

# Planckian metals and SYK criticality

KAIST, Korea  
February 19, 2021

Subir Sachdev

Talk online: [sachdev.physics.harvard.edu](https://sachdev.physics.harvard.edu)



PHYSICS



HARVARD

1. Key puzzle in the cuprates
2. Numerical solution of the  $SU(2)$  random  $t$ - $j$  model
3. Large- $M$  SYK solution of the  $SU(M)$  random  $t$ - $j$  model

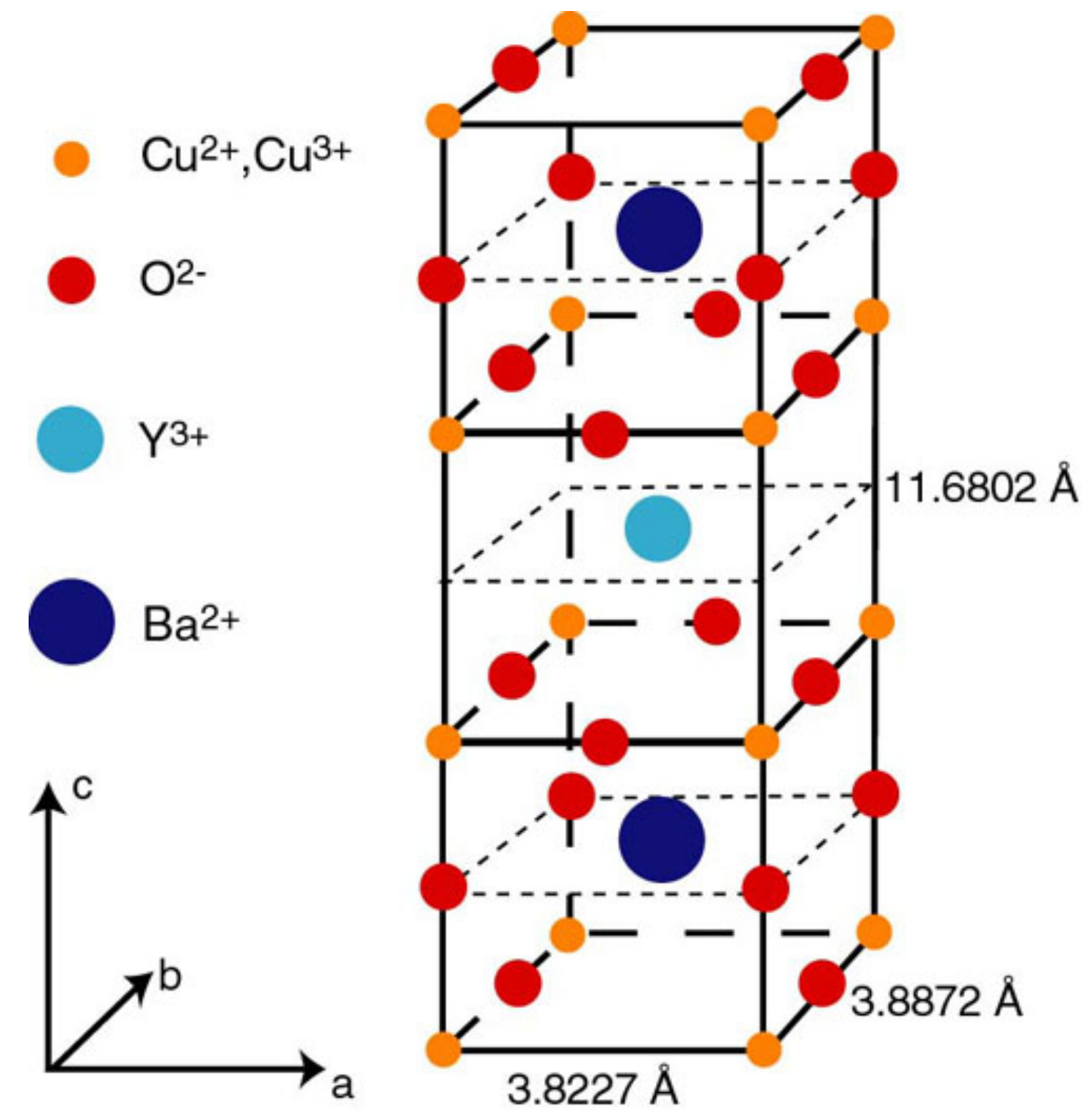
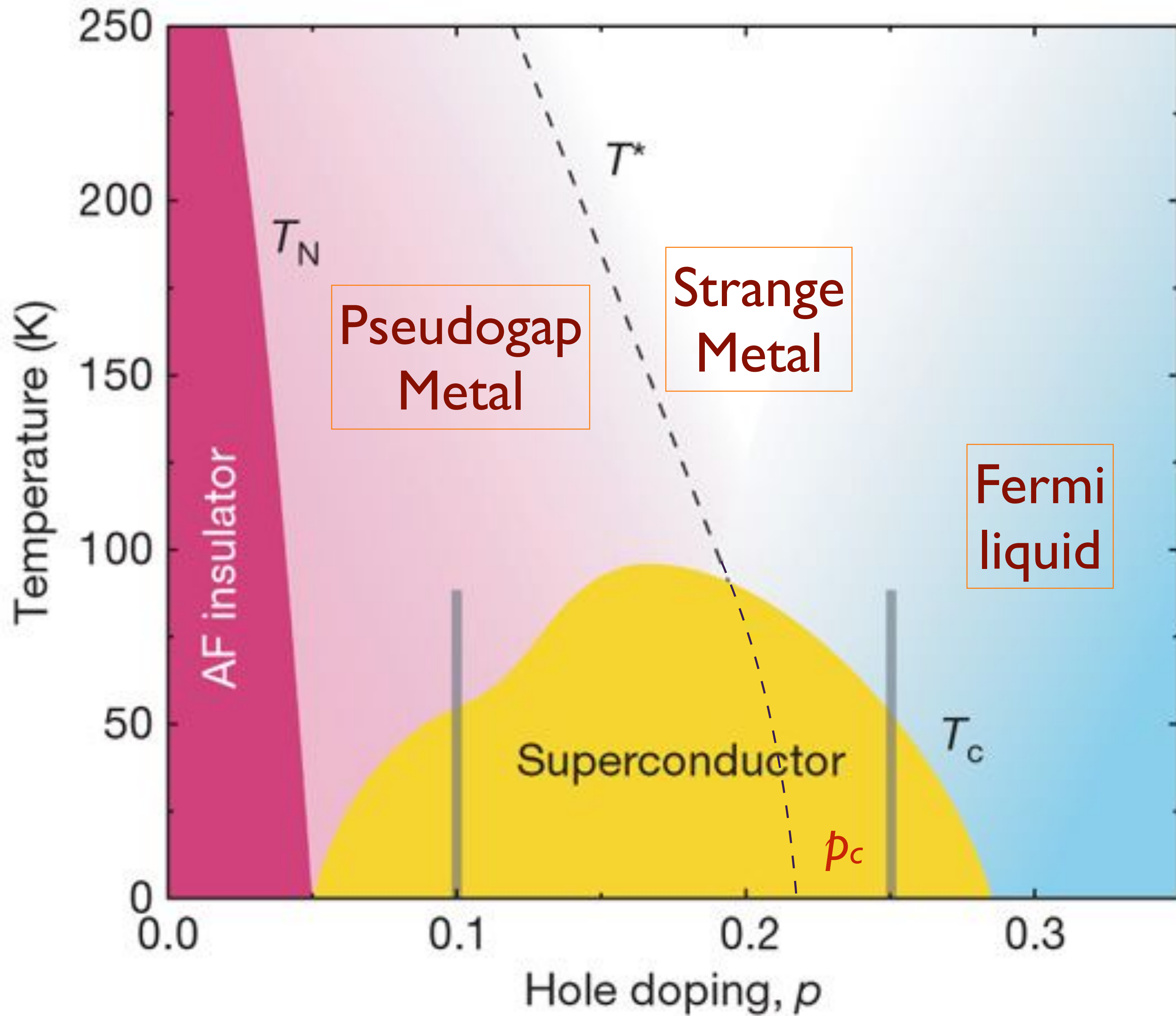
*Fractionalization and deconfined criticality*

1. Key puzzle in the cuprates

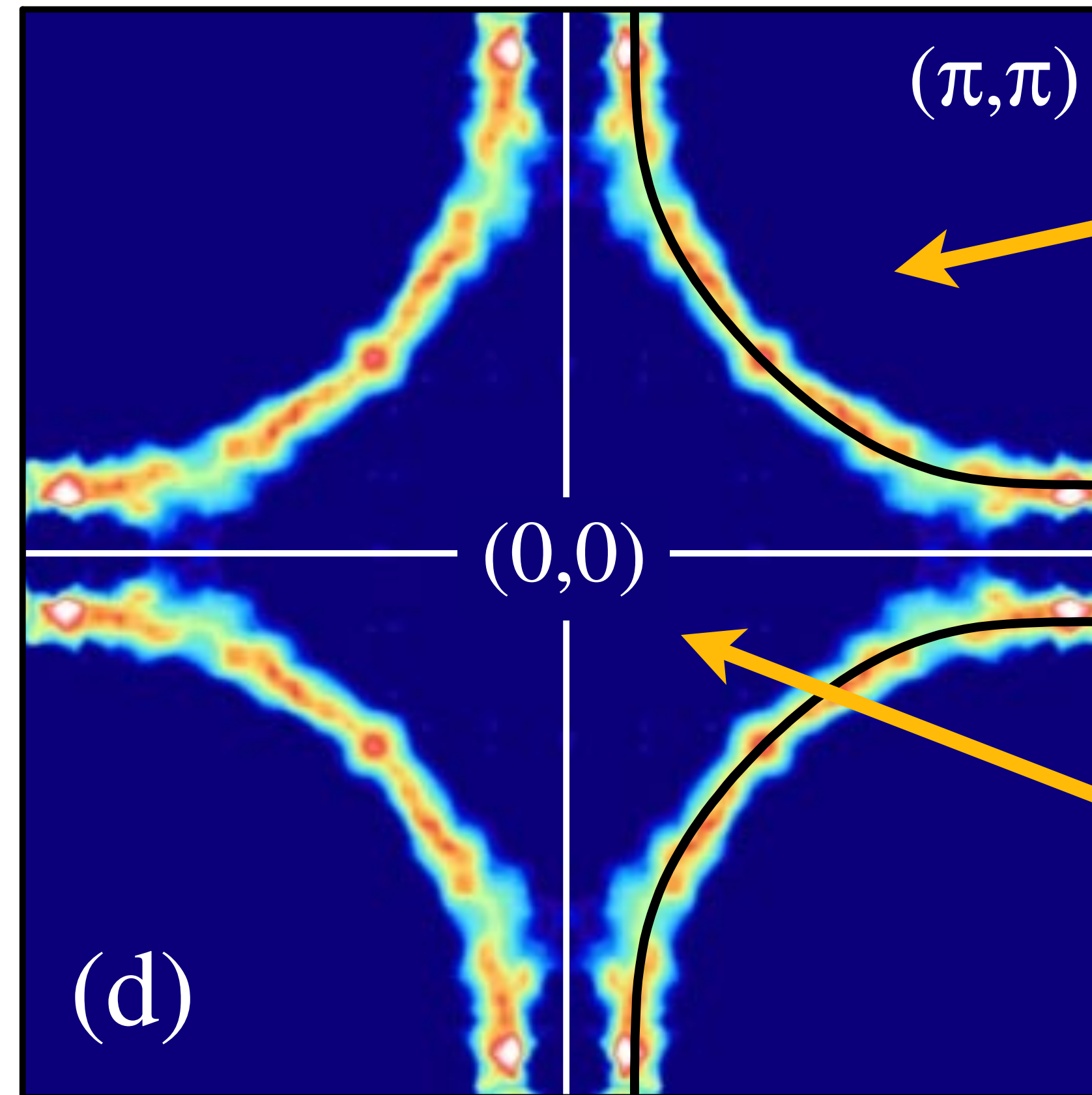
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# Momentum-space view at large $p$



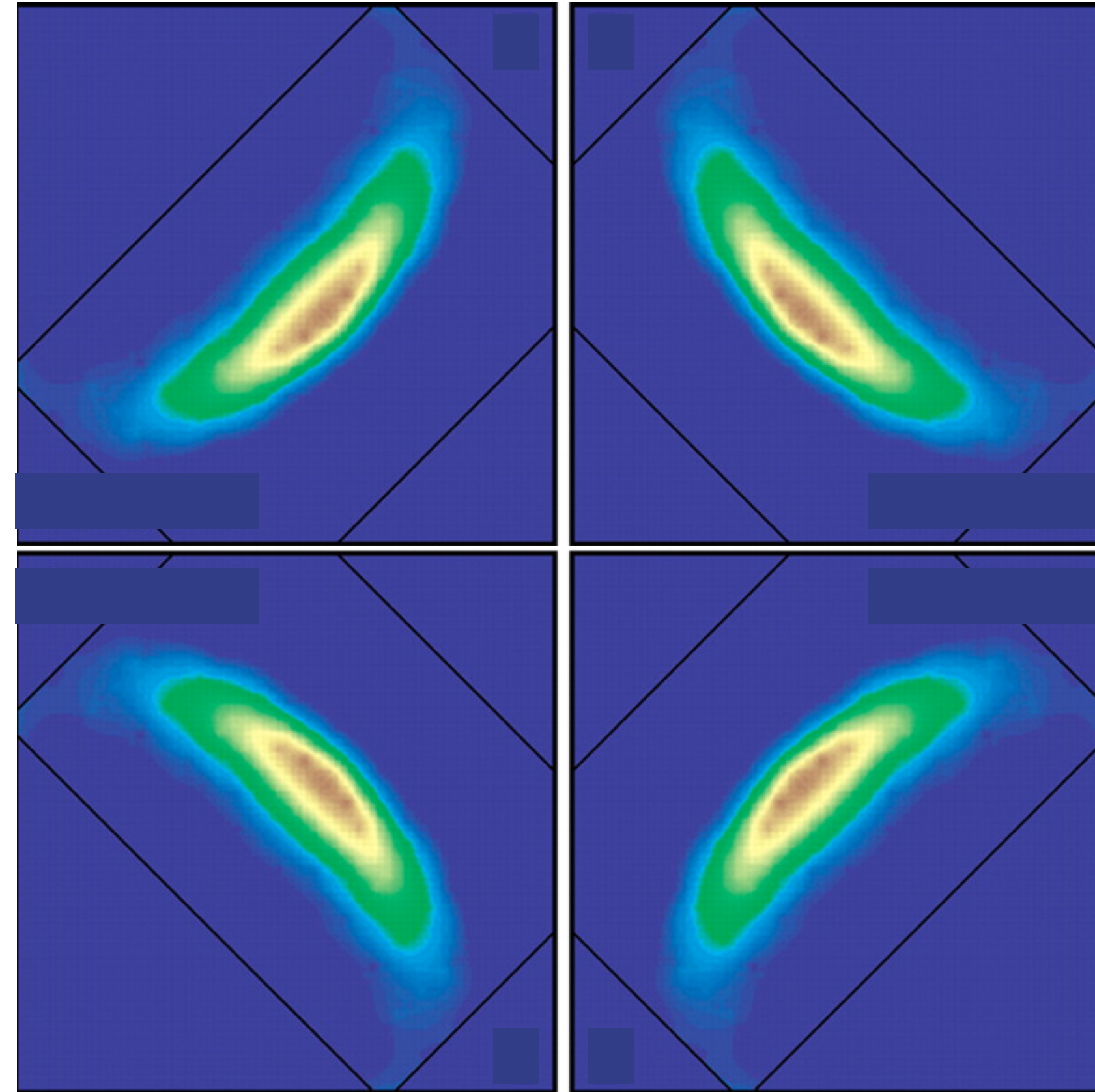
$l+p$  holes

Overdoped  $\text{Tl}_2\text{Ba}_2\text{CuO}_{6+\delta}$   
 $T_c = 30\text{K}$

$l-p$  electrons

$l+p$  mobile holes in a filled band

# Momentum-space view at small $p$



$\text{Ca}_{2-x}\text{Na}_x\text{CuO}_2\text{Cl}_2$   
at  $x = 0.10$

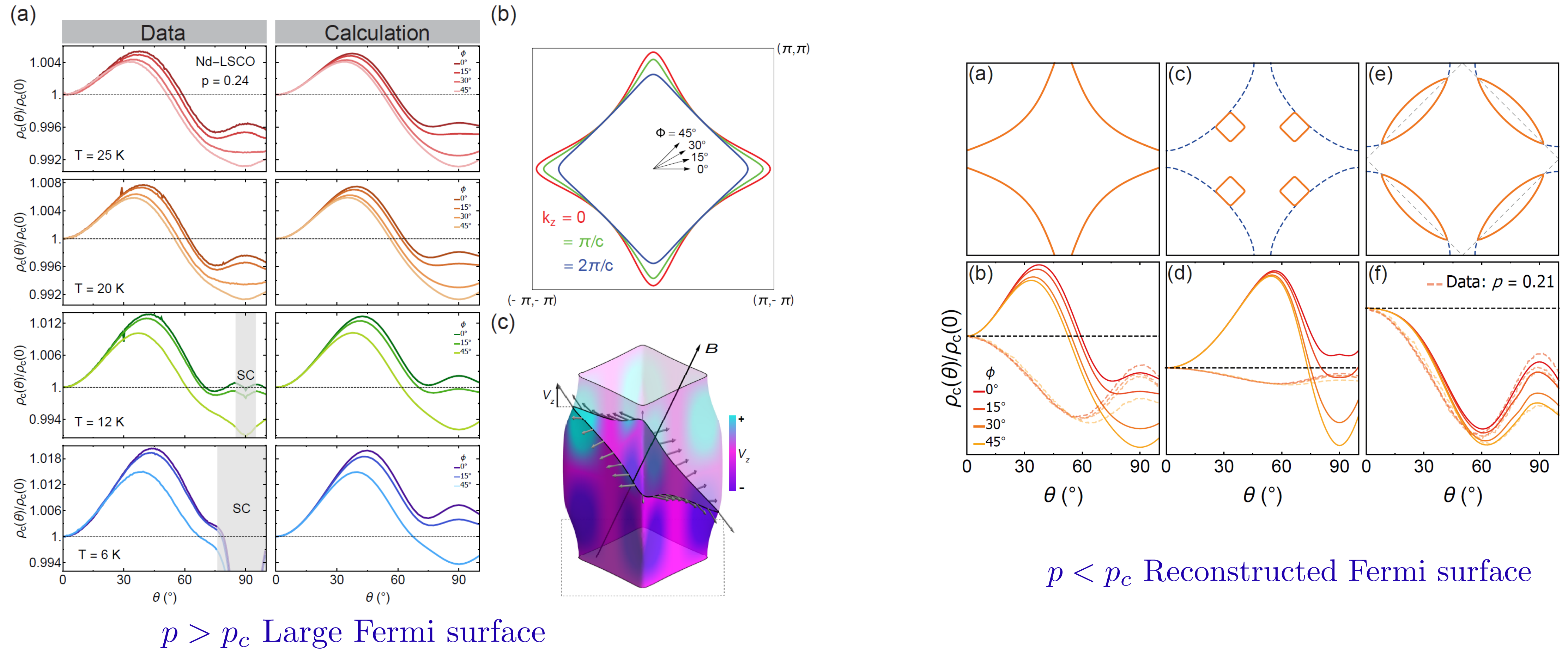
*“Fermi arcs”*

Kyle M. Shen, F. Ronning, D. H. Lu, F. Baumberger, N. J. C. Ingle, W. S. Lee, W. Meevasana, Y. Kohsaka, M. Azuma, M. Takano, H. Takagi, Z.-X. Shen, *Science* **307**, 901 (2005)

# Fermi surface transformation at the pseudogap critical point of a cuprate superconductor

Yawen Fang, Gaël Grissonnanche, Anaëlle Legros, Simon Verret, Francis Laliberté, Clément Collignon, Amirreza Ataei, Maxime Dion, Jianshi Zhou, David Graf, M. J. Lawler, Paul Goddard, Louis Taillefer, and B. J. Ramshaw, arXiv:2004.01725

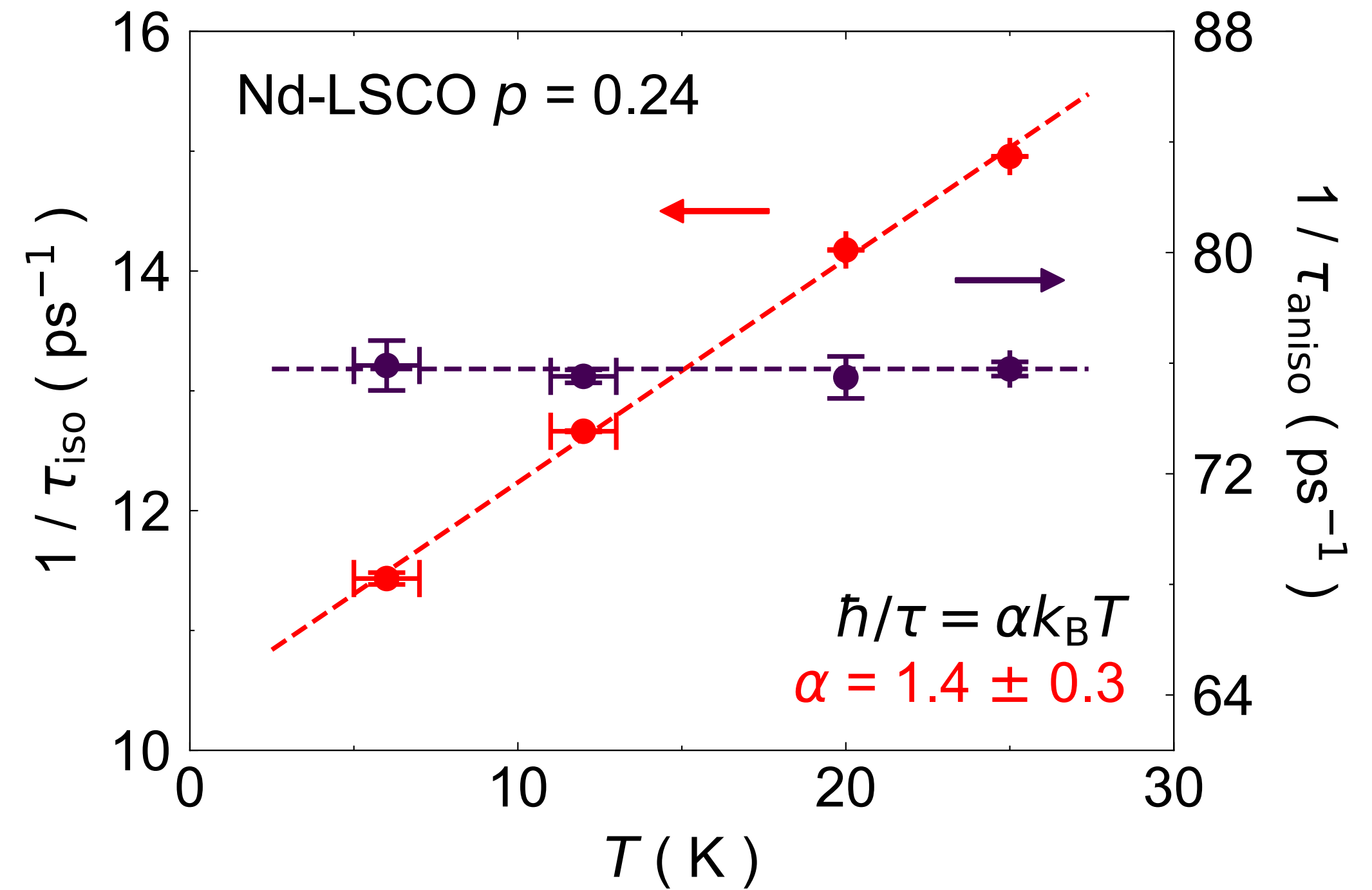
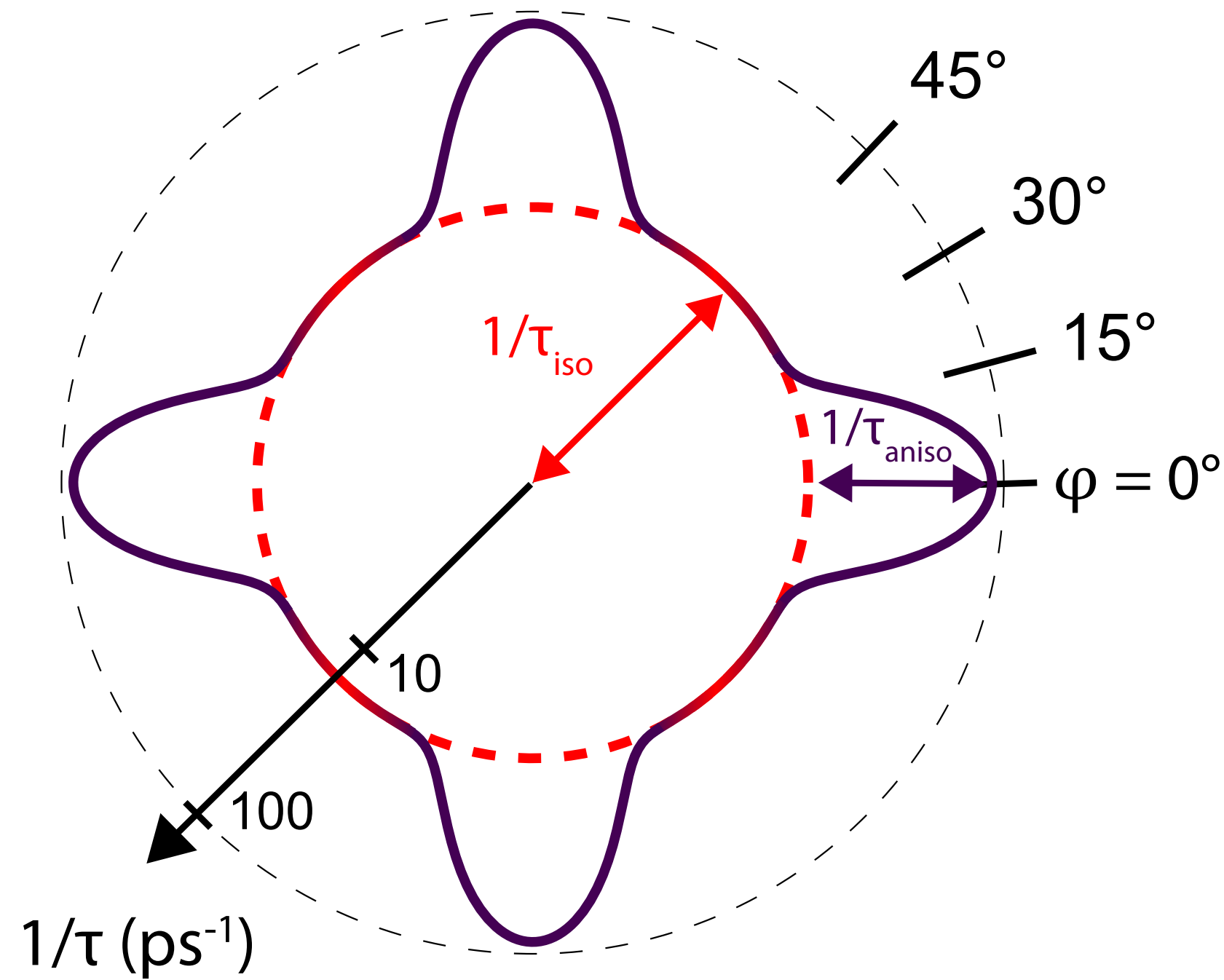
We use angle-dependent magnetoresistance (ADMR) to measure the Fermi surface of the cuprate  $\text{La}_{1.6-x}\text{Nd}_{0.4}\text{Sr}_x\text{CuO}_4$ . Above the critical doping  $p^*$  — outside of the pseudogap phase — we find a Fermi surface that is in quantitative agreement with angle-resolved photoemission. Below  $p^*$ , however, the ADMR is qualitatively different, revealing a clear change in Fermi surface topology. We find that our data is most consistent with a Fermi surface that has been reconstructed by a  $Q = (\pi, \pi)$  wavevector. While static  $Q = (\pi, \pi)$  antiferromagnetism is not found at these dopings, our results suggest that this wavevector is a fundamental organizing principle of the pseudogap phase.



# Measurement of the Planckian Scattering Rate

G. Grissonnanche, Y. Fang, A. Legros, S. Verret, F. Laliberté, C. Collignon, J. Zhou, D. Graf, P. Goddard, L. Taillefer, B. J. Ramshaw, arXiv:2011.13054

Angle-dependent magnetoresistance in Nd-LSCO near  $p = p_c \approx 0.23$ .

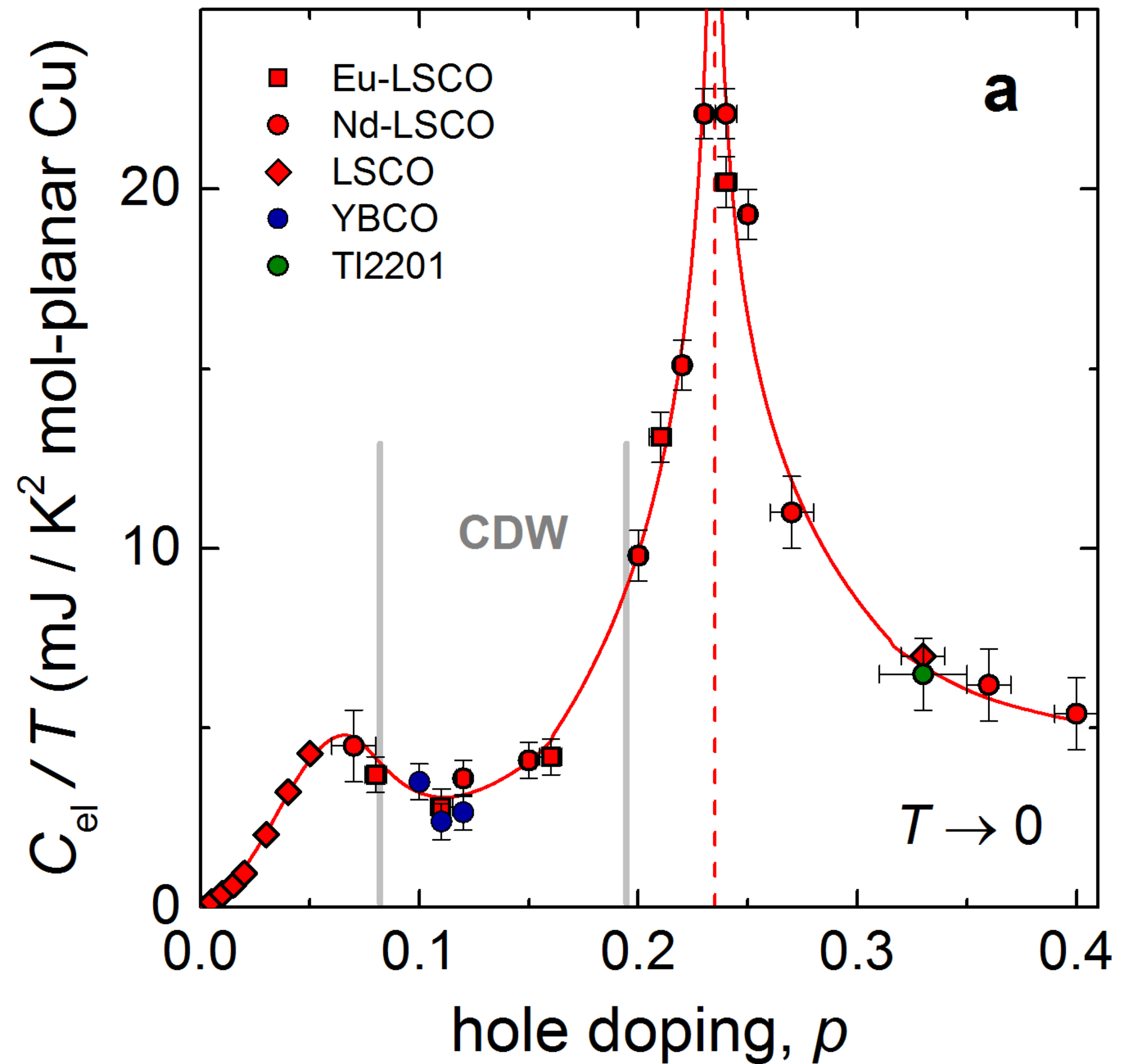


$$\frac{1}{\tau} = \frac{1}{\tau_{\text{aniso}}(\vec{k})} + \frac{\alpha}{\hbar} k_B T$$

# Hole doped cuprates

The remarkable underlying ground states of cuprate superconductors

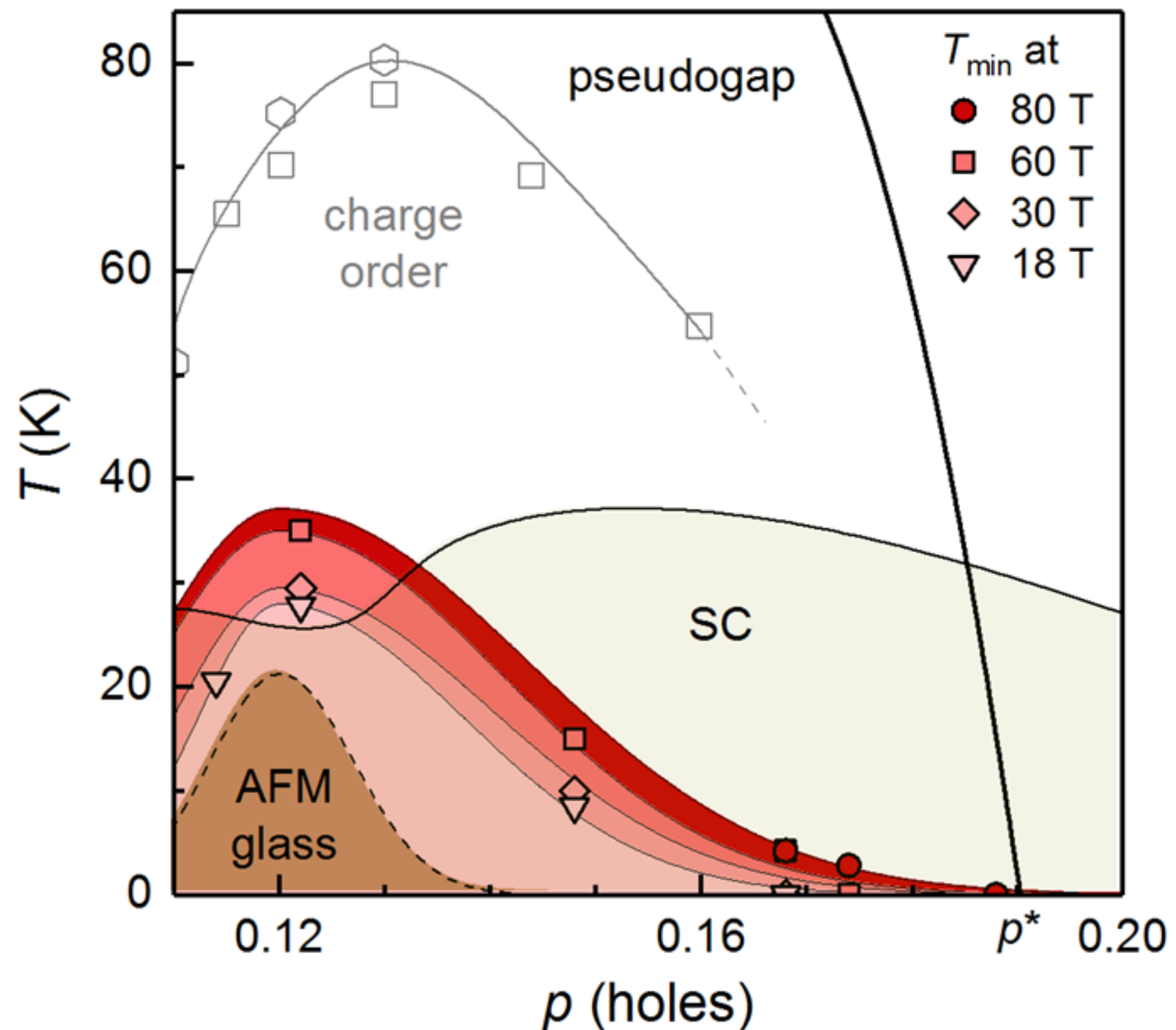
Cyril Proust and Louis Taillefer, *Annual Review Condensed Matter Physics* **10**, 409 (2019)



# Hidden magnetism at the pseudogap critical point of a high temperature superconductor

Nature Physics doi: 10.1038/s41567-020-0950-5

Mehdi Frachet<sup>1†</sup>, Igor Vinograd<sup>1†</sup>, Rui Zhou<sup>1,2</sup>, Siham Benhabib<sup>1</sup>, Shangfei Wu<sup>1</sup>, Hadrien Mayaffre<sup>1</sup>, Steffen Krämer<sup>1</sup>, Sanath K. Ramakrishna<sup>3</sup>, Arneil P. Reyes<sup>3</sup>, Jérôme Debray<sup>4</sup>, Tohru Kurosawa<sup>5</sup>, Naoki Momono<sup>6</sup>, Migaku Oda<sup>5</sup>, Seiki Komiya<sup>7</sup>, Shimpei Ono<sup>7</sup>, Masafumi Horio<sup>8</sup>, Johan Chang<sup>8</sup>, Cyril Proust<sup>1</sup>, David LeBoeuf<sup>1\*</sup>, Marc-Henri Julien<sup>1\*</sup>



## Quasi-static magnetism in the pseudogap state of $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ .

Temperature – doping phase diagram representing  $T_{min}$ , the temperature of the minimum in the sound velocity, at different fields. Since superconductivity precludes the observation of  $T_{min}$  in zero-field, the dashed line (brown area) represents the extrapolated  $T_{min}(B=0)$ . While not exactly equal to the freezing temperature  $T_f$  (see Fig. 2),  $T_{min}$  is closely tied to  $T_f$  and so is expected to have the same doping dependence, including a peak around  $p = 0.12$  in zero/low fields (ref. 2). Onset temperatures of charge order are from ref. 33 (squares) and 35 (hexagons).

Needed:

A small Fermi surface

to

large Fermi surface

transition in

a single band model

with

Planckian criticality

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*Fractionalization and deconfined criticality*



Henry Shackleton

arXiv:2012.06589



Antoine Georges



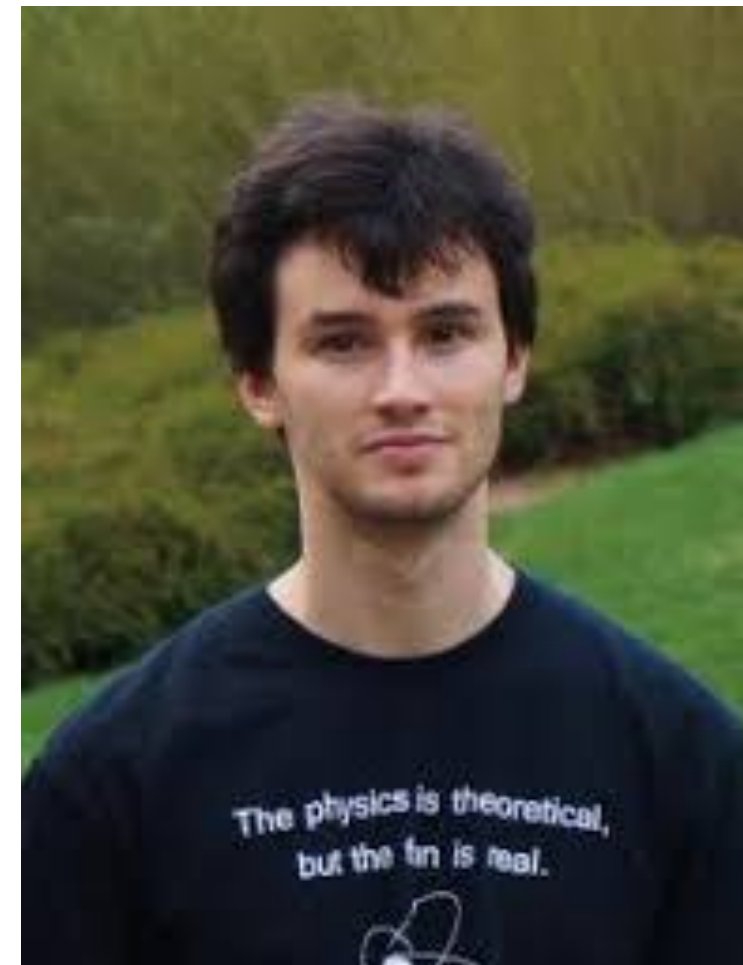
Alexander Wietek



Maria Tikhanovskaya



Haoyu Guo



Grigory Tarnopolsky

arXiv:2010.09742  
arXiv:2012.14449

# Random $t$ - $J$ model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i<j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

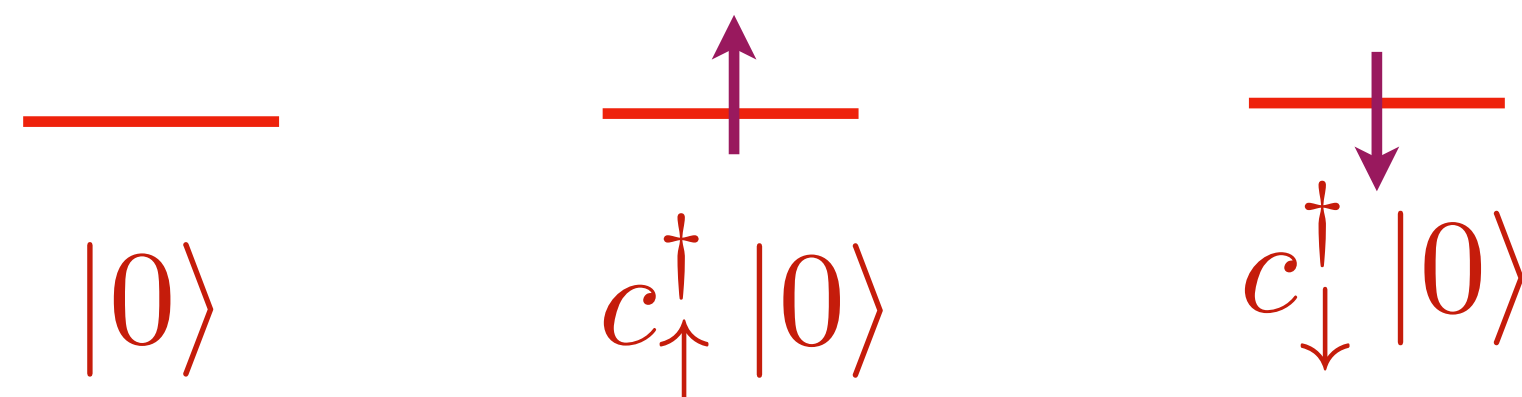
We consider the hole-doped case, with no double occupancy.

$$\alpha = \uparrow, \downarrow, \quad \{c_{i\alpha}, c_{j\beta}^\dagger\} = \delta_{ij} \delta_{\alpha\beta}, \quad \{c_{i\alpha}, c_{j\beta}\} = 0$$

$$\vec{S}_i = \frac{1}{2} c_{i\alpha}^\dagger \vec{\sigma}_{\alpha\beta} c_{i\beta}, \quad \sum_{\alpha} c_{i\alpha}^\dagger c_{i\alpha} \leq 1, \quad \frac{1}{N} \sum_{i\alpha} c_{i\alpha}^\dagger c_{i\alpha} = 1 - p$$

$$J_{ij} \text{ random, } \overline{J_{ij}} = 0, \quad \overline{J_{ij}^2} = J^2$$

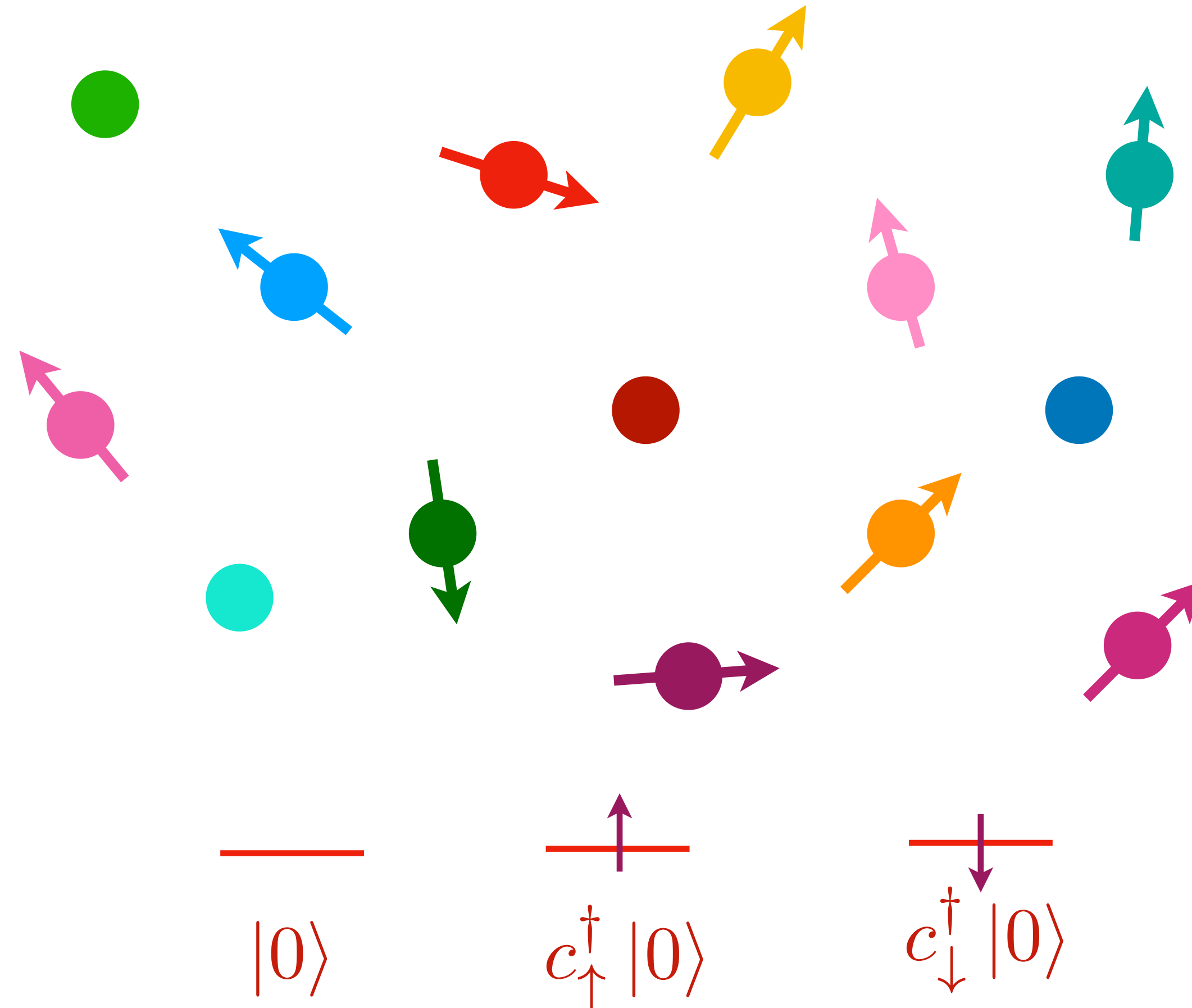
$$t_{ij} \text{ random, } \overline{t_{ij}} = 0, \quad \overline{t_{ij}^2} = t^2$$



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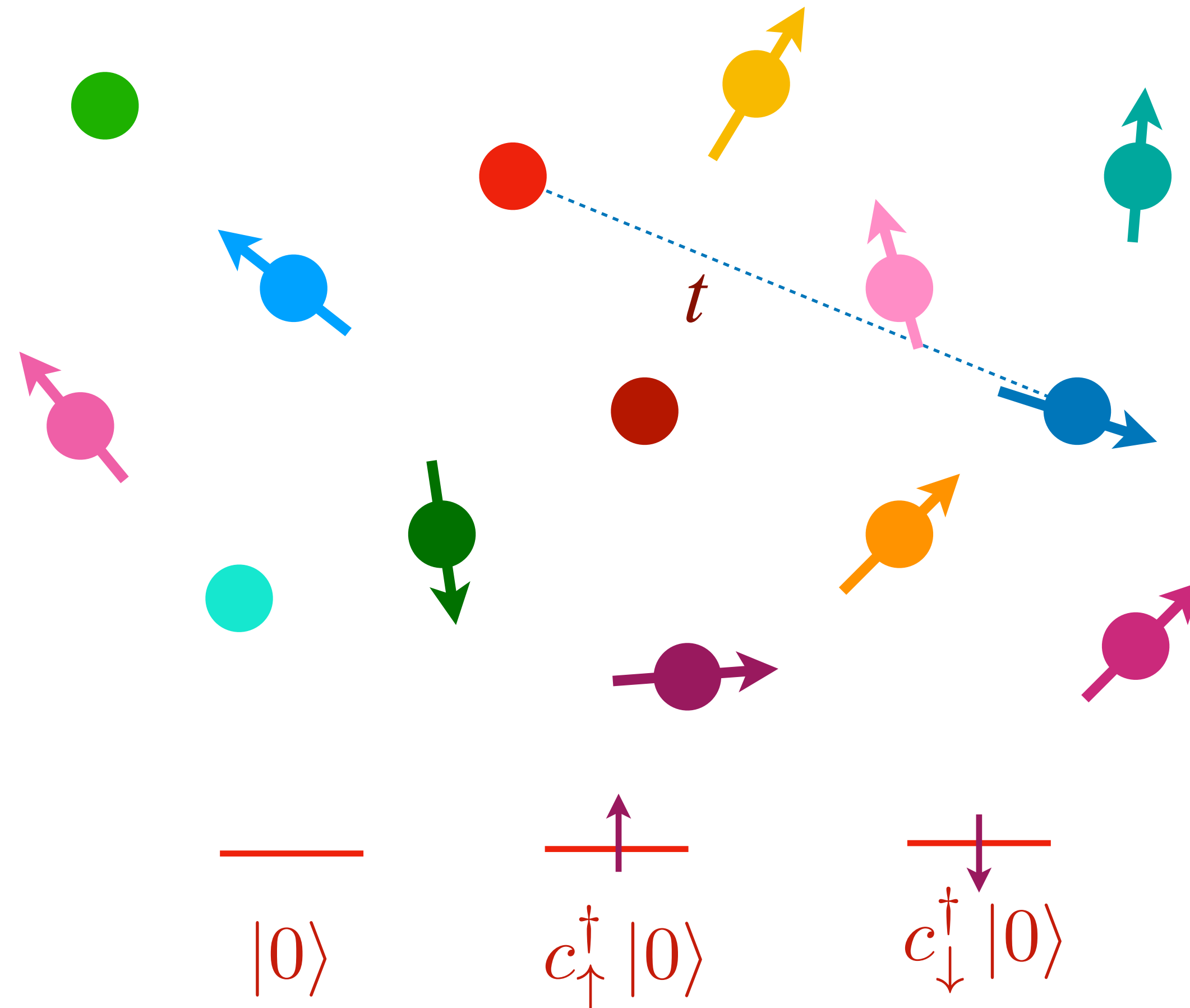
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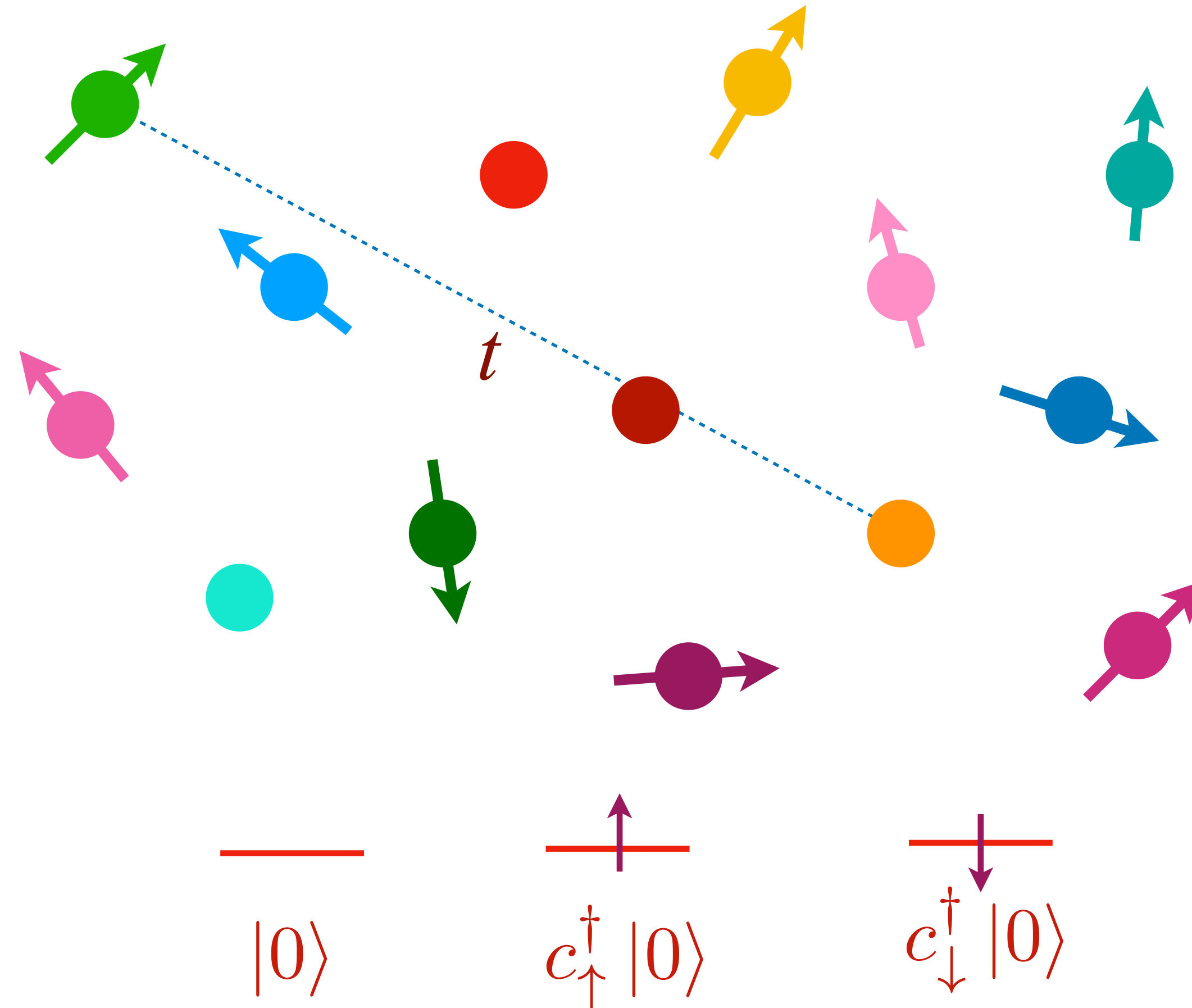
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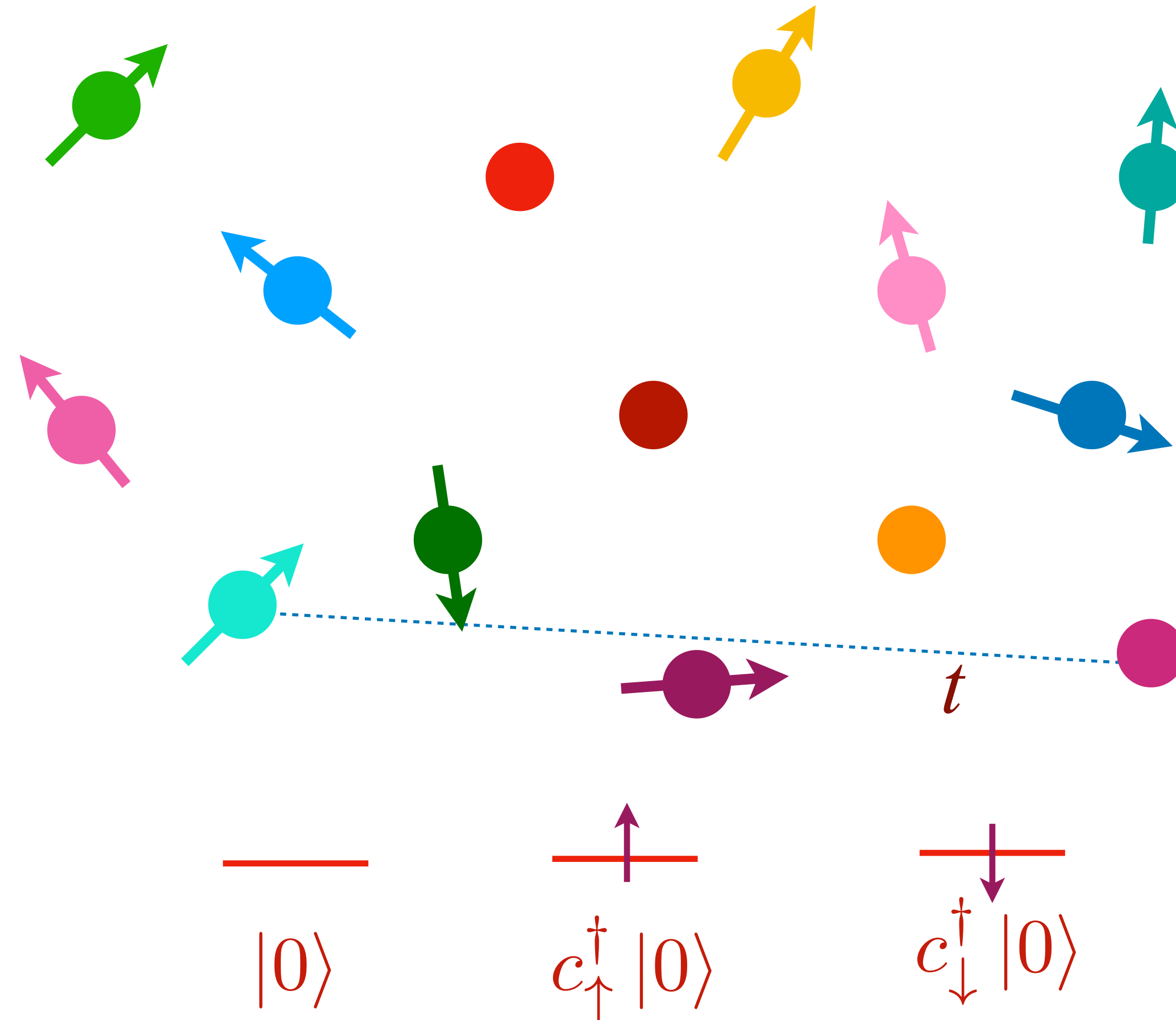
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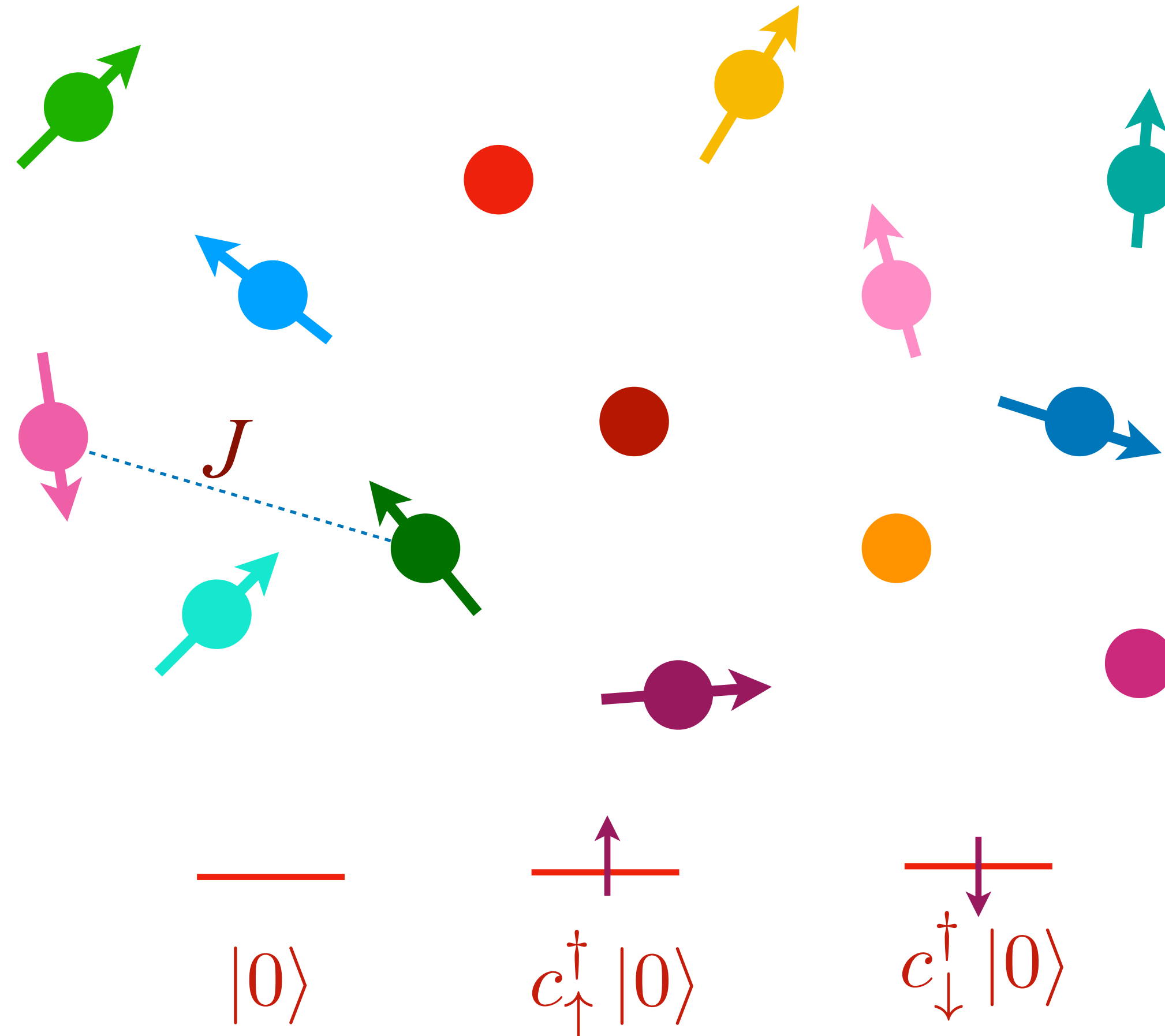
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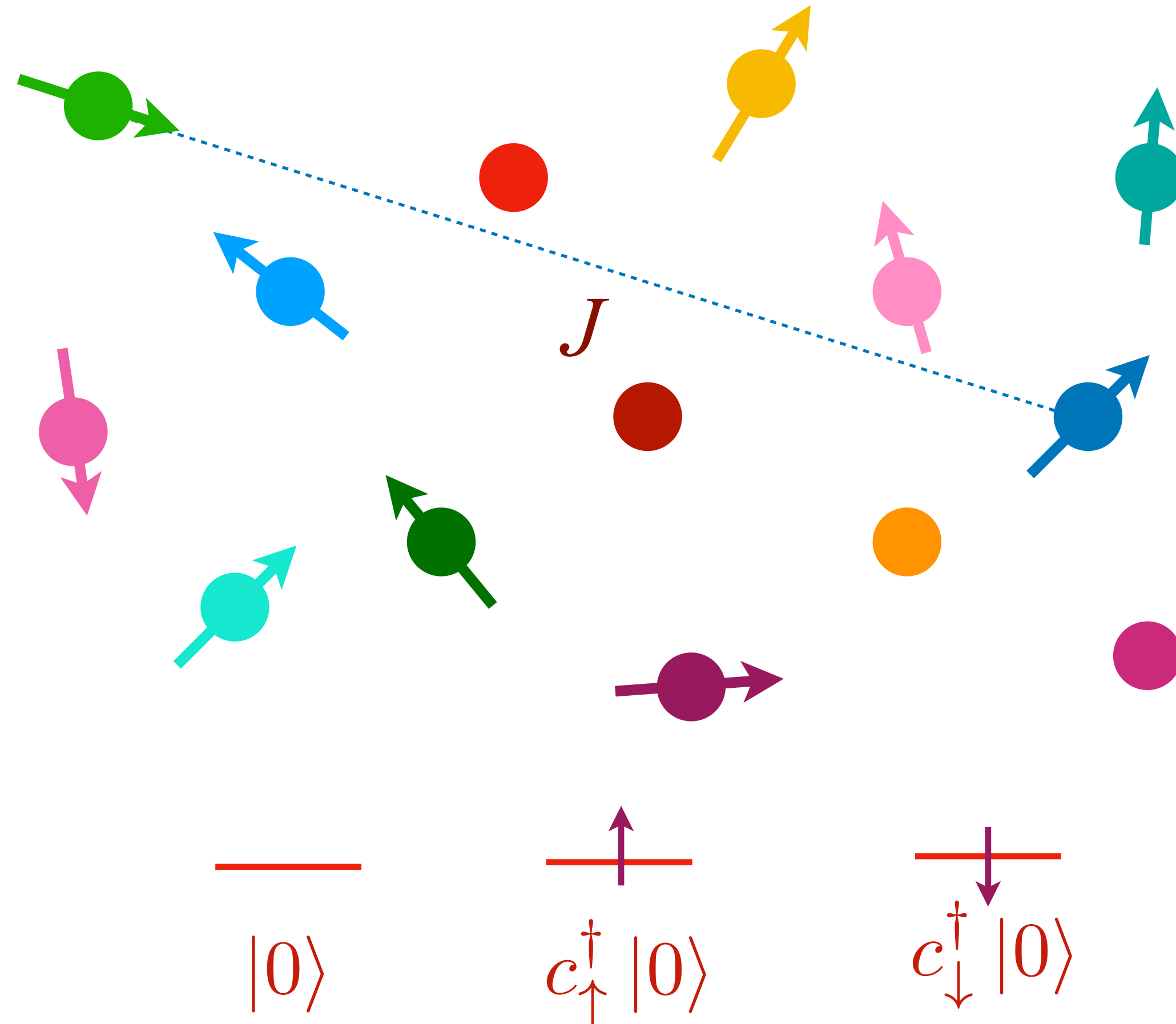
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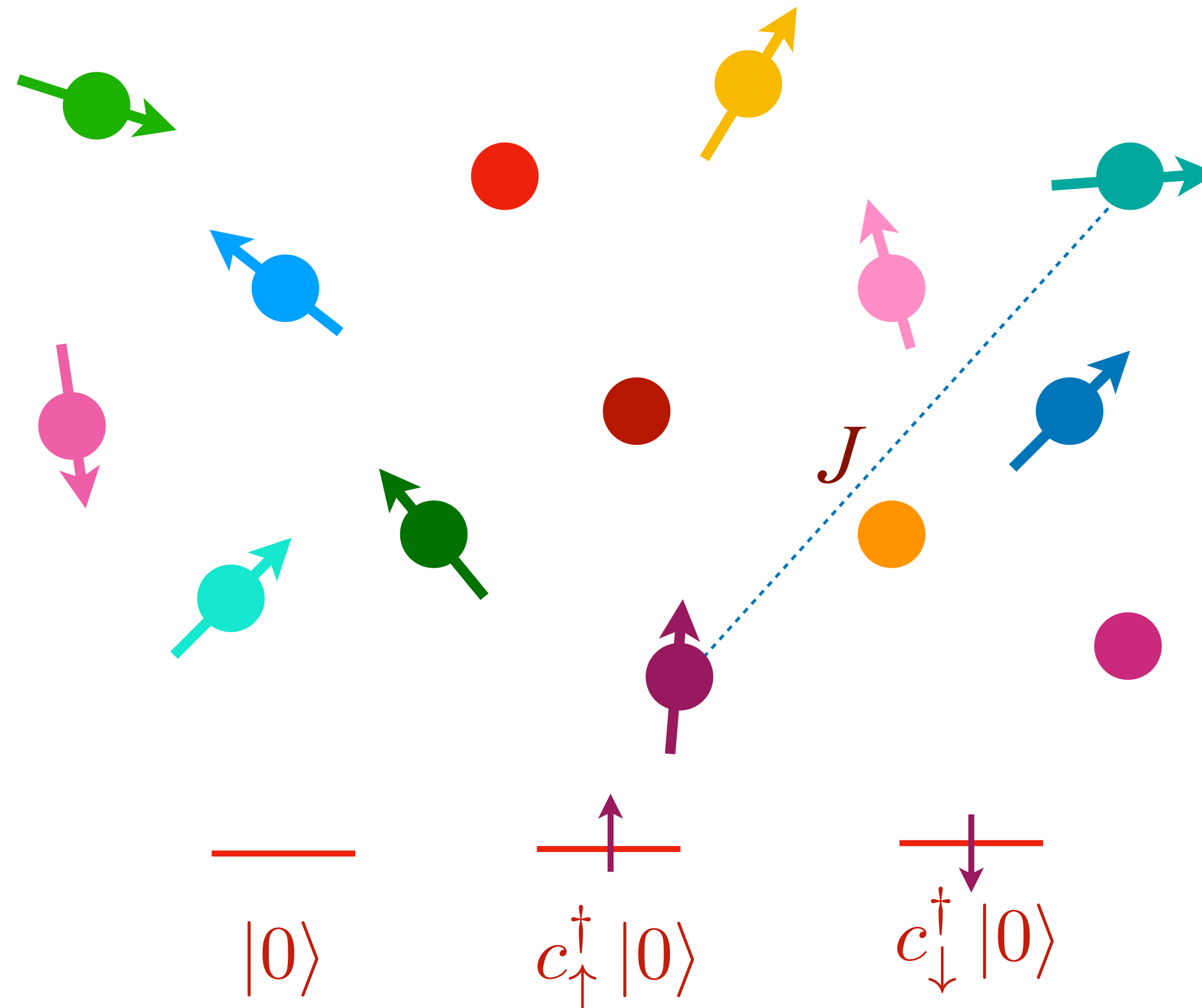
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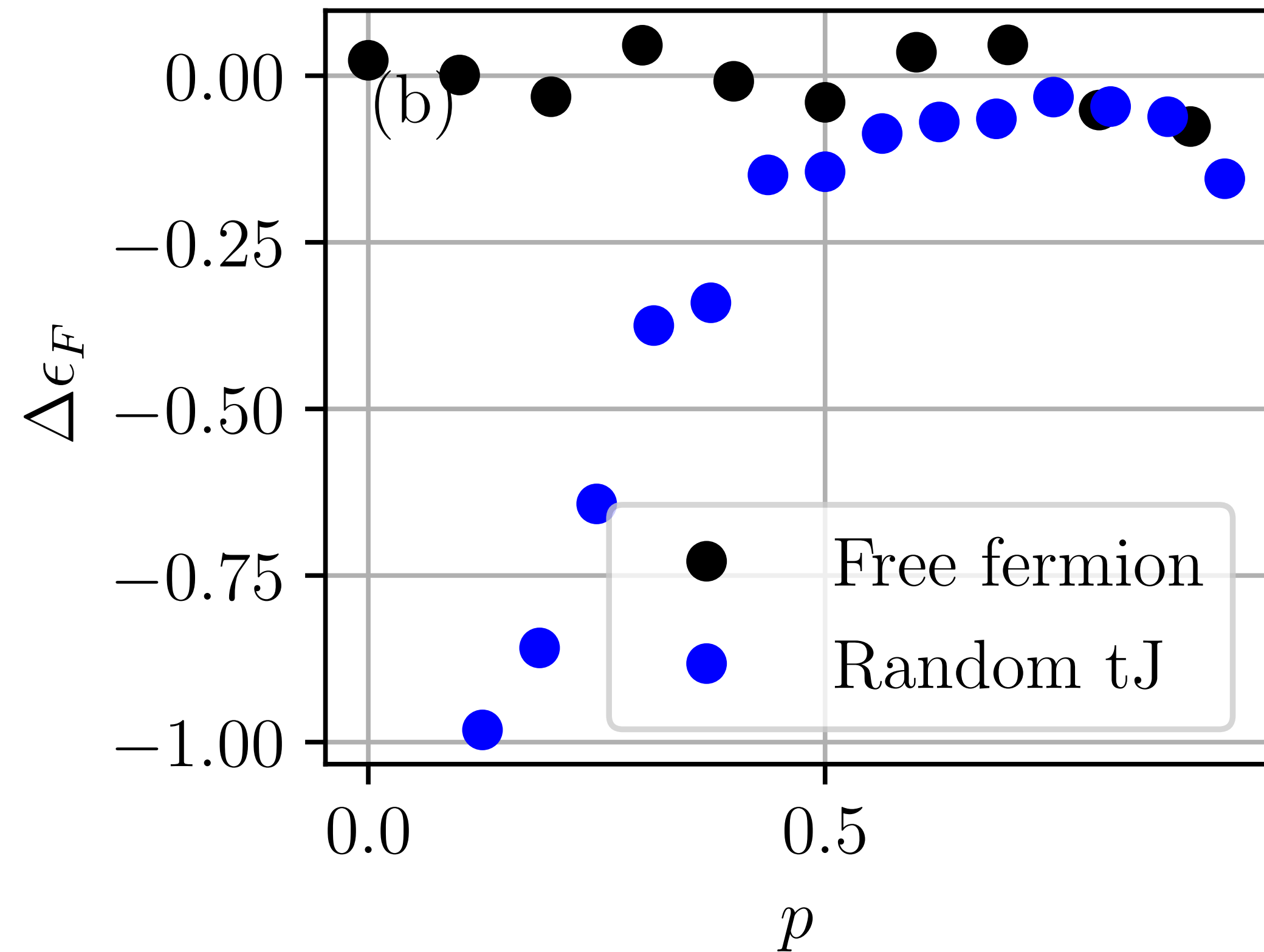
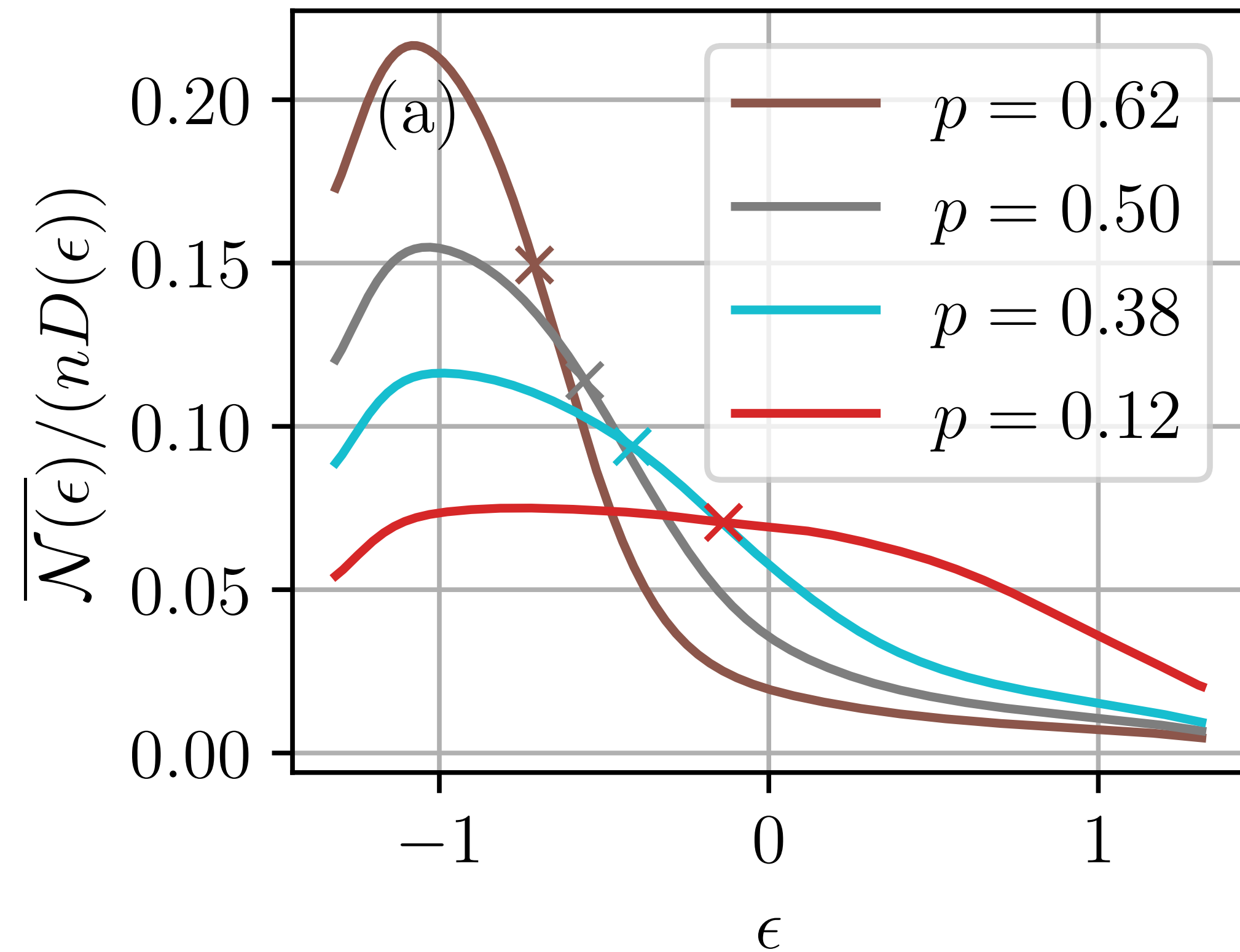
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- Introducing randomness removes the “distractions” of multiple competing orders
- Averaging over many samples allows smoother and faster approach to the thermodynamic limit from finite size studies.

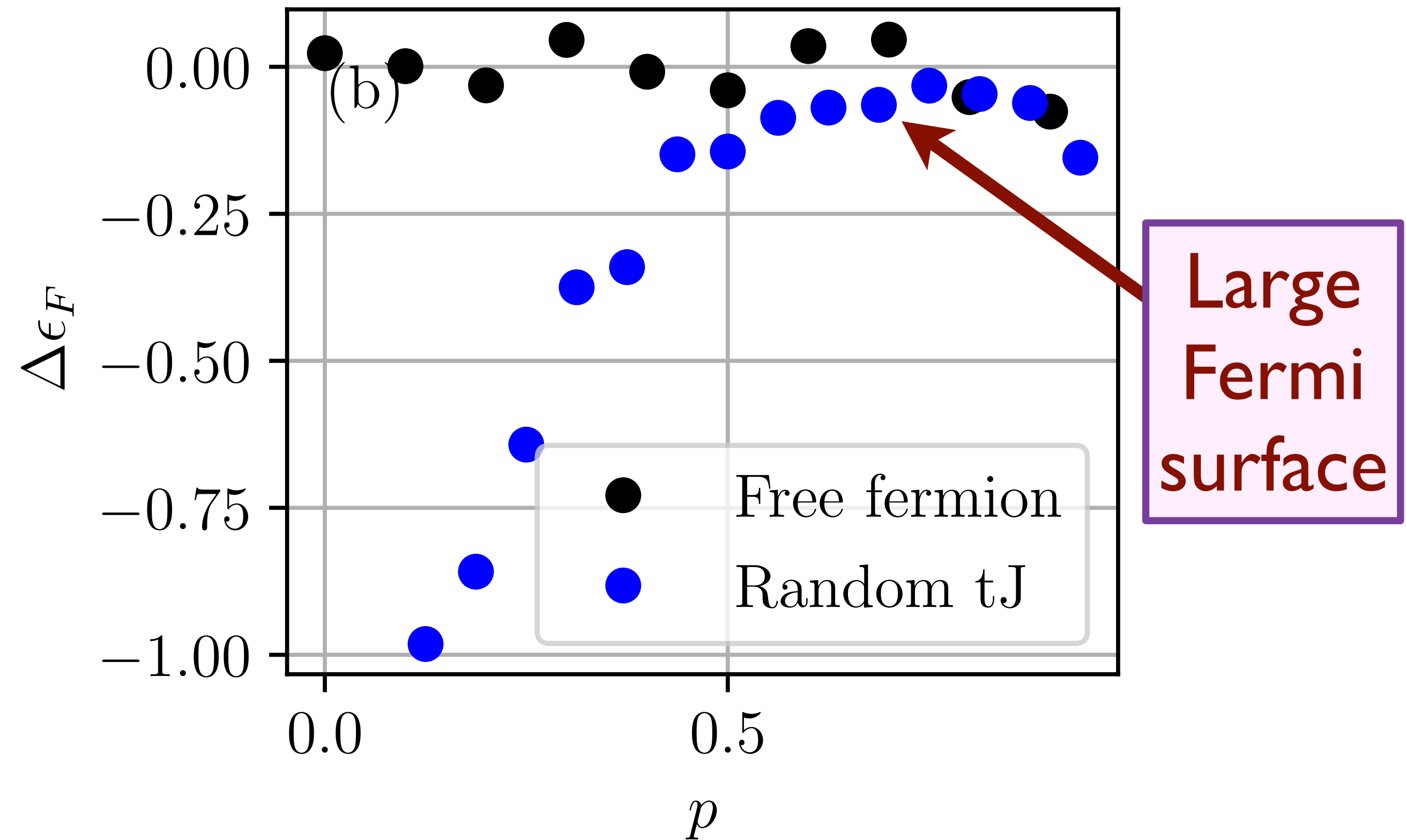
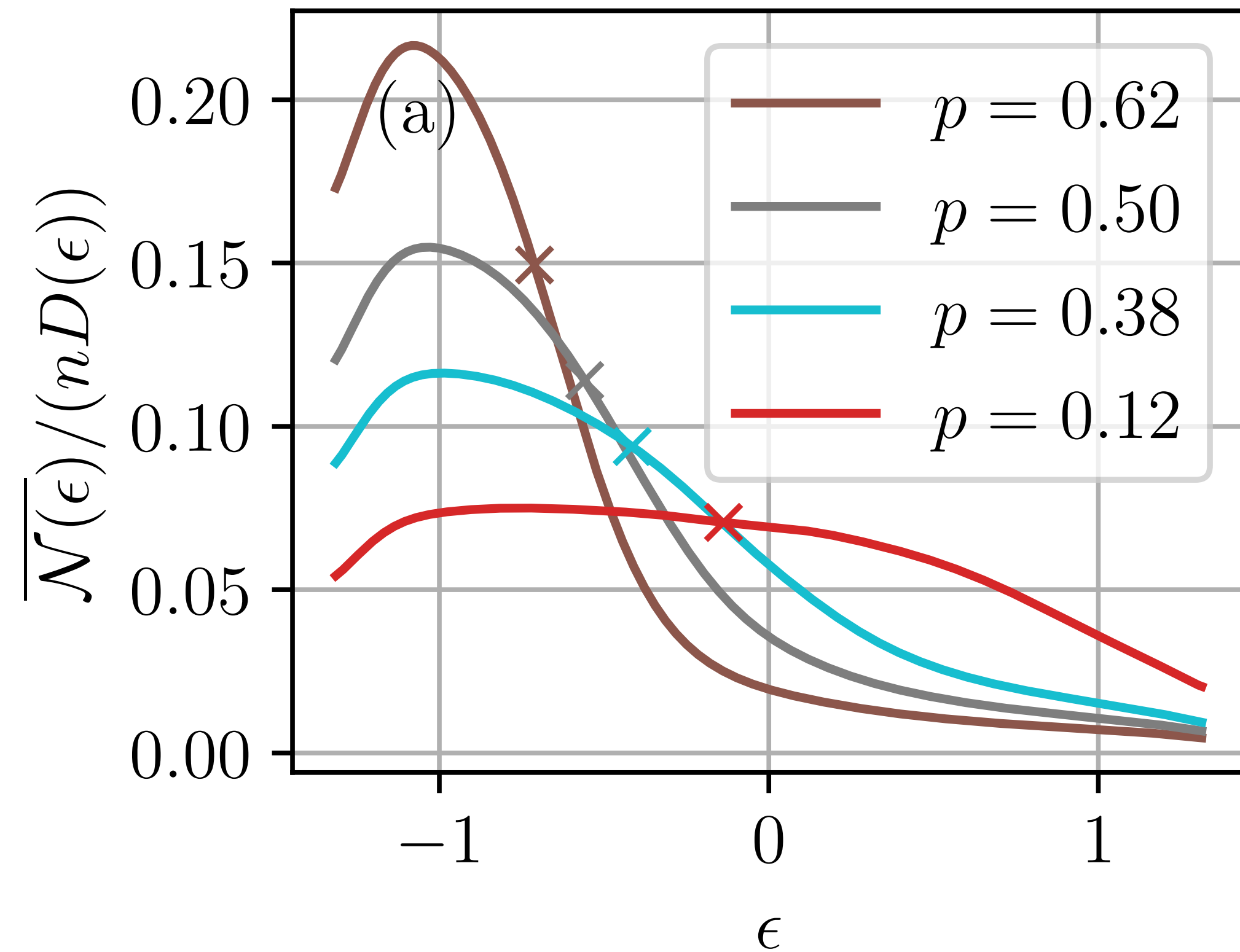
# One particle energy distribution function



$$\mathcal{N}(\epsilon) = \frac{1}{N} \sum_{\lambda} \delta(\epsilon - \epsilon_{\lambda}) \sum_{ij\sigma} \langle \lambda | i \rangle \langle c_{i\sigma}^{\dagger} c_{j\sigma} \rangle \langle j | \lambda \rangle$$

where  $|\lambda\rangle$  are one-particle eigenstates of the  $t_{ij}$ . In a Fermi liquid, the Luttinger identity implies that  $\mathcal{N}(\epsilon)$  has a discontinuity at the free particle Fermi energy  $\epsilon_F$ . ( $D(\epsilon)$  is the Wigner semi-circle density of states.)

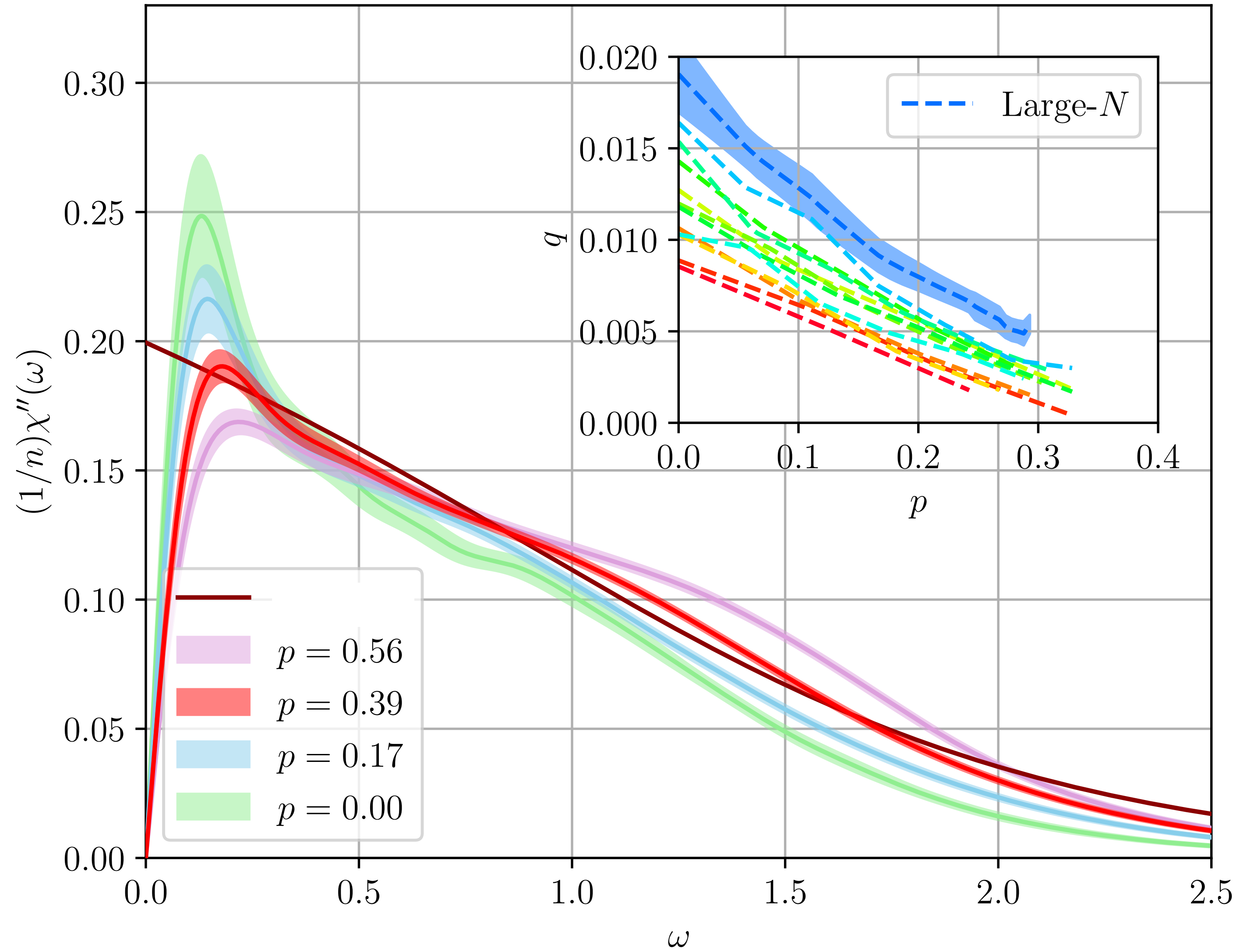
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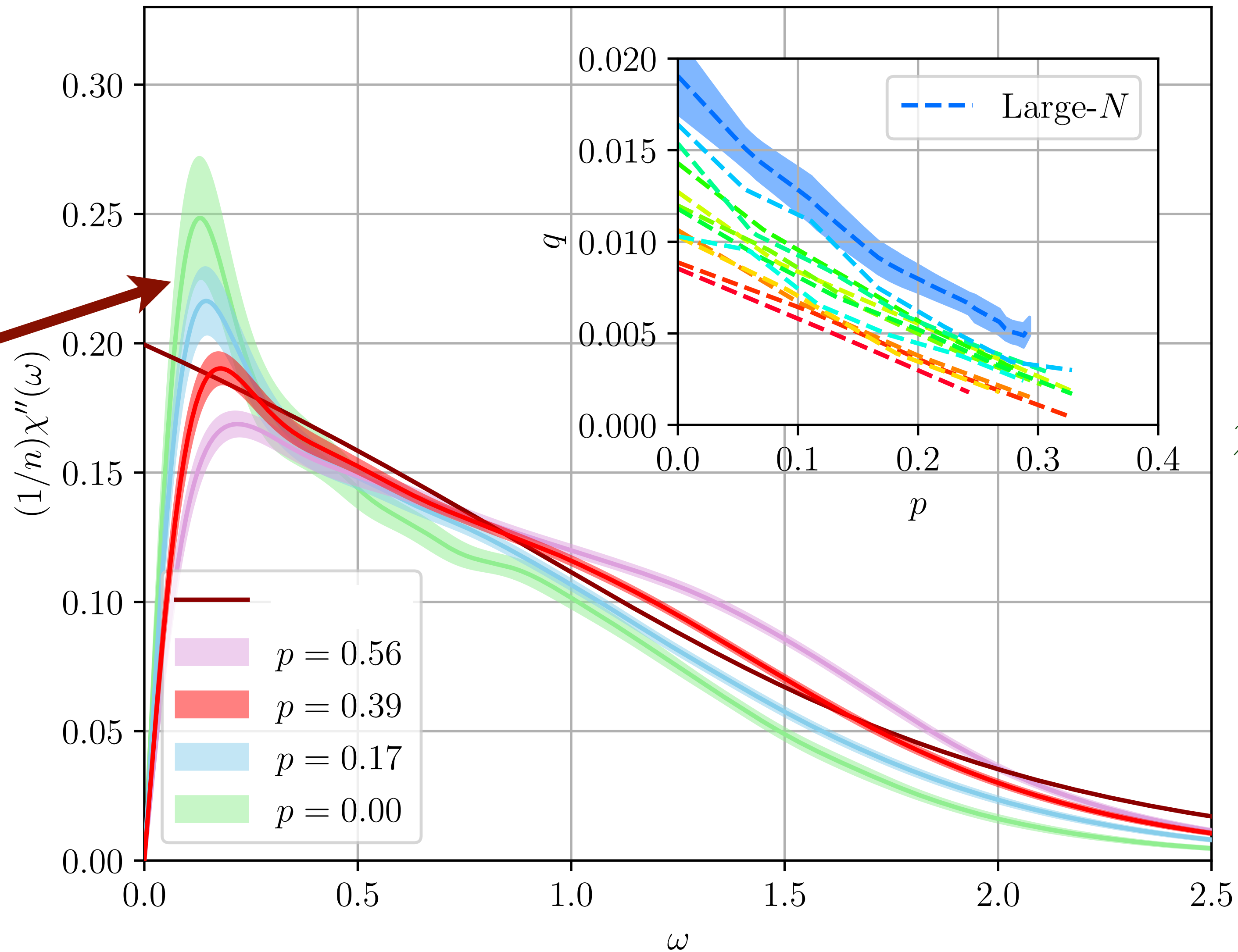
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# Dynamic spin susceptibility



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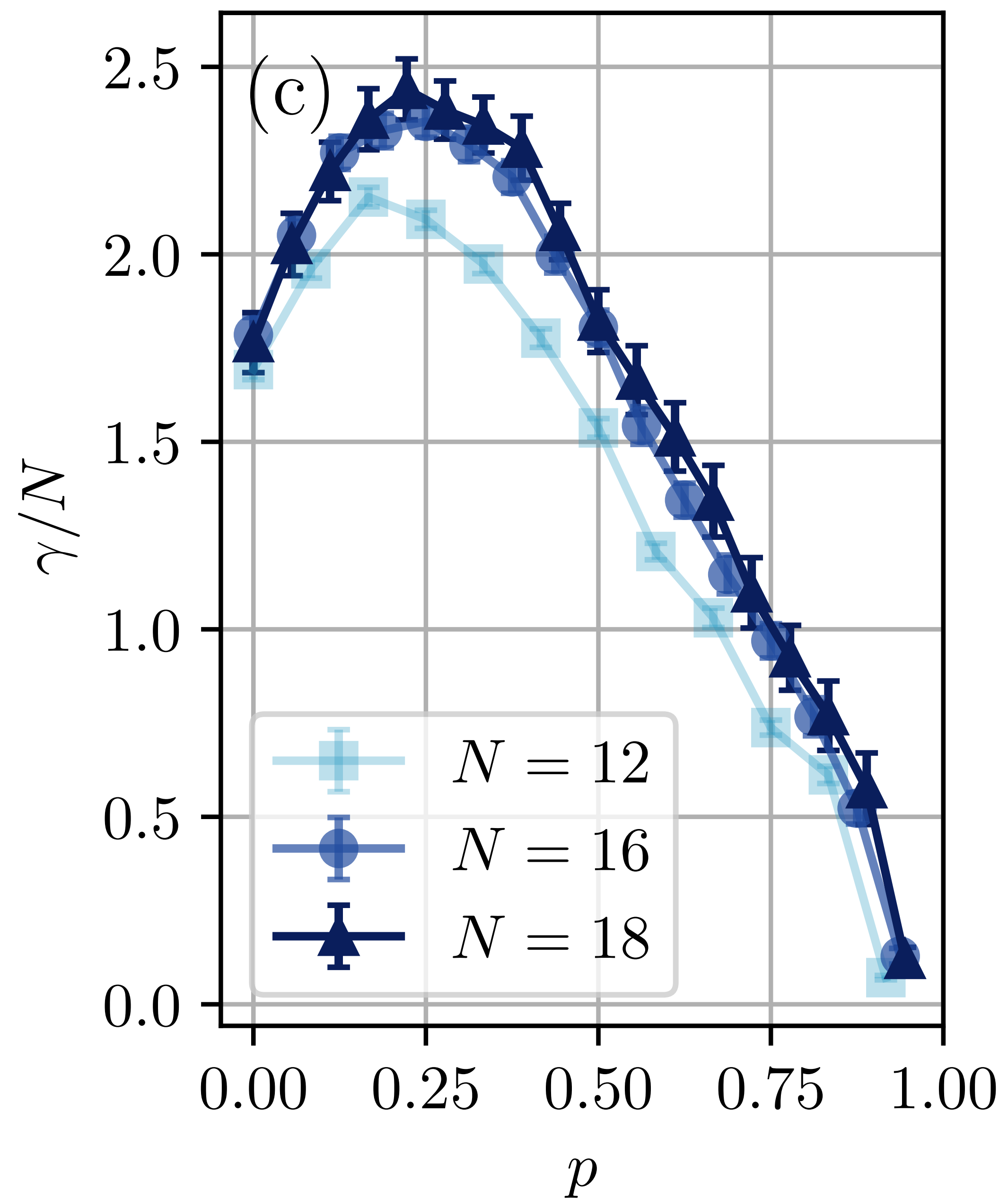
Spin glass order

$$\chi''(\omega) = \sum_n |\langle 0 | S_{+i} | n \rangle|^2 \times \delta(\hbar\omega - E_n + E_0),$$

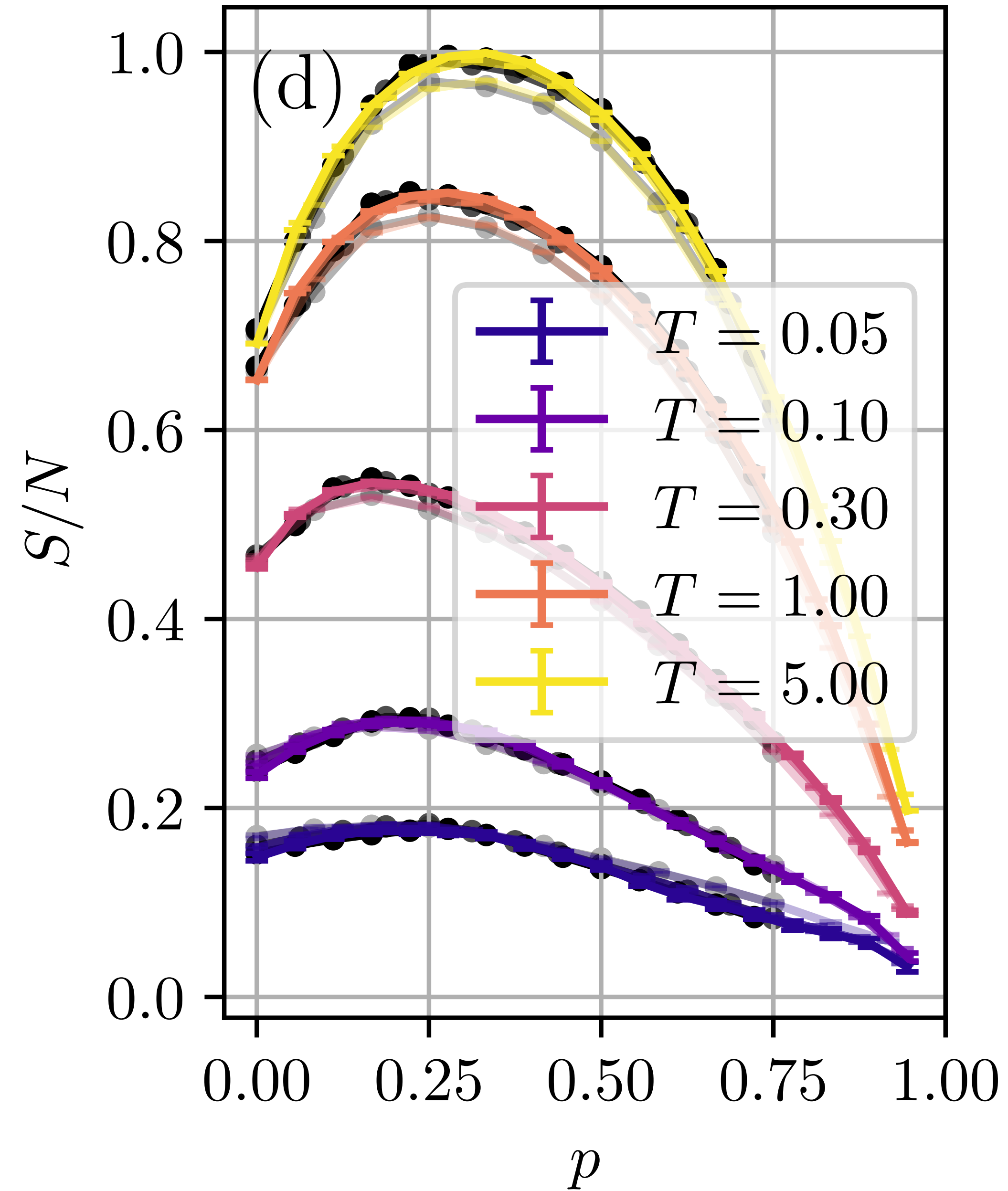
(at  $T = 0$ )

Evidence for a quantum critical point at  $p = p_c \approx 0.3$ .  
Spin glass order  $q$  non-zero for  $p < p_c$

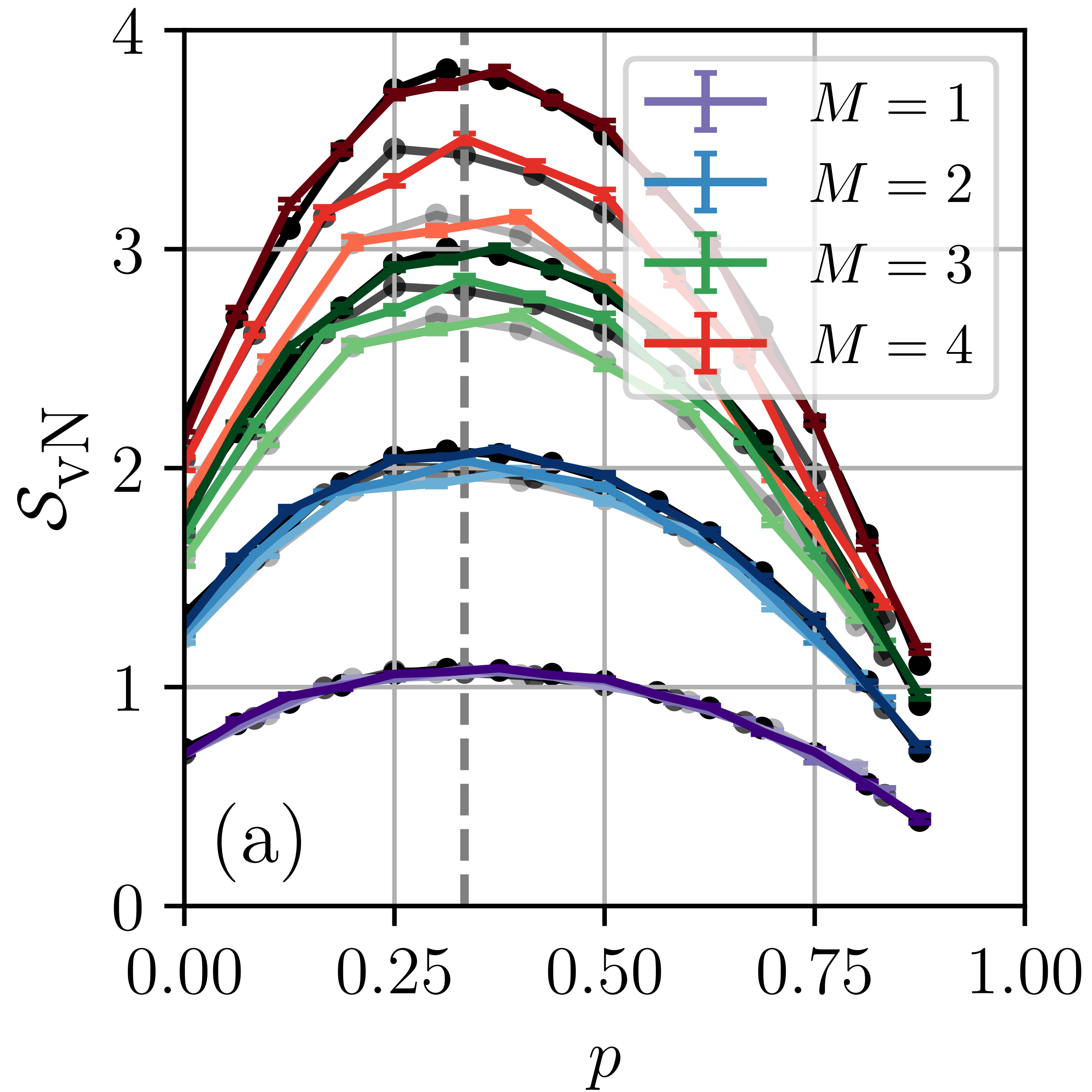
# Specific heat



# Entropy

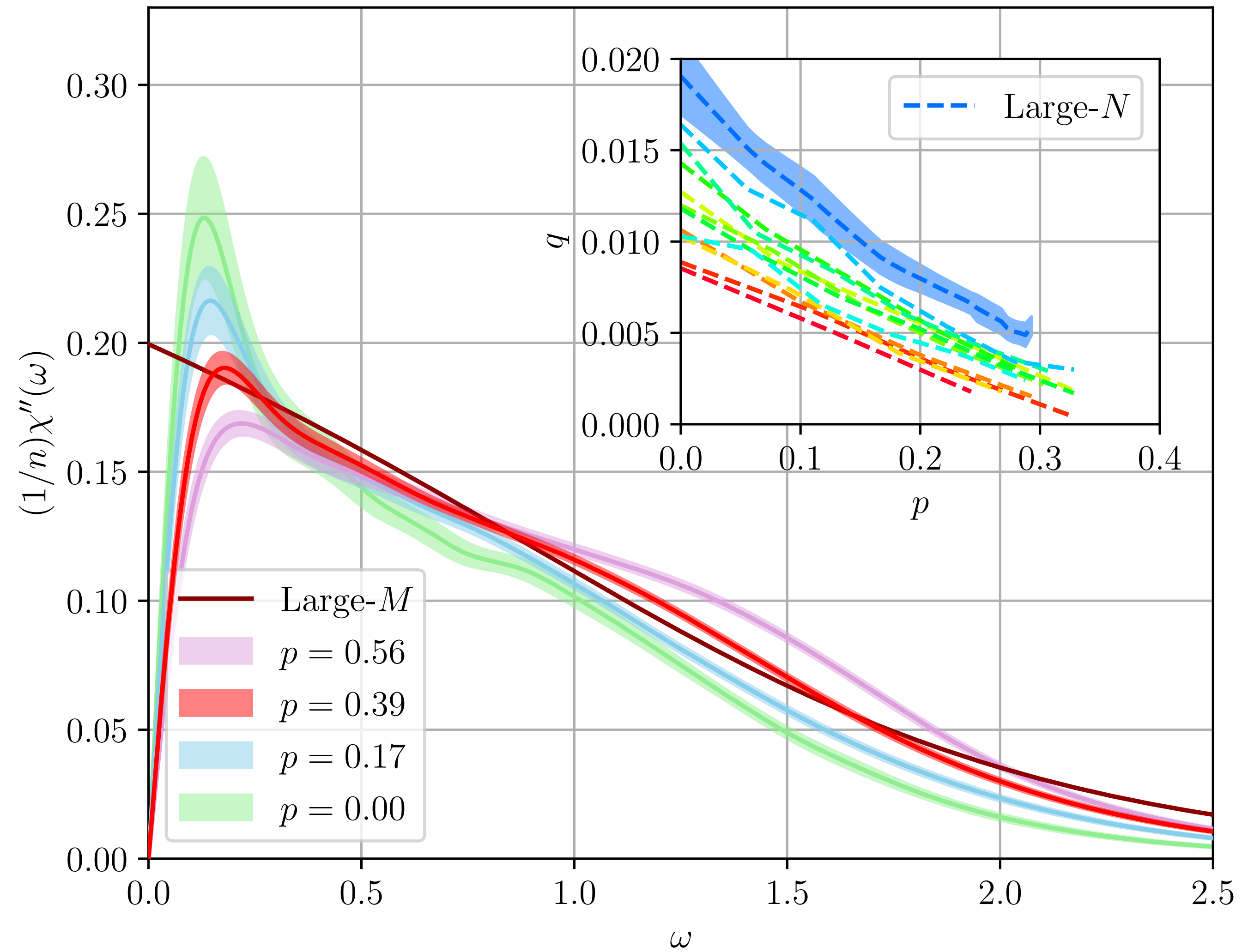


# Entanglement Entropy

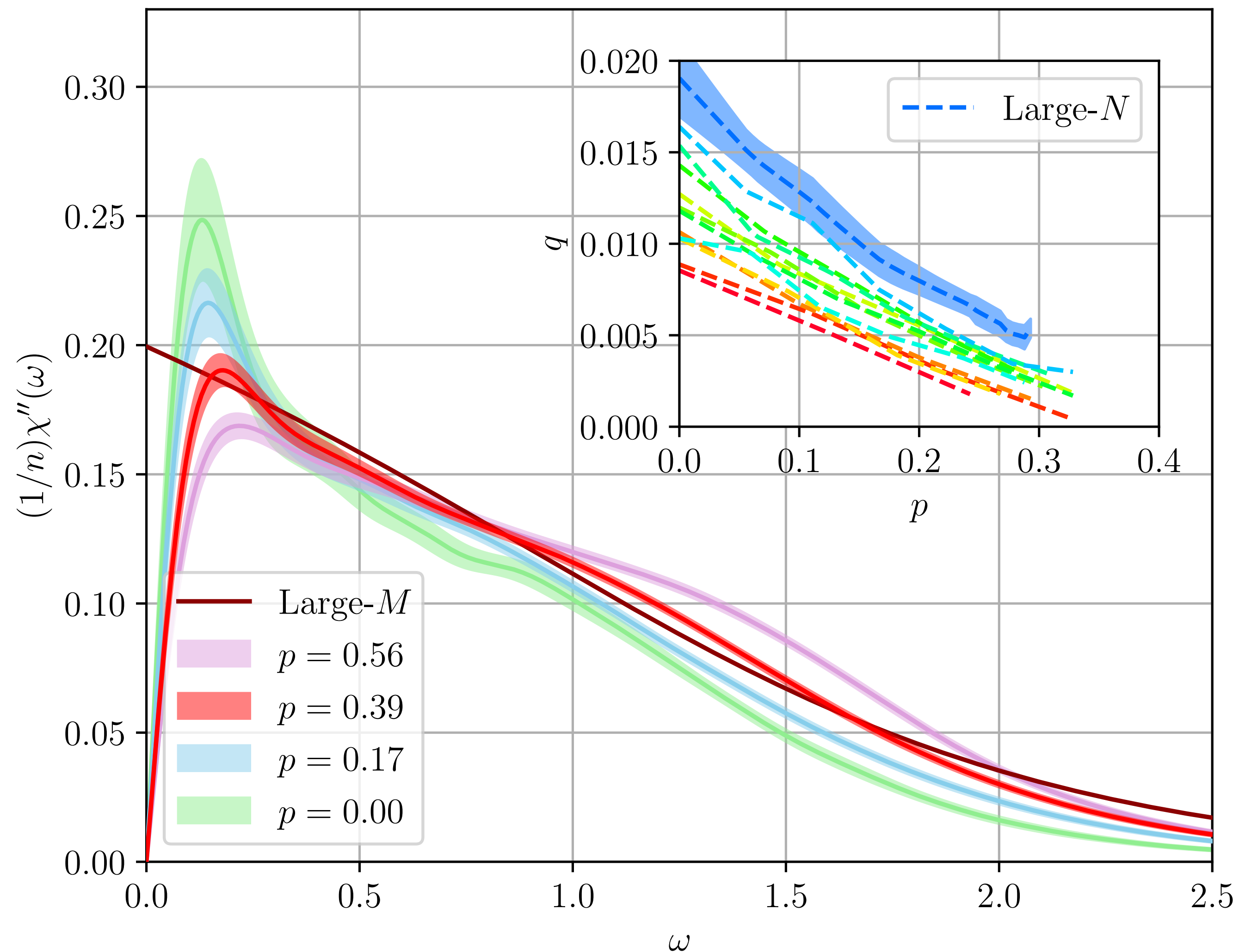


(a)

# Dynamic spin susceptibility



# Dynamic spin susceptibility



Critical spin susceptibility matches the large  $M$   $SU(M)$  SYK model.

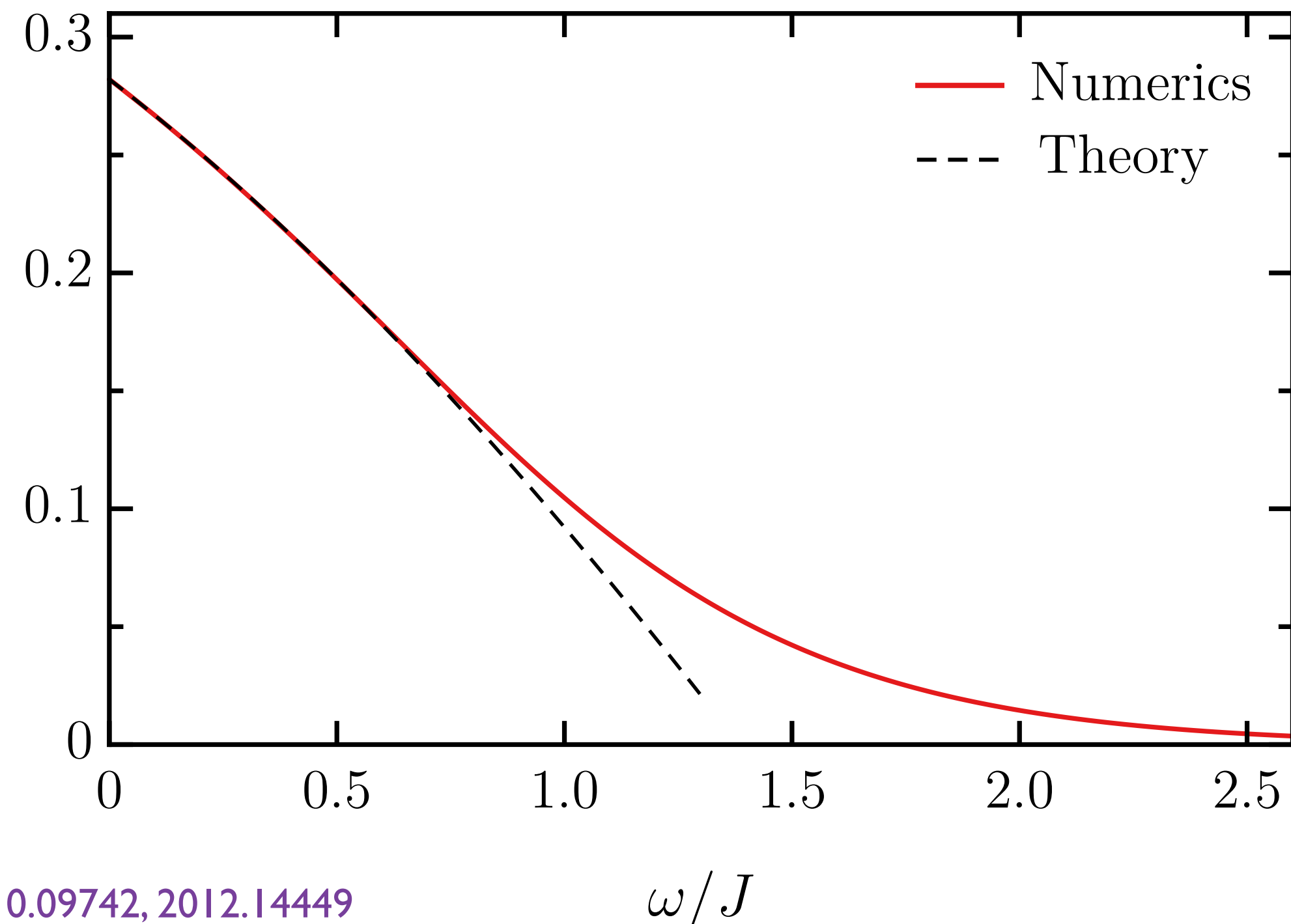
$\chi''(\omega) \sim \text{sgn}(\omega) [1 - \mathcal{C}\gamma|\omega| + \dots]$  has the ‘marginal’  $\text{sgn}(\omega)$  form, with a linear  $\omega$  correction. Shown is the numerical solution of SYK equations (SY, PRL 1993), after rescaling  $J$ .

# Consequences of 2D-gravity for the dynamic spin susceptibility of SYK model

$$\chi_L(\omega) = \sum_n |\langle 0 | X_i | n \rangle|^2 \delta(\hbar\omega - E_n + E_0), \text{ (at } T = 0)$$

$$\text{Im}\chi_L(\omega) \sim \text{sgn}(\omega) \left[ 1 - \mathcal{C}\gamma|\omega| - \frac{7}{16}(\mathcal{C}\gamma)^2|\omega|^2 - \mathcal{C}'|\omega|^{2.77354\dots} + \frac{37}{48}(\mathcal{C}\gamma)^3|\omega|^3 - \dots \right]$$

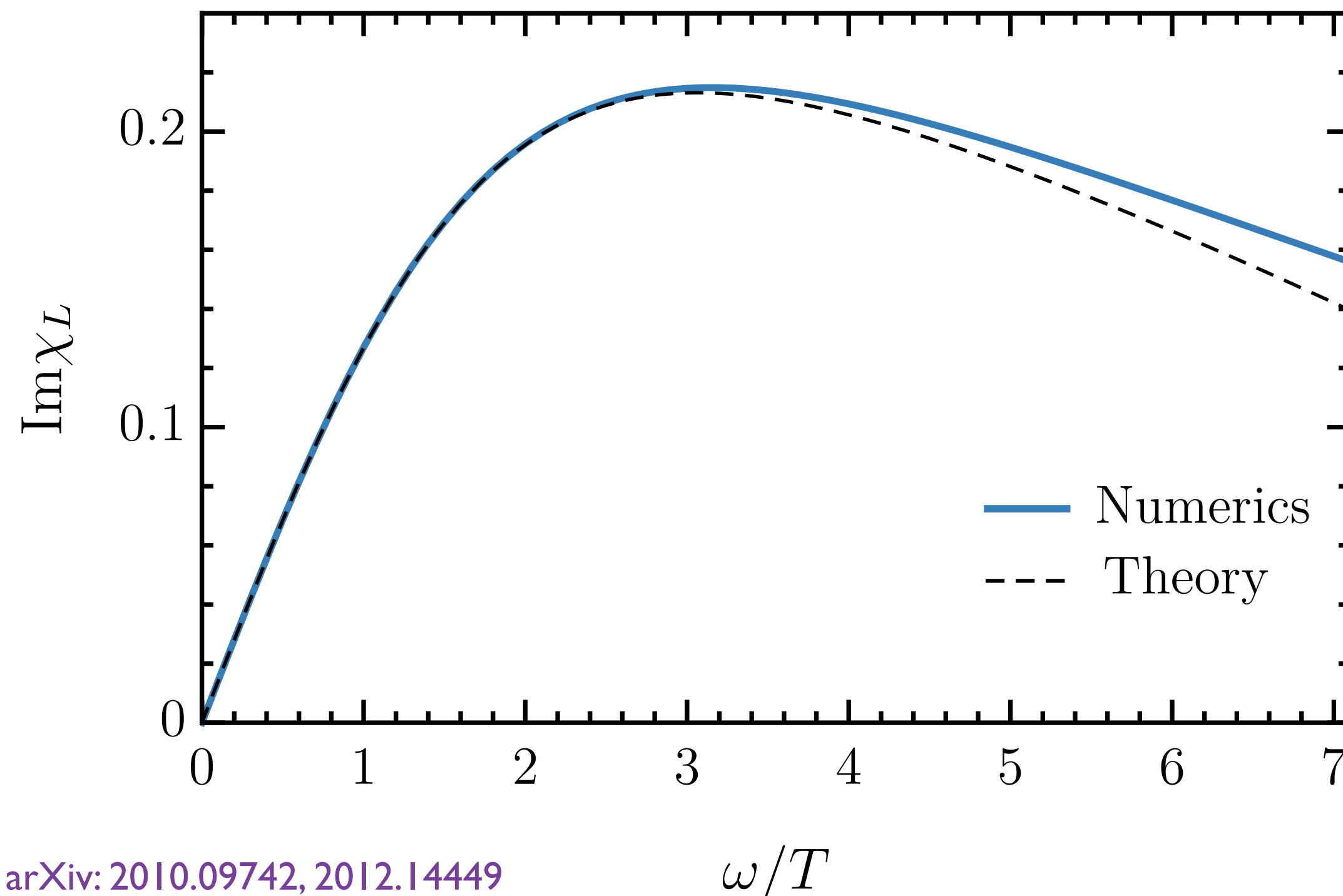
Numerical solution of SYK equations (SY, PRL 1993), compared with conformal perturbation theory.  
 $\mathcal{C}$  is the co-efficient of the action for the ‘boundary graviton’ in holographic dual.



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$$\chi_L(\omega) \sim \tanh\left(\frac{\hbar\omega}{2k_B T}\right) \left[ 1 - C\gamma\omega \tanh\left(\frac{\hbar\omega}{2k_B T}\right) - \dots \right]$$



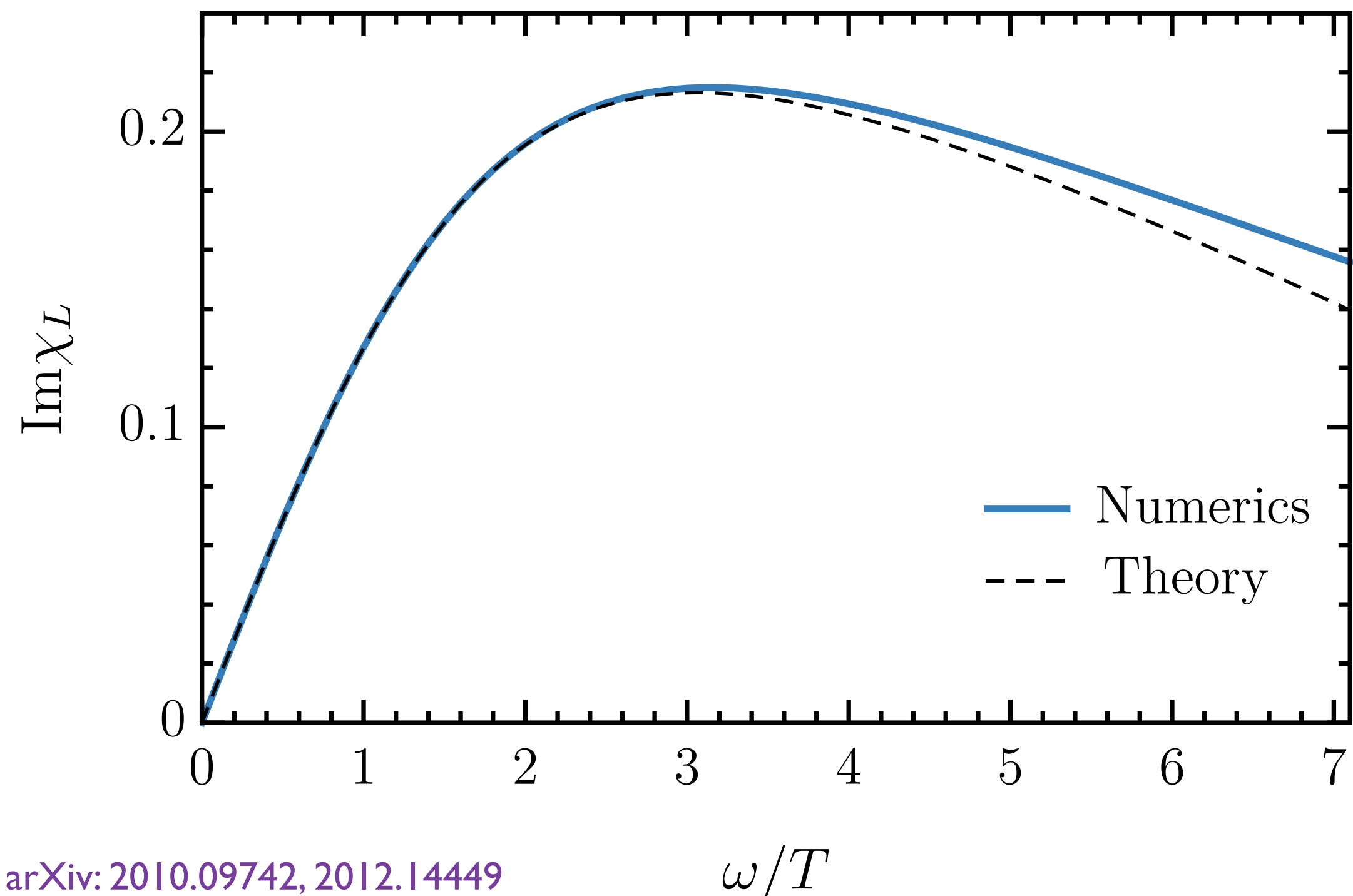
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Conformally (SL(2,R))  
invariant result with  
characteristic dissipative  
time  $\sim \hbar/(k_B T)$

A. Georges and O. Parcollet  
PRB **59**, 5341 (1999)

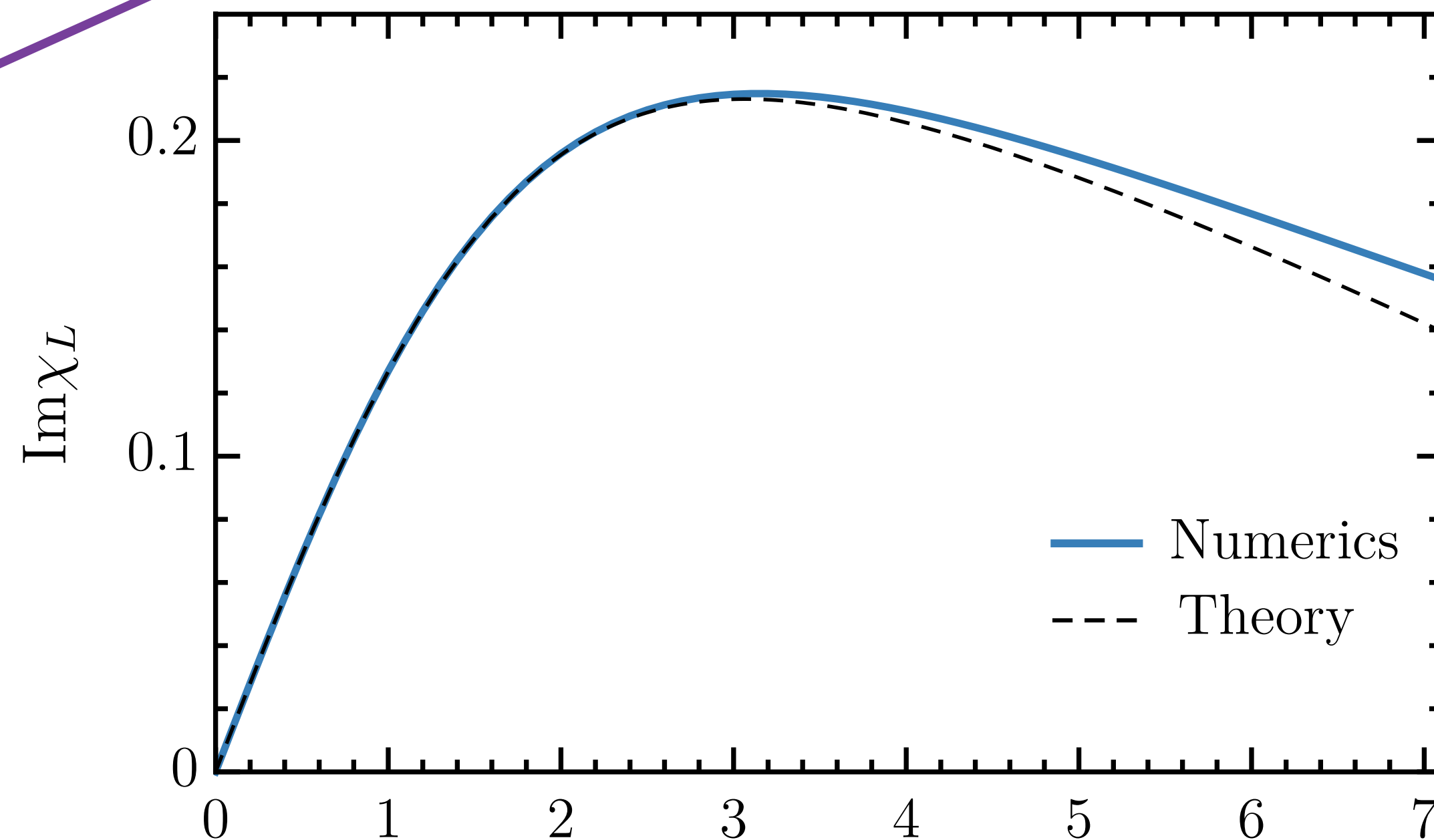


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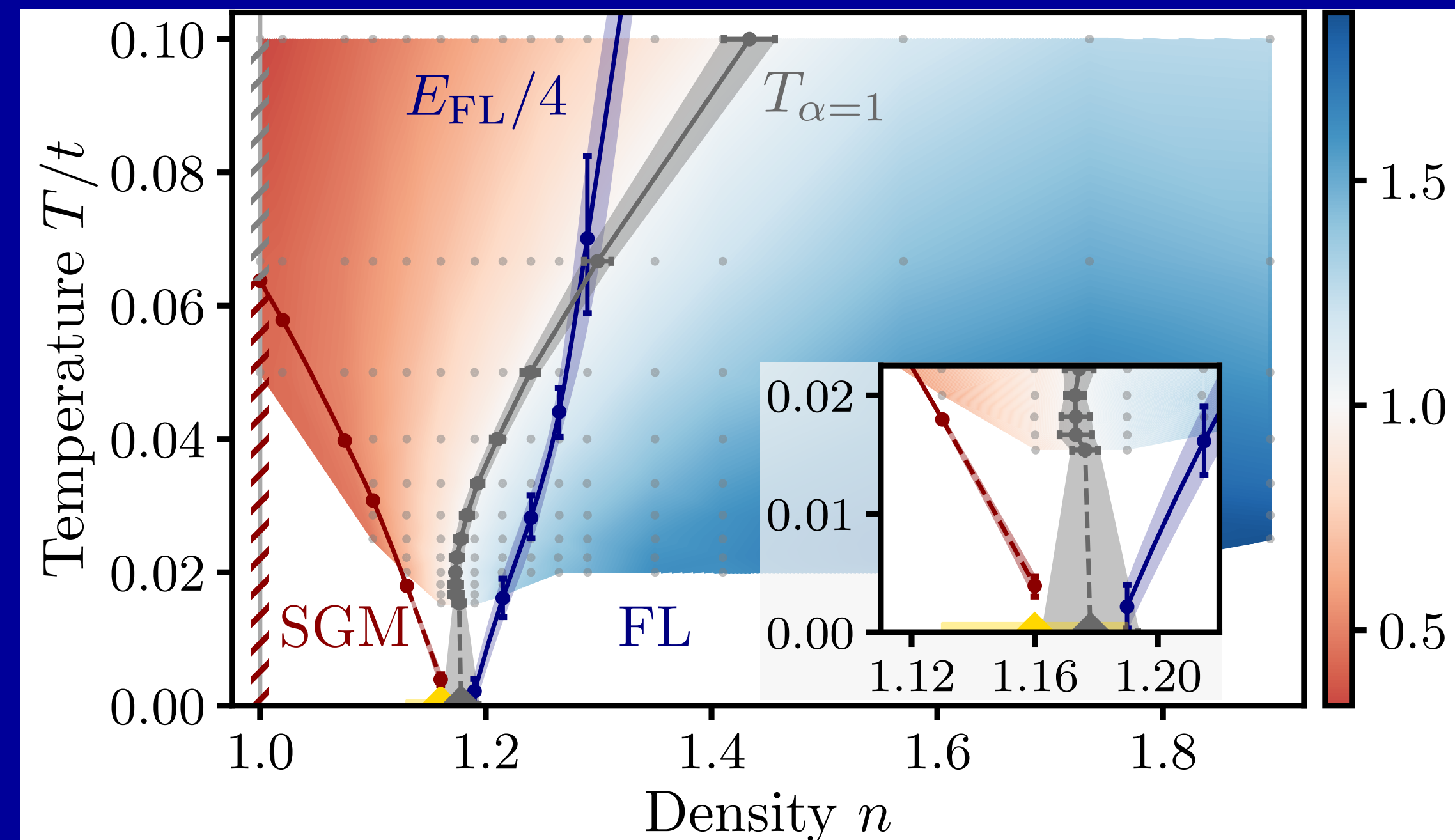
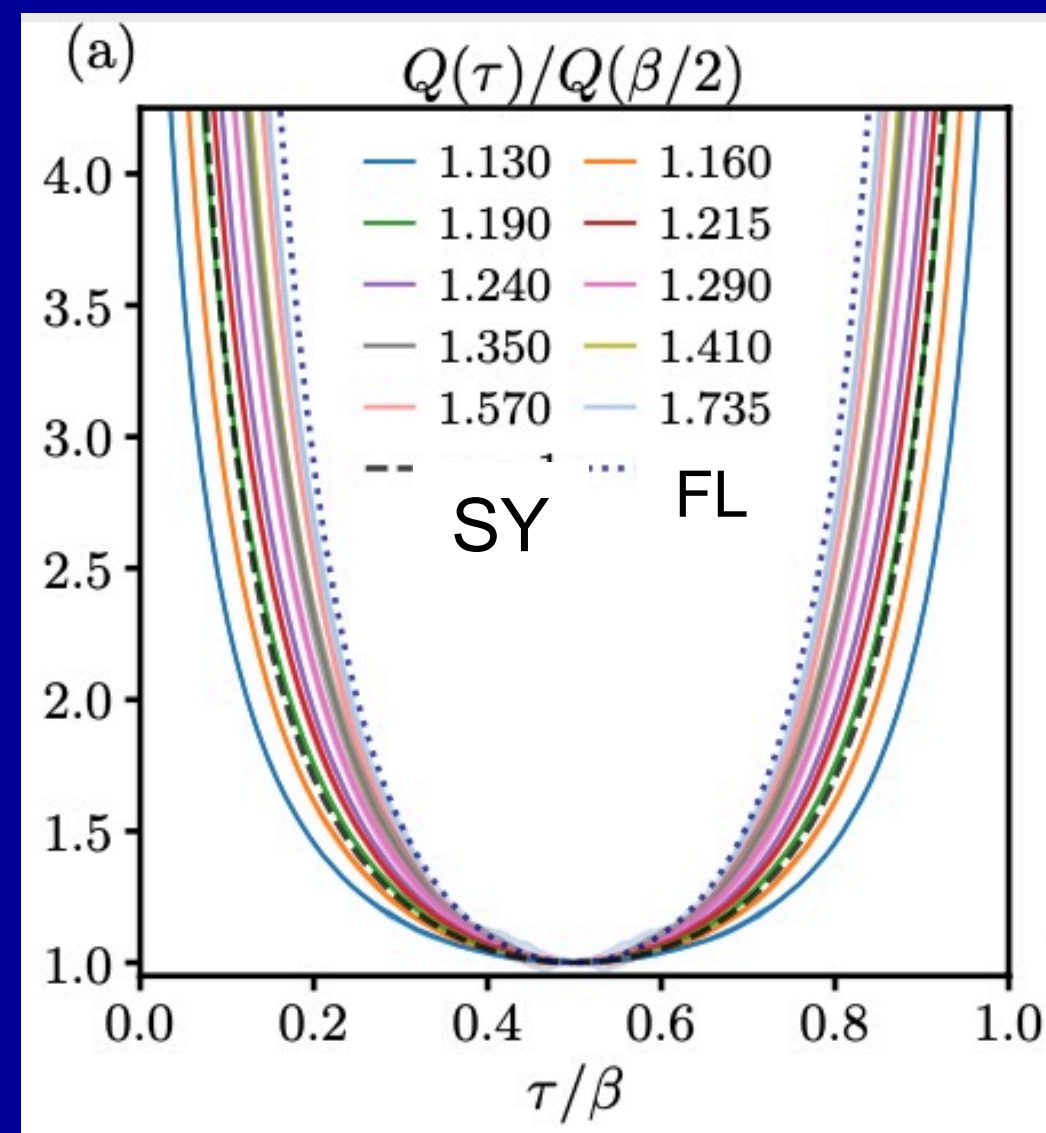
Correction from  
the boundary  
graviton

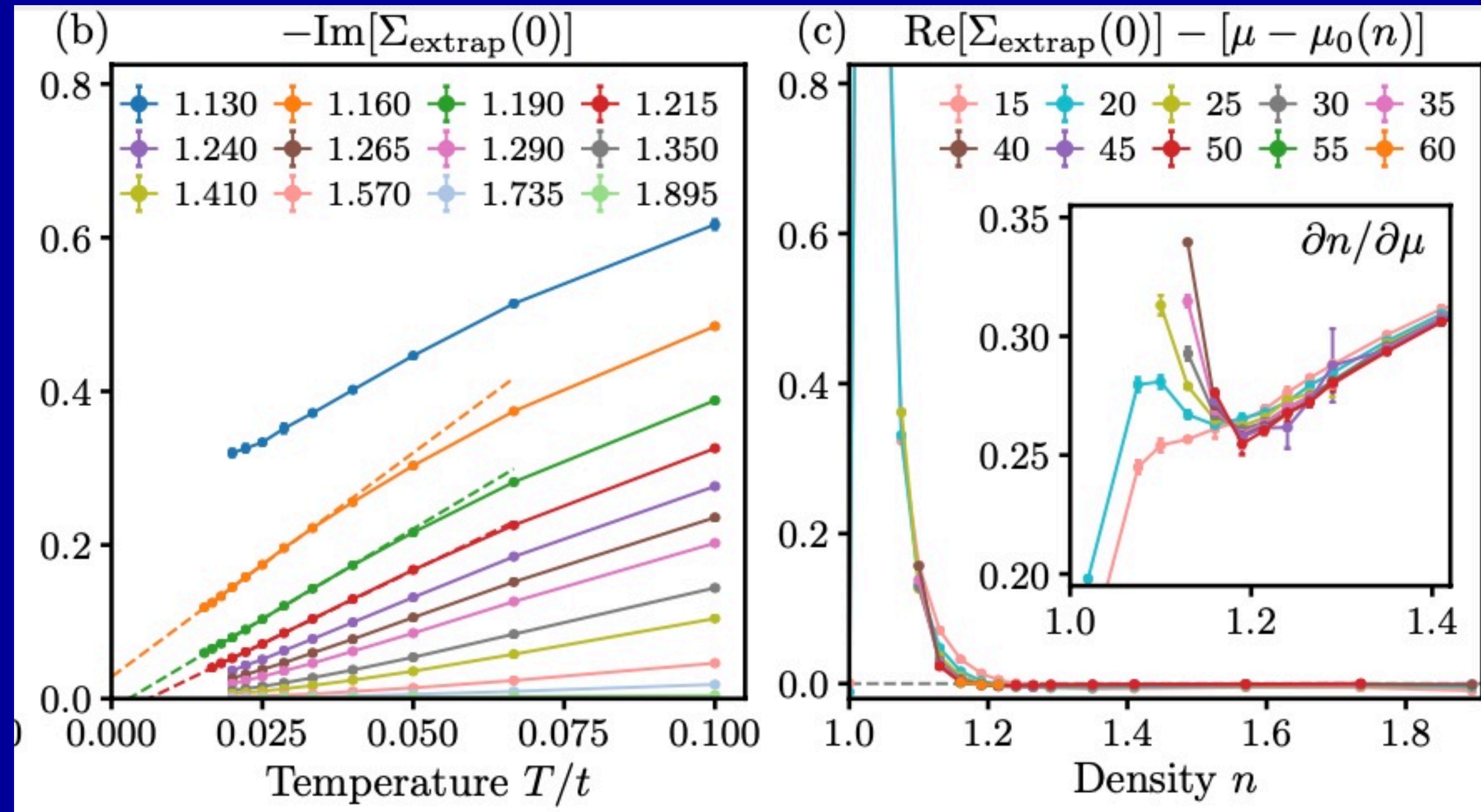


# Doping the SU(2) t-J-U SY Model : An Intriguing Quantum Critical Point Solving the EDMFT Equations



Dumitrescu, Wentzell, AG, Parcollet  
Soon on arXiv...





Scattering rate  
Linear in  $T$   
at QCP

Luttinger volume of FS  
Breaks down at QCP

The random  $t$ - $J$  model has

- Spin glass order for  $p < p_c$ .
- Fermi liquid with Luttinger volume Fermi surface for  $p > p_c$
- Maxima in entropy, specific heat, and entanglement entropy near  $p = p_c$
- SYK-Planckian criticality near  $p_c$ .
- ‘Marginal’ spin susceptibility near criticality, with boundary graviton correction ‘observed’ in SU(2) model.

1. Key puzzle in the cuprates

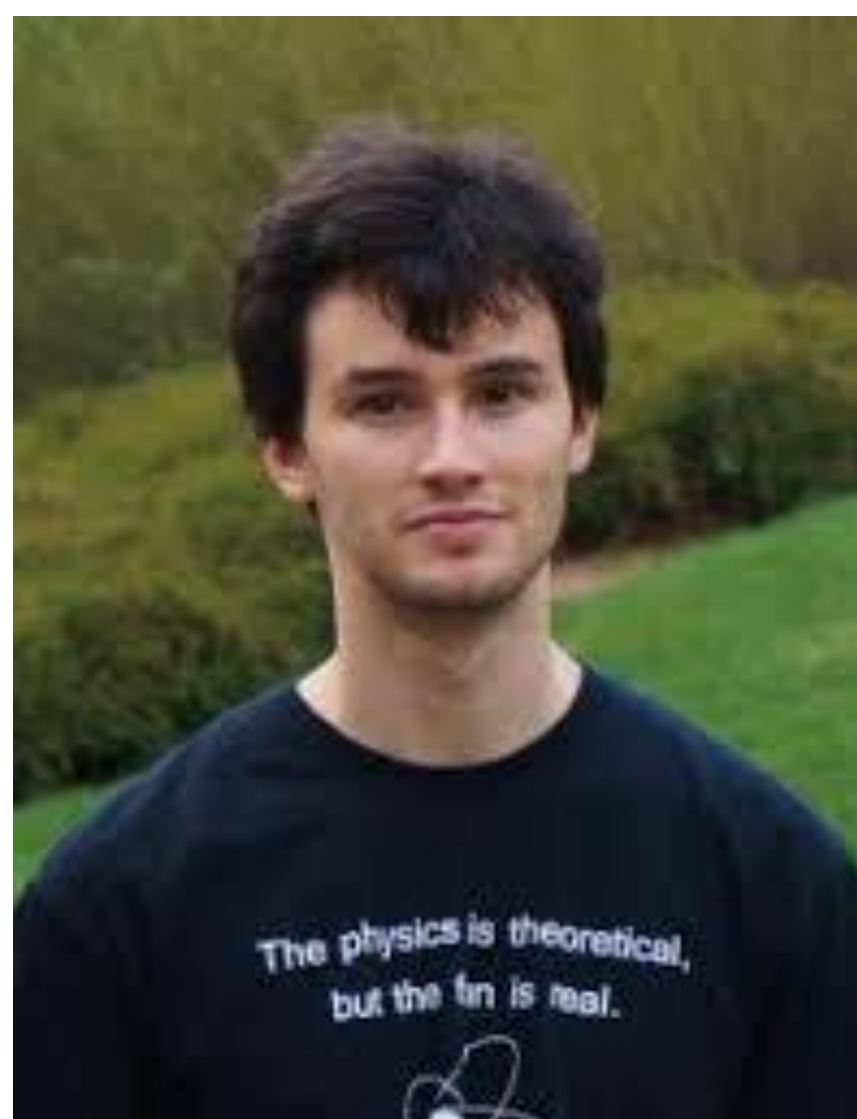
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*Fractionalization and deconfined criticality*



Darshan Joshi



Grigory Tarnopolsky

Physical Review X  
10, 021033 (2020)



Chenyuan Li

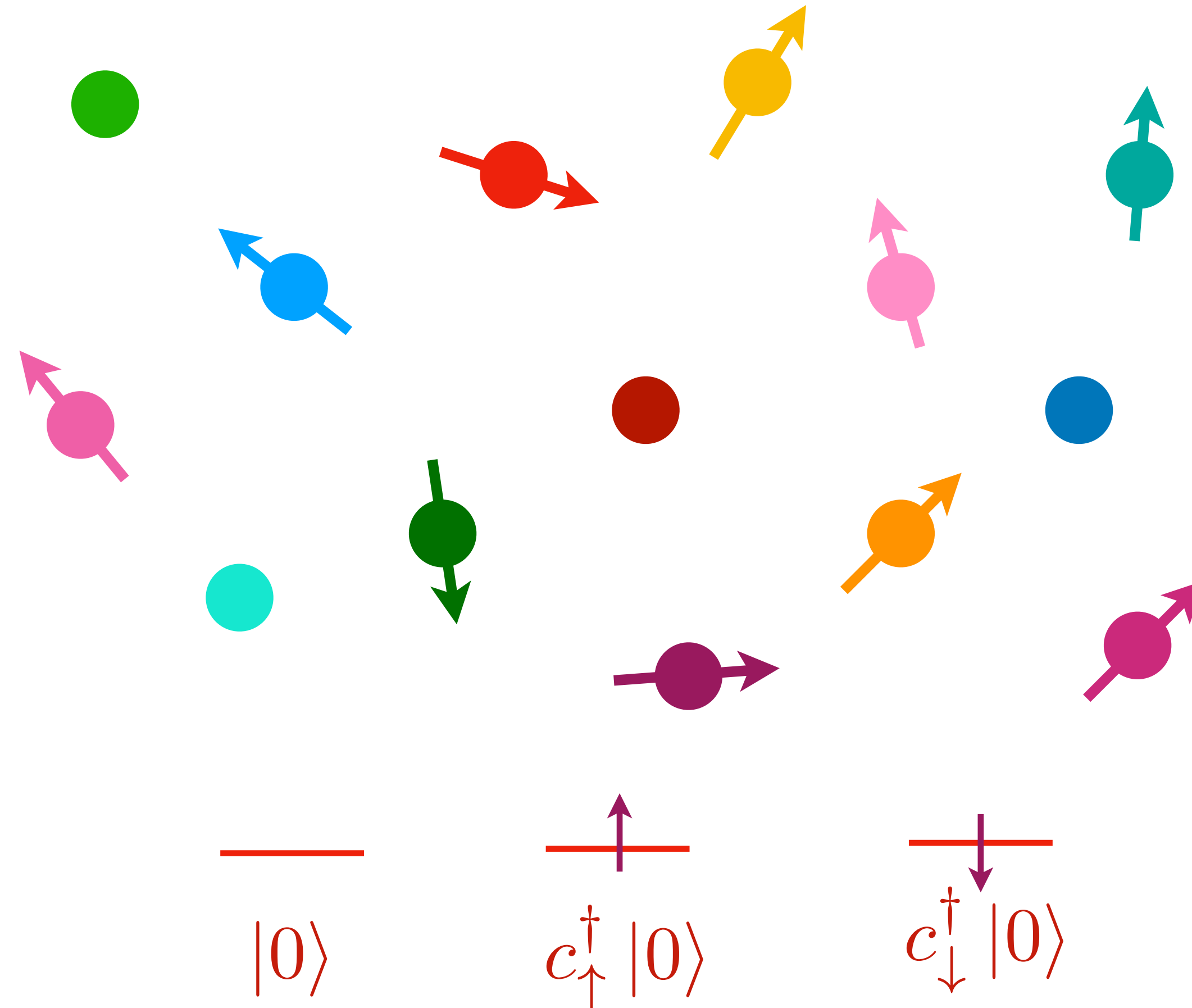


Antoine Georges

# Random $t$ - $J$ model

$$H = -\frac{1}{\sqrt{N}} \sum_{i,j=1}^N t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + \frac{1}{\sqrt{N}} \sum_{i<j=1}^N J_{ij} \vec{S}_i \cdot \vec{S}_j$$

We consider the hole-doped case, with no double occupancy.



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Each site has 3 states which we map to the ‘*superspin*’ space of a boson  $b$  (the holon) and a fermion  $f_\alpha$  (the spinon):

$$\begin{array}{ccc} \text{—} & \text{—}\uparrow & \text{—}\downarrow \\ b^\dagger |v\rangle & f_\uparrow^\dagger |v\rangle & f_\downarrow^\dagger |v\rangle \end{array}$$

$$\begin{aligned} c_\alpha &= f_\alpha b^\dagger \\ \vec{S} &= \frac{1}{2} f_\alpha^\dagger \sigma_{\alpha\beta} f_\beta \end{aligned}$$

$$f_\alpha^\dagger f_\alpha + b^\dagger b = 1$$

$$\text{U(1) gauge invariance,} \quad b \rightarrow b e^{i\phi}, \quad f_\alpha \rightarrow f_\alpha e^{i\phi}$$

The physical electron ( $c_\alpha$ ) and spin ( $\vec{S}$ ) operators are rotations in this SU(1|2) superspin space.

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# Random $t$ - $J$ model

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U(1) gauge invariance,

$$f \rightarrow f e^{i\phi}, \quad b_\alpha \rightarrow b_\alpha e^{i\phi}$$

$$\text{SU}(1|2) \equiv \text{SU}(2|1)$$

The physical electron ( $c_\alpha$ ) and spin ( $\vec{S}$ ) operators are rotations in this SU(2|1) superspin space.

# Random $t$ - $J$ model: large $M$ limit

Both the  $t$  and the  $J$  terms involve four single-particle operators.

Consequently, in a large  $M$  limit, the saddle-point equations are very similar to the  $q = 4$  SYK equations. These equations realize a critical phase with SYK criticality, provided none of the bosons condense.

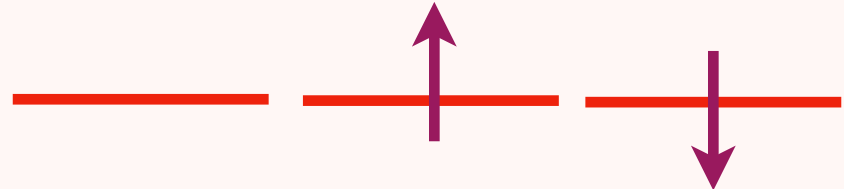
$$\begin{aligned} G_b(i\omega_n) &= \frac{1}{i\omega_n + \mu_b - \Sigma_b(i\omega_n)} \\ \Sigma_b(\tau) &= -t^2 G_f(\tau) G_f(-\tau) G_b(\tau) \\ G_f(i\omega_n) &= \frac{1}{i\omega_n + \mu_f - \Sigma_f(i\omega_n)} \\ \Sigma_f(\tau) &= -J^2 G_f^2(\tau) G_f(-\tau) + k t^2 G_f(\tau) G_b(\tau) G_b(-\tau) \end{aligned}$$

Here  $\mu_f$  and  $\mu_b$  are chemical potentials chosen to satisfy

$$\langle f^\dagger f \rangle = \frac{1}{2} - k\delta \quad , \quad \langle b^\dagger b \rangle = \delta .$$

# Random $t$ - $J$ model: large $M$ limit

SYK  
criticality of  
fractionalized  
excitations



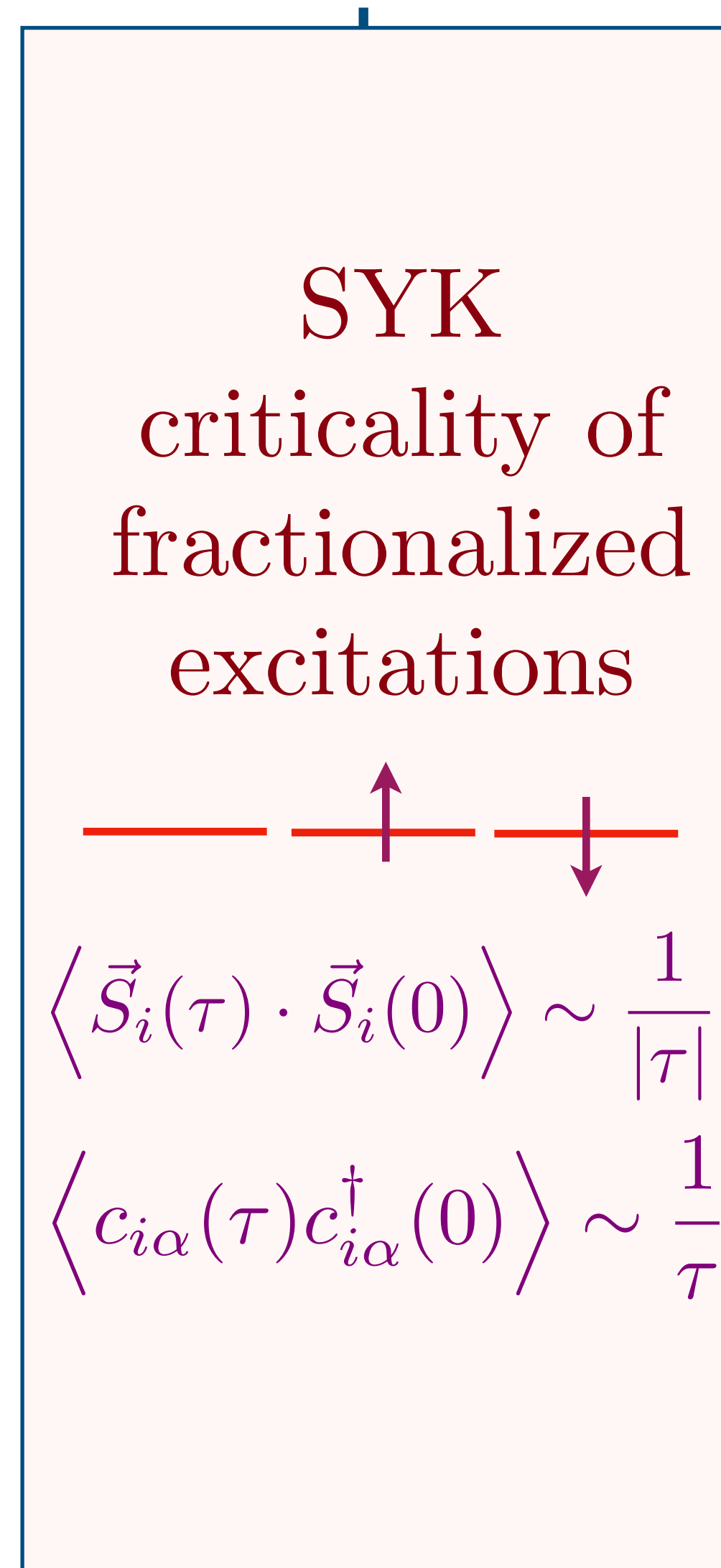
$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{|\tau|}$

$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$

$p_c$

$p$

# Random $t$ - $J$ model: large $M$ limit

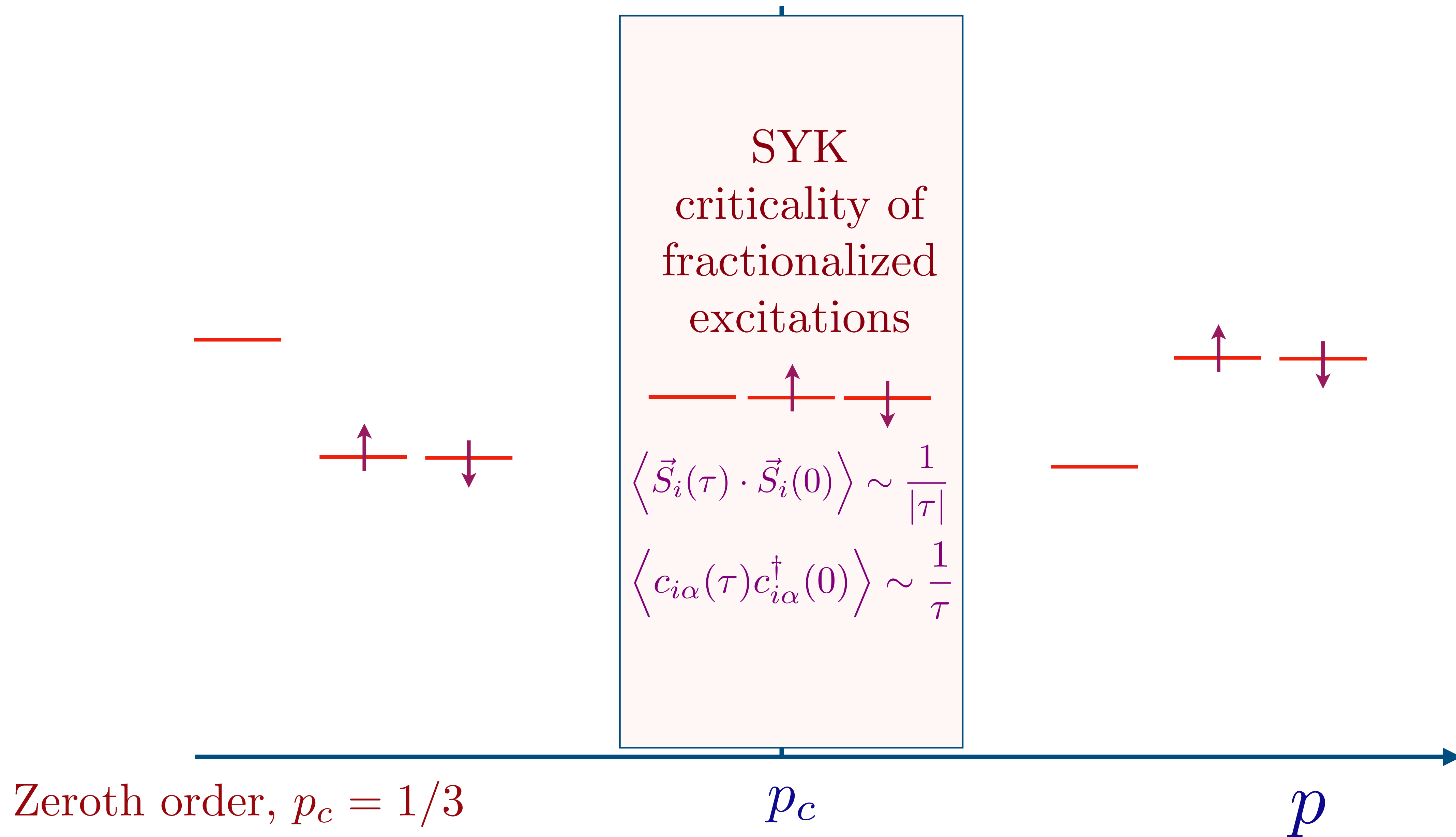


Zeroth order,  $p_c = 1/3$

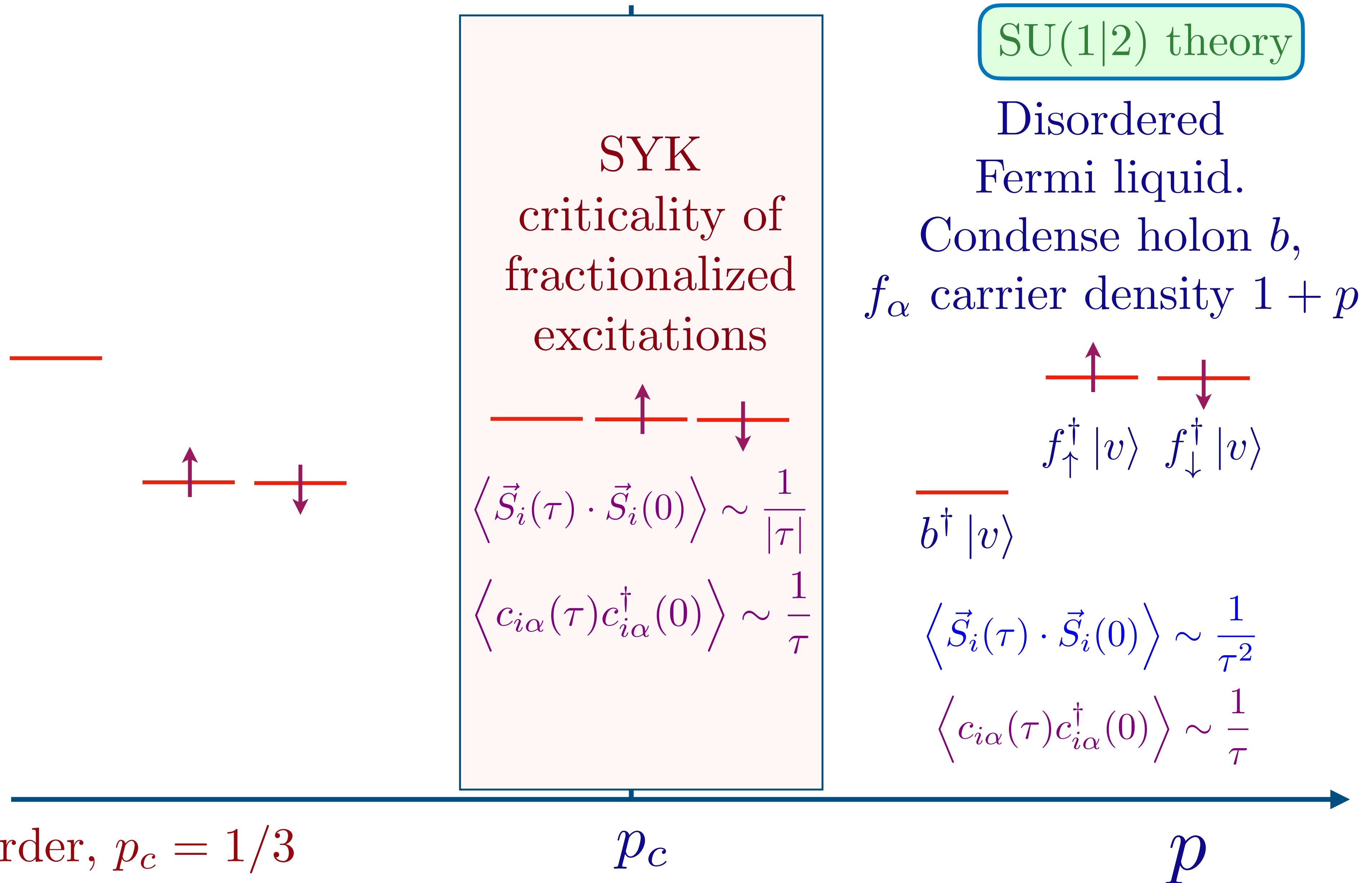
$p_c$

$p$

# Random $t$ - $J$ model: large $M$ limit



# Random $t$ - $J$ model: large $M$ limit



# Random $t$ - $J$ model: large $M$ limit

SU(2|1) theory

Metallic  
spin glass.  
Condense spinon  $\mathbf{b}_\alpha$ ,  
 $f$  carrier density  $p$

$f^\dagger |v\rangle$

$\begin{array}{c} \uparrow \\ \text{---} \\ \mathbf{b}_\uparrow^\dagger |v\rangle \end{array} \quad \begin{array}{c} \downarrow \\ \text{---} \\ \mathbf{b}_\downarrow^\dagger |v\rangle \end{array}$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \text{constant}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

SYK  
criticality of  
fractionalized  
excitations

$\text{---} \quad \begin{array}{c} \uparrow \\ \text{---} \\ \downarrow \end{array} \quad \text{---}$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{|\tau|}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

SU(1|2) theory

Disordered  
Fermi liquid.  
Condense holon  $b$ ,  
 $f_\alpha$  carrier density  $1 + p$

$\begin{array}{c} \uparrow \\ \text{---} \\ f_\uparrow^\dagger |v\rangle \end{array} \quad \begin{array}{c} \downarrow \\ \text{---} \\ f_\downarrow^\dagger |v\rangle \end{array}$

$b^\dagger |v\rangle$

$$\langle \vec{S}_i(\tau) \cdot \vec{S}_i(0) \rangle \sim \frac{1}{\tau^2}$$

$$\langle c_{i\alpha}(\tau) c_{i\alpha}^\dagger(0) \rangle \sim \frac{1}{\tau}$$

Zeroth order,  $p_c = 1/3$

$p_c$

$p$

The random  $t$ - $J$  model has

- Spin glass order for  $p < p_c$ .
- Fermi liquid with Luttinger volume Fermi surface for  $p > p_c$
- Maxima in entropy, specific heat, and entanglement entropy near  $p = p_c$
- SYK-Planckian criticality near  $p_c$ .
- ‘Marginal’ spin susceptibility near criticality, with boundary graviton correction ‘observed’ in  $SU(2)$  model.

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Partial understanding of these properties is achieved by a theory of SYK criticality of bosonic and fermionic partons