

# The “pseudogap” phase of the high temperature superconductors

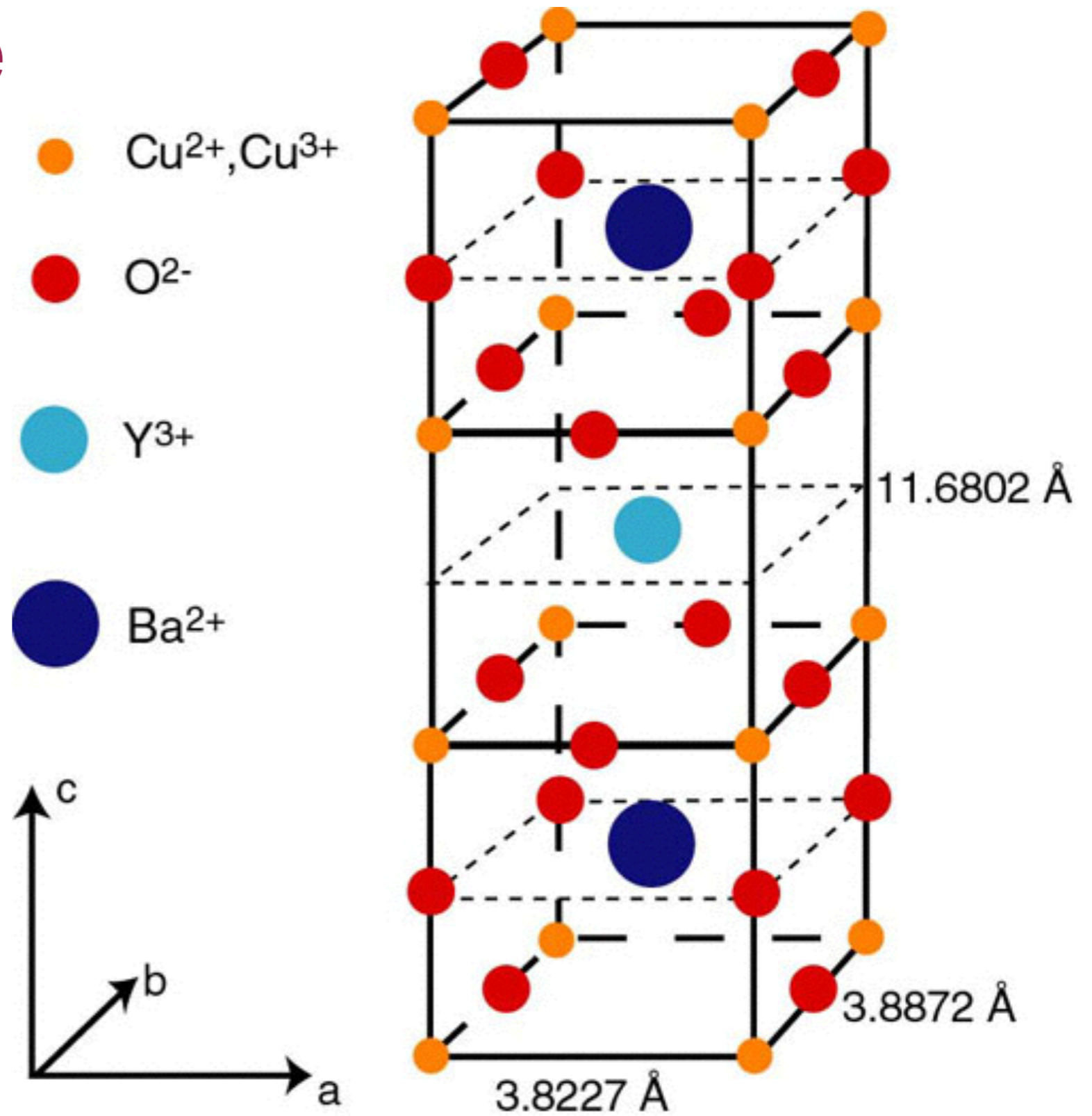
ITAMP, Harvard  
September 4, 2014

Subir Sachdev

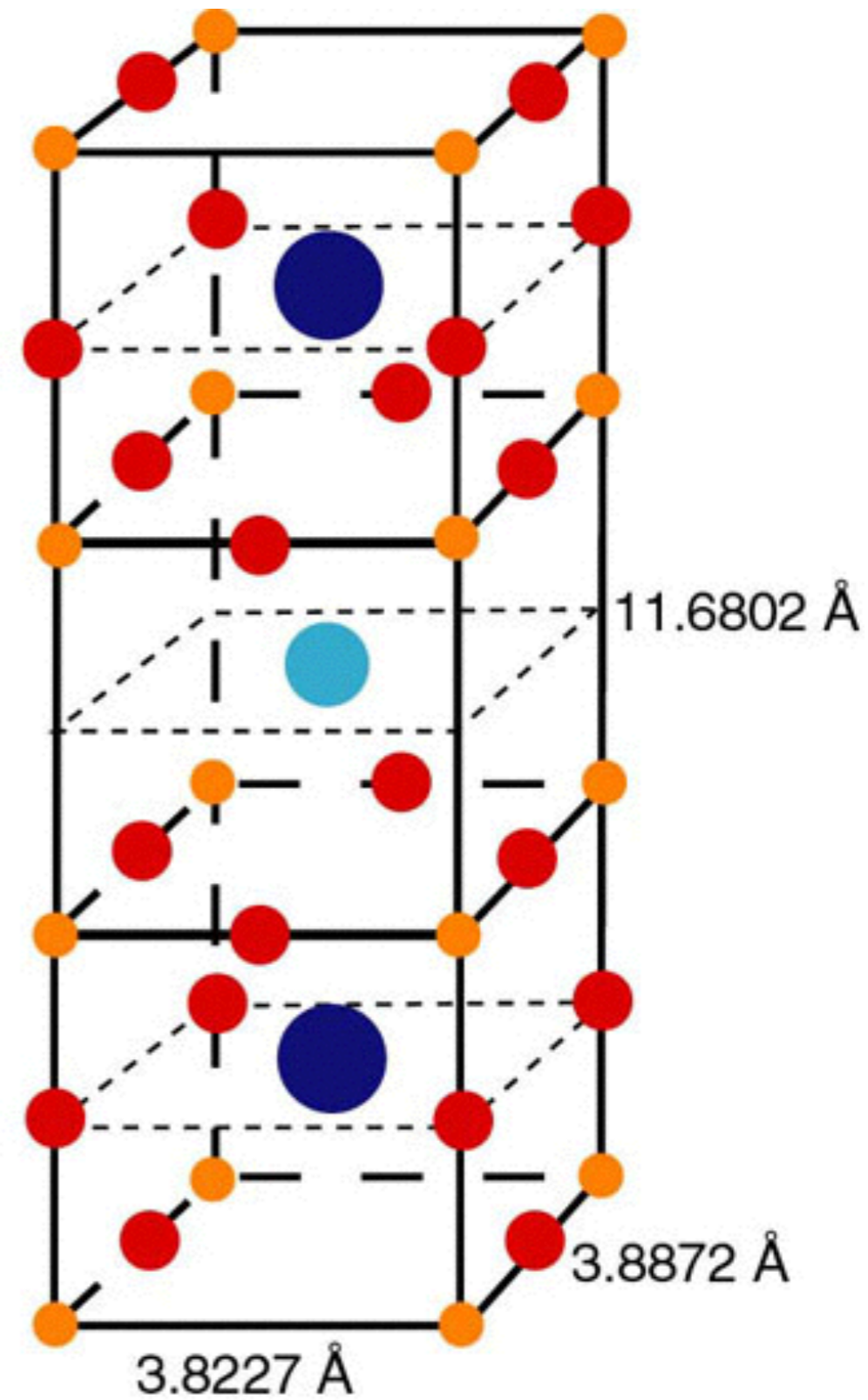
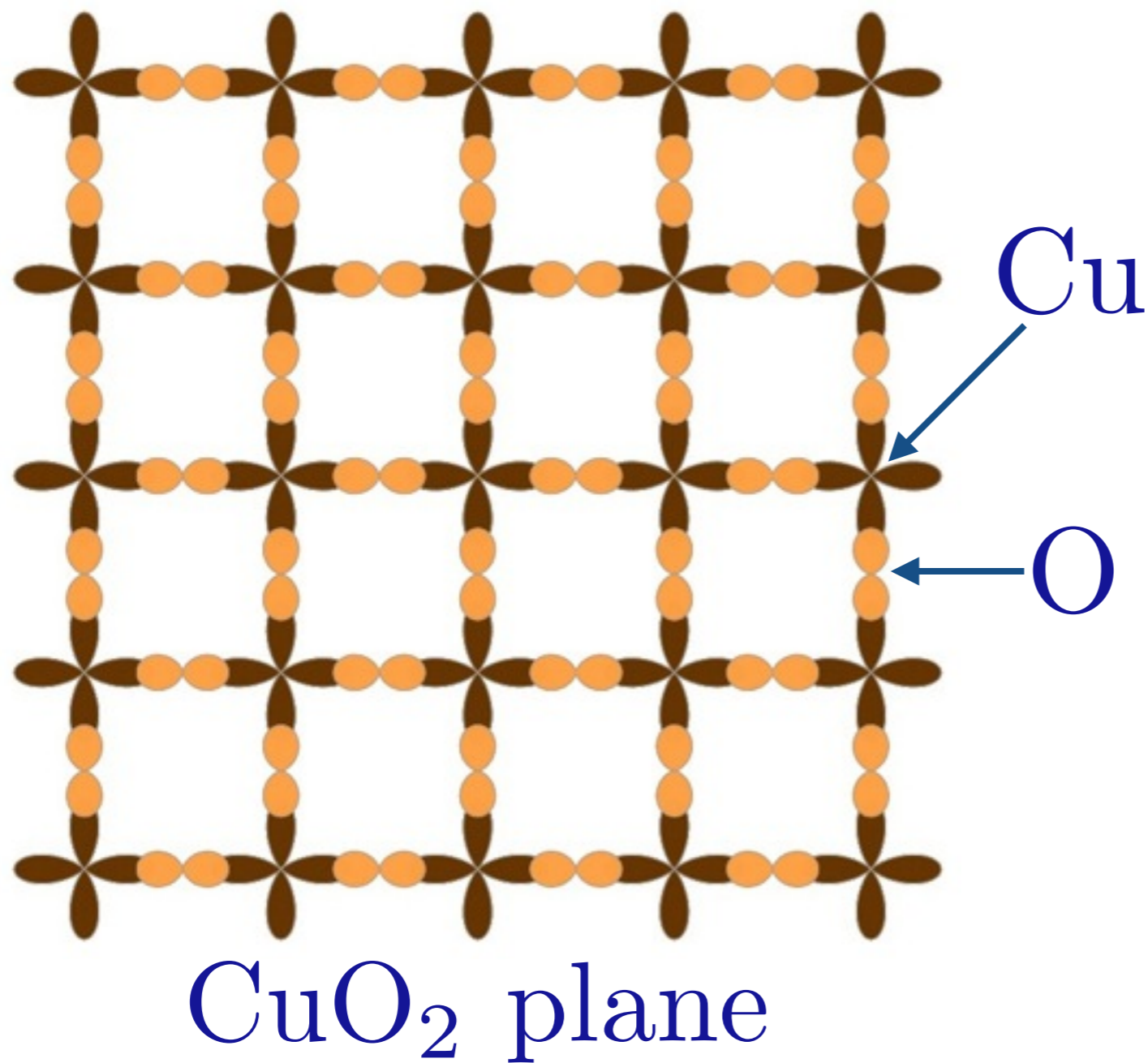
Talk online: [sachdev.physics.harvard.edu](http://sachdev.physics.harvard.edu)

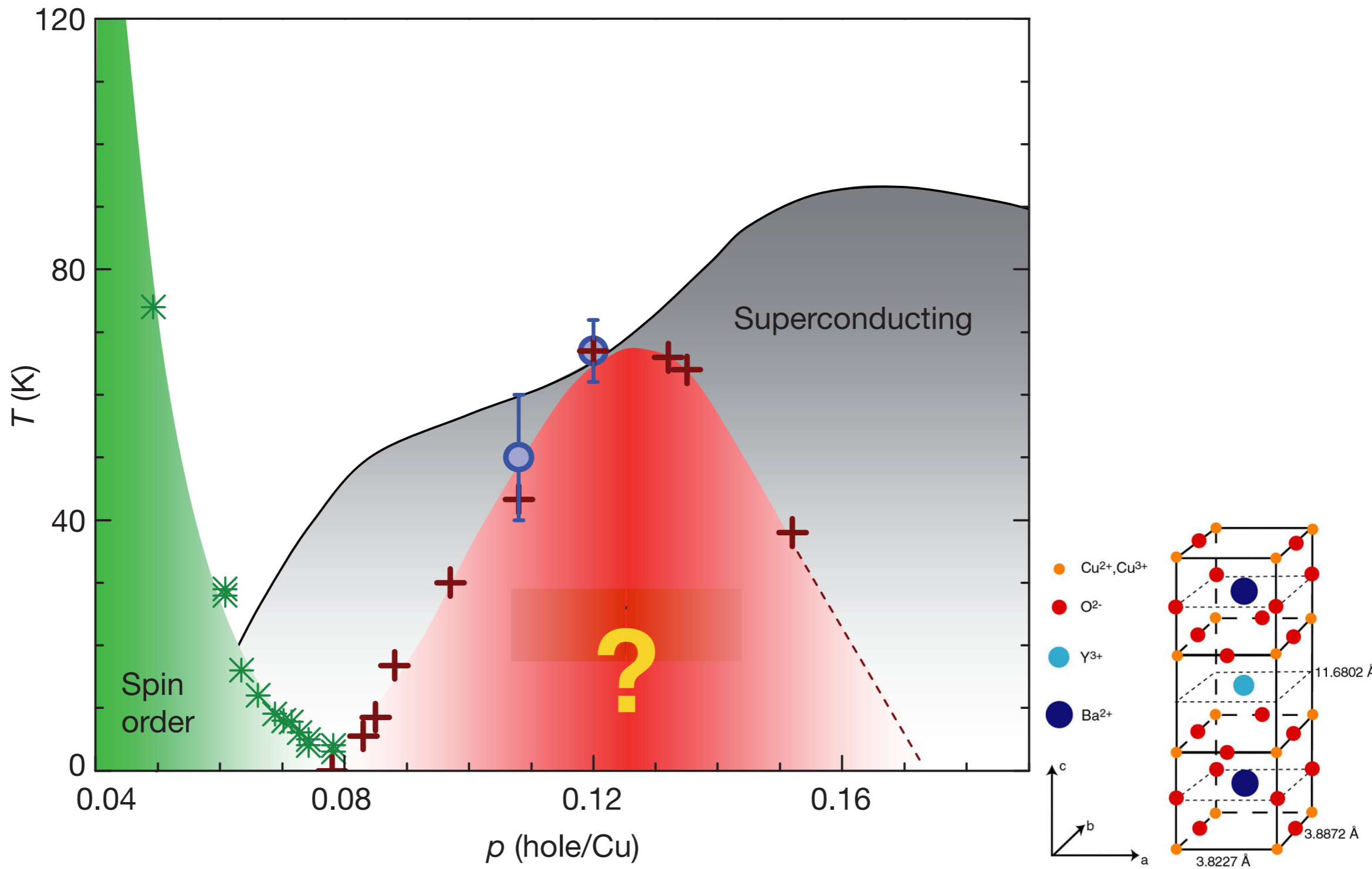


# High temperature superconductors

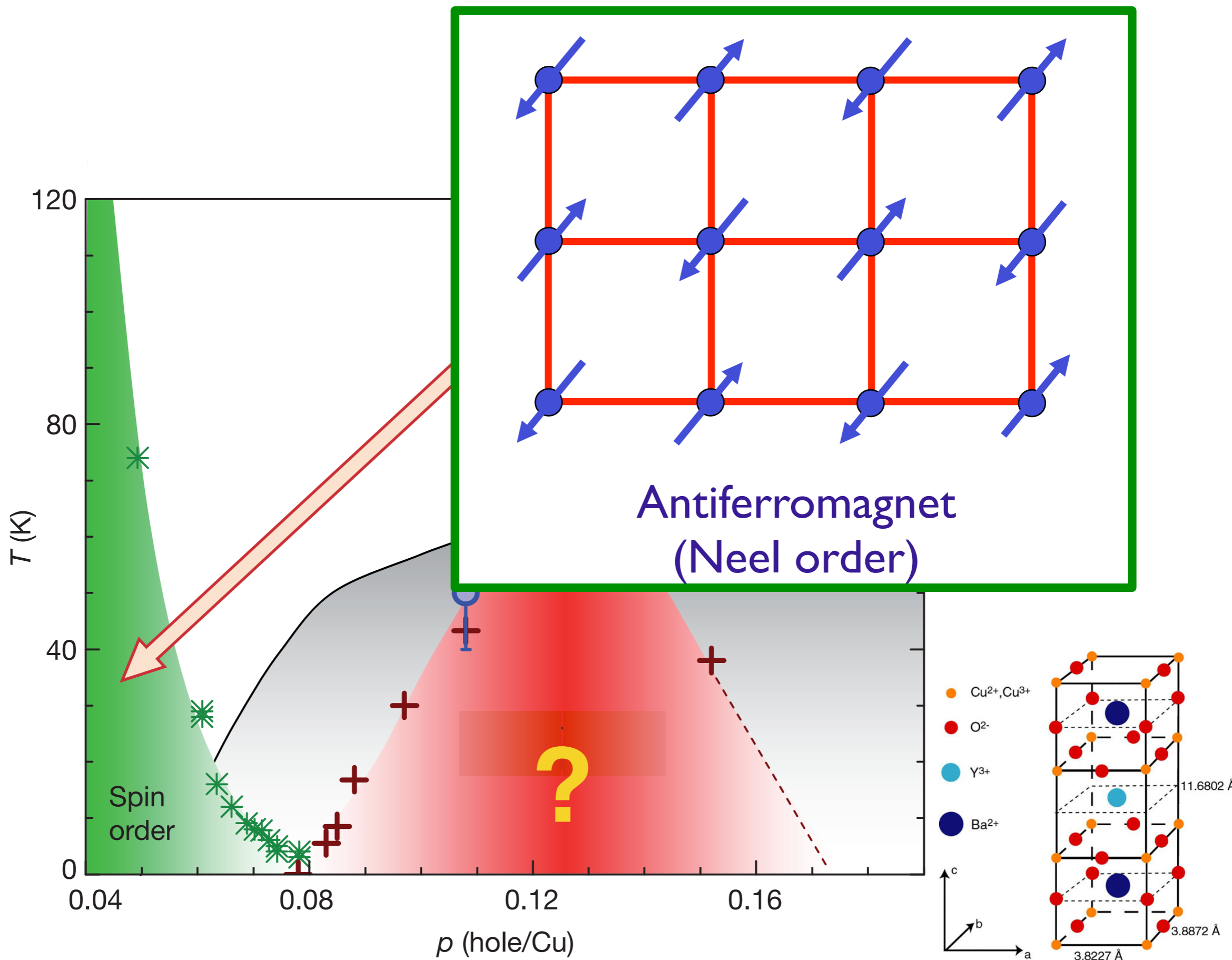


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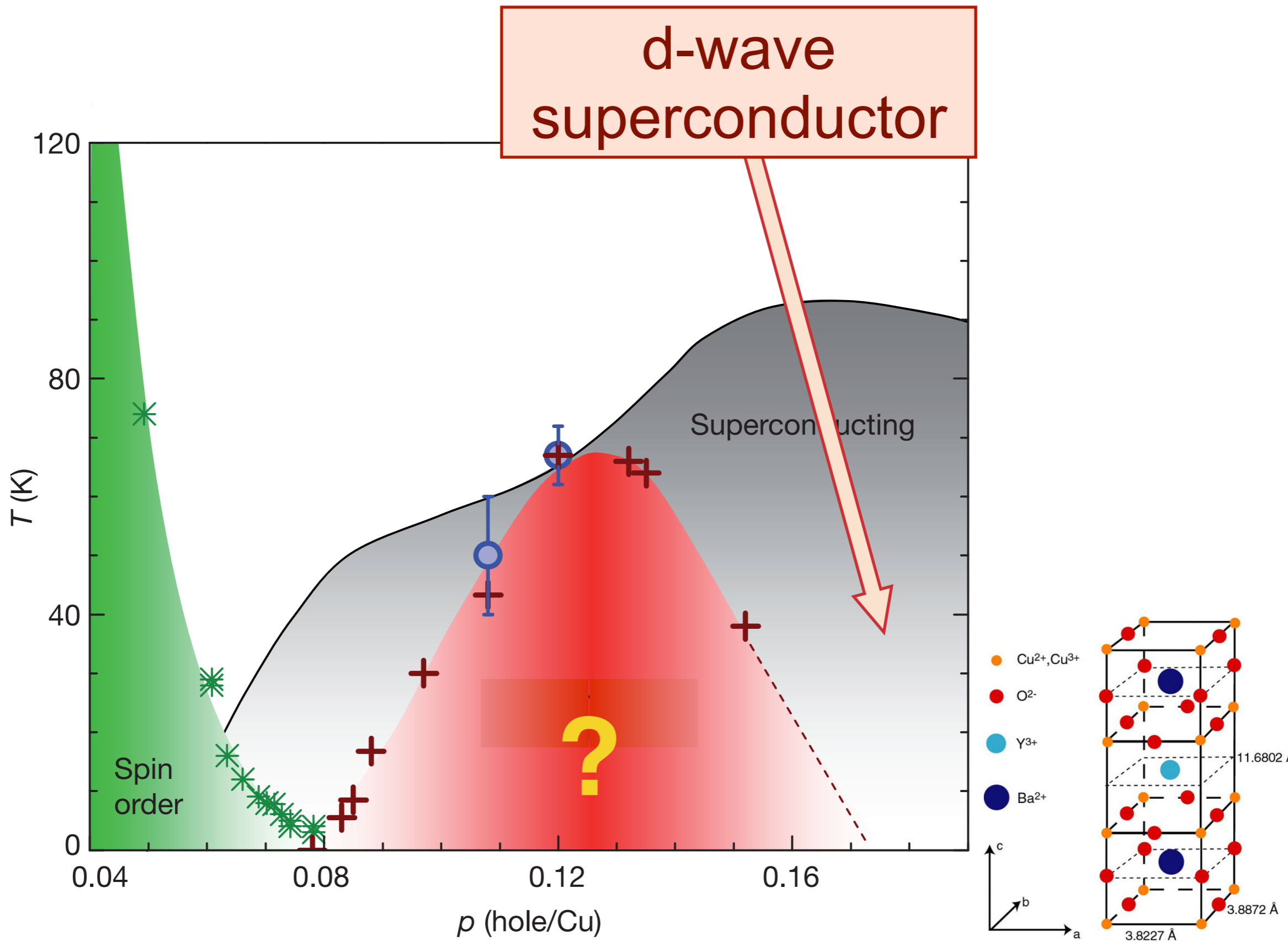




T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).



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# Superconductivity: Bose condensation of Cooper pairs of electrons

$$\varepsilon^{\alpha\beta} \left\langle c_{\alpha}^{\dagger}(\mathbf{r}_1) c_{\beta}^{\dagger}(\mathbf{r}_2) \right\rangle = \left[ P(\mathbf{r}_1 - \mathbf{r}_2) \right] \times \Psi_{SC} \left( \frac{\mathbf{r}_1 + \mathbf{r}_2}{2} \right)$$

$$\alpha, \beta = \uparrow, \downarrow \quad ; \quad \varepsilon^{\uparrow\downarrow} = -\varepsilon^{\downarrow\uparrow} = 1; \quad \varepsilon^{\uparrow\uparrow} = \varepsilon^{\downarrow\downarrow} = 0$$

# Superconductivity: Bose condensation of Cooper pairs of electrons

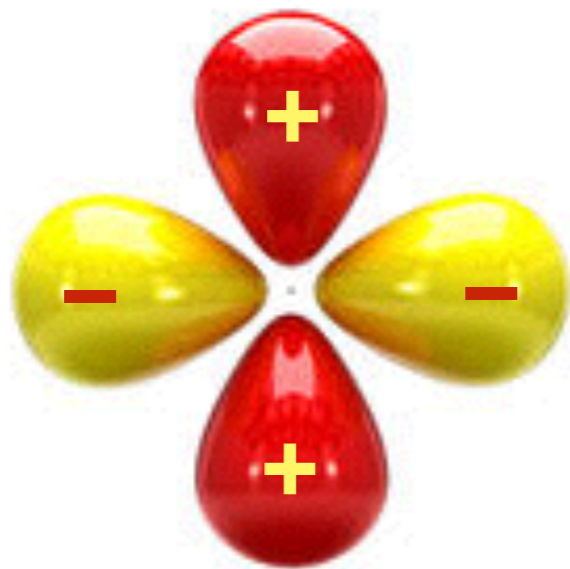
$$\varepsilon^{\alpha\beta} \left\langle c_{\alpha}^{\dagger}(\mathbf{r}_1) c_{\beta}^{\dagger}(\mathbf{r}_2) \right\rangle = \left[ P(\mathbf{r}_1 - \mathbf{r}_2) \right] \times \Psi_{SC} \left( \frac{\mathbf{r}_1 + \mathbf{r}_2}{2} \right)$$

Nearly constant condensate wavefunction  
(superconducting order parameter)

$$\alpha, \beta = \uparrow, \downarrow \quad ; \quad \varepsilon^{\uparrow\downarrow} = -\varepsilon^{\downarrow\uparrow} = 1; \quad \varepsilon^{\uparrow\uparrow} = \varepsilon^{\downarrow\downarrow} = 0$$

# Superconductivity: Bose condensation of Cooper pairs of electrons

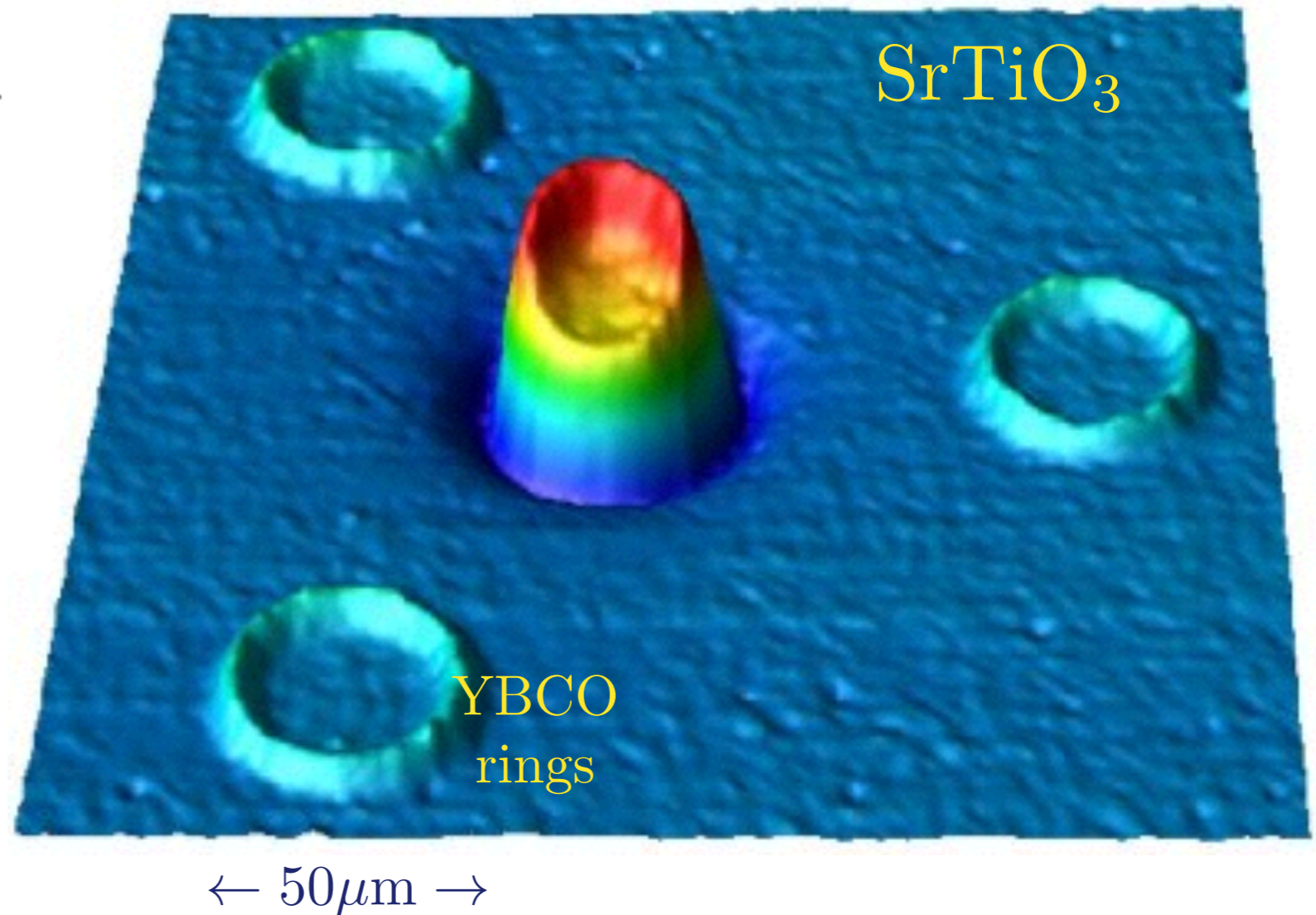
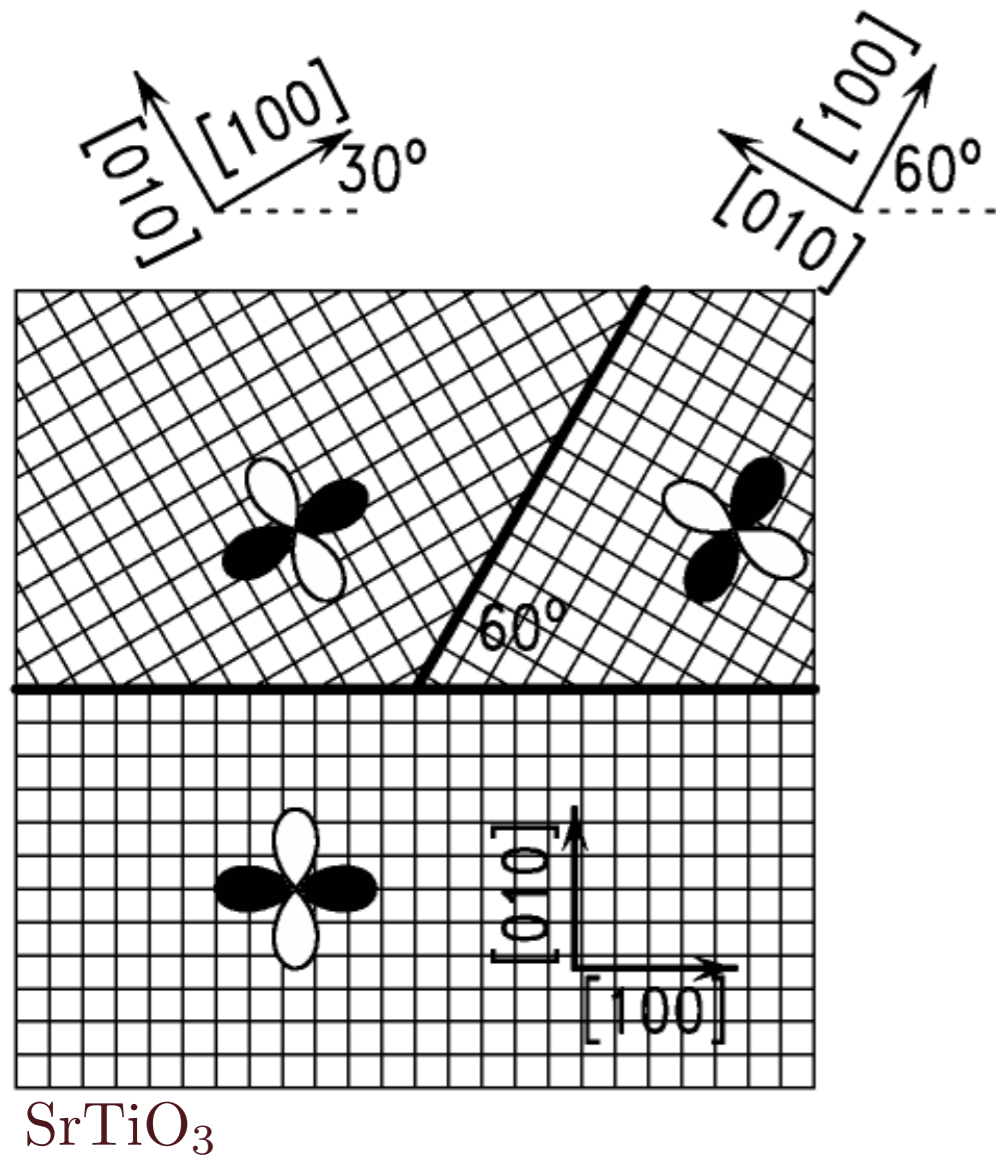
$$\varepsilon^{\alpha\beta} \left\langle c_{\alpha}^{\dagger}(\mathbf{r}_1) c_{\beta}^{\dagger}(\mathbf{r}_2) \right\rangle = \left[ P(\mathbf{r}_1 - \mathbf{r}_2) \right] \times \Psi_{SC} \left( \frac{\mathbf{r}_1 + \mathbf{r}_2}{2} \right)$$



Internal Cooper-pair wavefunction.  
Has *d*-wave form in cuprates

$$\alpha, \beta = \uparrow, \downarrow \quad ; \quad \varepsilon^{\uparrow\downarrow} = -\varepsilon^{\downarrow\uparrow} = 1; \quad \varepsilon^{\uparrow\uparrow} = \varepsilon^{\downarrow\downarrow} = 0$$

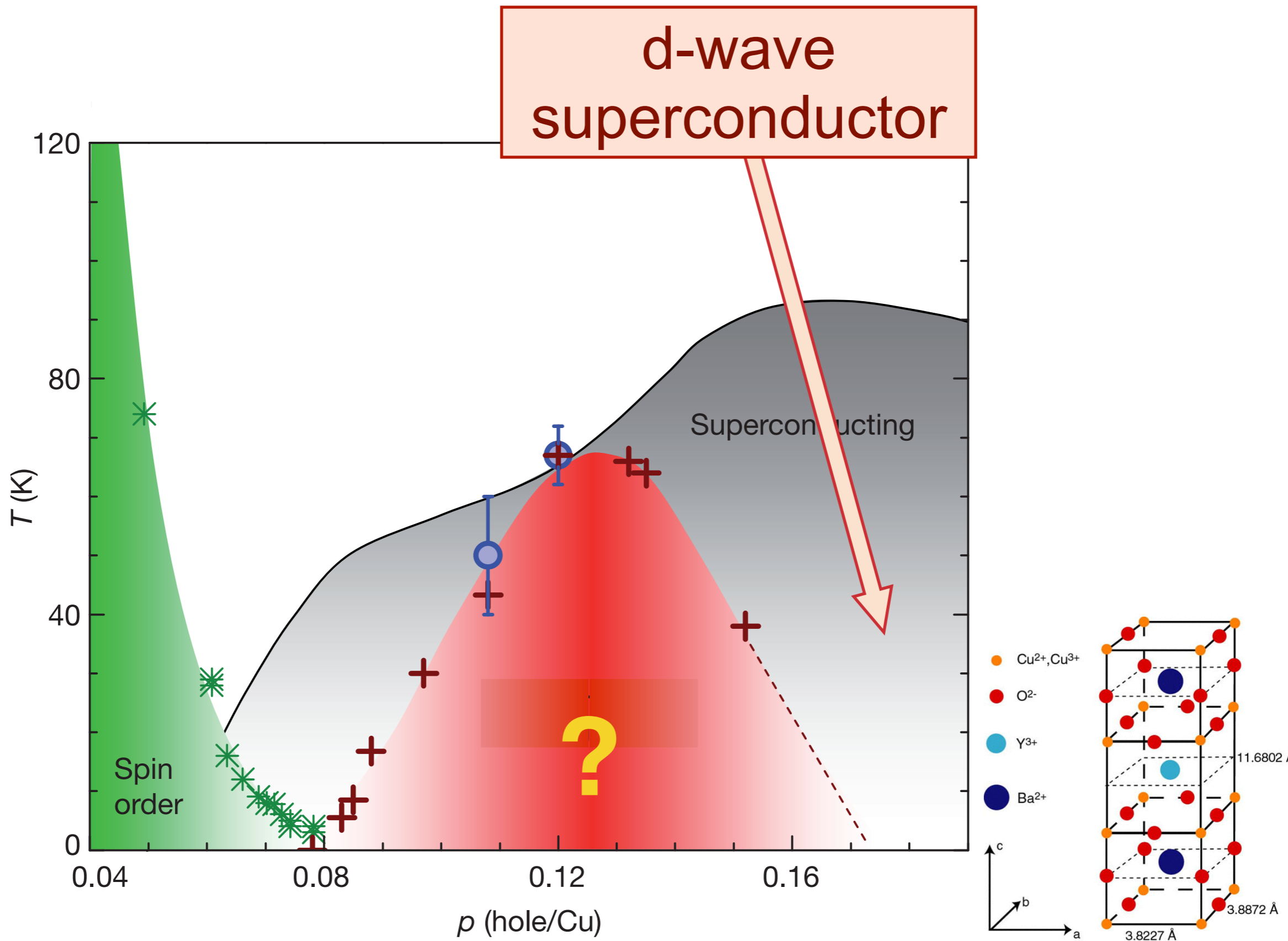
# Phase-sensitive measurement of the $d$ -wave symmetry of Cooper pairs



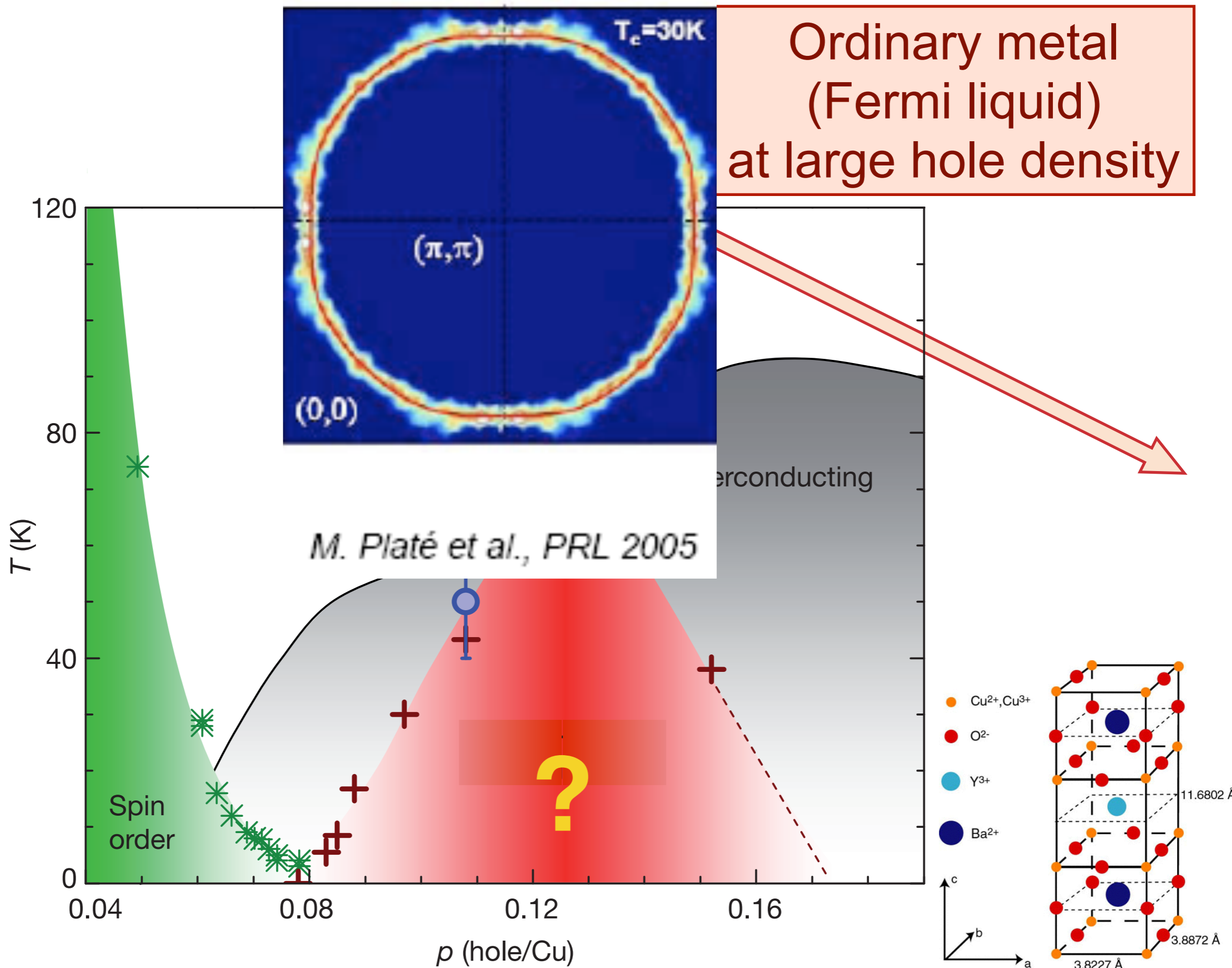
## Pairing Symmetry and Flux Quantization in a Tricrystal Superconducting Ring of YBa<sub>2</sub>Cu<sub>3</sub>O<sub>7-δ</sub>

C. C. Tsuei, J. R. Kirtley, C. C. Chi,\* Lock See Yu-Jahnes, A. Gupta, T. Shaw, J. Z. Sun, and M. B. Ketchen  
*IBM Thomas J. Watson Research Center, P.O. Box 218, Yorktown Heights, New York 10598*

Phys. Rev. Lett. **73**, 593 (1994)

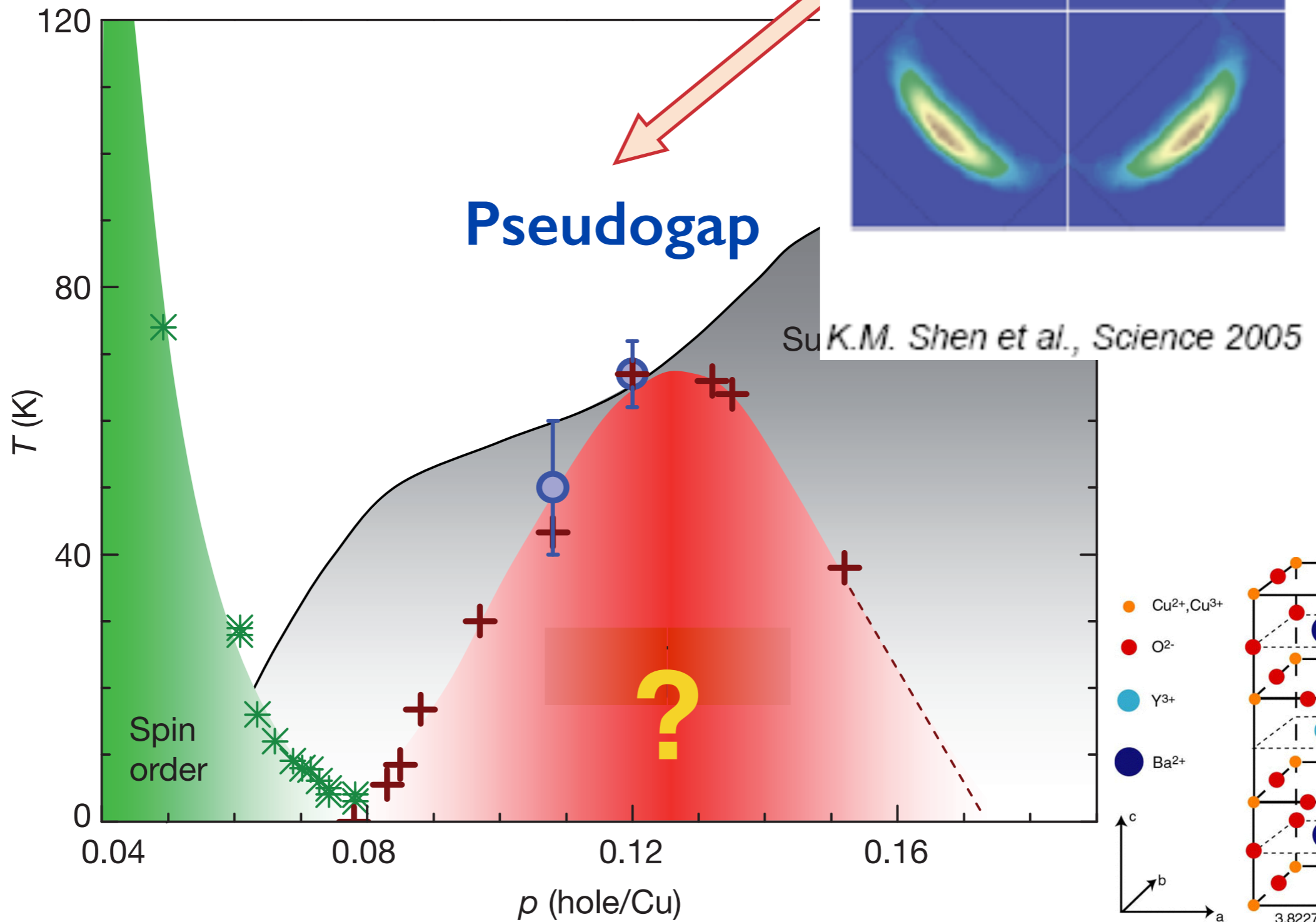
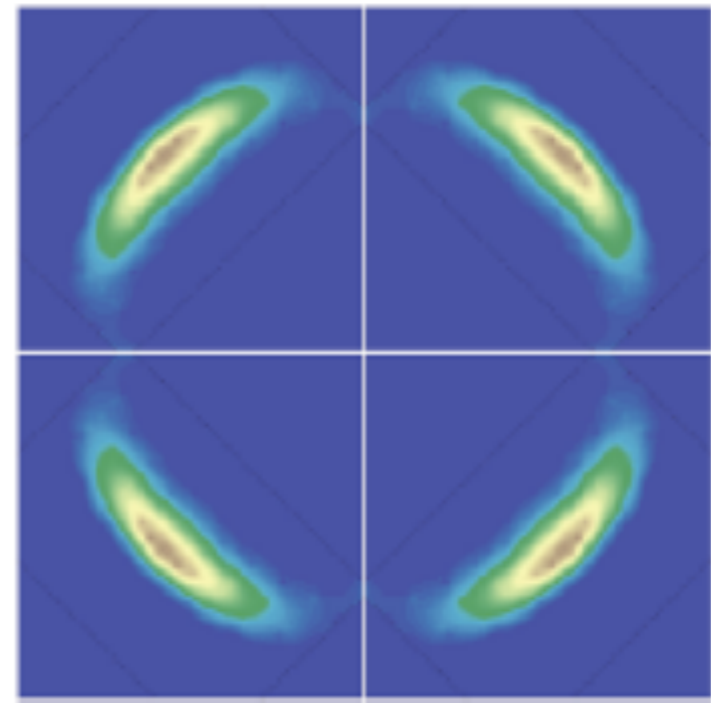


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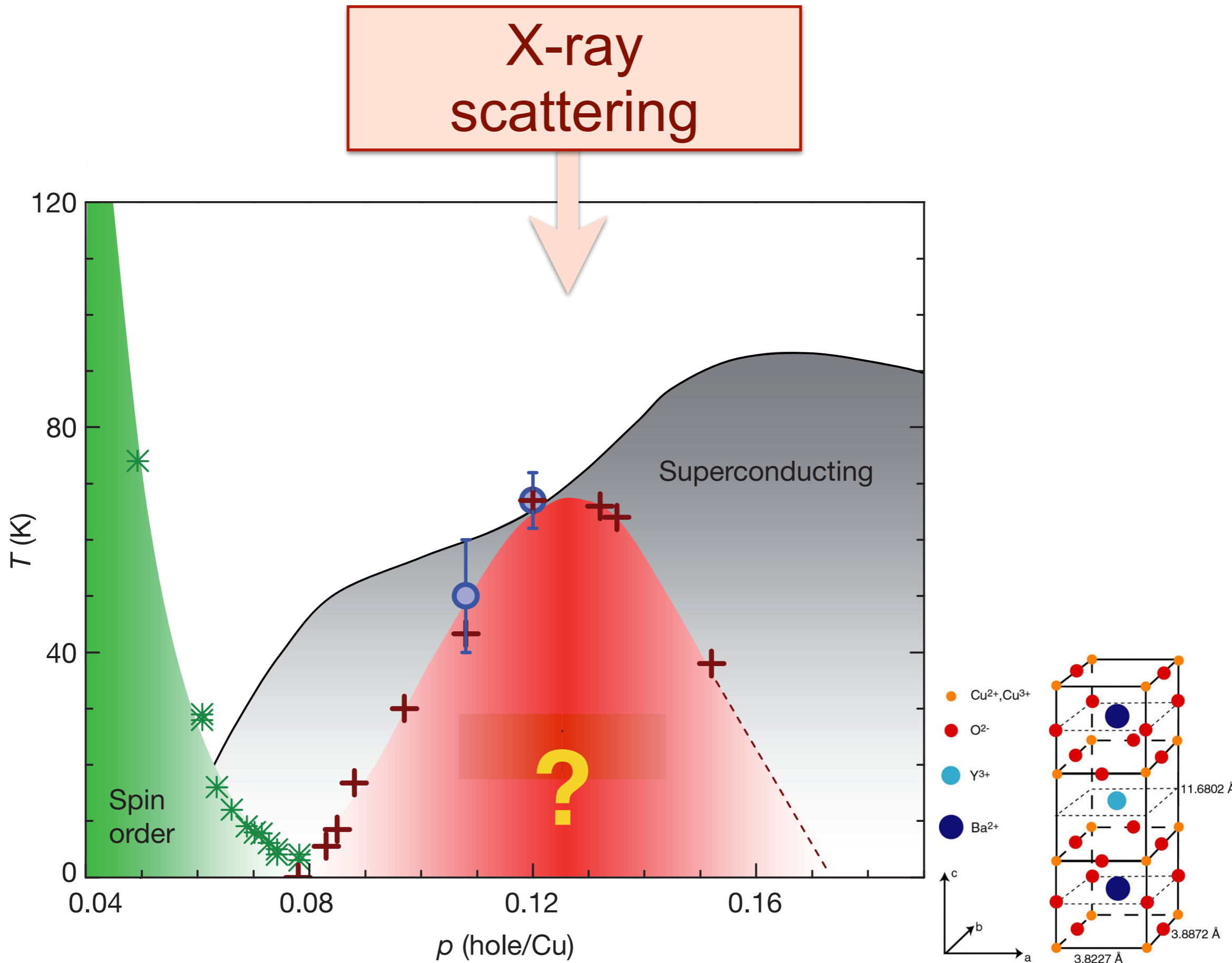


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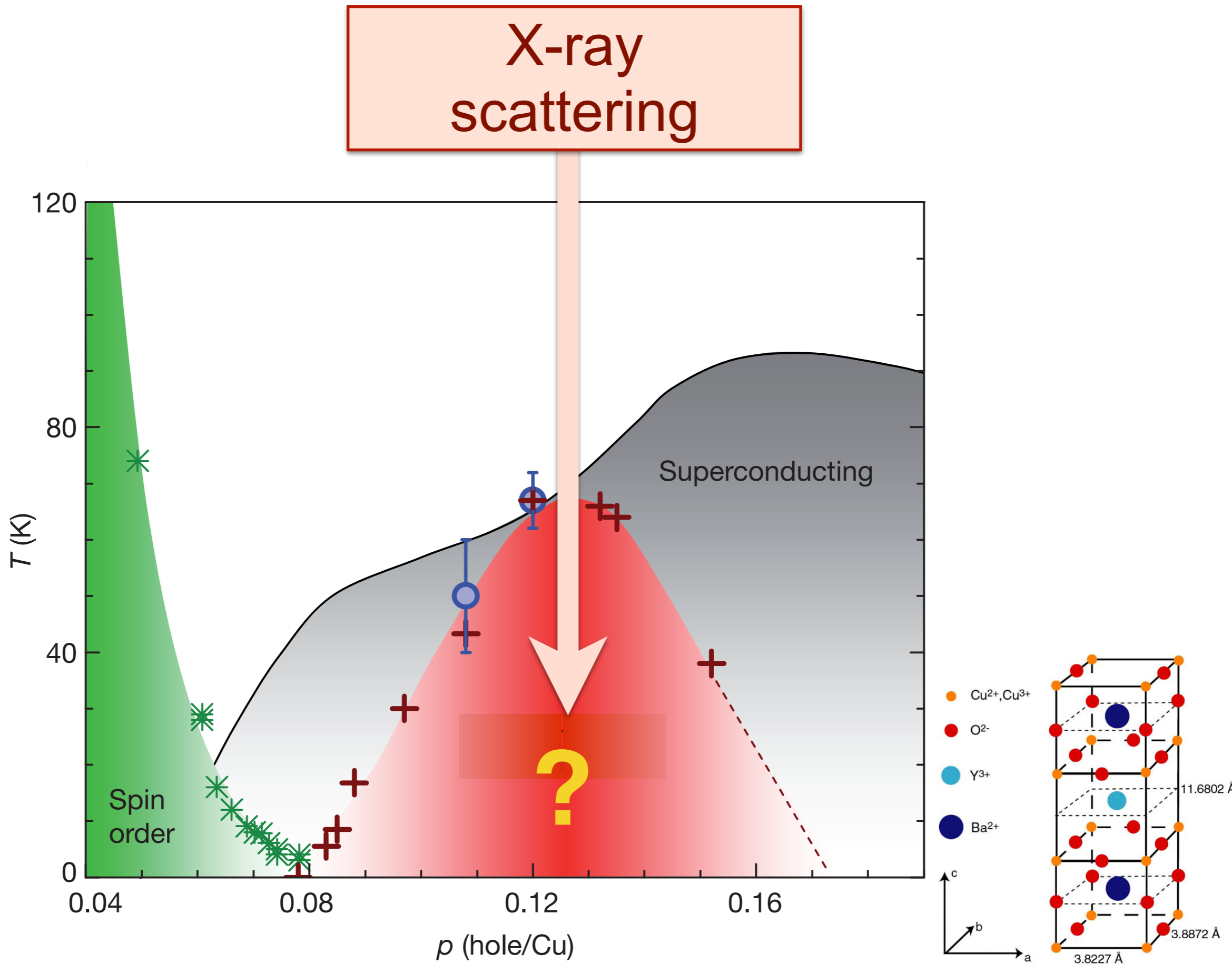
“Fermi arcs” at low doping



T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).



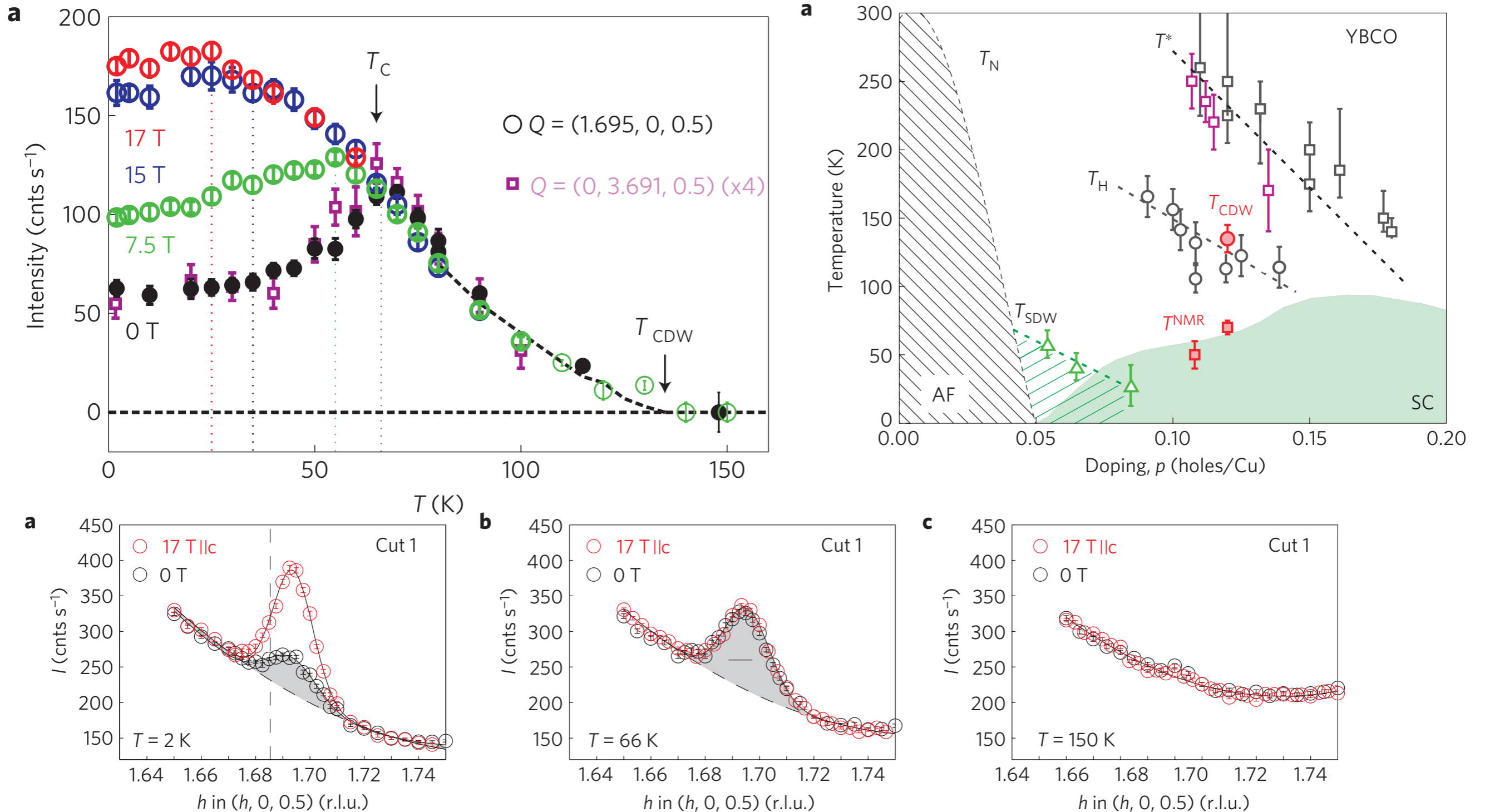
T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).



T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).

# Direct observation of competition between superconductivity and charge density wave order in $\text{YBa}_2\text{Cu}_3\text{O}_{6.67}$

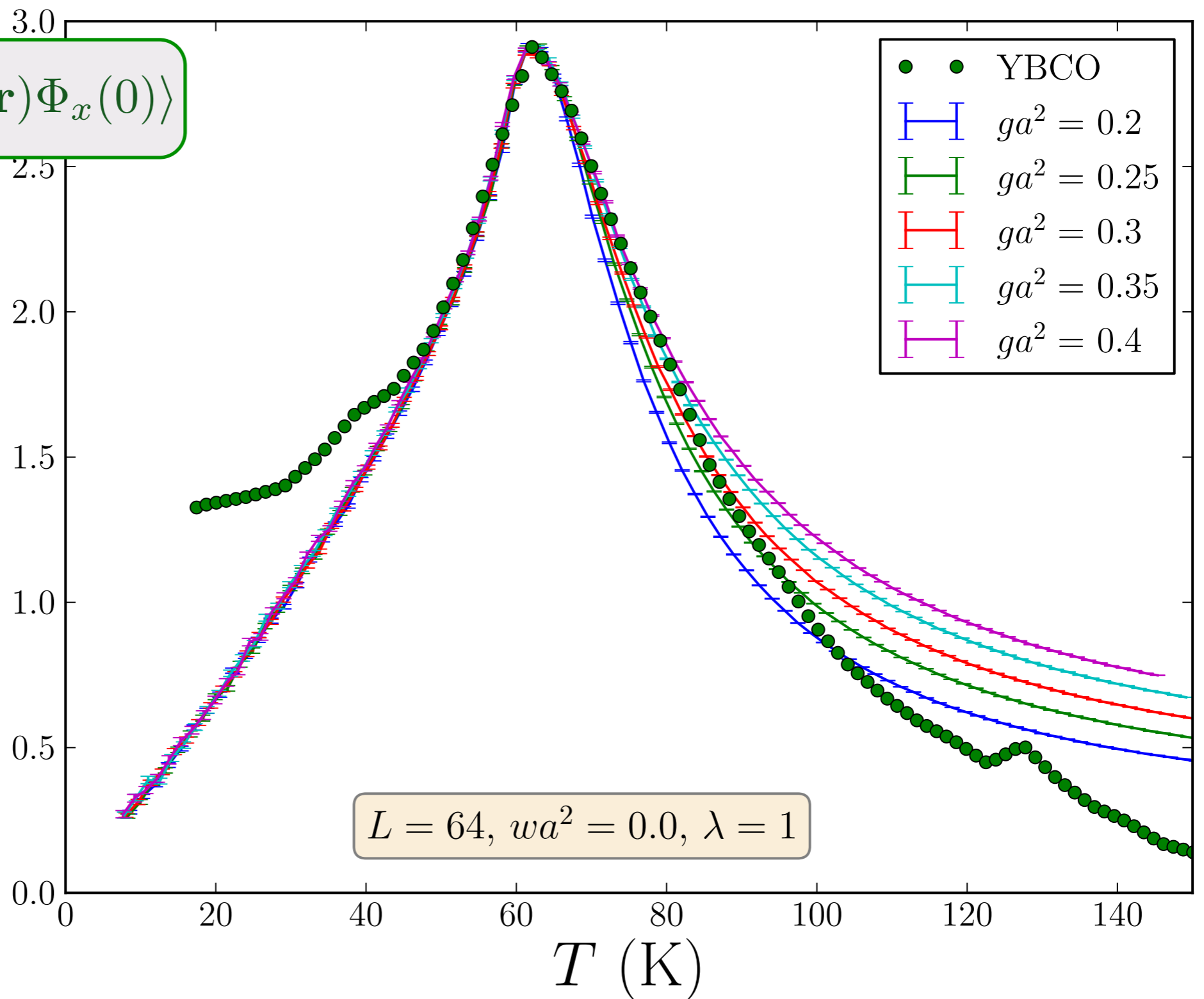
J. Chang<sup>1,2\*</sup>, E. Blackburn<sup>3</sup>, A. T. Holmes<sup>3</sup>, N. B. Christensen<sup>4</sup>, J. Larsen<sup>4,5</sup>, J. Mesot<sup>1,2</sup>, Ruixing Liang<sup>6,7</sup>, D. A. Bonn<sup>6,7</sup>, W. N. Hardy<sup>6,7</sup>, A. Watenphul<sup>8</sup>, M. v. Zimmermann<sup>8</sup>, E. M. Forgan<sup>3</sup> and S. M. Hayden<sup>9</sup>



# Comparison of Monte Carlo with experiments

$$S_{\Phi_x} = \int d^2r \langle \Phi_x(\mathbf{r}) \Phi_x(0) \rangle$$

Charge order  
structure  
factor  $S_{\Phi_x}$



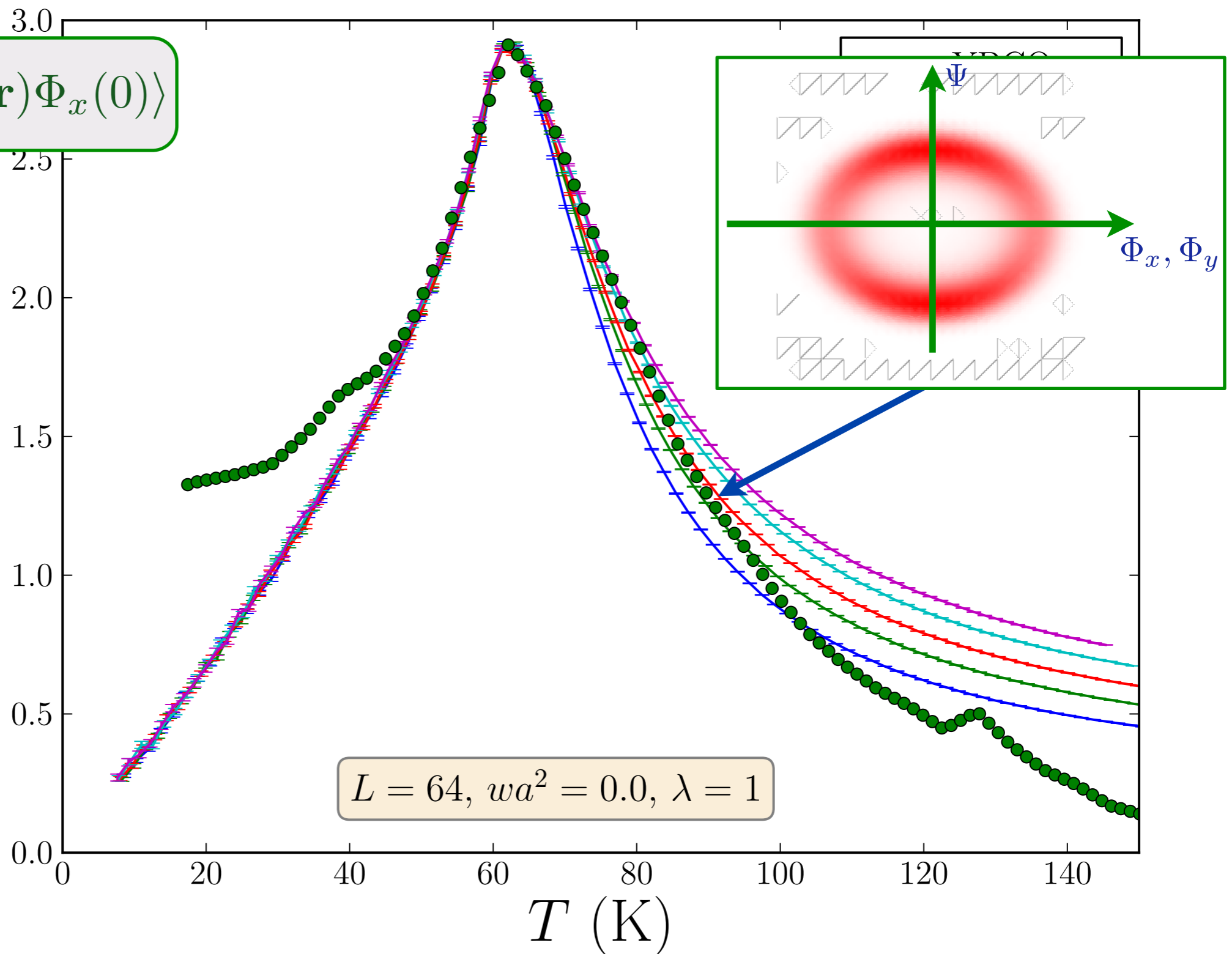
For  $ga^2 = 0.30$  and  $wa^2 = 0.0$  we have  $\rho_s = 160\text{K}$ .

The height was also rescaled to make the peak heights match.

# Comparison of Monte Carlo with experiments

$$S_{\Phi_x} = \int d^2r \langle \Phi_x(\mathbf{r}) \Phi_x(0) \rangle$$

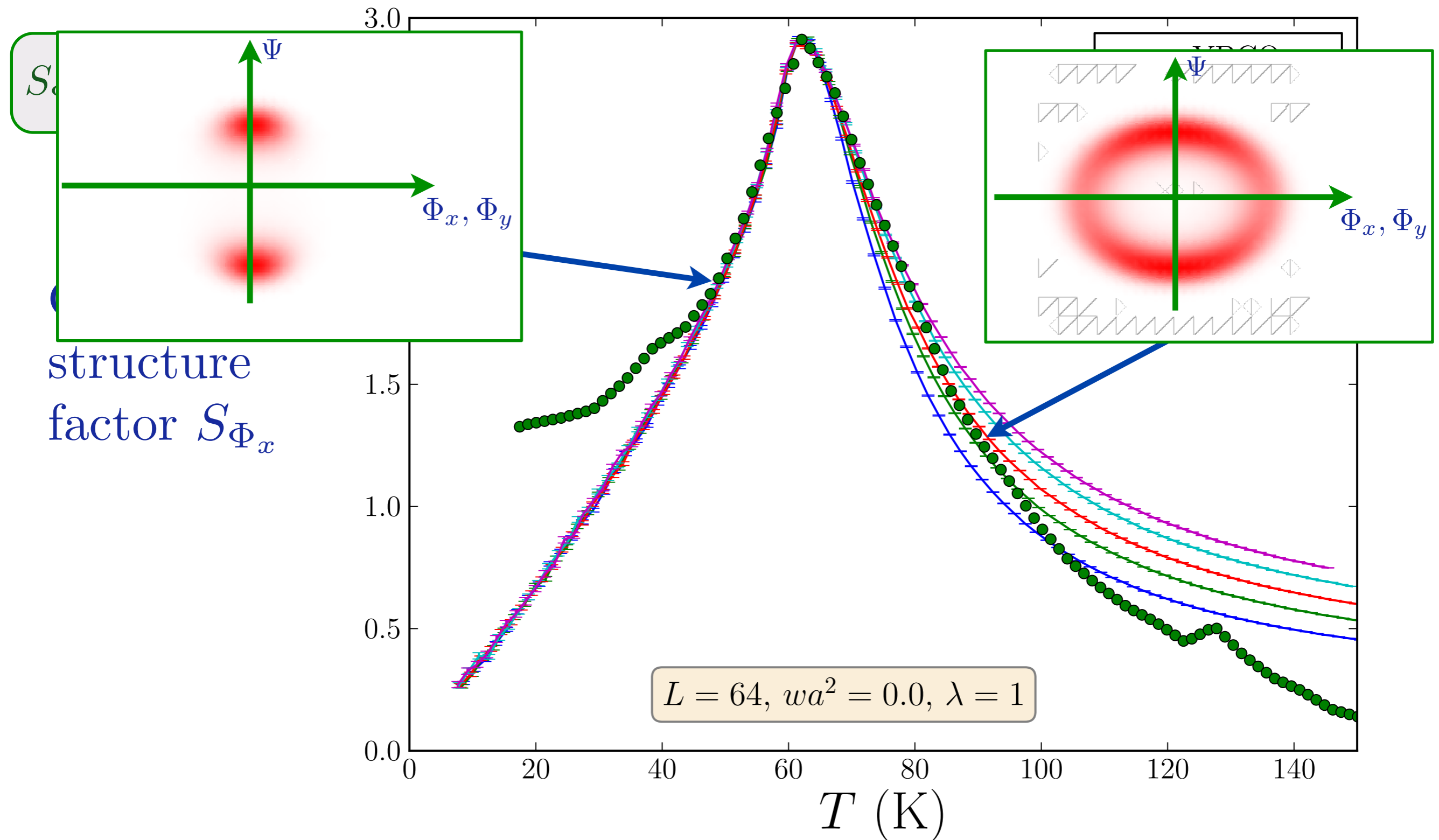
Charge order  
structure  
factor  $S_{\Phi_x}$



For  $ga^2 = 0.30$  and  $wa^2 = 0.0$  we have  $\rho_s = 160\text{K}$ .  
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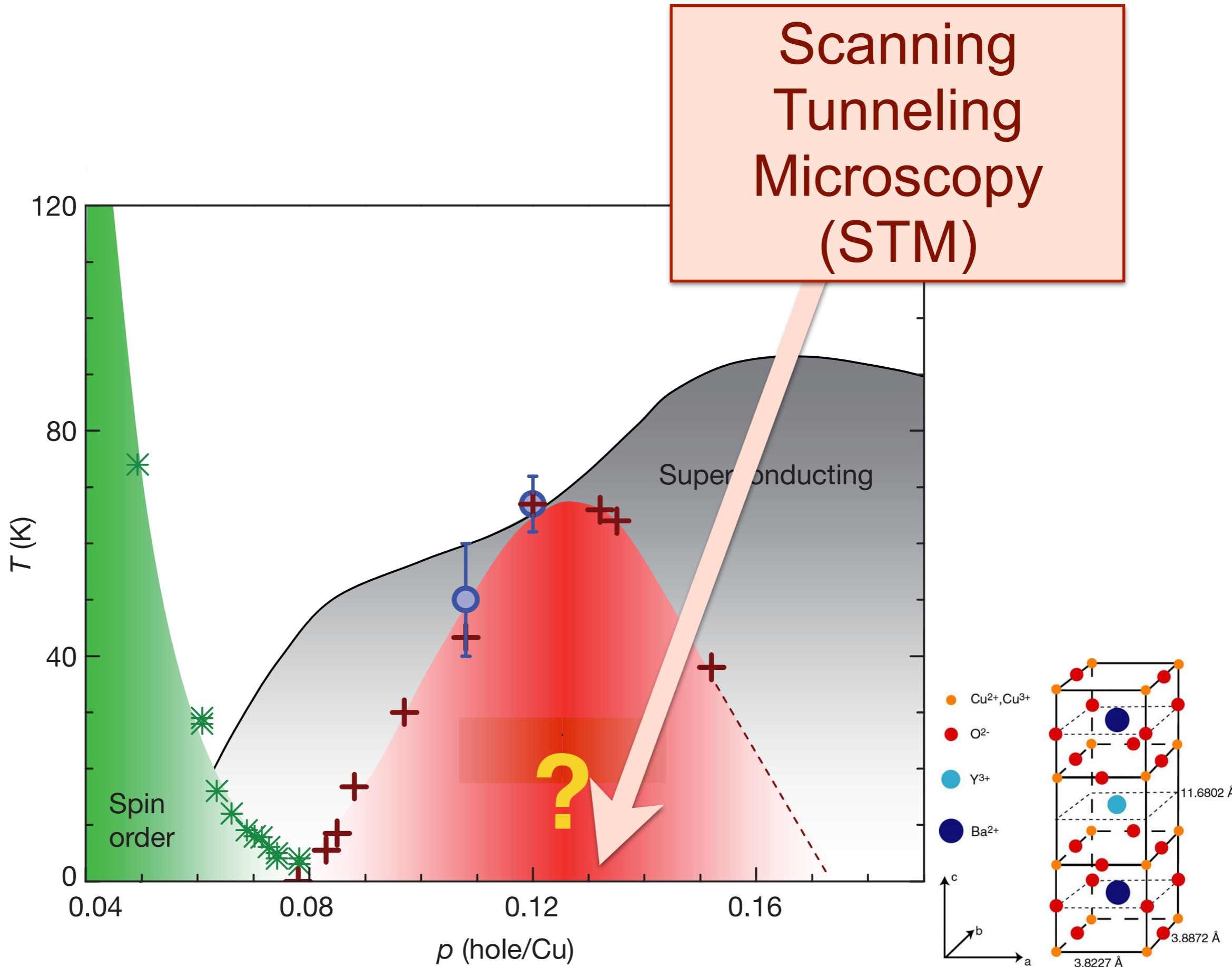
L. E. Hayward, D. G. Hawthorn, R. G. Melko, and S. Sachdev, Science **343**, 1336 (2014)

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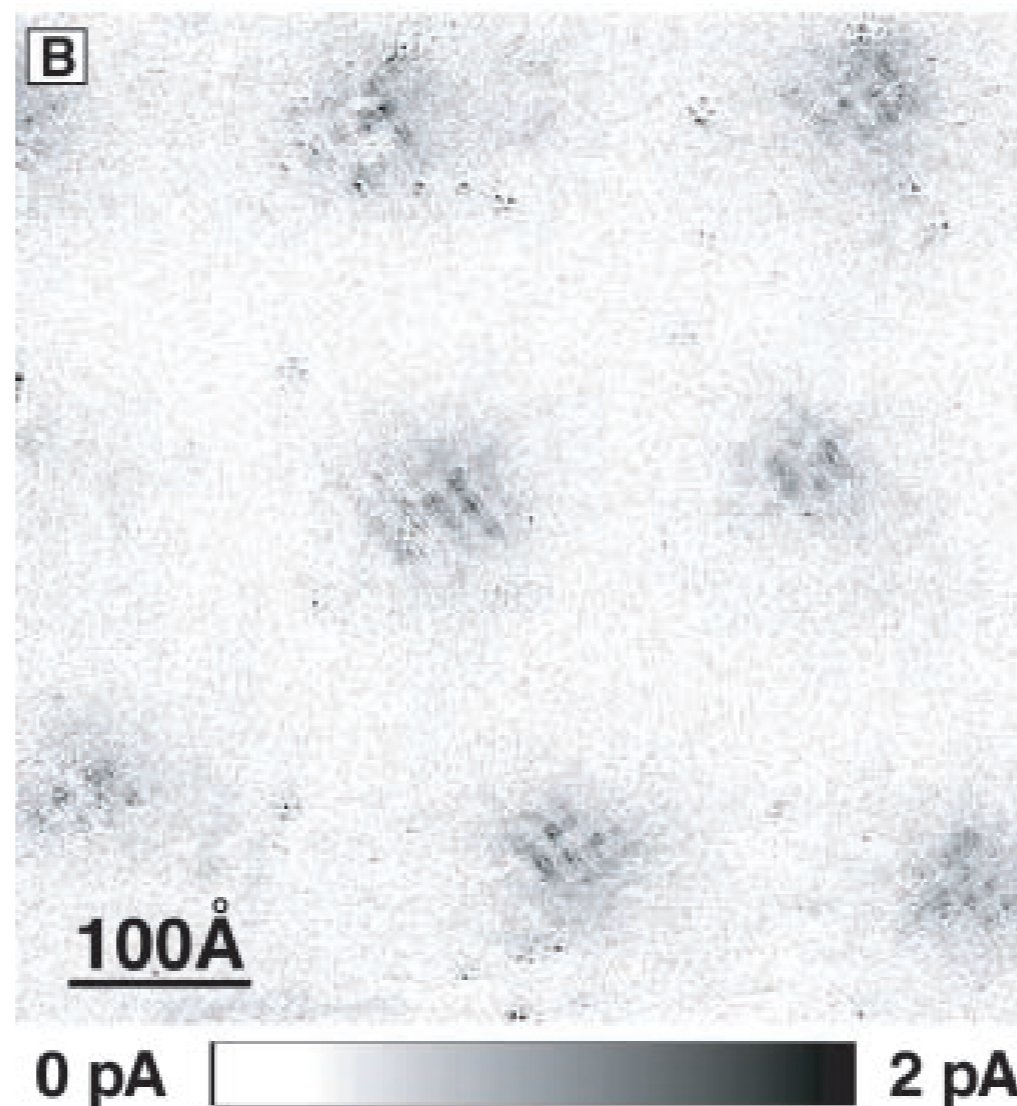
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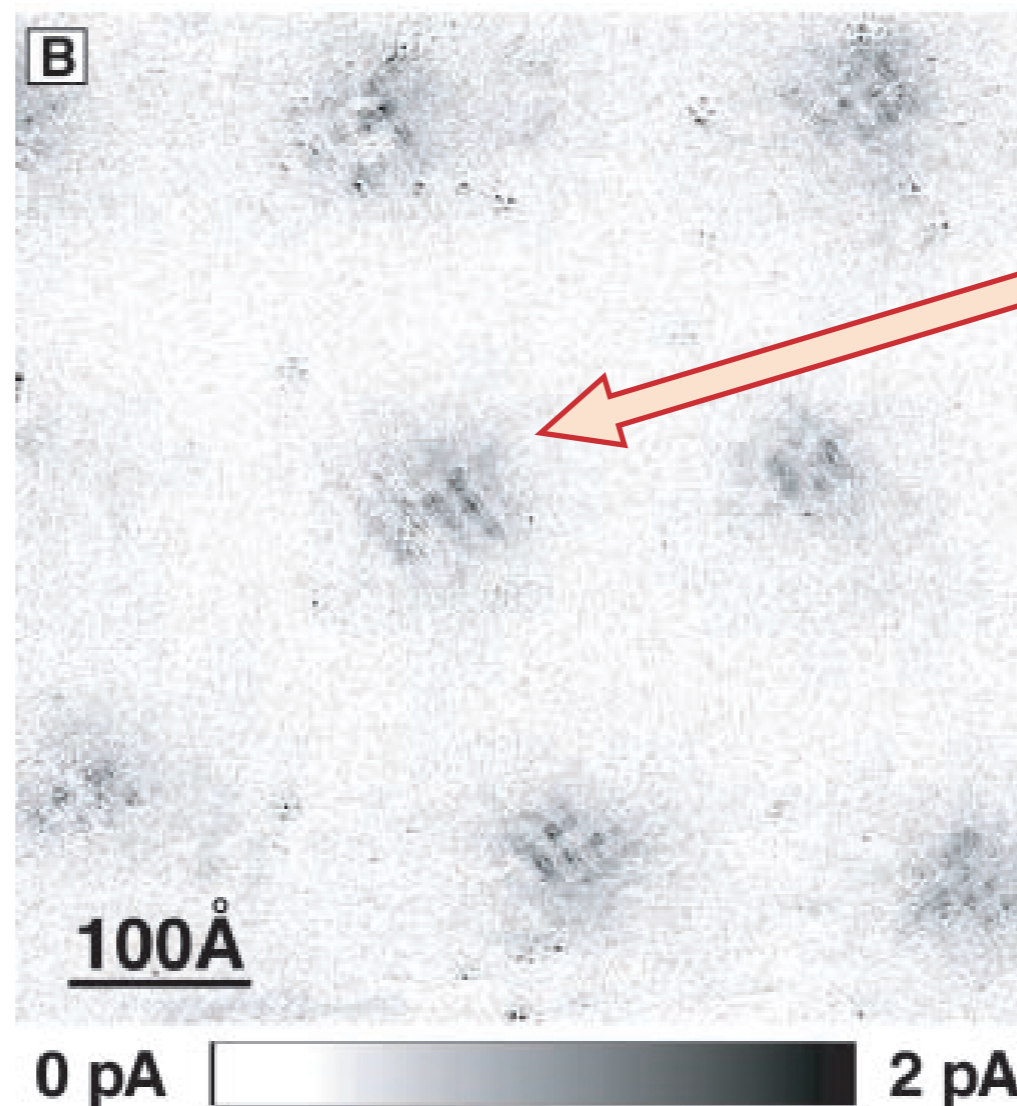
# A Four Unit Cell Periodic Pattern of Quasi-Particle States Surrounding Vortex Cores in $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$

J. E. Hoffman, E. W. Hudson, K. M. Lang, V. Madhavan,  
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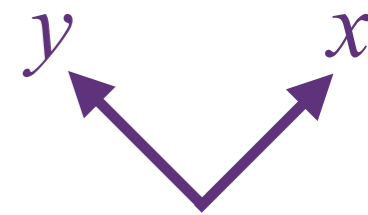
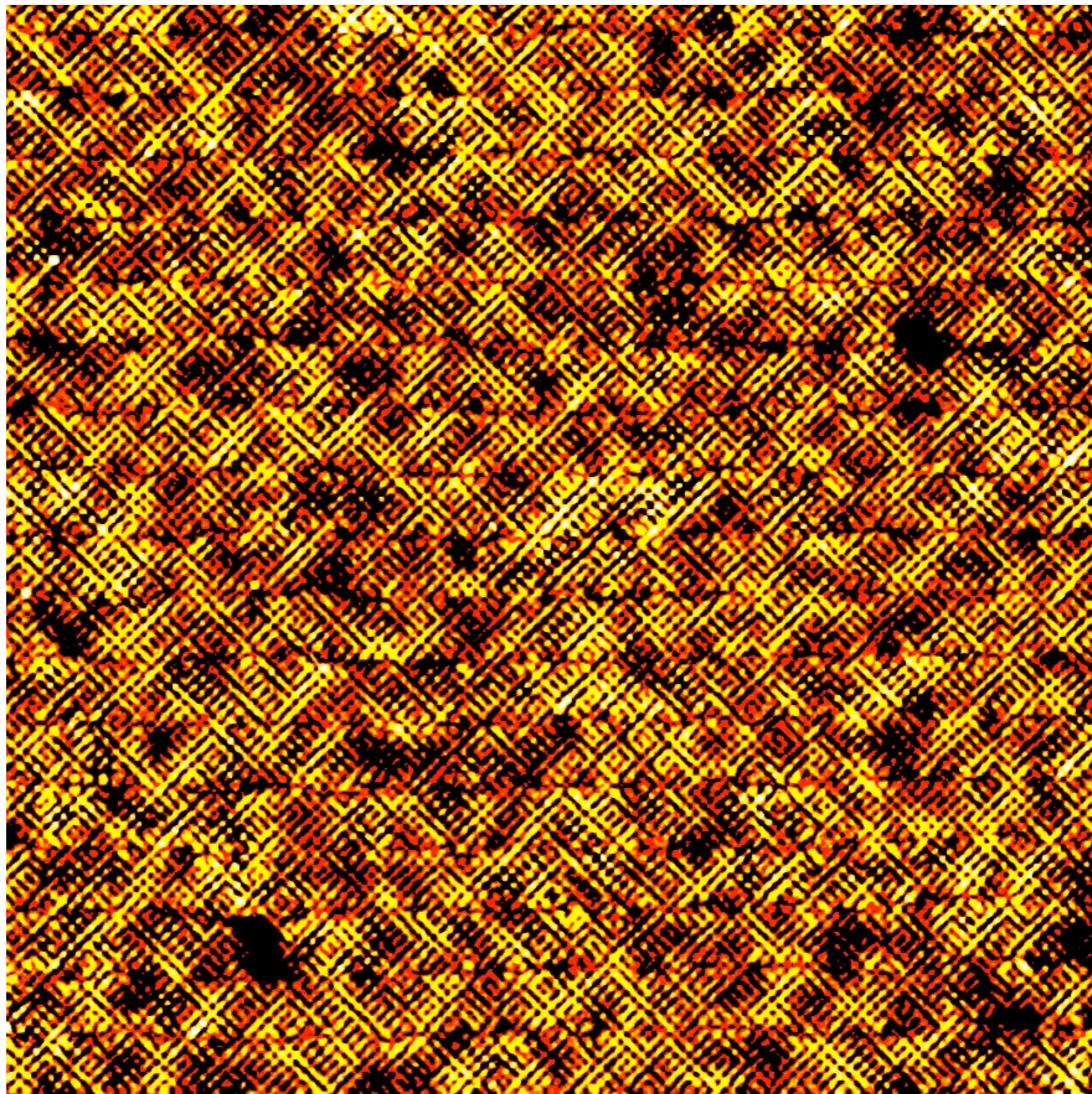
A charge density wave (CDW) with wavelength  $\approx 4$  lattice spacings around vortex cores ?

See also

C. Howald, H. Eisaki,  
N. Kaneko, M. Greven,  
and A. Kapitulnik,  
*Phys. Rev. B* **67**,  
014533 (2003);

M. Vershinin, S. Misra,  
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A. Yazdani, *Science*  
**303**, 1995 (2004).

W. D. Wise, M. C. Boyer,  
K. Chatterjee, T. Kondo,  
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*Nature Phys.* **4**, 696  
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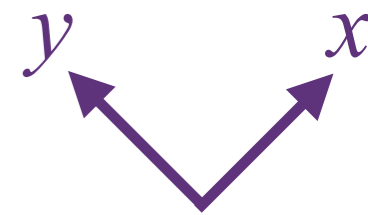
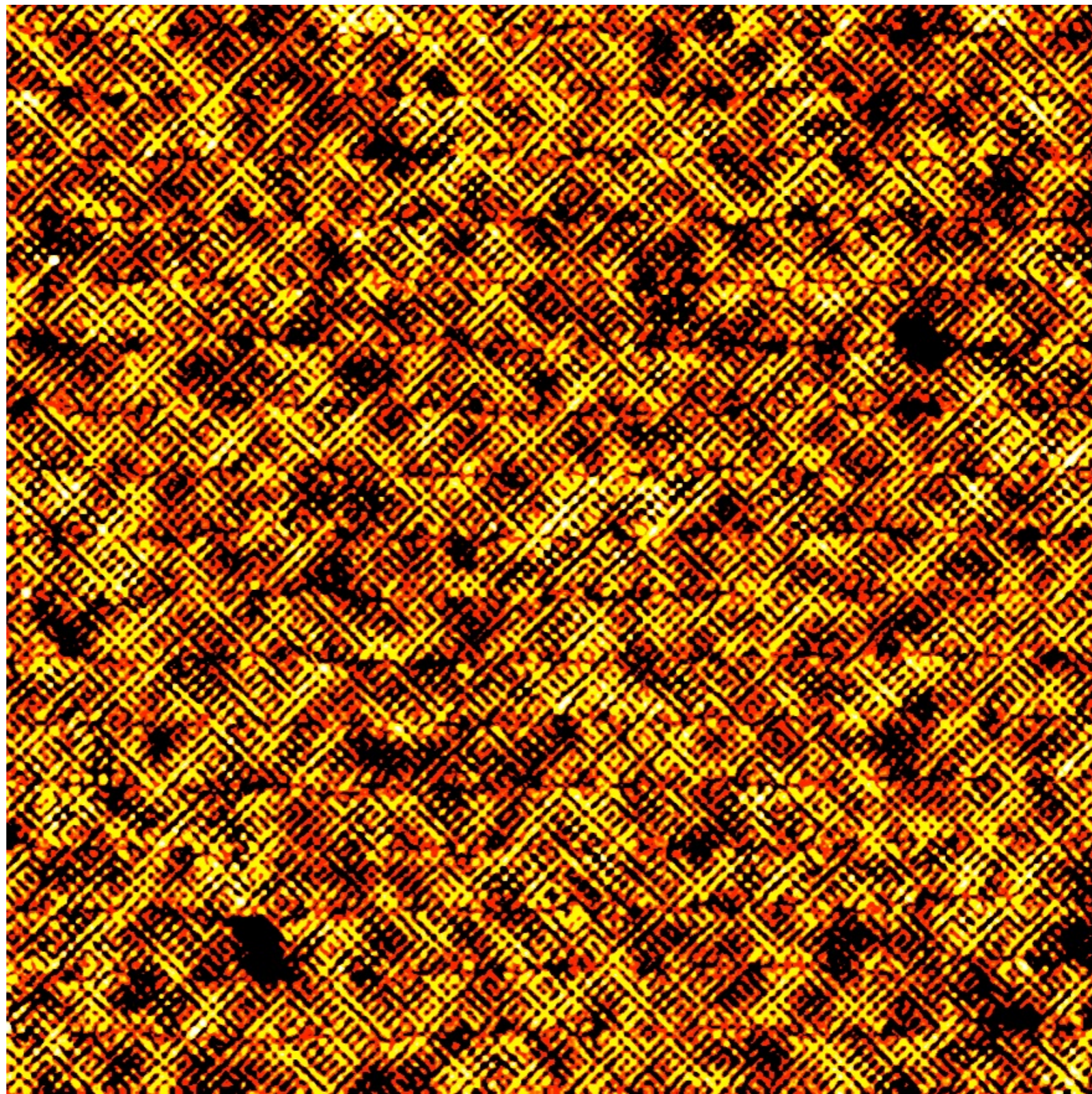
“R-map” of BSCCO in zero magnetic field, similar to those published in Y. Kohsaka, C. Taylor, K. Fujita, A. Schmidt, C. Lupien, T. Hanaguri, M. Azuma, M. Takano, H. Eisaki, H. Takagi, S. Uchida, and J. C. Davis, *Science* **315**, 1380 (2007). **Davis group has sub-angstrom resolution capabilities, with lattice drift corrections, which make sublattice phase-resolved STM possible.**

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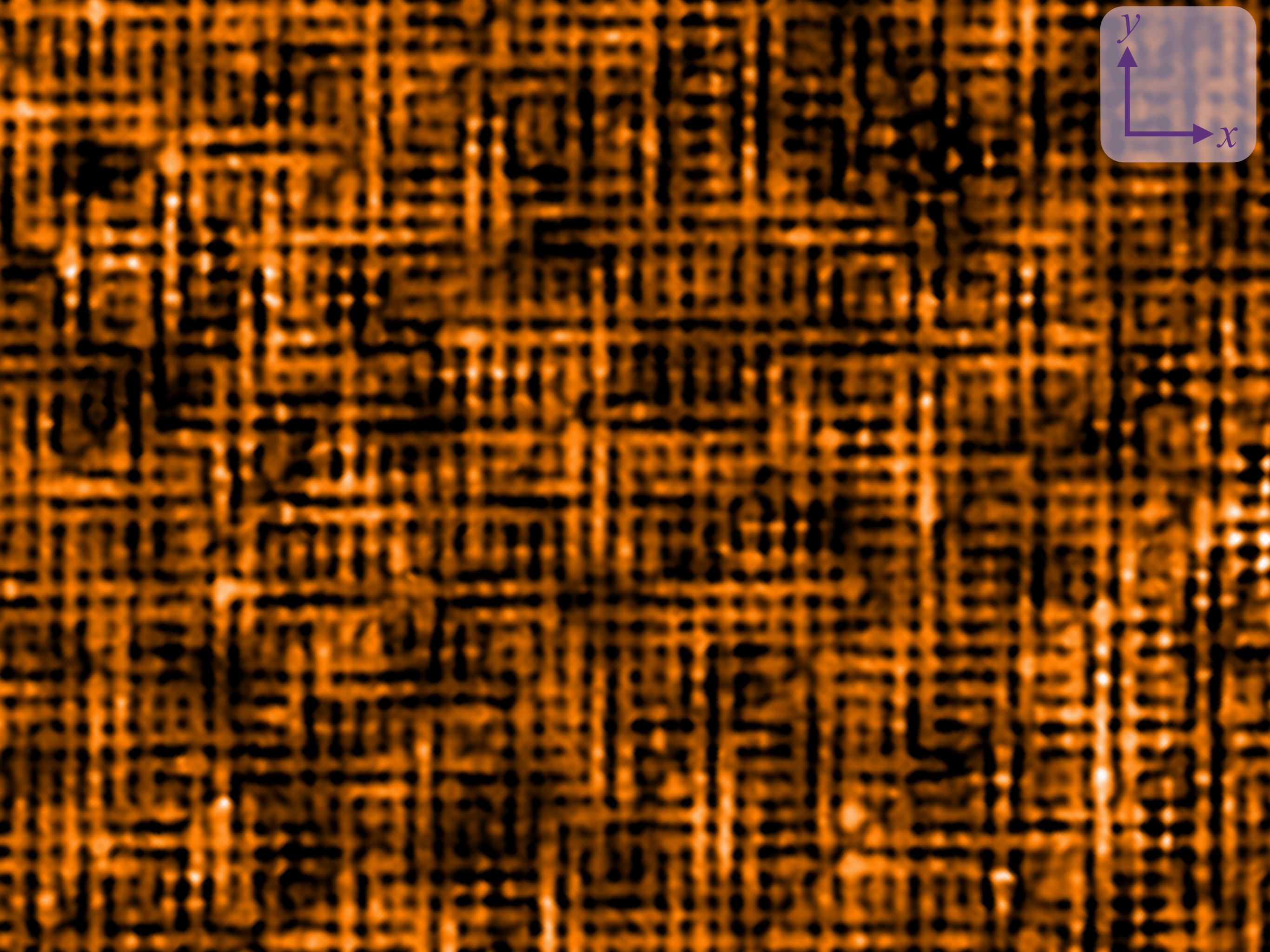
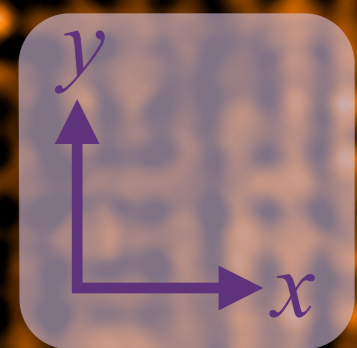
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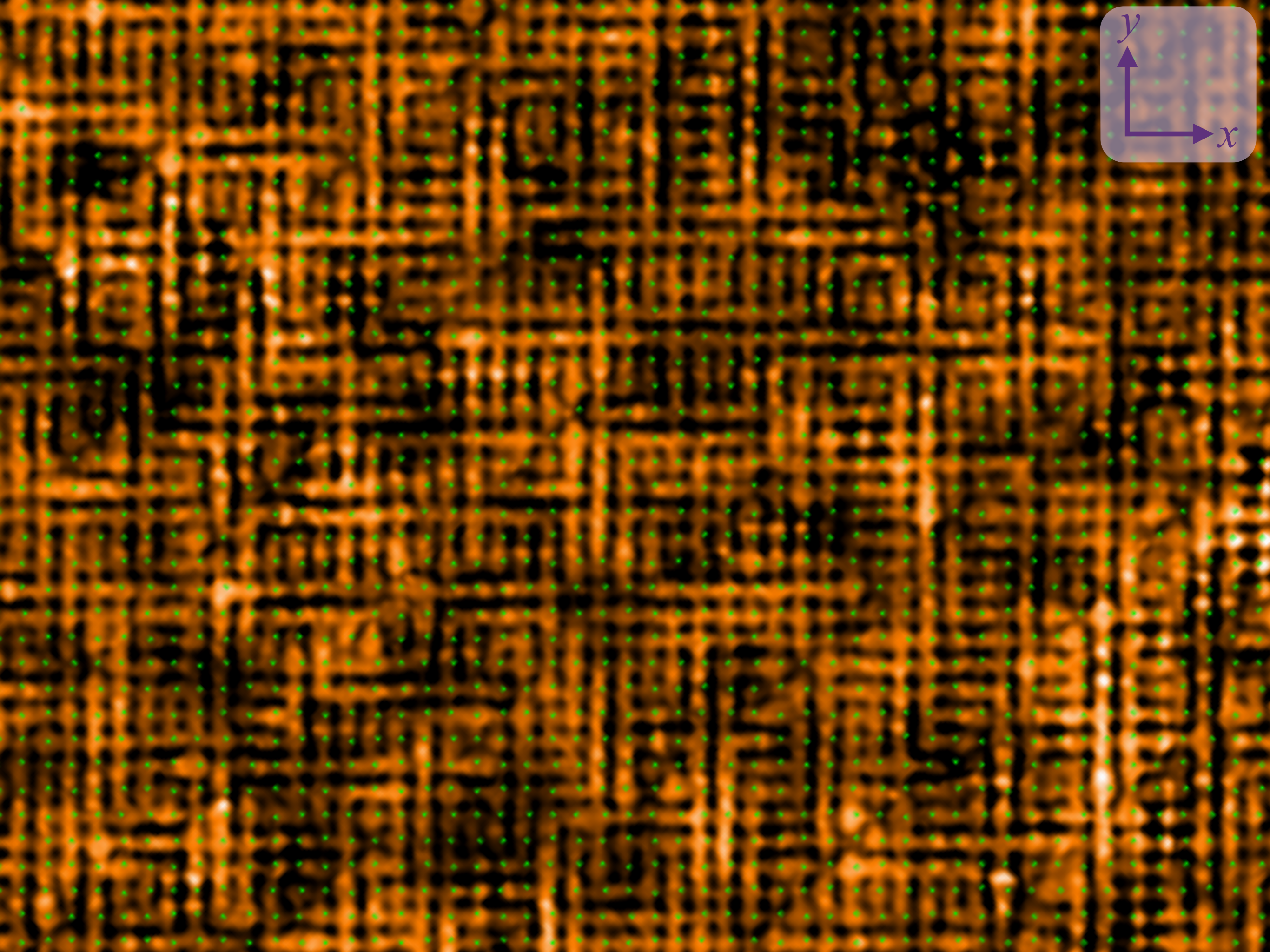
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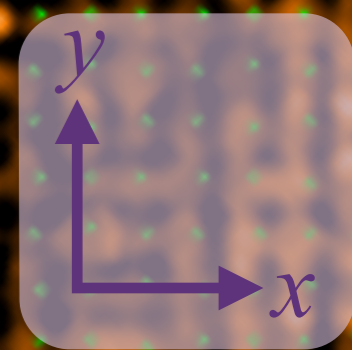


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A density wave with  
wavelength  $\approx 4$  lattice sites ?

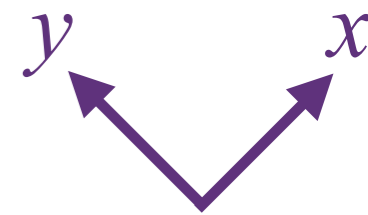
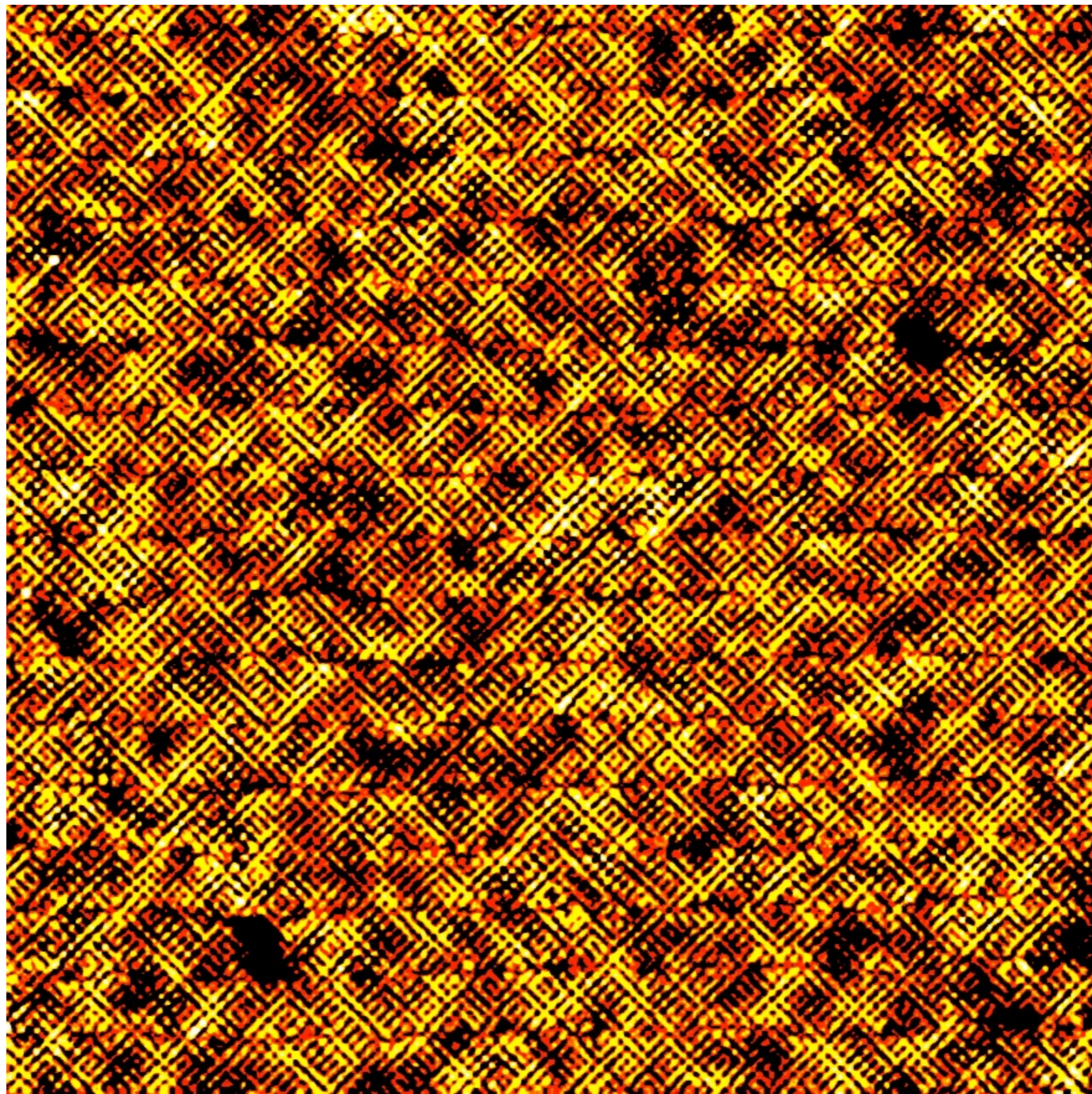


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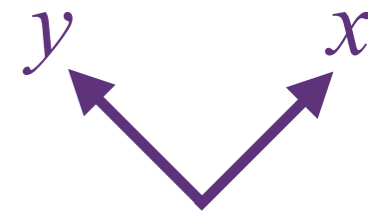
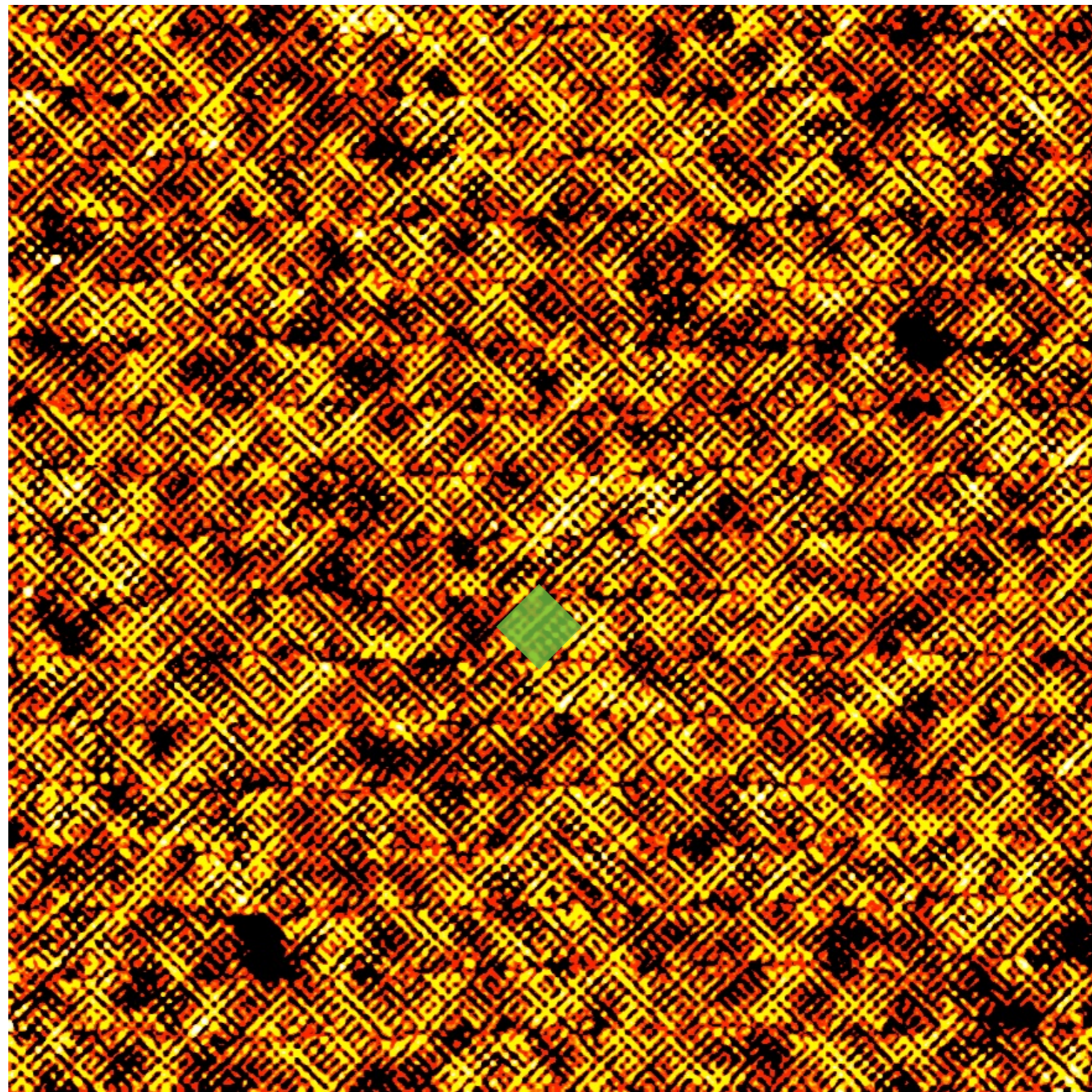
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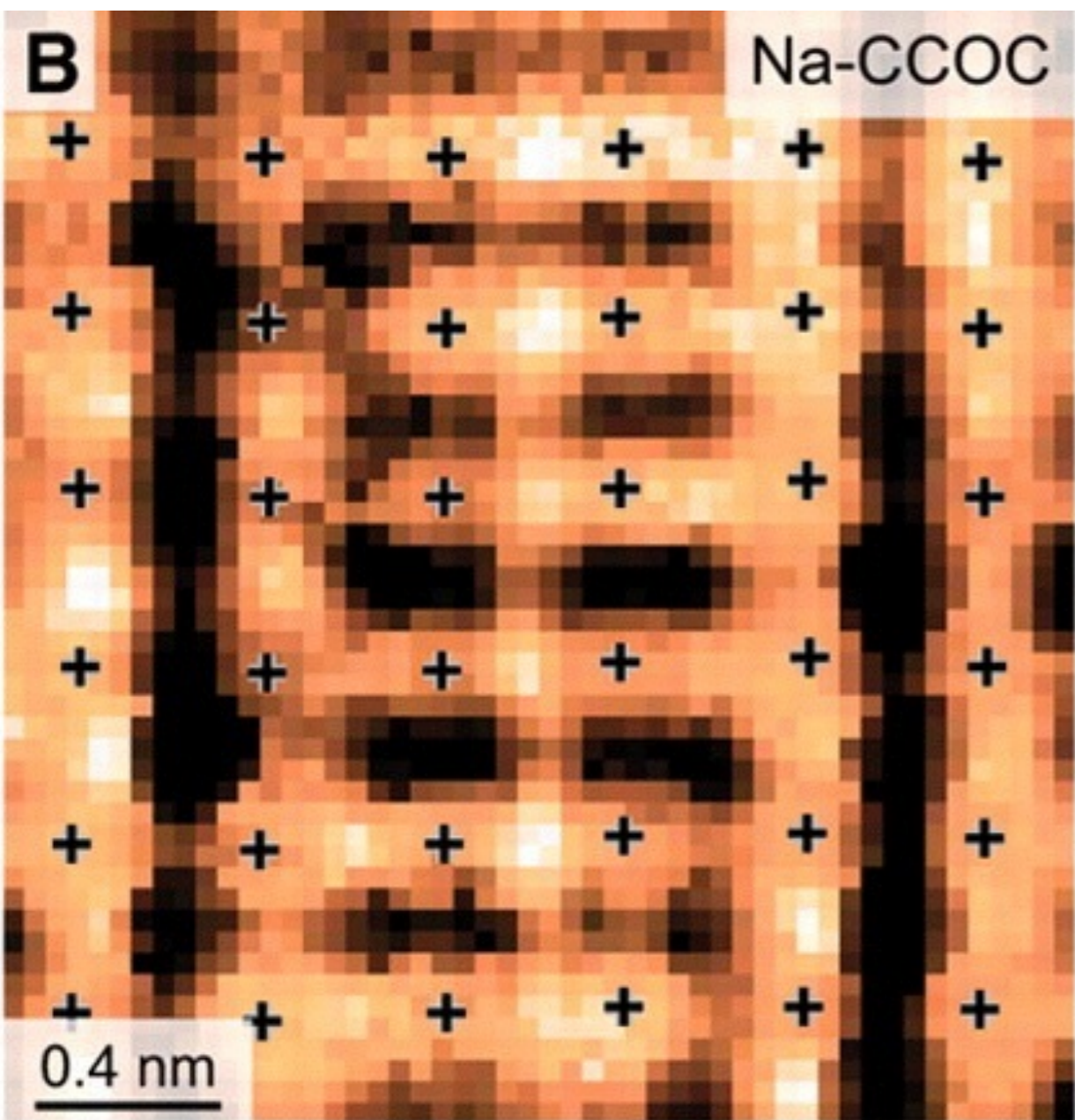
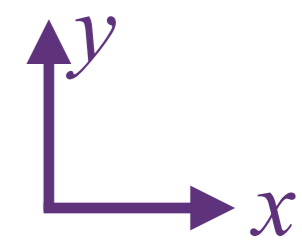
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Y. Kohsaka *et al.*, SCIENCE **315**, 1380 (2007)

# Charge density wave (CDW) order

$$\langle c_{\alpha}^{\dagger}(\mathbf{r})c_{\alpha}(\mathbf{r}) \rangle = \Psi_{CDW}(\mathbf{r}) e^{i\mathbf{Q}\cdot\mathbf{r}} + \text{c.c.}$$

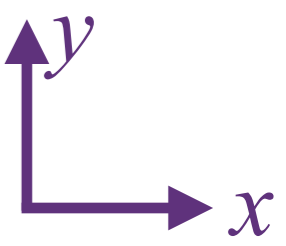
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Nearly constant CDW order parameter

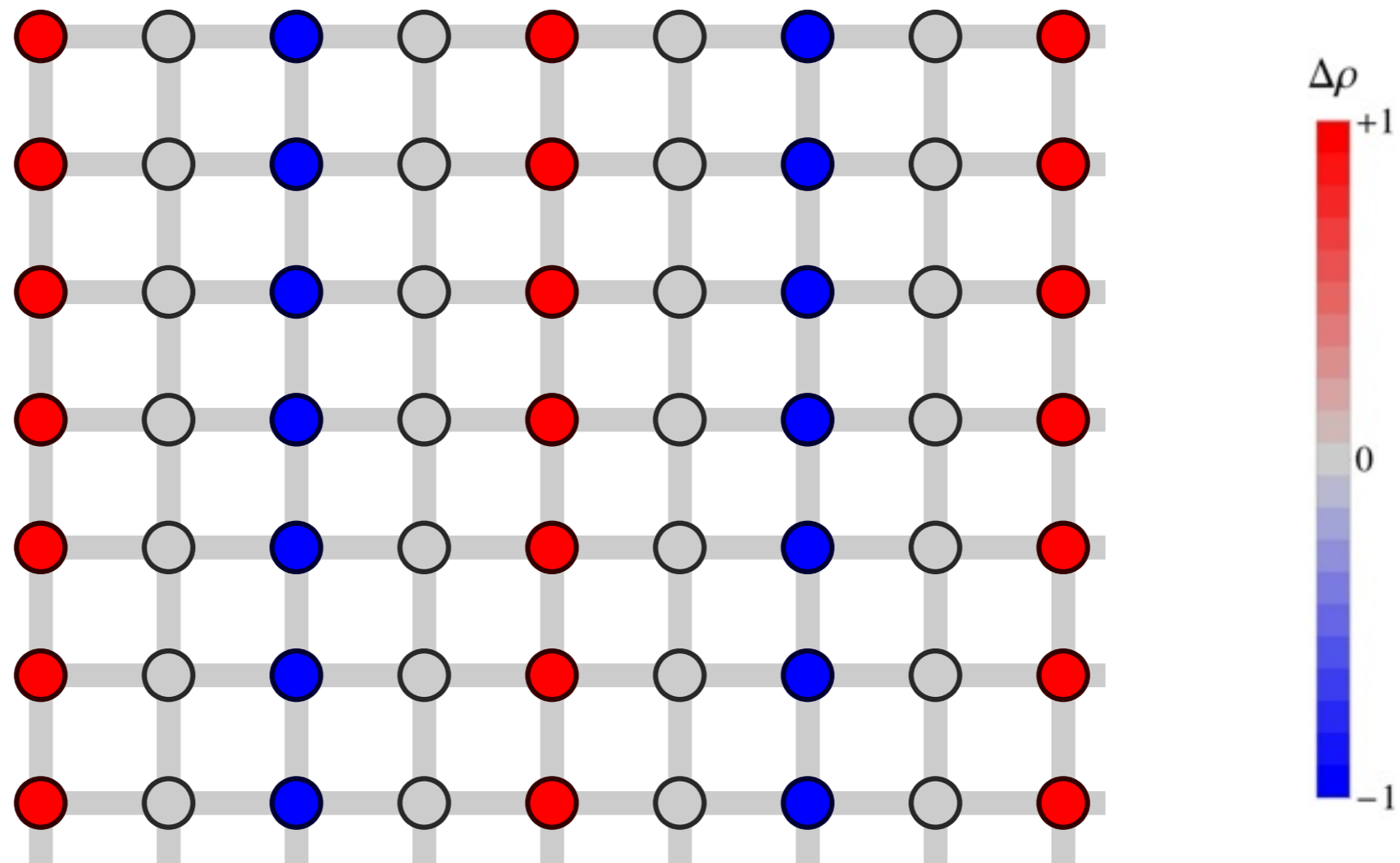
# CDW order.



Plot of  $P_{ii} = \langle c_{i\alpha}^\dagger c_{i\alpha} \rangle$  with

$$P_{ii} = e^{i\mathbf{Q}\cdot\mathbf{r}_i} + \text{c.c.}$$

with  $\mathbf{Q} = 2\pi(1/4, 0)$

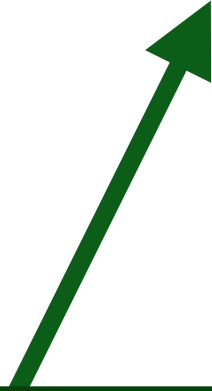


**Unconventional density wave (DW) :**  
**Bose condensation of particle-hole pairs**

$$\langle c_{\alpha}^{\dagger}(\mathbf{r}_1)c_{\alpha}(\mathbf{r}_2) \rangle$$
$$= \left[ \mathcal{P}(\mathbf{r}_1 - \mathbf{r}_2) \right] \times \Psi_{DW} \left( \frac{\mathbf{r}_1 + \mathbf{r}_2}{2} \right) e^{i\mathbf{Q} \cdot (\mathbf{r}_1 + \mathbf{r}_2)/2} + \text{c.c.}$$

Unconventional density wave (DW) :  
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Crucial “center-of-mass” co-ordinate.  
(Not used in previous work)  
Simplifies action of time-reversal

Unconventional density wave (DW) :  
Bose condensation of particle-hole pairs

$$\langle c_{\alpha}^{\dagger}(\mathbf{r}_1)c_{\alpha}(\mathbf{r}_2) \rangle$$
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Nearly constant CDW order parameter

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Density wave form factor (internal particle-hole pair wavefunction)

$$\mathcal{P}(\mathbf{r}) = \int \frac{d^2k}{4\pi^2} \mathcal{P}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{r}}$$

Time-reversal symmetry requires  $\mathcal{P}(\mathbf{k}) = \mathcal{P}(-\mathbf{k})$ .

We expand (using reflection symmetry for  $\mathbf{Q}$  along axes or diagonals)

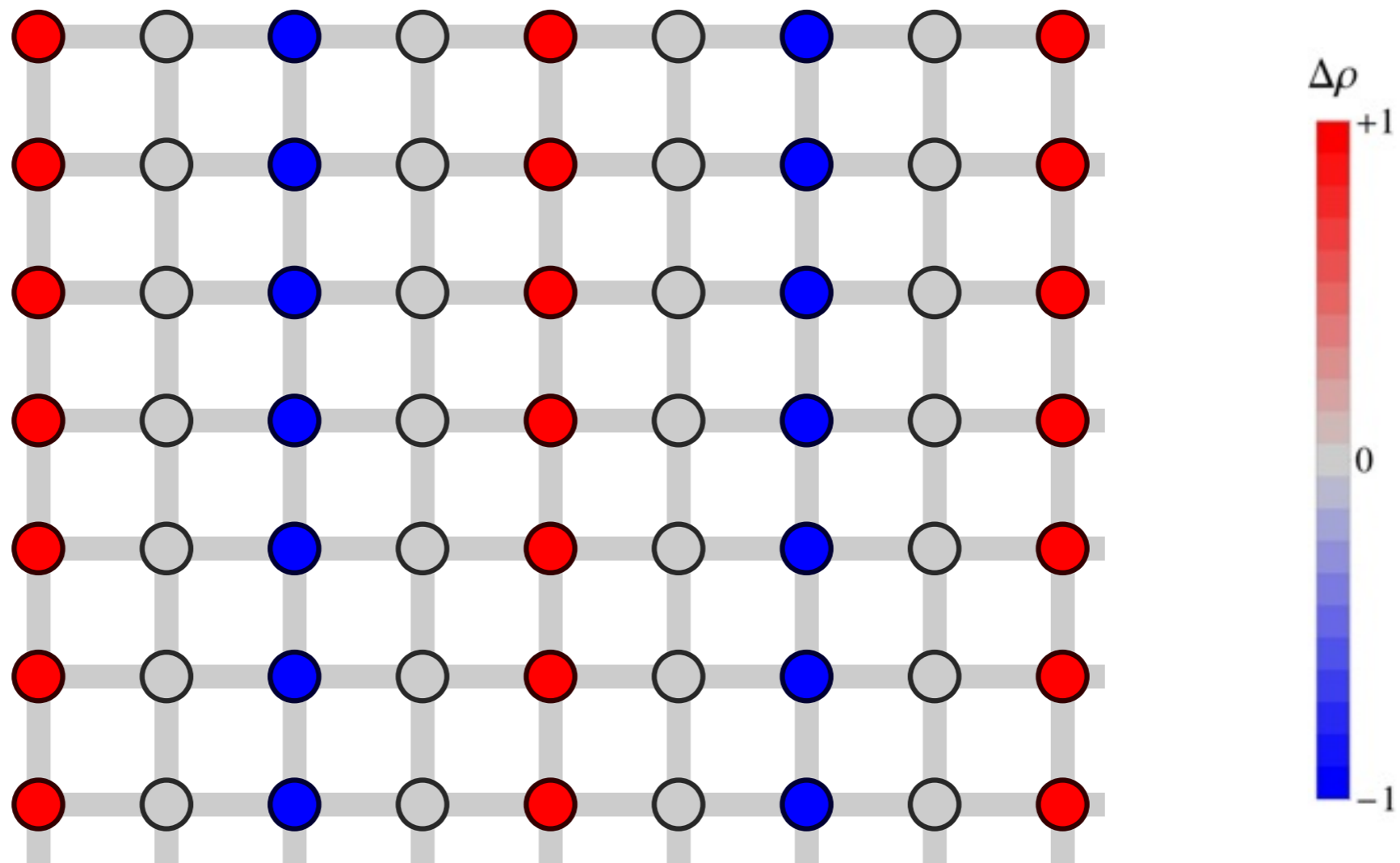
$$\mathcal{P}(\mathbf{k}) = \mathcal{P}_s + \mathcal{P}_{s'}(\cos k_x + \cos k_y) + \mathcal{P}_d(\cos k_x - \cos k_y)$$

# Conventional CDW order: $s$ -form factor

Plot of  $P_{ij} = \langle c_{i\alpha}^\dagger c_{j\alpha} \rangle$  for  $i = j$ , and  $i, j$  nearest neighbors.

$$P_{ij} = \left[ \int_{\mathbf{k}} \mathcal{P}(\mathbf{k}) e^{i\mathbf{k} \cdot (\mathbf{r}_i - \mathbf{r}_j)} \right] e^{i\mathbf{Q} \cdot (\mathbf{r}_i + \mathbf{r}_j)/2} + \text{c.c.}$$

$$\mathcal{P}(\mathbf{k}) = 1 \quad \text{and} \quad \mathbf{Q} = 2\pi(1/4, 0)$$

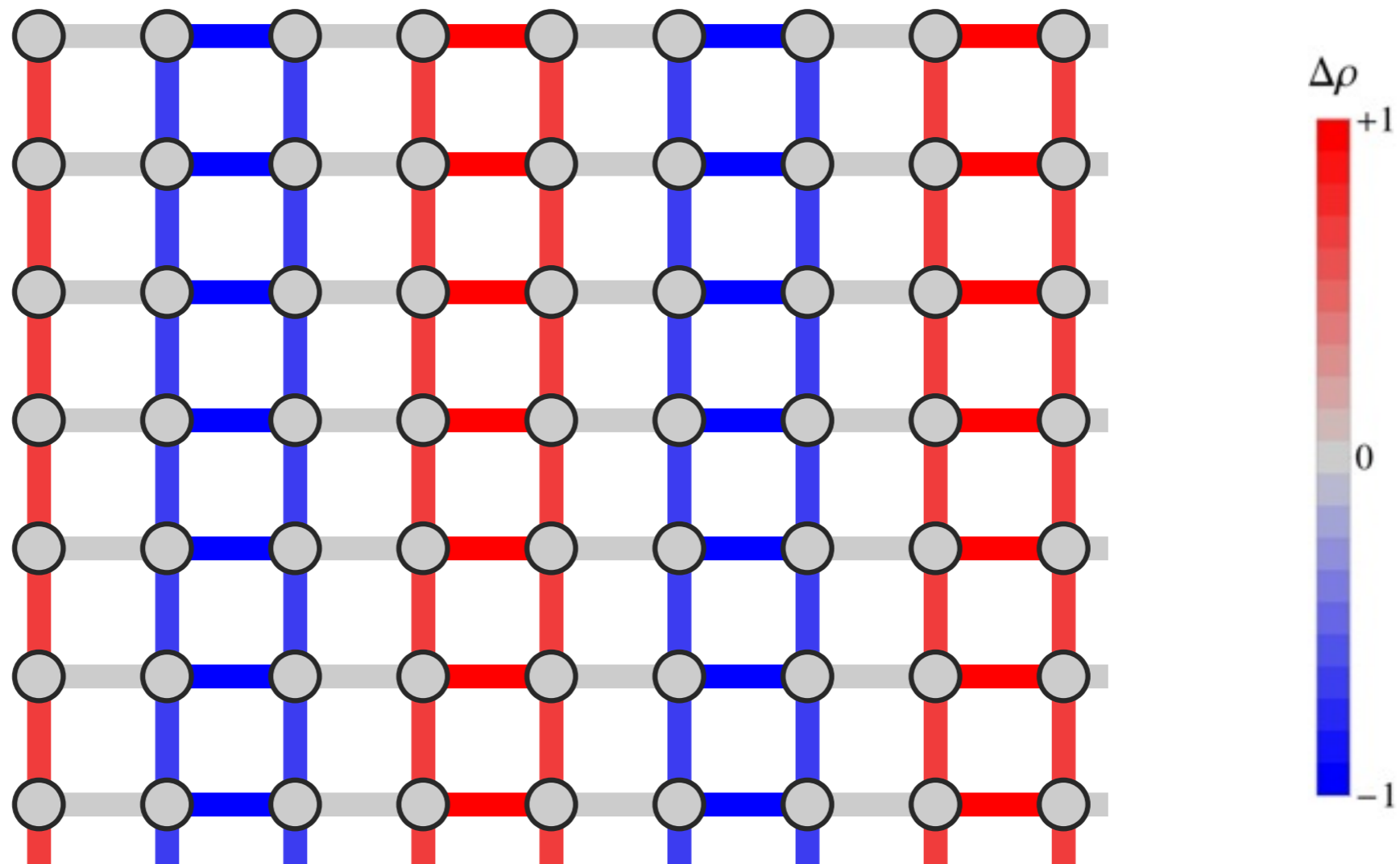


# Unconventional DW order: $s'$ -form factor

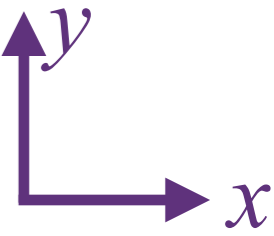
Plot of  $P_{ij} = \langle c_{i\alpha}^\dagger c_{j\alpha} \rangle$  for  $i = j$ , and  $i, j$  nearest neighbors.

$$P_{ij} = \left[ \int_{\mathbf{k}} \mathcal{P}(\mathbf{k}) e^{i\mathbf{k} \cdot (\mathbf{r}_i - \mathbf{r}_j)} \right] e^{i\mathbf{Q} \cdot (\mathbf{r}_i + \mathbf{r}_j)/2} + \text{c.c.}$$

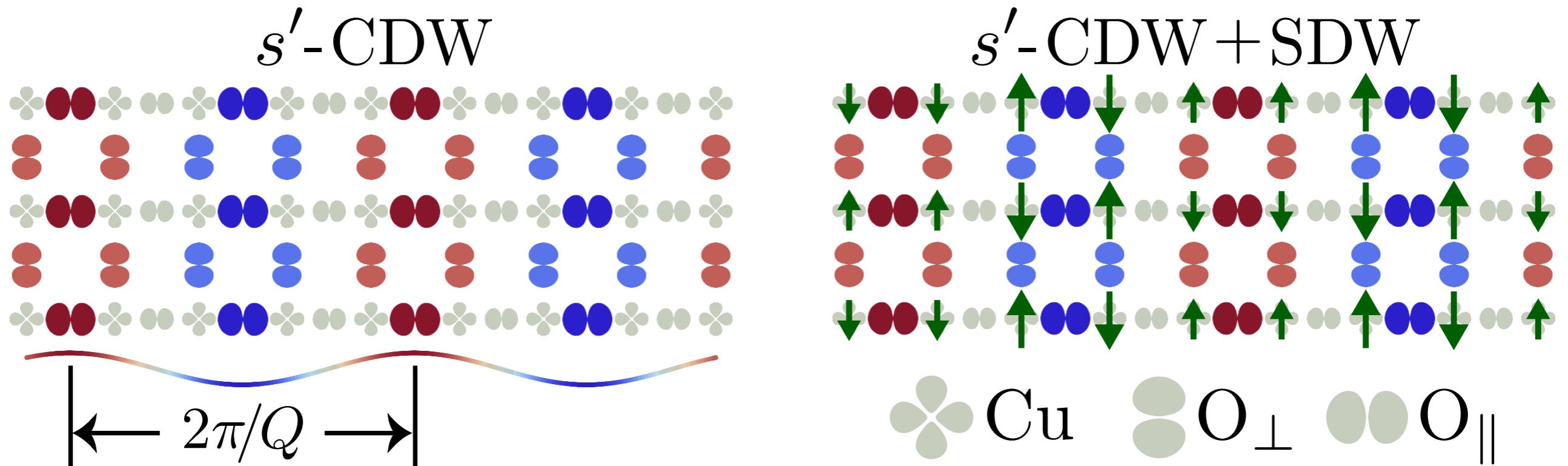
$$\mathcal{P}(\mathbf{k}) = e^{i\phi} [\cos(k_x) + \cos(k_y)] \quad \text{and} \quad \mathbf{Q} = 2\pi(1/4, 0)$$



# Unconventional DW order: $s'$ -form factor



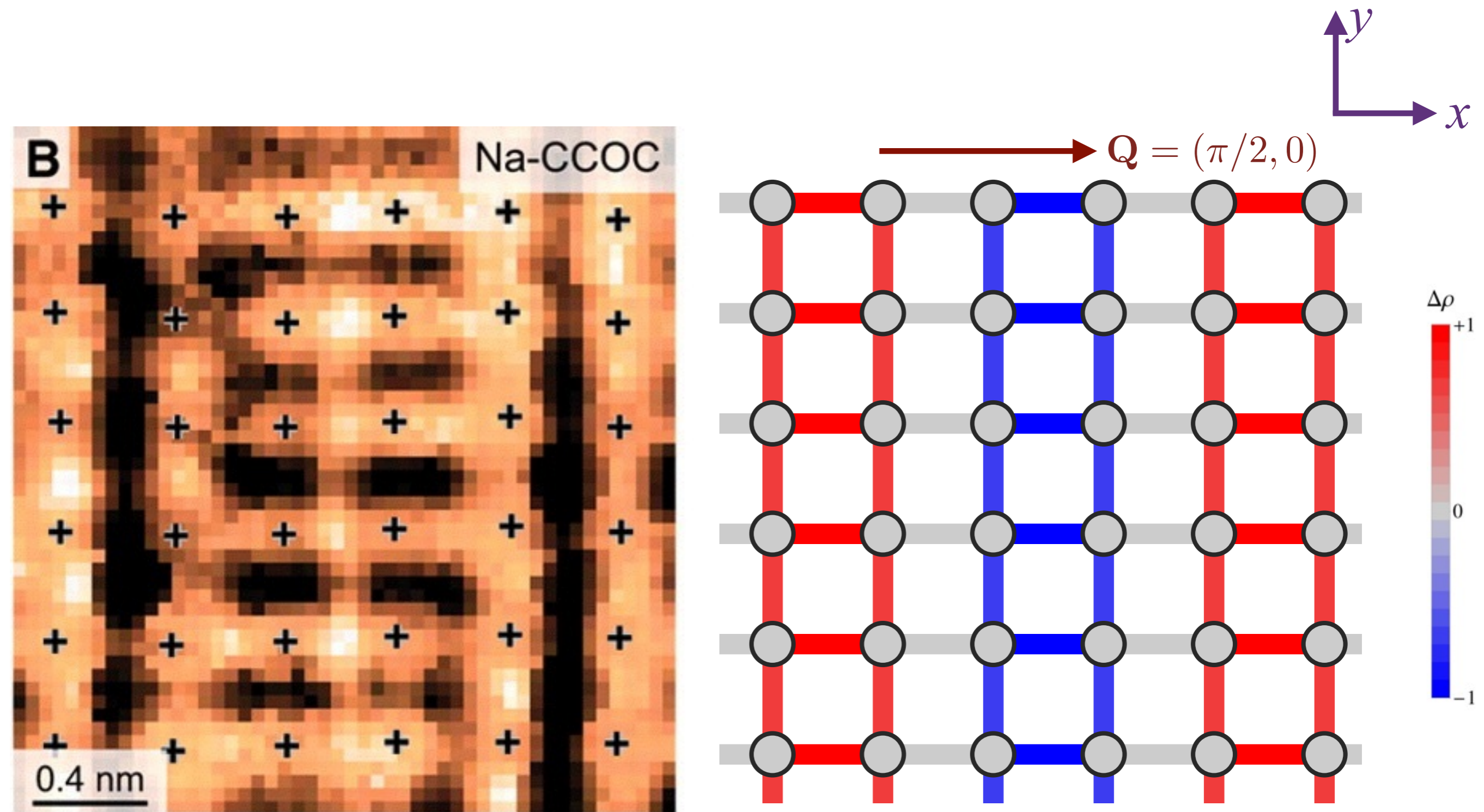
Compatible with “stripe” model,  
and spin-density-wave (SDW) order



Orbital symmetry of charge density wave order in  $\text{La}_{1.88}\text{Ba}_{0.12}\text{CuO}_4$  and  $\text{YBa}_2\text{Cu}_3\text{O}_{6.67}$

A. J. Achkar,<sup>1</sup> F. He,<sup>2</sup> R. Sutarto,<sup>2</sup> Christopher McMahon,<sup>1</sup> M. Zwiebler,<sup>3</sup> M. Hücker,<sup>4</sup>  
G. Gu,<sup>4</sup> Ruixing Liang,<sup>5</sup> D. A. Bonn,<sup>5</sup> W. N. Hardy,<sup>5</sup> J. Geck,<sup>3</sup> and D. G. Hawthorn<sup>1</sup>

X-ray observations indicate strong  $s'$  component in LBCO



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$s'$ -form factor density wave order

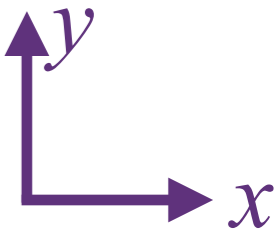
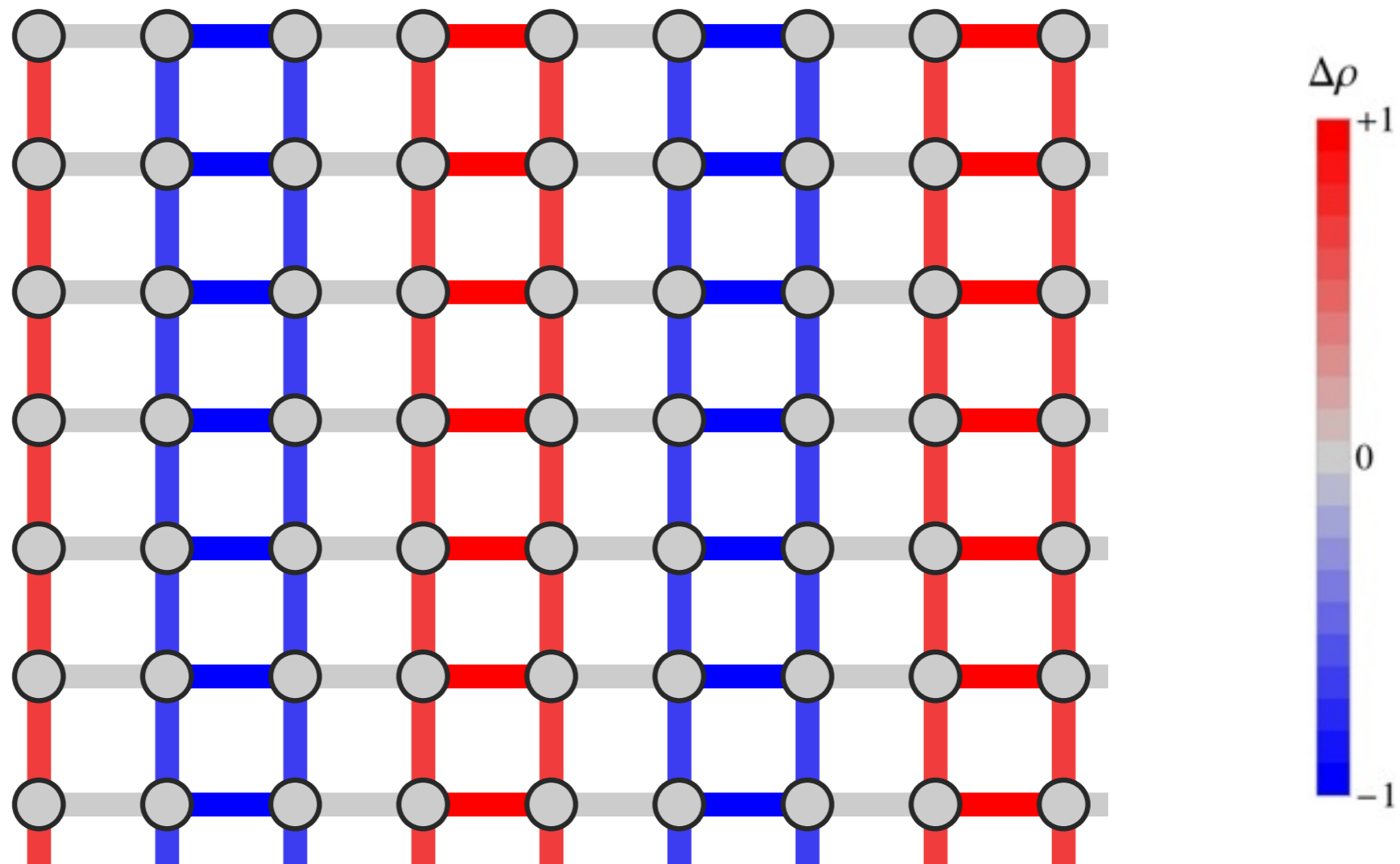
$s'$  form factor is incompatible with STM measurements on BSCCO, Na-CCOC.

# Unconventional DW order: $s'$ -form factor

Plot of  $P_{ij} = \langle c_{i\alpha}^\dagger c_{j\alpha} \rangle$  for  $i = j$ , and  $i, j$  nearest neighbors.

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$$\mathcal{P}(\mathbf{k}) = e^{i\phi} [\cos(k_x) + \cos(k_y)] \quad \text{and} \quad \mathbf{Q} = 2\pi(1/4, 0)$$

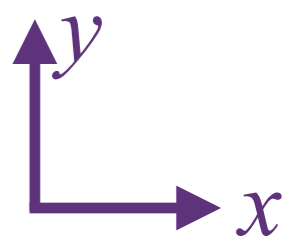


# Unconventional DW order: $d$ -form factor

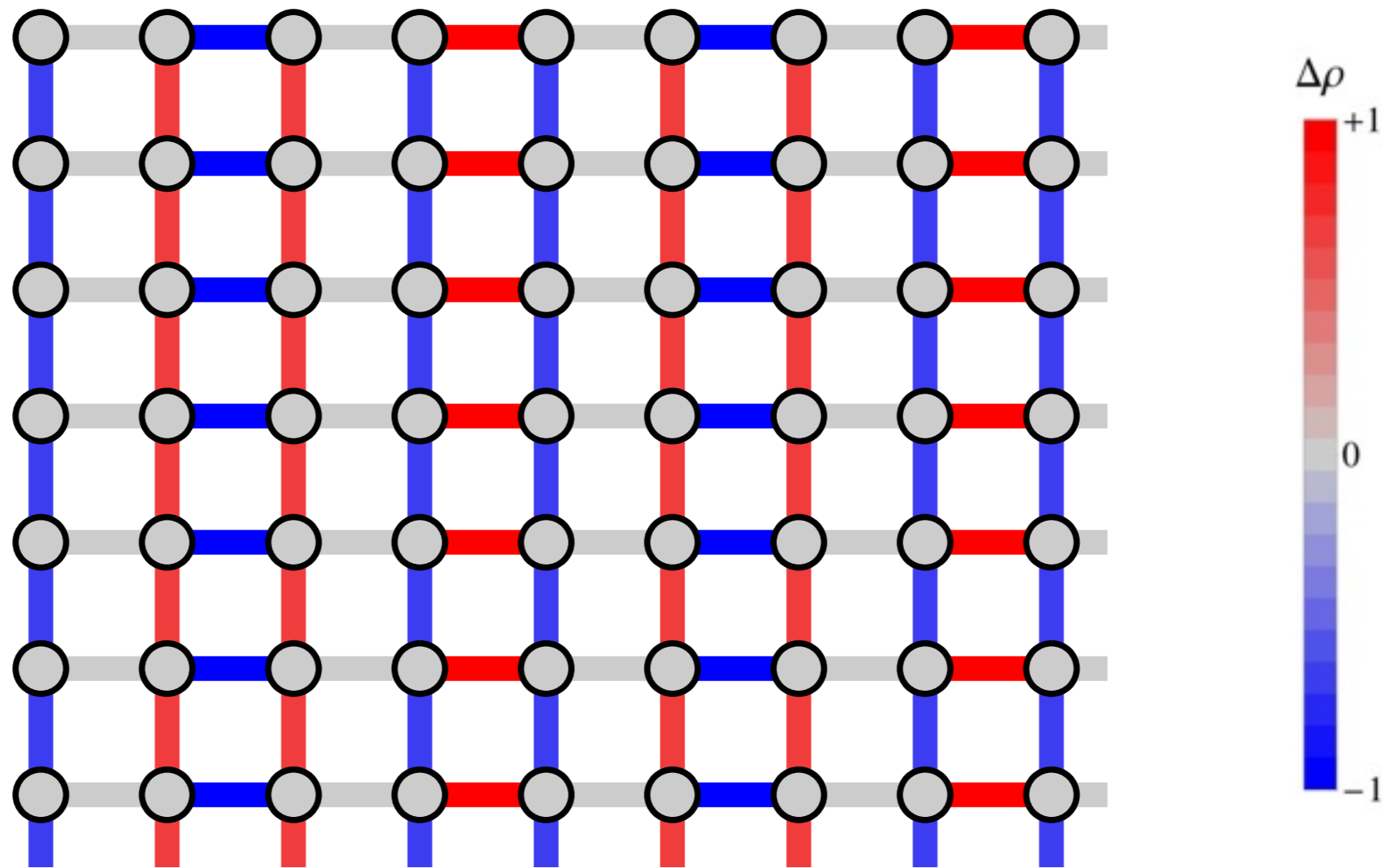
Plot of  $P_{ij} = \langle c_{i\alpha}^\dagger c_{j\alpha} \rangle$  for  $i = j$ , and  $i, j$  nearest neighbors.

$$P_{ij} = \left[ \int_{\mathbf{k}} \mathcal{P}(\mathbf{k}) e^{i\mathbf{k} \cdot (\mathbf{r}_i - \mathbf{r}_j)} \right] e^{i\mathbf{Q} \cdot (\mathbf{r}_i + \mathbf{r}_j)/2} + \text{c.c.}$$

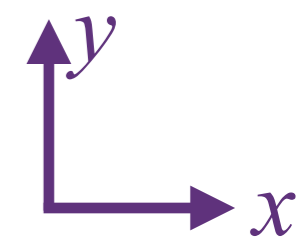
$$\mathcal{P}(\mathbf{k}) = e^{i\phi} [\cos(k_x) - \cos(k_y)] \quad \text{and} \quad \mathbf{Q} = 2\pi(1/4, 0)$$



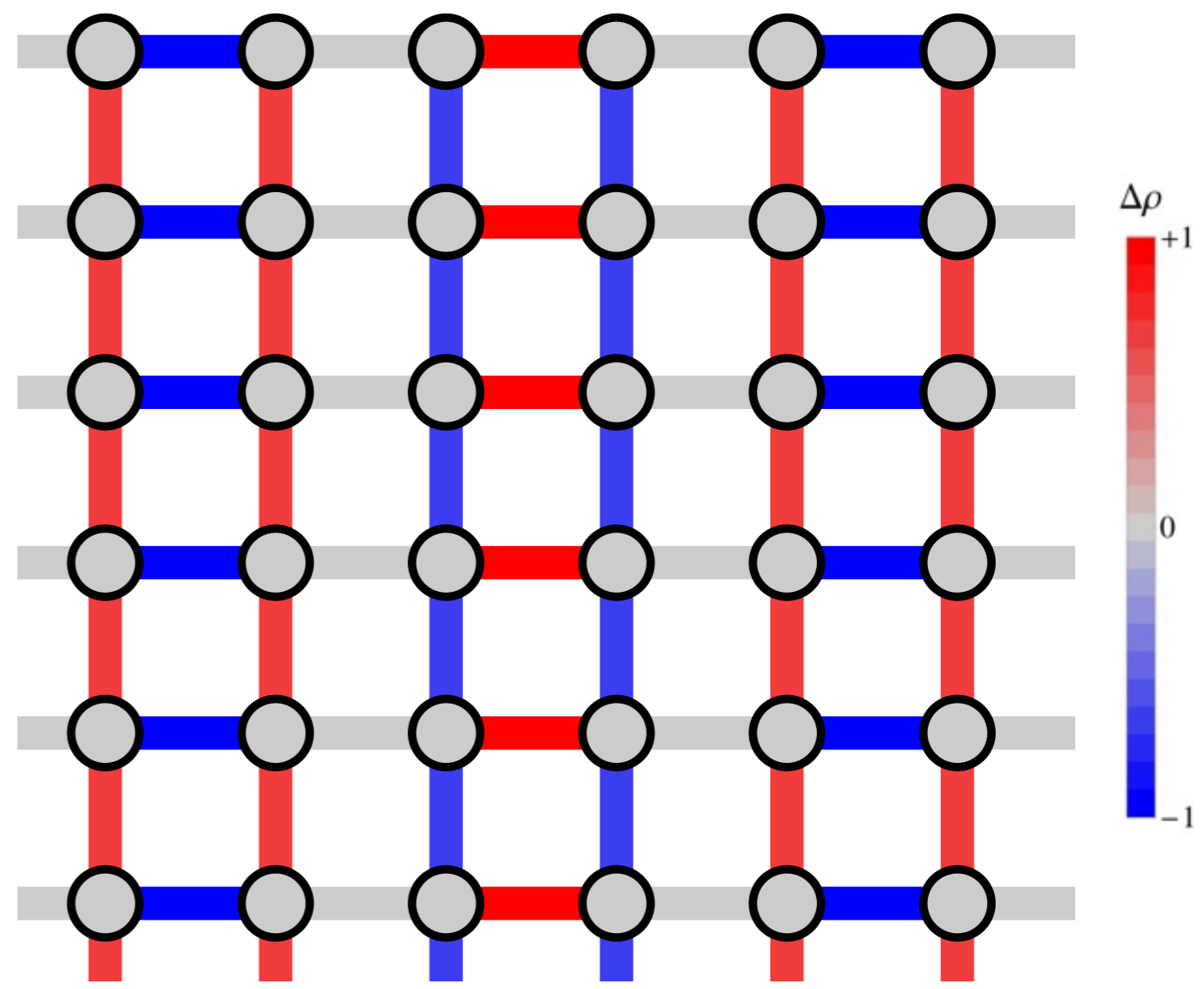
Density wave on horizontal bonds has a phase-shift of  $\pi$  relative to the wave on vertical bonds



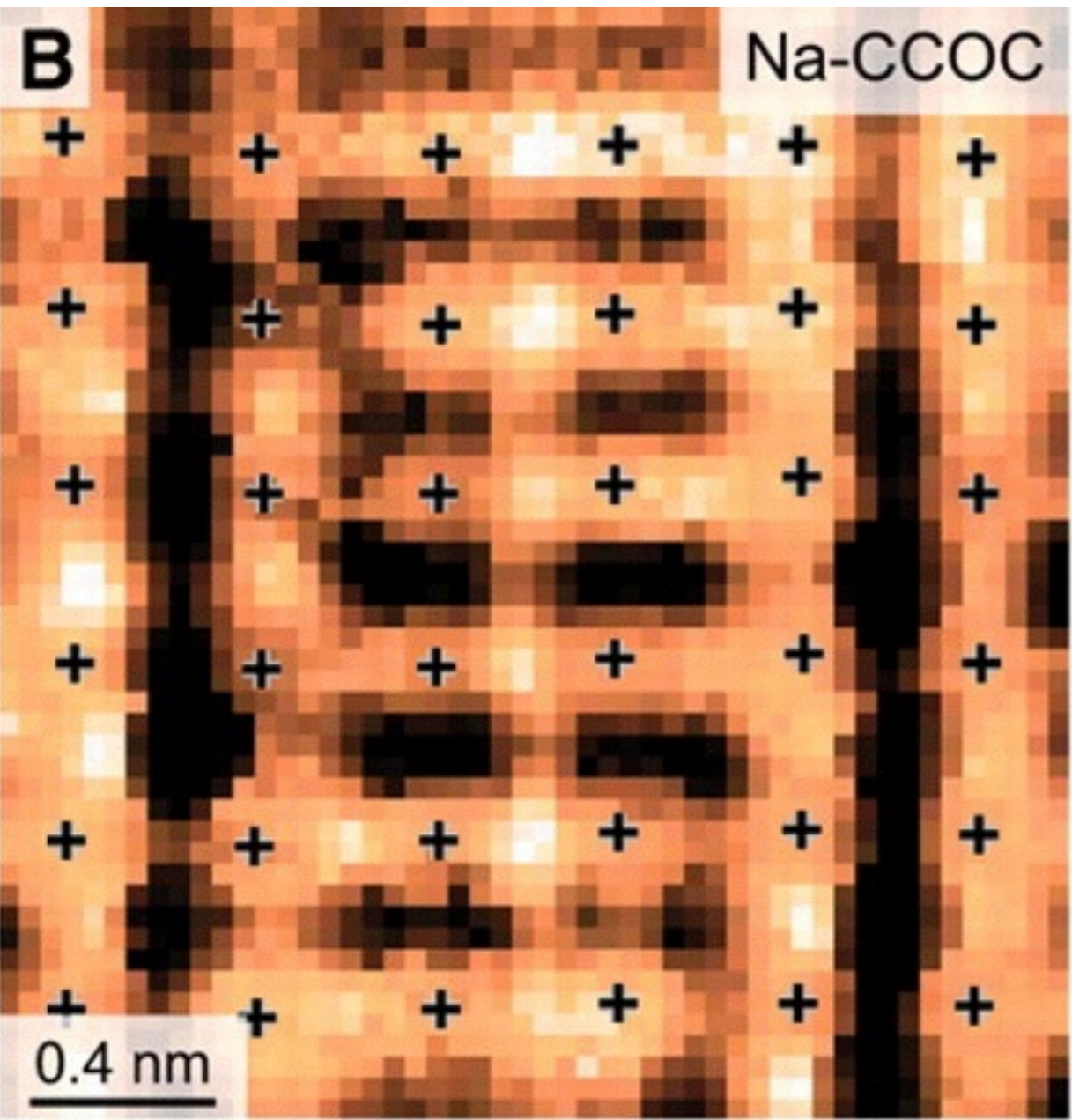
This specific  $d$ -form factor density wave order (with  $\mathbf{Q}$  along the axes) was first predicted in S. Sachdev and R. LaPlaca, Phys. Rev. Lett. **111**, 027202 (2013).



$\mathbf{Q} = (\pi/2, 0)$

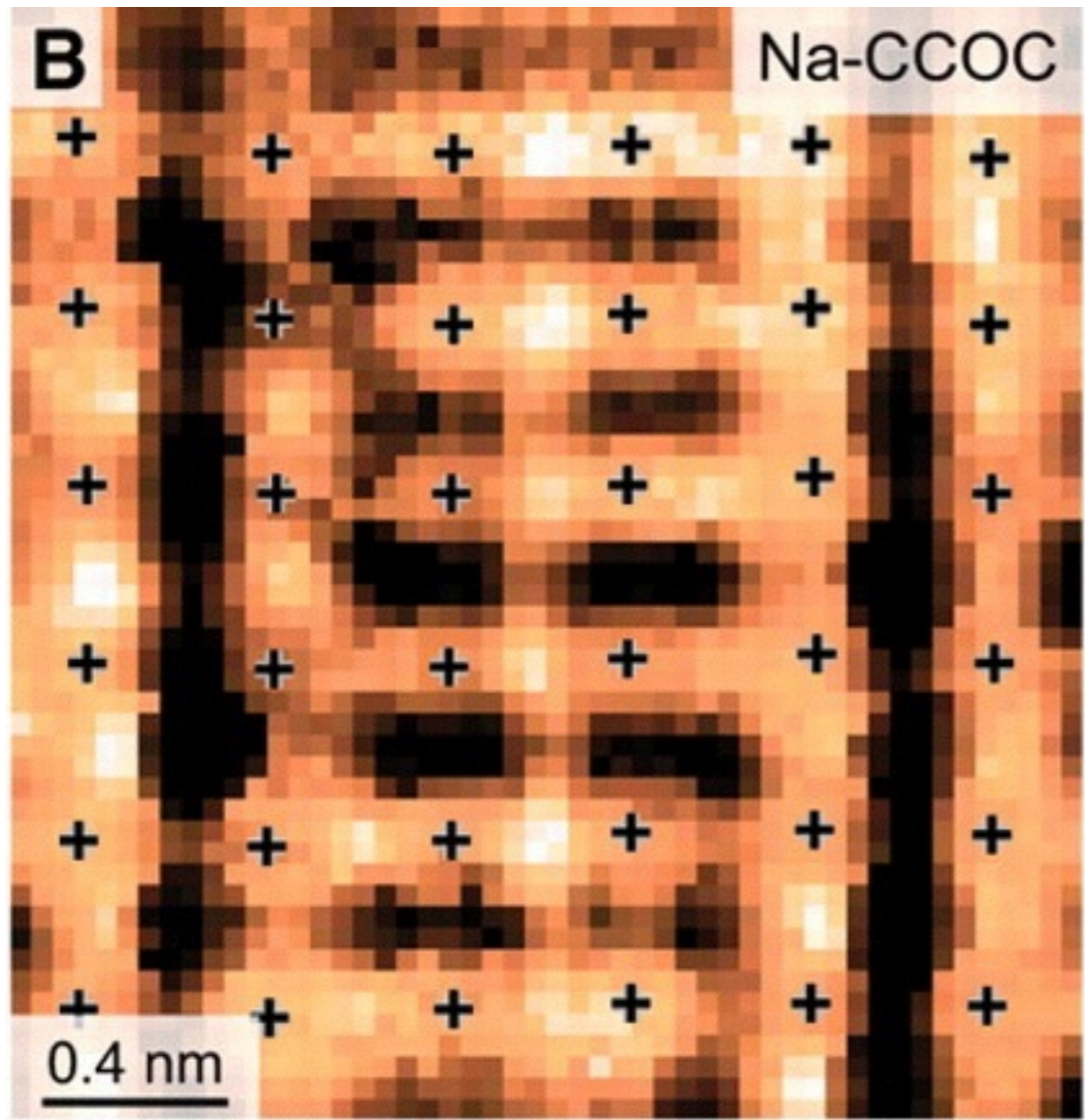
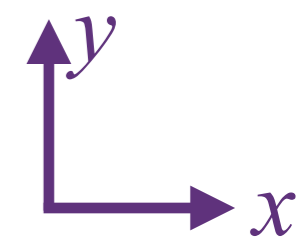


*d*-form factor density wave order

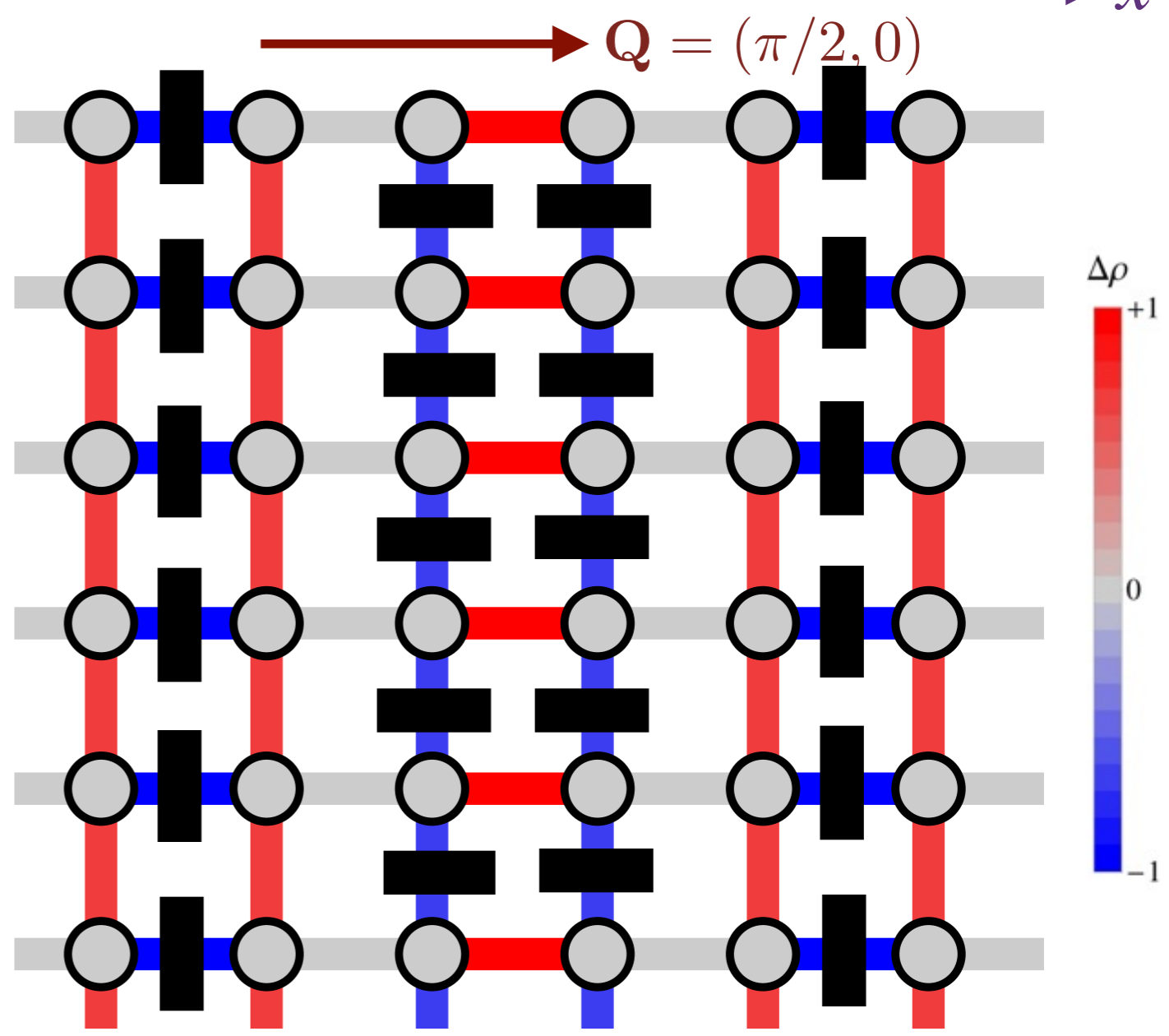


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This specific *d*-form factor density wave order (with  $\mathbf{Q}$  along the axes) was first predicted in S. Sachdev and R. LaPlaca, Phys. Rev. Lett. **111**, 027202 (2013).



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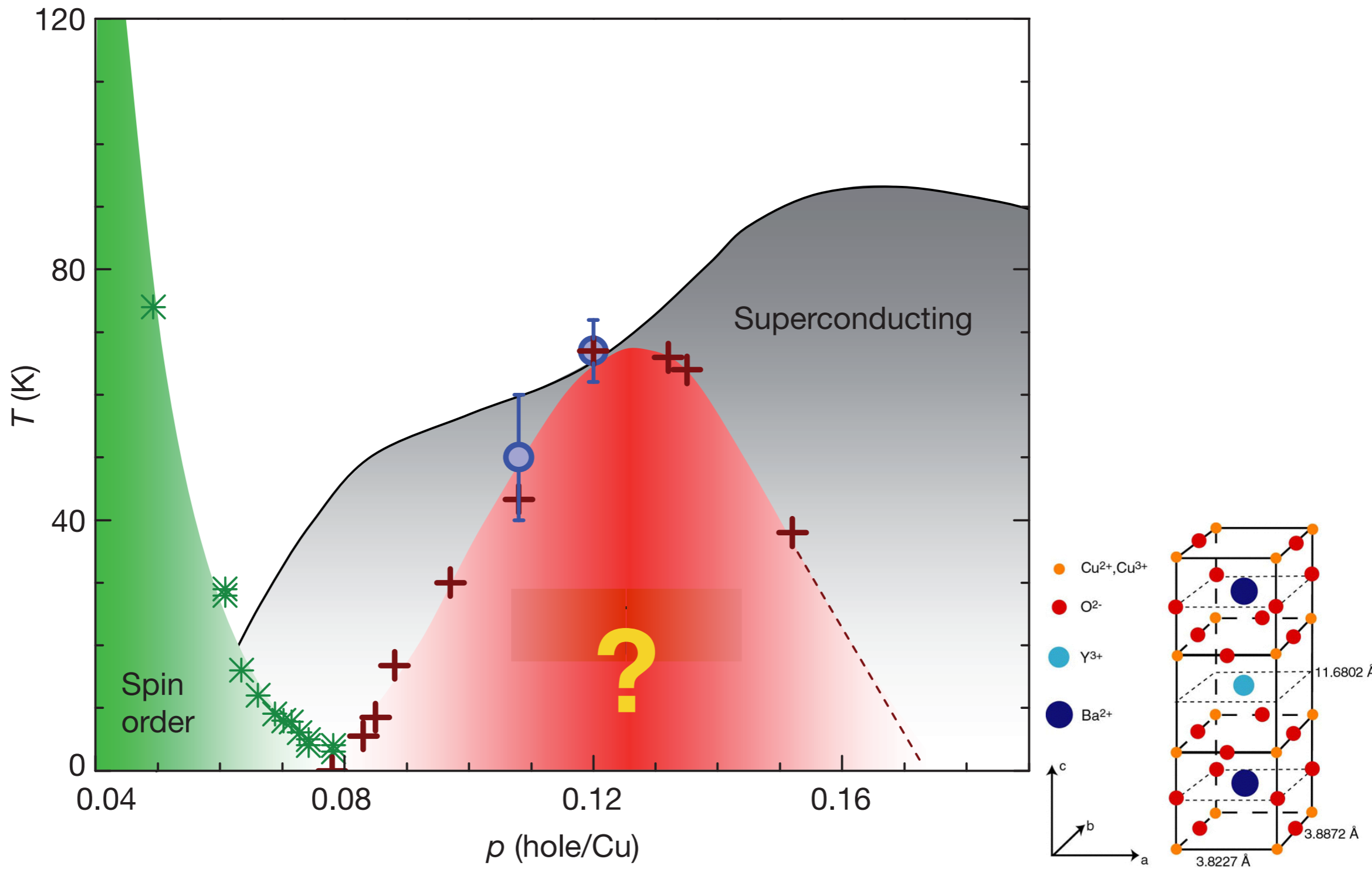
*d*-form factor density wave order

*d* form factor is compatible with STM measurements on BSCCO, Na-CCOC !

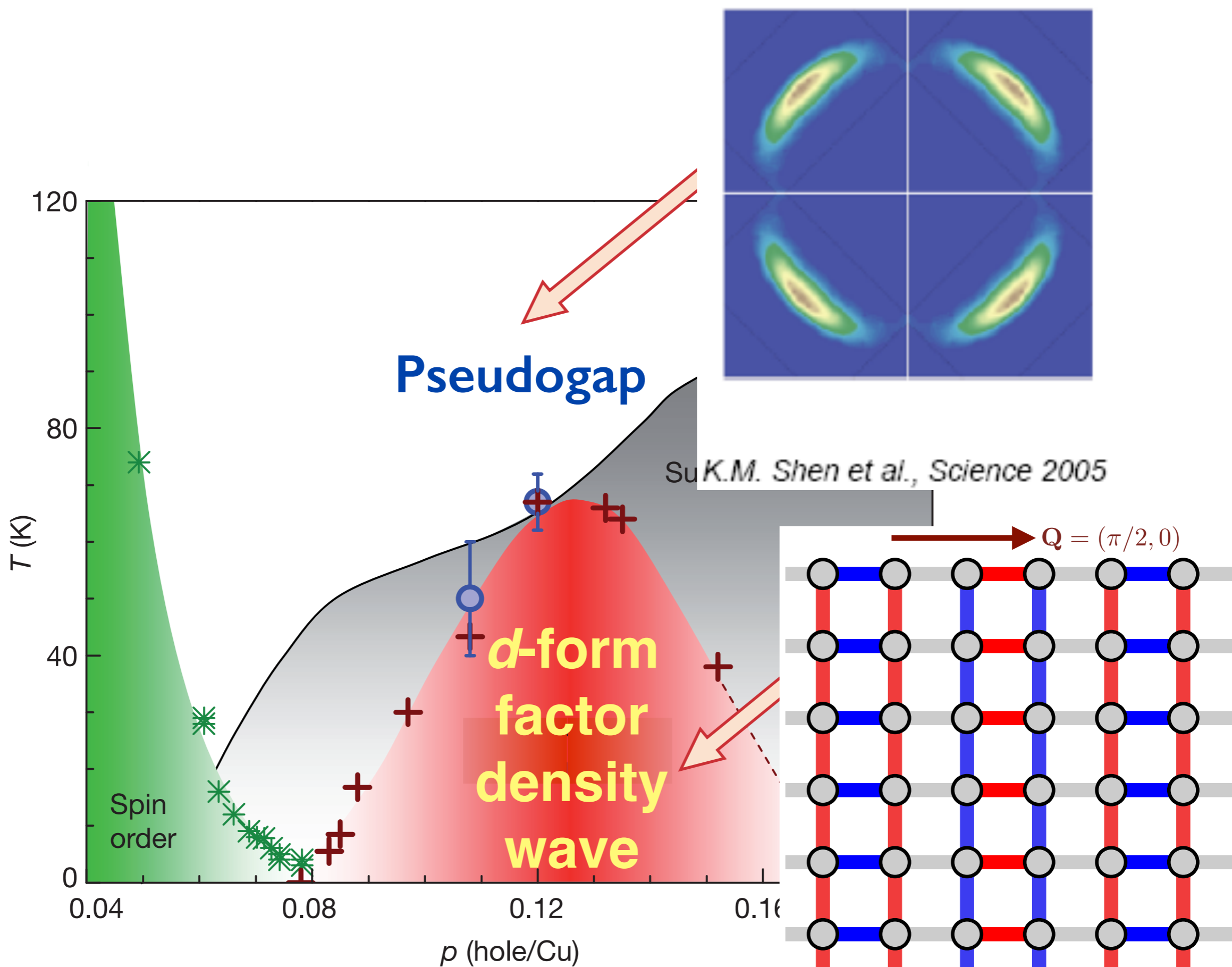
# Direct phase-sensitive identification of a $d$ -form factor density wave in underdoped cuprates

Kazuhiro Fujita<sup>a,b,c,1</sup>, Mohammad H. Hamidian<sup>a,b,1</sup>, Stephen D. Edkins<sup>b,d</sup>, Chung Koo Kim<sup>a</sup>, Yuhki Kohsaka<sup>e</sup>, Masaki Azuma<sup>f</sup>, Mikio Takano<sup>g</sup>, Hidenori Takagi<sup>c,h,i</sup>, Hiroshi Eisaki<sup>j</sup>, Shin-ichi Uchida<sup>c</sup>, Andrea Allais<sup>k</sup>, Michael J. Lawler<sup>b,l</sup>, Eun-Ah Kim<sup>b</sup>, Subir Sachdev<sup>k,m</sup>, and J. C. Séamus Davis<sup>a,b,d,2</sup>

The identity of the fundamental broken symmetry (if any) in the underdoped cuprates is unresolved. However, evidence has been accumulating that this state may be an unconventional density wave. Here we carry out site-specific measurements within each  $\text{CuO}_2$  unit cell, segregating the results into three separate electronic structure images containing only the Cu sites [ $\text{Cu}(r)$ ] and only the  $x/y$  axis O sites [ $\text{O}_x(r)$  and  $\text{O}_y(r)$ ]. Phase-resolved Fourier analysis reveals directly that the modulations in the  $\text{O}_x(r)$  and  $\text{O}_y(r)$  sublattice images consistently exhibit a relative phase of  $\pi$ . We confirm this discovery on two highly distinct cuprate compounds, ruling out tunnel matrix-element and materials-specific systematics. These observations demonstrate by direct sublattice phase-resolved visualization that the density wave found in underdoped cuprates consists of modulations of the intraunit-cell states that exhibit a predominantly  $d$ -symmetry form factor.



T. Wu, H. Mayaffre, S. Kramer, M. Horvatic, C. Berthier, W.N. Hardy, R. Liang, D.A. Bonn, and M.-H. Julien, *Nature* **477**, 191 (2011).



K. Fujita, M. H Hamidian, S. D. Edkins, Chung Koo Kim, Y. Kohsaka, M. Azuma, M. Takano, H. Takagi, H. Eisaki, S. Uchida, A. Allais, M. J. Lawler, E.-A. Kim, S. Sachdev, and J. C. Davis, PNAS 111, E3026 (2014)