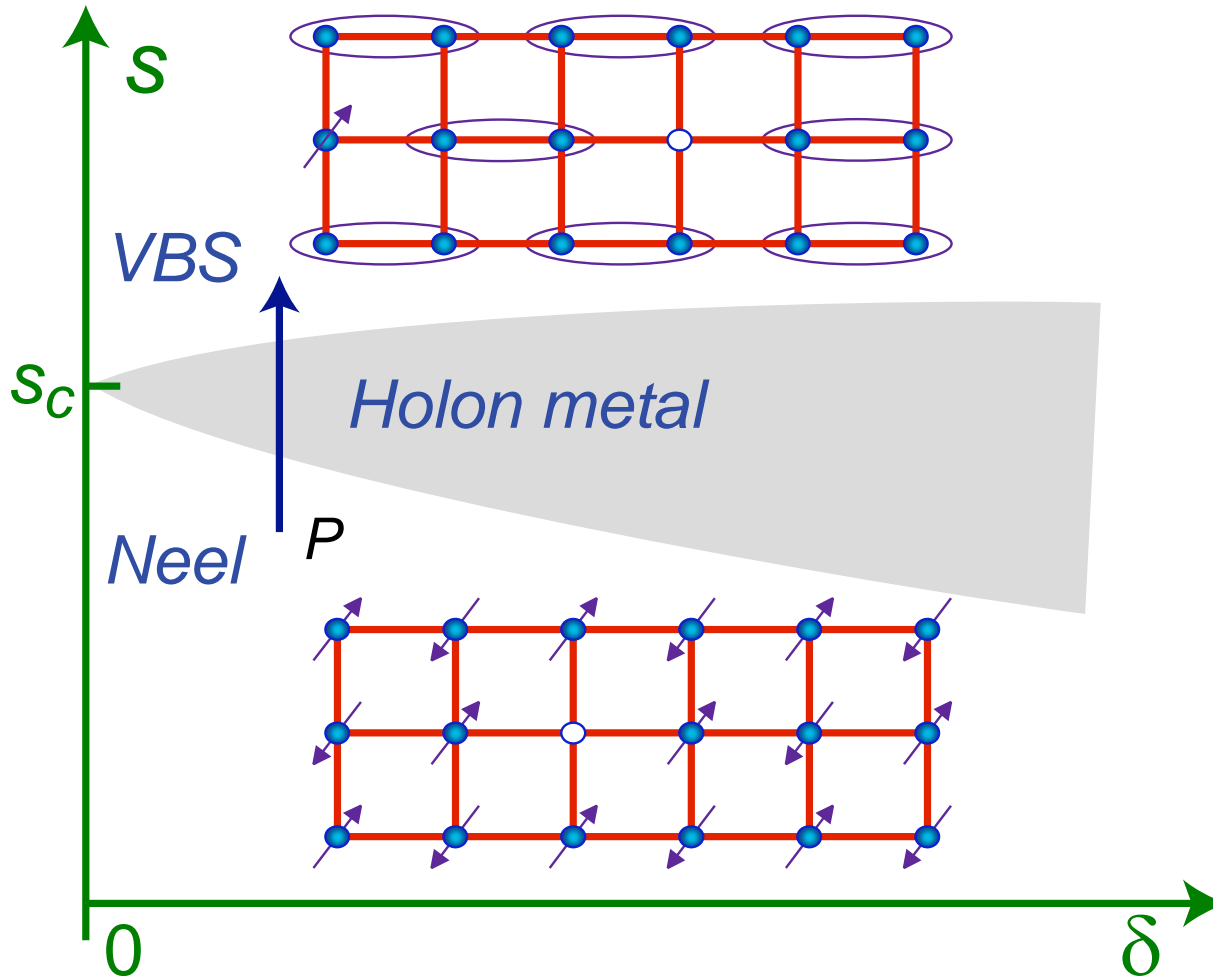


$$\mathcal{A} = (2\pi)^2 x/8$$

$$\mathcal{A} = (2\pi)^2 x/4$$



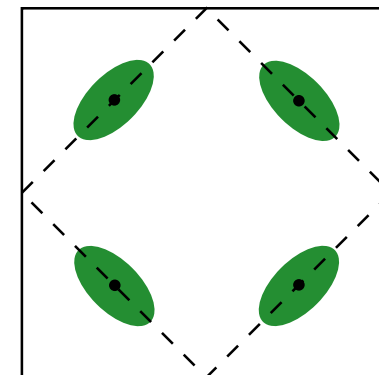
# Phase diagram of lightly doped antiferromagnet



VBS

$$\mathcal{A} = (2\pi)^2 x / 8$$

$$\mathcal{A} = (2\pi)^2 x / 4$$



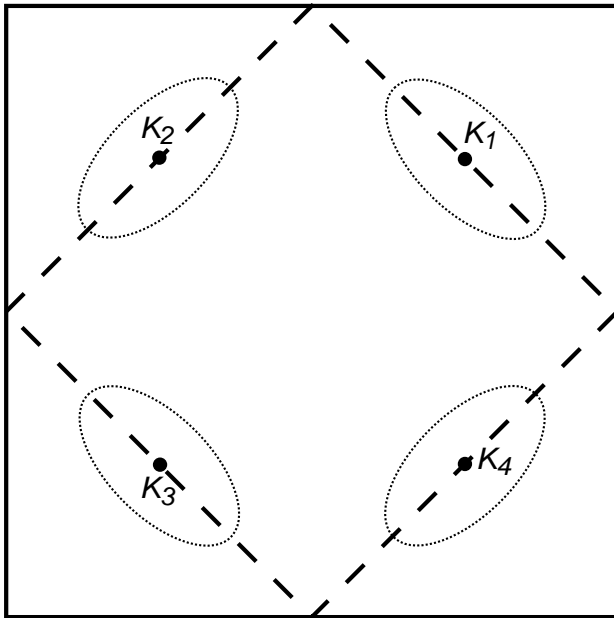
Neel

## A new non-Fermi liquid phase:

### The holon metal

An algebraic *charge* liquid.

- Ignore compactness in  $A_\mu$  and Berry phase term.
- Neutral spinons  $z_\alpha$  are gapped.
- Charge  $e$  fermions  $f_s$  form Fermi surfaces and carry charges  $s = \pm 1$  under the U(1) gauge field  $A_\mu$ .
- Quasi-long range order in a variety of VBS and pairing correlations.



Area of each Fermi pocket,

$$\mathcal{A} = (2\pi)^2 x/4.$$

The Fermi pocket will show sharp magnetoresistance oscillations, but it is invisible to photoemission.

# Effective action for holons and spinons in holon metal

Spinon dynamics

$$\mathcal{S}_{\text{holon metal}} = \int d\tau \sum_r \frac{1}{g} |(\partial_\tau - iA_\tau) z_{r\alpha}|^2 - \frac{1}{g'} \sum_{r,r'} (z_{r\alpha}^* e^{iA_{rr'}} z_{r'\alpha} + \text{c.c.})$$

$$\int d\tau \sum_{v,s} \int_{\diamond} \frac{d^2 k}{4\pi^2} f_s^\dagger(\vec{k}) \left( \partial_\tau - isA_\tau + \epsilon(\vec{k} - s\vec{A}) \right) f_s(\vec{k})$$

$$- t \sum_{\langle rr' \rangle} (f_+^\dagger(r) z_{r\alpha})^\dagger \epsilon_{\alpha\beta} f_-^\dagger(r') z_{r'\beta}^*$$

Holon dynamics

Sublattice mixing - attractive force between holons and spinons

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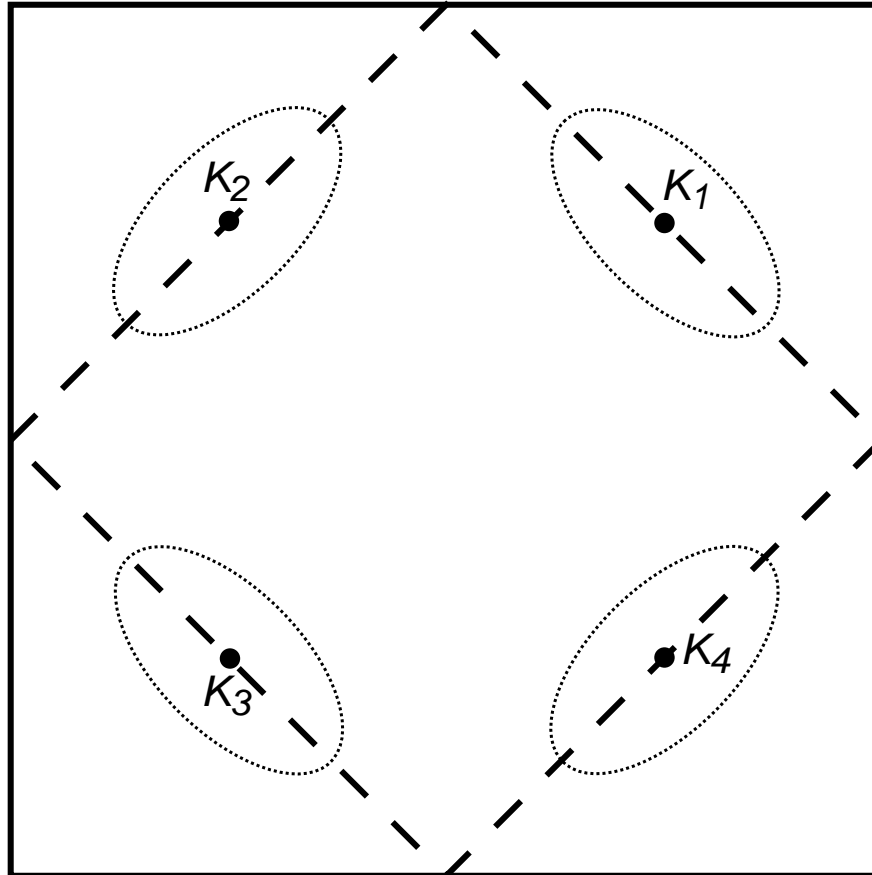
# Instabilities of the holon metal

-  Holon-spinon binding from sublattice mixing
  
-  Holon-holon pairing leading to *d*-wave superconductivity

Resulting phases are also algebraic charge liquids



## Holon-spinon binding from sublattice mixing



# Effective action for holons and spinons in holon metal

Spinon dynamics

$$\mathcal{S}_{\text{holon metal}} = \int d\tau \sum_r \frac{1}{g} |(\partial_\tau - iA_\tau) z_{r\alpha}|^2 - \frac{1}{g'} \sum_{r,r'} (z_{r\alpha}^* e^{iA_{rr'}} z_{r'\alpha} + \text{c.c.})$$

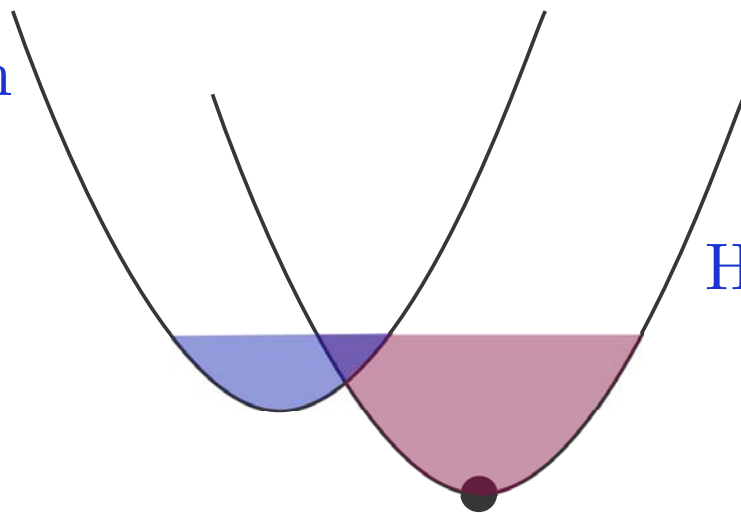
$$\int d\tau \sum_{v,s} \int_{\diamond} \frac{d^2 k}{4\pi^2} f_s^\dagger(\vec{k}) \left( \partial_\tau - isA_\tau + \epsilon(\vec{k} - s\vec{A}) \right) f_s(\vec{k})$$

$$- t \sum_{\langle rr' \rangle} (f_+^\dagger(r) z_{r\alpha})^\dagger \epsilon_{\alpha\beta} f_-^\dagger(r') z_{r'\beta}^*$$

Holon dynamics

Sublattice mixing - attractive force between holons and spinons

Hole dispersion



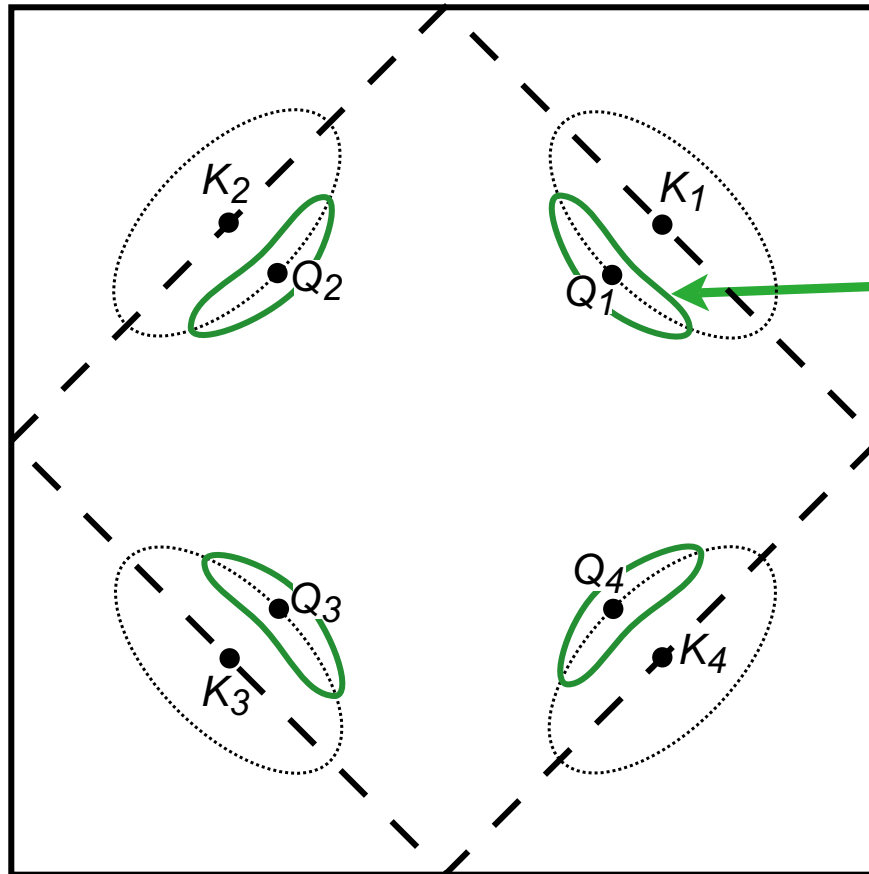
Holon dispersion

$K_1$

$k_x + k_y$



## Holon-spinon binding from sublattice mixing



Banana Hole Fermi surfaces, visible to photoemission

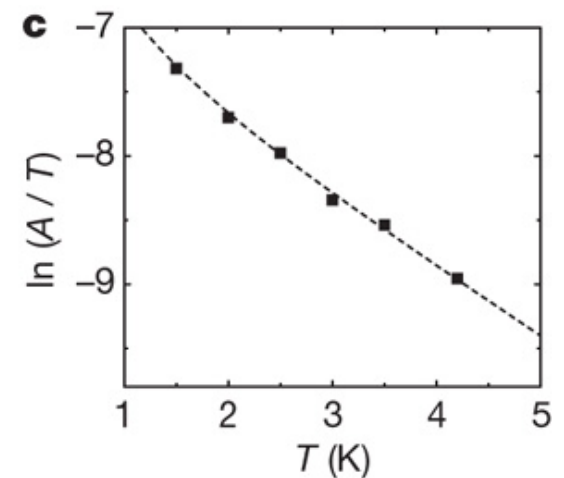
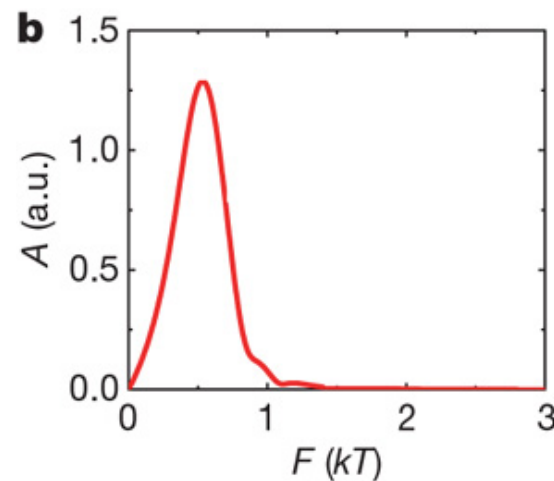
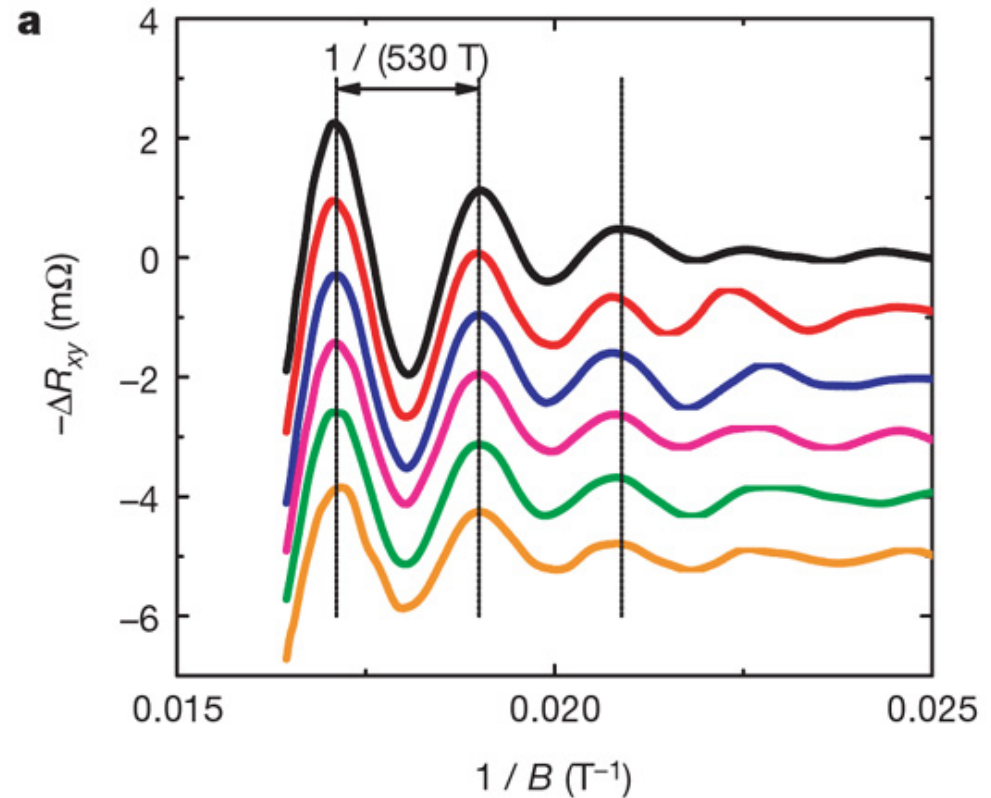
A. Kanigel, M. R. Norman, M. Randeria, U. Chatterjee, S. Suoma, A. Kaminski, H. M. Fretwell, S. Rosenkranz, M. Shi, T. Sato, T. Takahashi, Z. Z. Li, H. Raffy, K. Kadowaki, D. Hinks, L. Ozyuzer, and J. C. Campuzano, *Nature Physics* **2**, 447 (2006).

The lowest energy holons will bind the spinons to form a Fermi surface of “molecules” – a “banana” Fermi surface of  $S = 1/2$  holes. Now we have

$$2\mathcal{A}_{\text{banana}} + \mathcal{A}_{\text{holon}} = (2\pi)^2 x/4$$

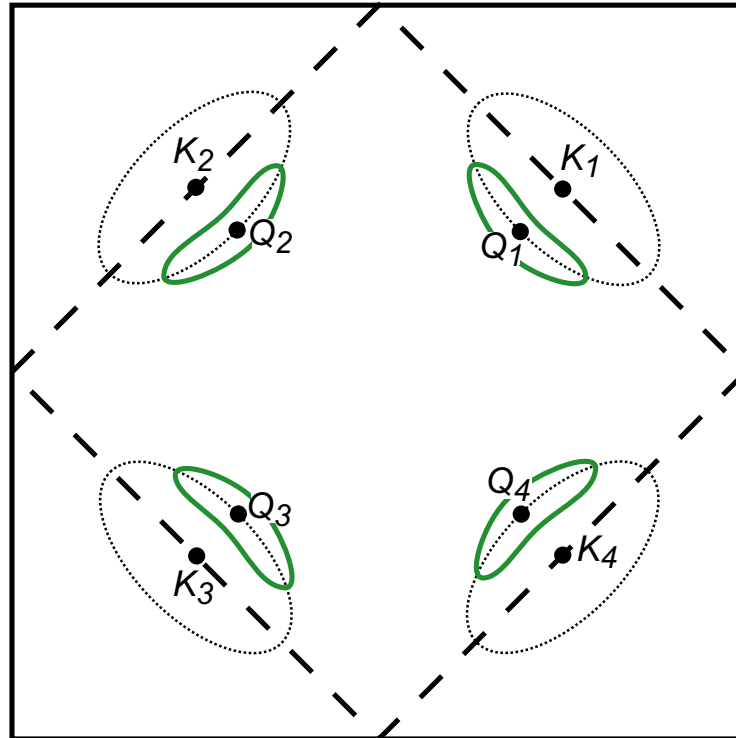
Quantum oscillations and the Fermi surface in an underdoped high  $T_c$  superconductor (ortho-II ordered  $\text{YBa}_2\text{Cu}_3\text{O}_{6.5}$ ).

N. Doiron-Leyraud, C. Proust, D. LeBoeuf, J. Levallois, J.-B. Bonnemaïson, R. Liang, D. A. Bonn, W. N. Hardy, and L. Taillefer, *Nature* **447**, 565 (2007)





## Holon-spinon binding from sublattice mixing



Recent experiments have observed magnetoresistance oscillations at an area  $\mathcal{A} = (2\pi)^2(0.076)/4$  for  $x = 0.1$ . We propose these are due to holon Fermi surfaces. The deficit is taken up by the hole “banana” Fermi surfaces, which we predict will lead to magnetoresistance oscillations at a frequency associated with the area  $\mathcal{A}_{\text{banana}} = (2\pi)^2(0.012)/4$ .



[arXiv:0707.0057](https://arxiv.org/abs/0707.0057)

**Title: Quantum Oscillations in the Underdoped Cuprate  
YBa<sub>2</sub>Cu<sub>4</sub>O<sub>8</sub>**

Authors: [E. A. Yelland](#), [J. Singleton](#), [C. H. Mielke](#), [N. Harrison](#),  
[F. F. Balakirev](#), [B. Dabrowski](#), [J. R. Cooper](#)

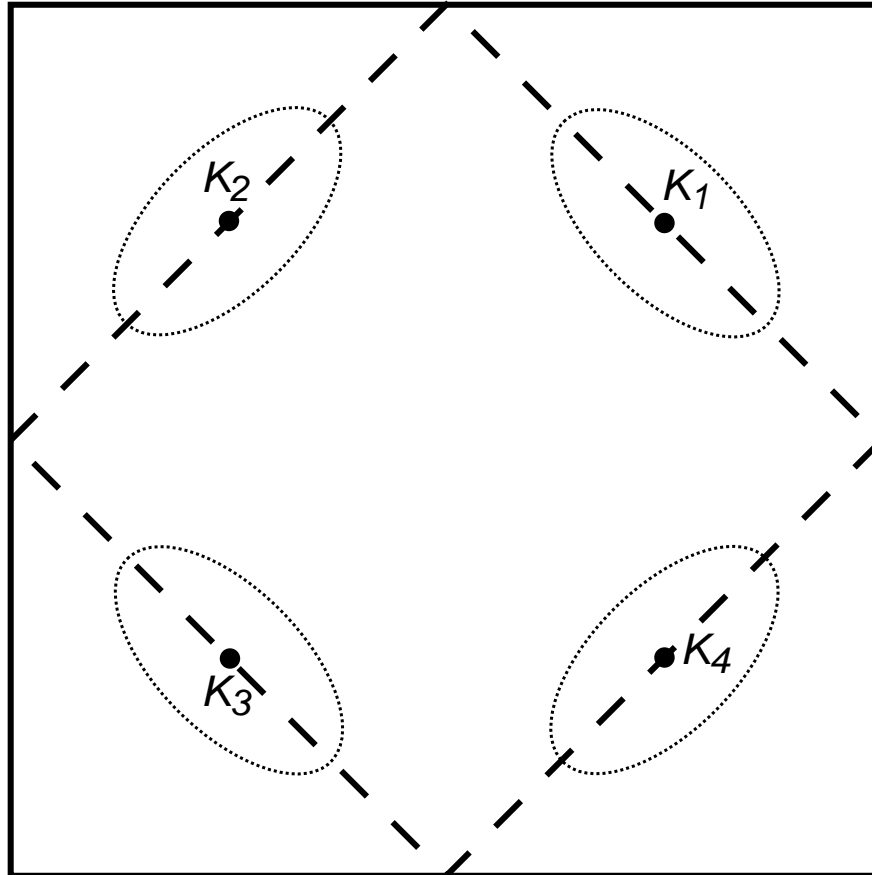
We report the observation of quantum oscillations in the underdoped cuprate superconductor YBa<sub>2</sub>Cu<sub>4</sub>O<sub>8</sub> using a tunnel–diode oscillator technique in pulsed magnetic fields up to 85T. There is a clear signal, periodic in inverse field, with frequency  $660 \pm 15$ T and some evidence for the presence of two components of slightly different frequency. The quasiparticle mass is  $m^* = 3.0 \pm 0.3 m_e$ . In conjunction with the results of Doiron–Leyraud et al. for YBa<sub>2</sub>Cu<sub>3</sub>O<sub>6.5</sub>, the present measurements suggest that Fermi surface pockets are a general feature of underdoped copper oxide planes and provide information about the doping dependence of the Fermi surface.

## Holon pairing leading to $d$ -wave superconductivity

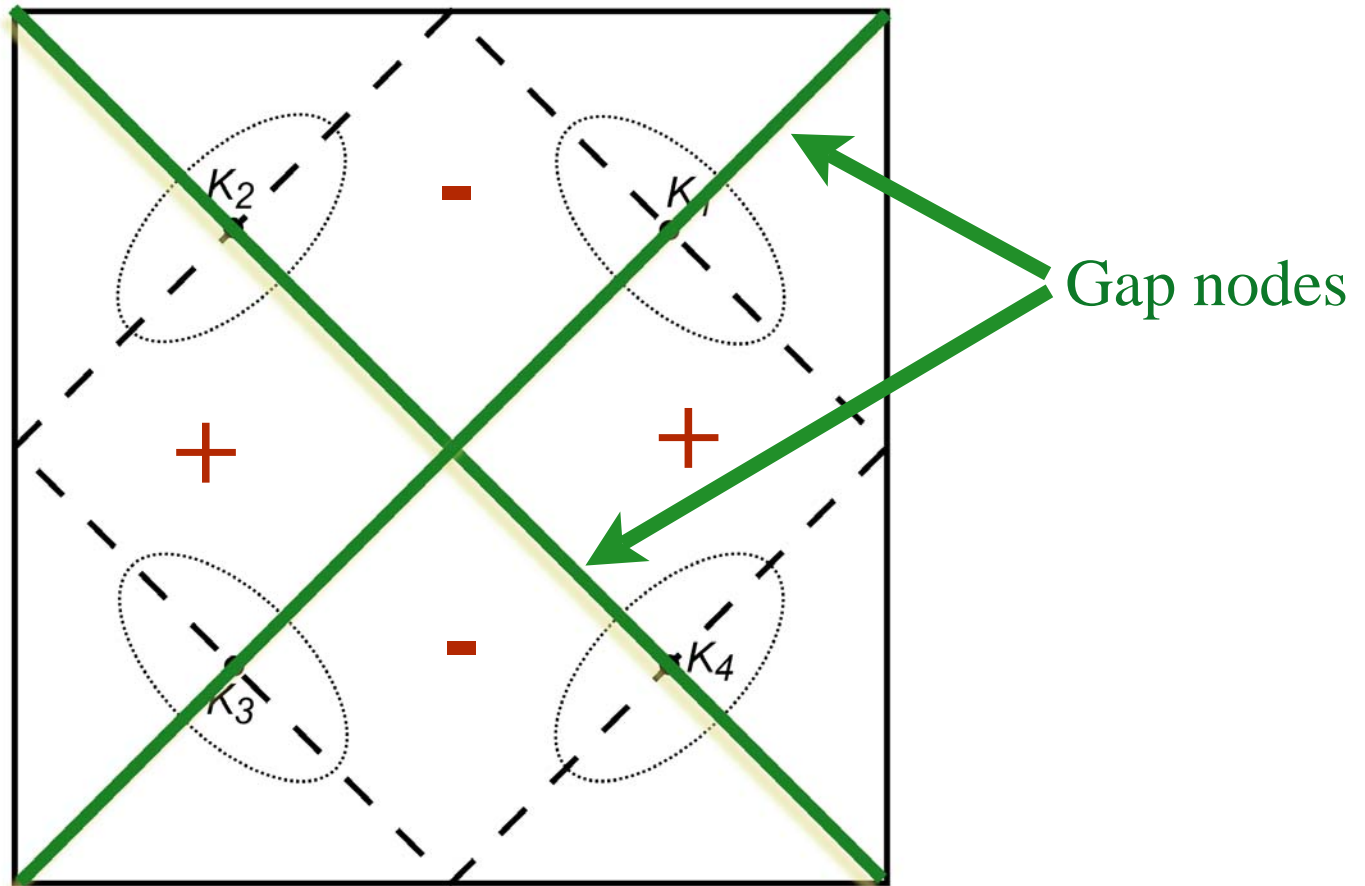
-  First consider holon pairing in the Neel state, where holon=hole.
-  This was studied in V. V. Flambaum, M. Yu. Kuchiev, and O. P. Sushkov, *Physica C* **227**, 267 (1994); V. I. Belincher *et al.*, *Phys. Rev. B* **51**, 6076 (1995). They found  $p$ -wave pairing of holons, induced by spin-wave exchange from the sublattice mixing term  $\mathcal{S}_t$ . This corresponds to  $d$ -wave pairing of physical electrons



# Holon pairing leading to $d$ -wave superconductivity

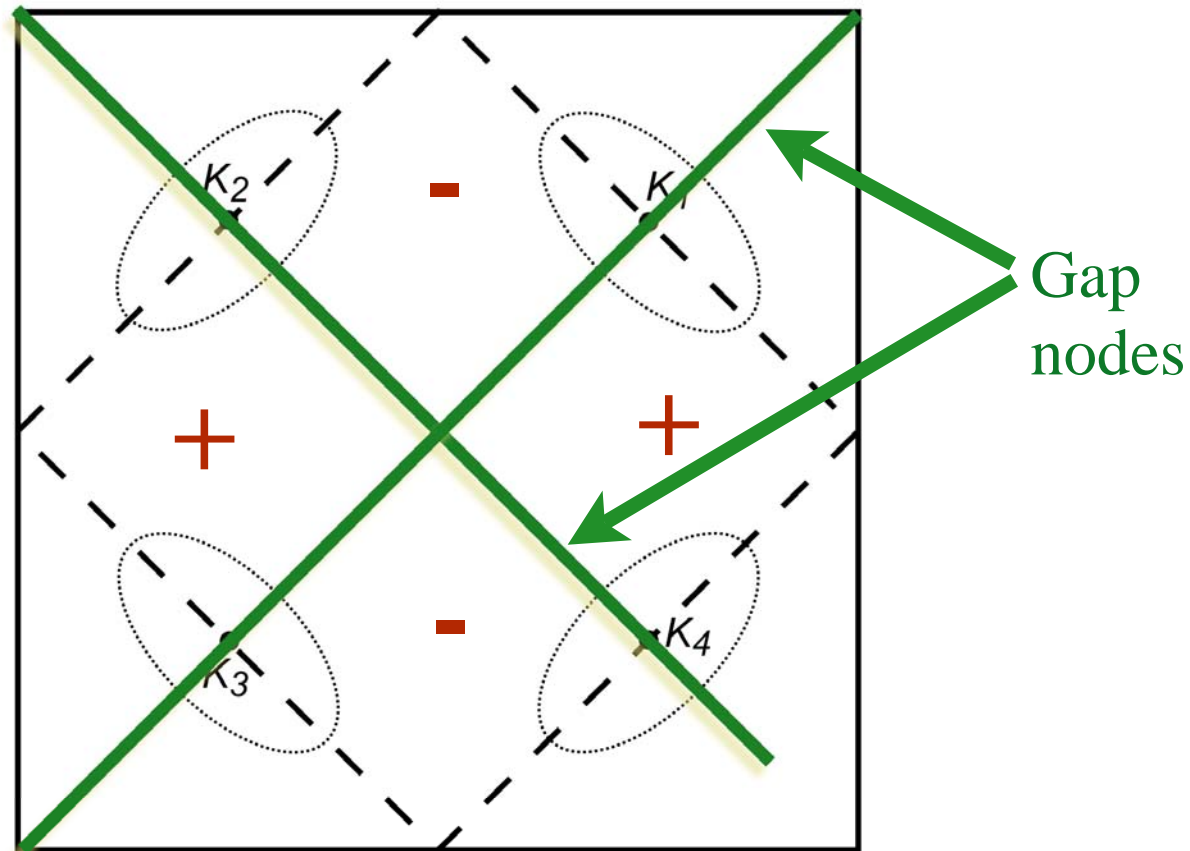


- Holon pairing leading to  $d$ -wave superconductivity





## Holon pairing leading to $d$ -wave superconductivity



We assume the same pairing holds across a transition involving loss of long-range Néel order. The resulting phase is another algebraic charge liquid - the *holon superconductor*. This superconductor has gapped spinons with no electrical charge, and spinless, nodal Bogoliubov-Dirac quasiparticles. Both the spinons and nodal fermions are coupled to the U(1) gauge field  $A_\mu$ .

# Low energy theory of holon superconductor

4 two-component Dirac quasiparticles coupled to a U(1) gauge field

$$\mathcal{S}_{\text{holon superconductor}} = \int d\tau d^2r \left[ \frac{1}{2e_0^2} (\epsilon_{\mu\nu\lambda} \partial_\nu A_\lambda)^2 + \sum_{i=1}^4 \psi_i^\dagger (D_\tau - iv_F(\partial_x - iA_x)\tau^x - iv_F(\partial_y - iA_y)\tau^y) \psi_i \right]$$

# Low energy theory of holon superconductor

External vector potential  $\vec{A}$  couples as

$$\mathcal{H}_A = \vec{j} \cdot \vec{A}$$

where

$$j_x = v_F \left( \psi_3^\dagger \psi_3 - \psi_1^\dagger \psi_1 \right) \quad , \quad j_y = v_F \left( \psi_4^\dagger \psi_4 - \psi_2^\dagger \psi_2 \right)$$

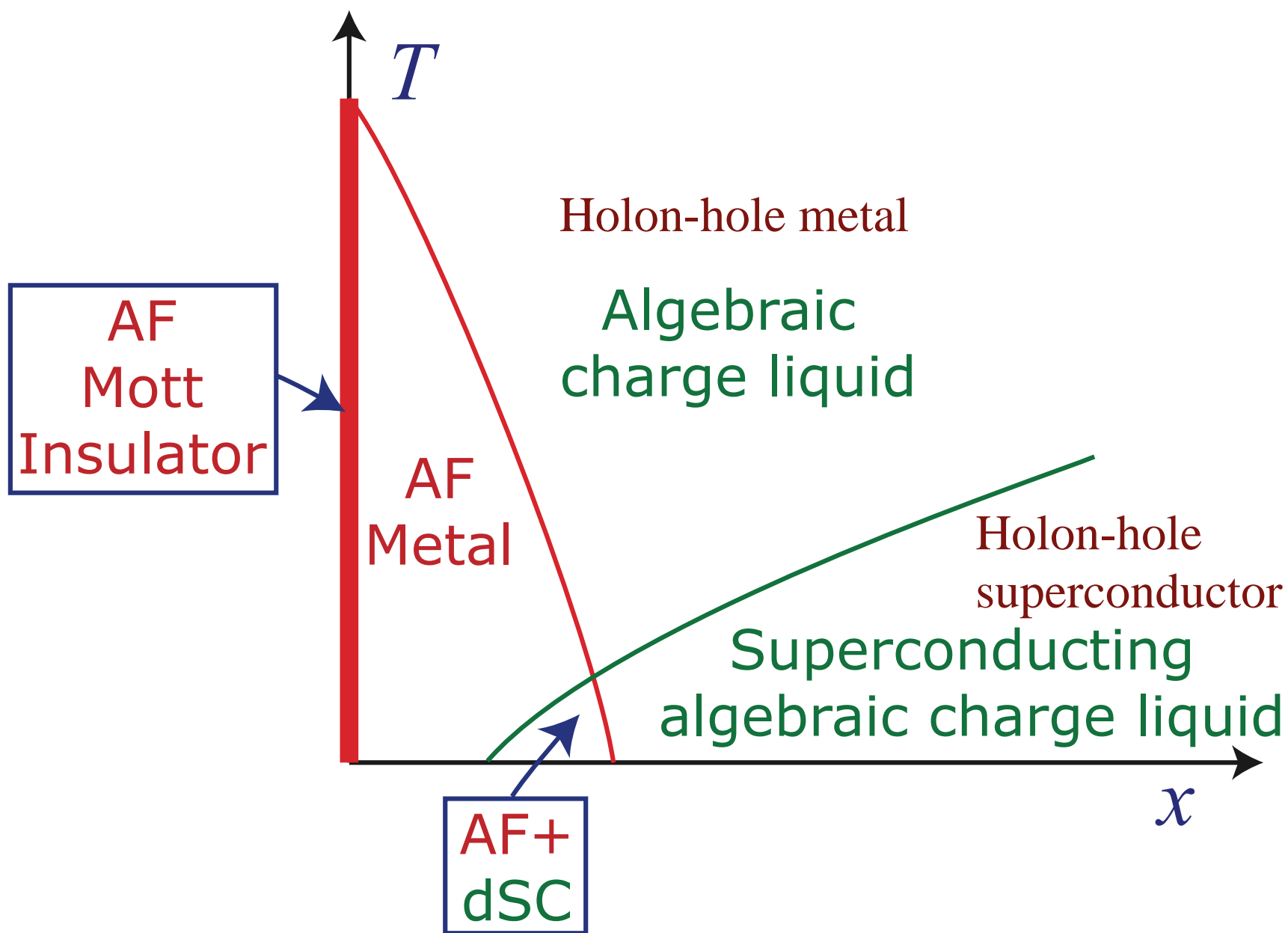
are conserve charges of  $\mathcal{S}_{\text{holon}}$  superconductor.

**Fundamental property:** The superfluid density,  $\rho_s$ , has the following  $x$  and  $T$  dependence:

$$\rho_s(x, T) = cx - \mathcal{R}k_B T$$

where  $c$  is a non-universal constant and  $\mathcal{R}$  is a universal constant obtained in a  $1/N$  expansion ( $N = 4$  is the number of Dirac fermions):

$$\mathcal{R} = 0.4412 + \frac{0.074}{N} + \dots$$



# Conclusions

1. Good evidence for deconfined criticality in insulating square lattice antiferromagnets
2. Algebraic charge liquids appear naturally upon doping antiferromagnets in the vicinity of such a transition.
3. The holon-hole metal is proposed as an explanation of magnetoresistance oscillations in underdoped cuprates
4. The holon superconductor has a superfluid density whose doping and temperature dependence matches observations.