

Strange metals and black holes

Harvard University
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**Ordinary
metals**

**Strange
metals**

**Black
holes**

**Ordinary
metals**

**Strange
metals**

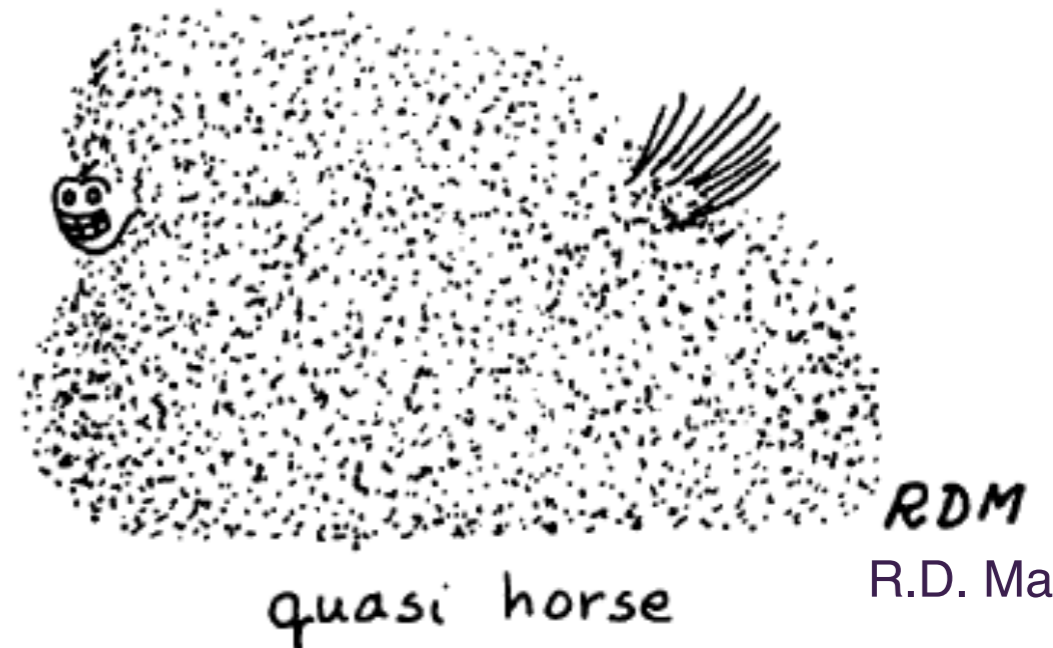
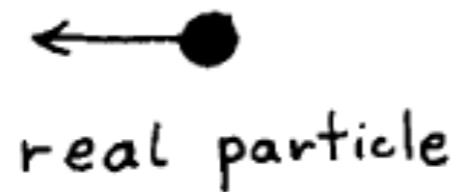
**Black
holes**

Ordinary metals



Ordinary metals are shiny, and they conduct heat and electricity efficiently. Each atom donates electrons which are delocalized throughout the entire crystal

Almost all many-electron systems are described by the quasiparticle concept: a quasiparticle is an “excited lump” in the many-electron state which responds just like an ordinary particle.



What are quasiparticles ?

- **Quasiparticles are additive excitations:**

The low-lying excitations of the many-body system can be identified as a set $\{n_\alpha\}$ of quasiparticles with energy ε_α

$$E = \sum_{\alpha} n_{\alpha} \varepsilon_{\alpha} + \sum_{\alpha, \beta} F_{\alpha\beta} n_{\alpha} n_{\beta} + \dots$$

In a lattice system of N sites, this parameterizes the energy of $\sim e^{\alpha N}$ states in terms of poly(N) numbers.

What are quasiparticles ?

- Quasiparticles eventually collide with each other. Such collisions eventually leads to thermal equilibration in a chaotic quantum state, but the equilibration takes a long time. In a Fermi liquid, this time diverges as

$$\tau_{\text{eq}} \sim \frac{\hbar E_F}{(k_B T)^2} \quad , \quad \text{as } T \rightarrow 0,$$

where E_F is the Fermi energy.

What are quasiparticles ?

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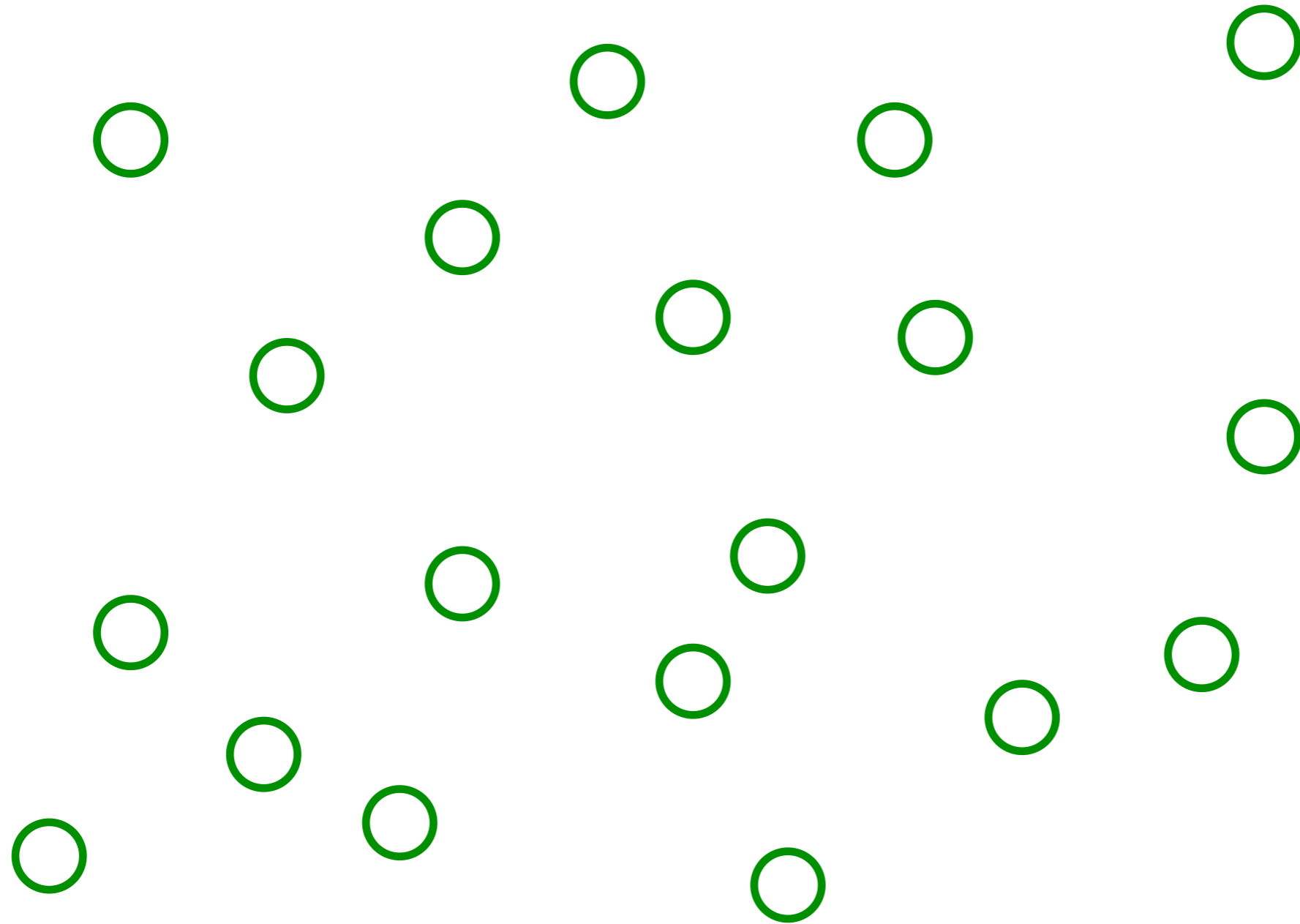
$$\tau_{\text{eq}} \sim \frac{\hbar E_F}{(k_B T)^2} \quad , \quad \text{as } T \rightarrow 0,$$

where E_F is the Fermi energy.

- This time is much longer than the ‘Planckian time’ $\hbar/(k_B T)$, which we will find in systems without quasiparticle excitations.

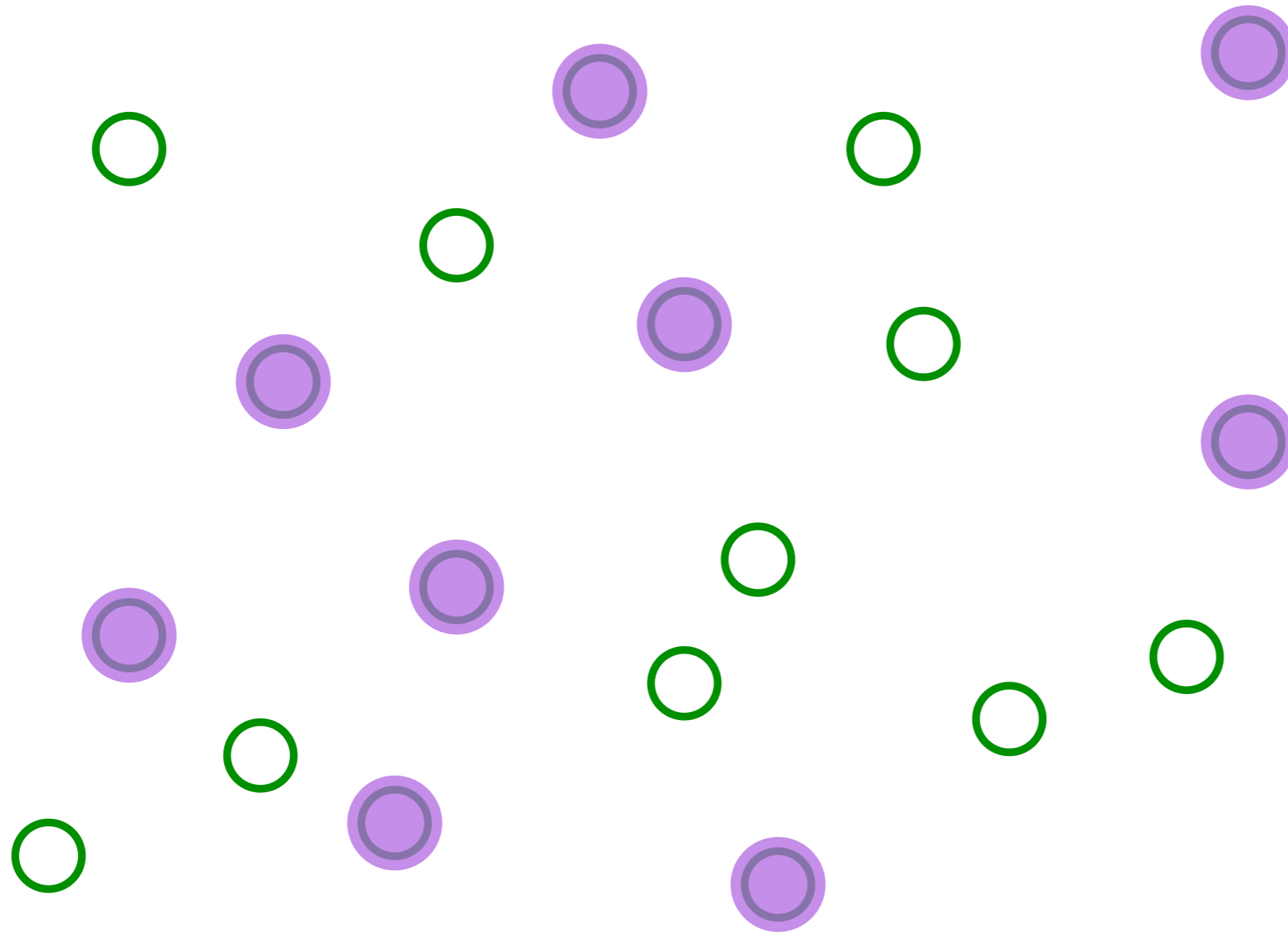
$$\tau_{\text{eq}} \gg \frac{\hbar}{k_B T} \quad , \quad \text{as } T \rightarrow 0.$$

A simple model of a metal with quasiparticles



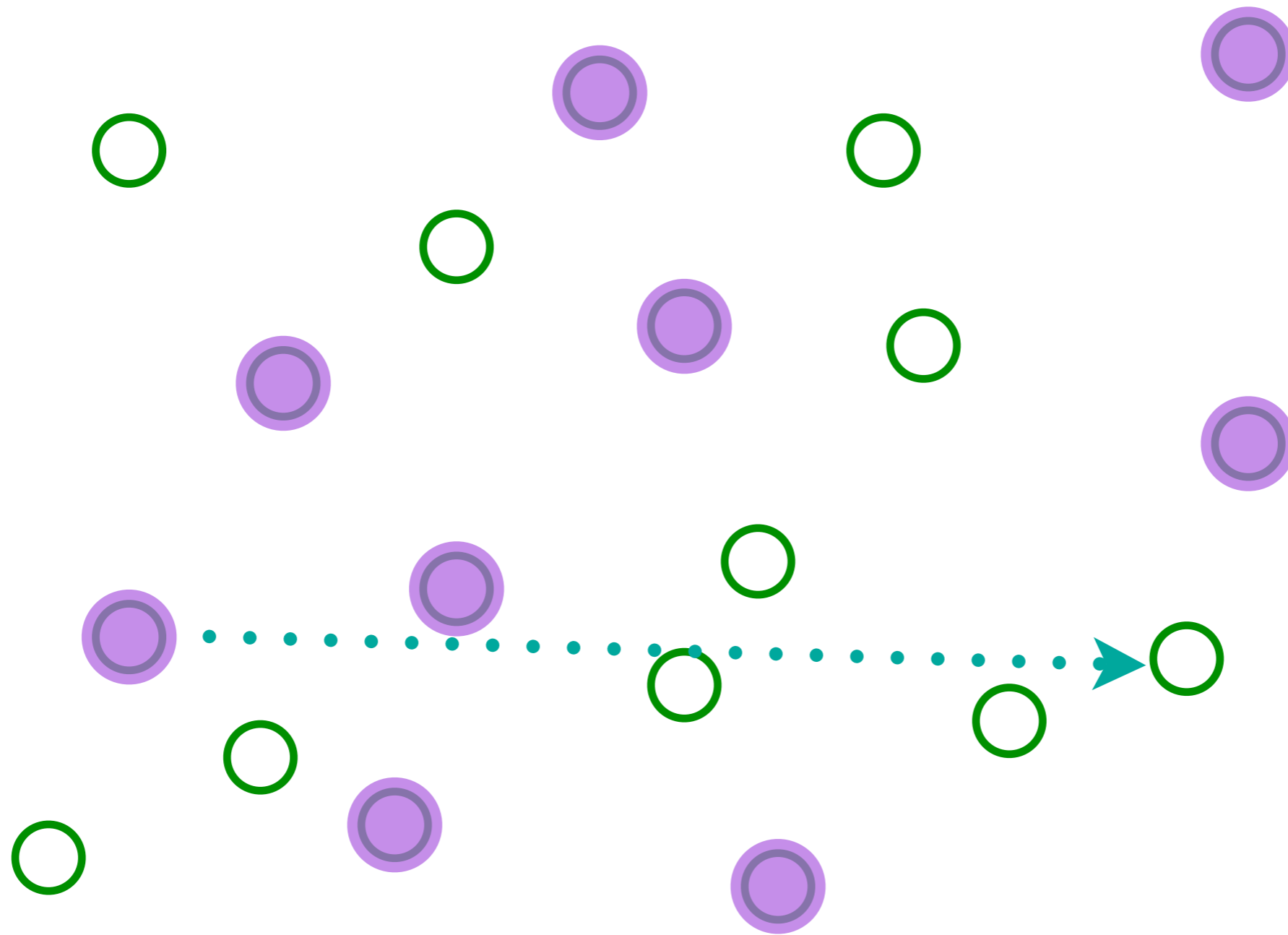
Pick a set of random positions

A simple model of a metal with quasiparticles



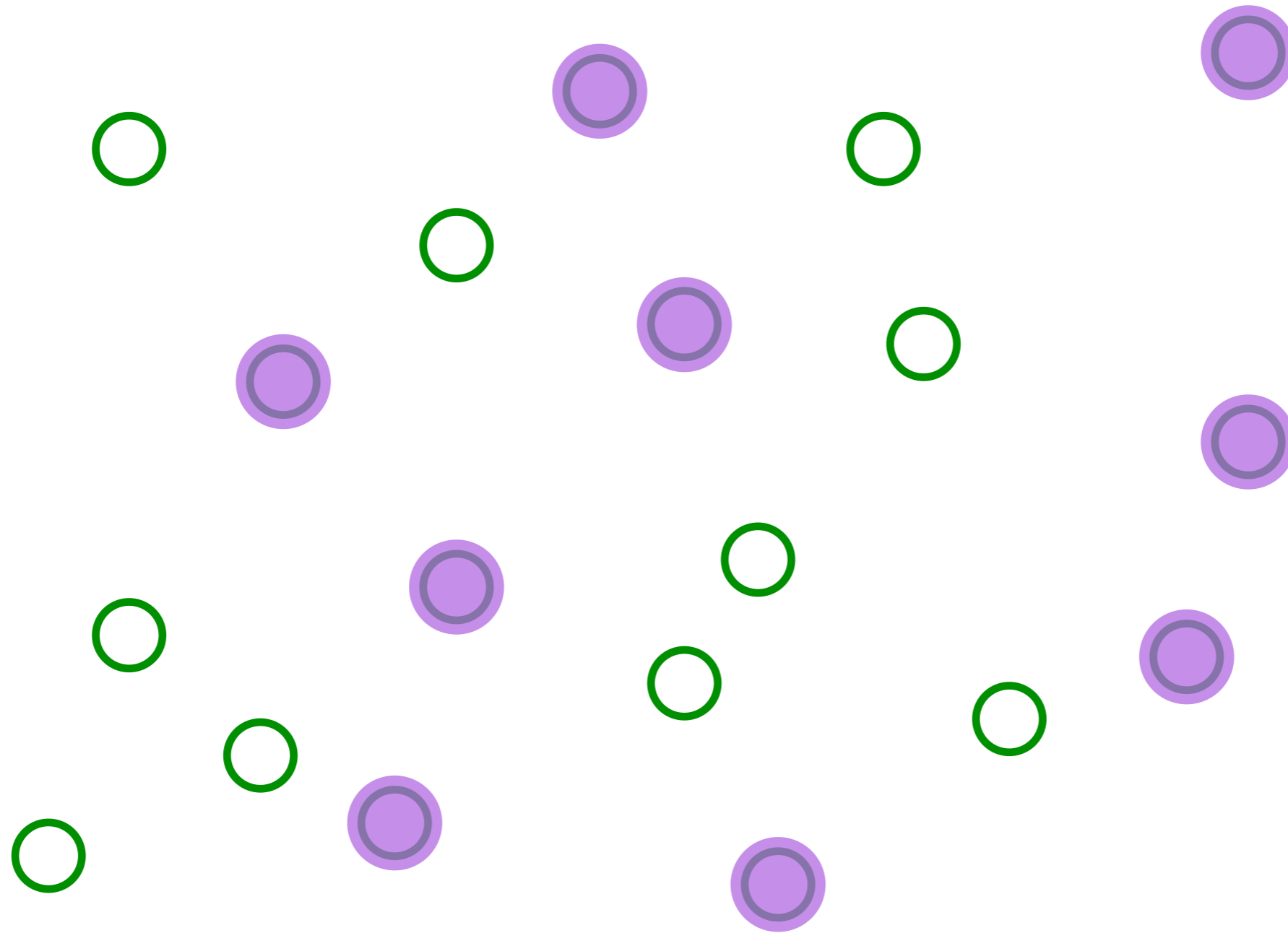
Place electrons randomly on some sites

A simple model of a metal with quasiparticles



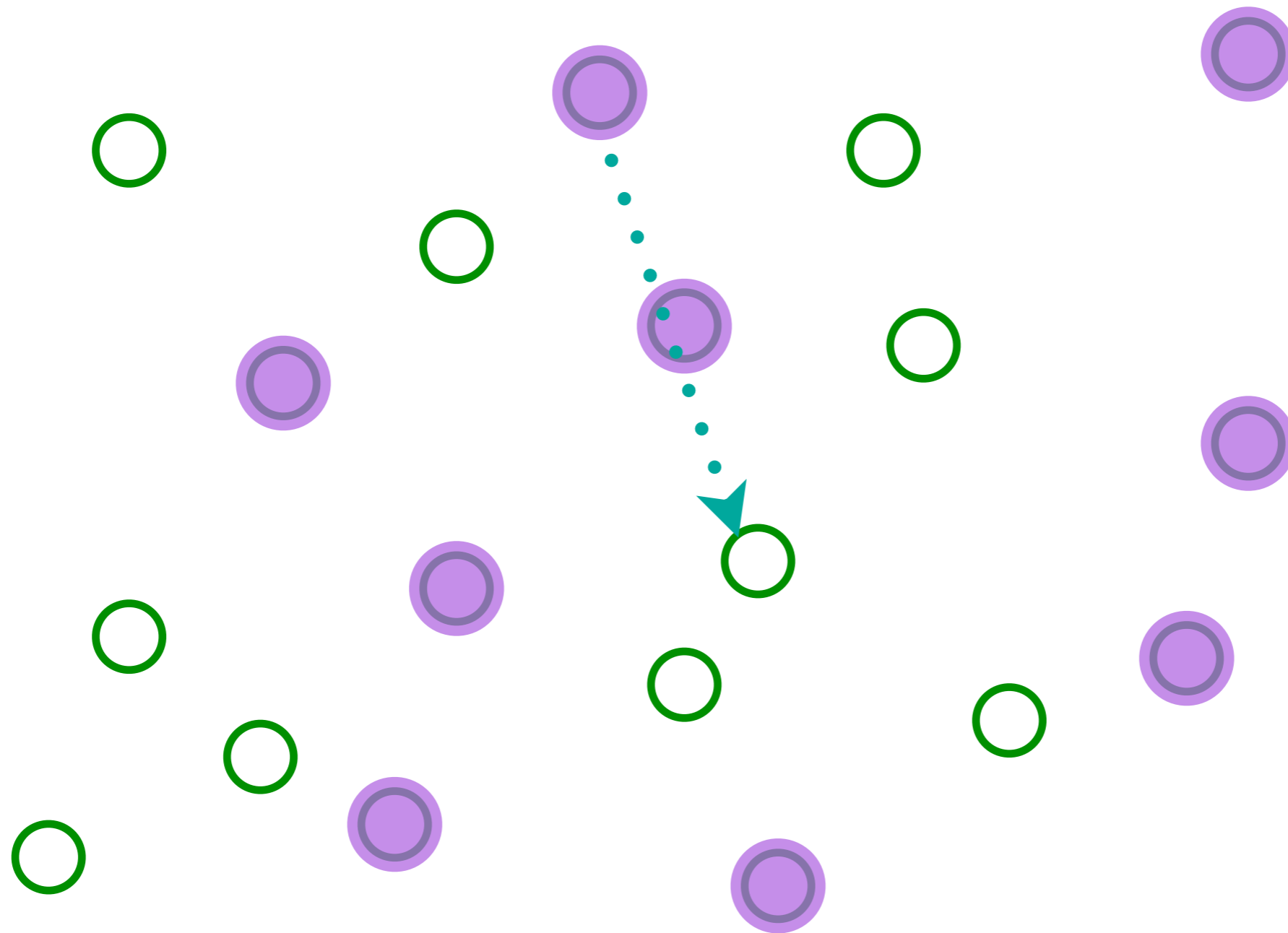
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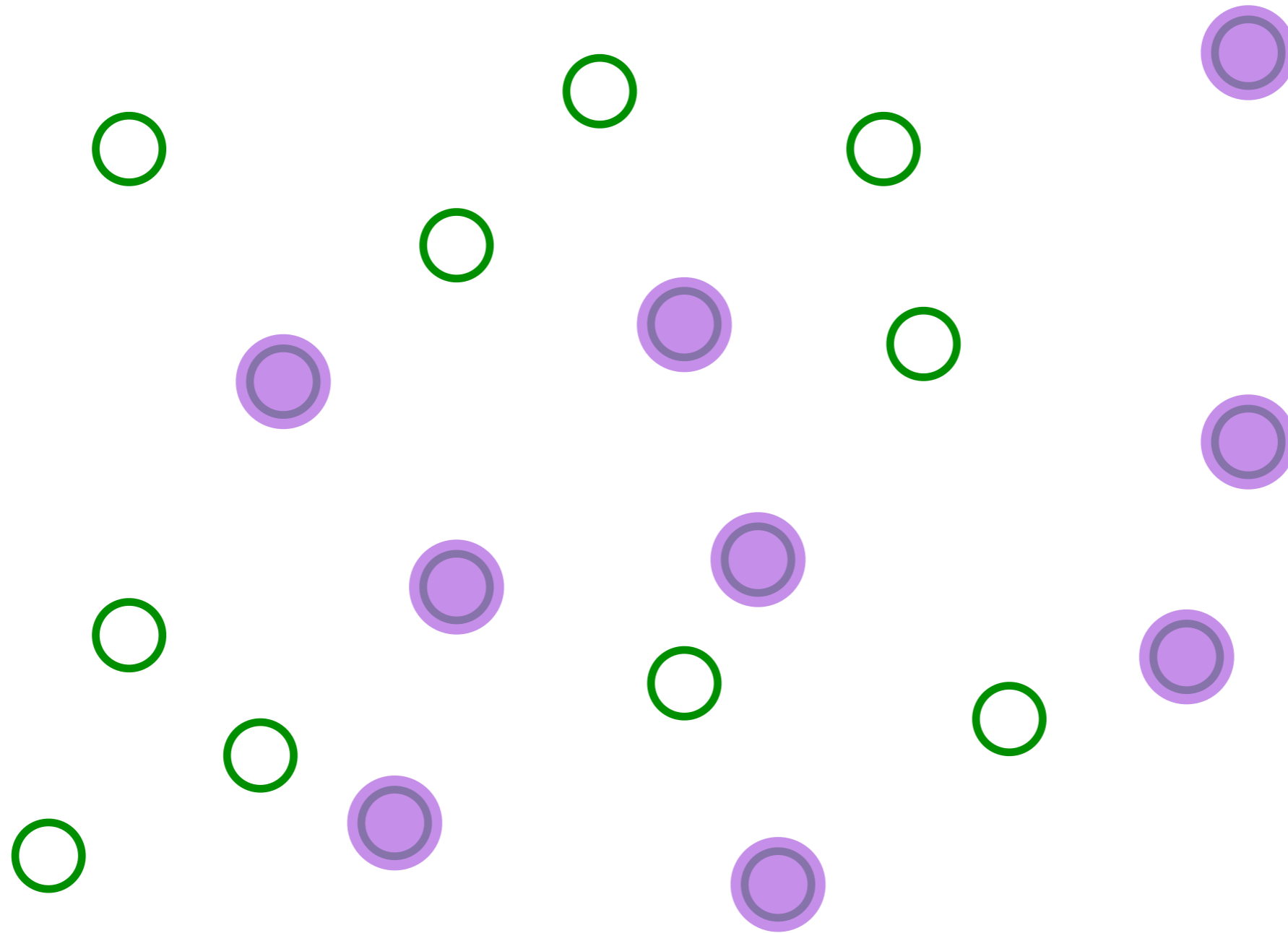
Electrons move one-by-one randomly

A simple model of a metal with quasiparticles



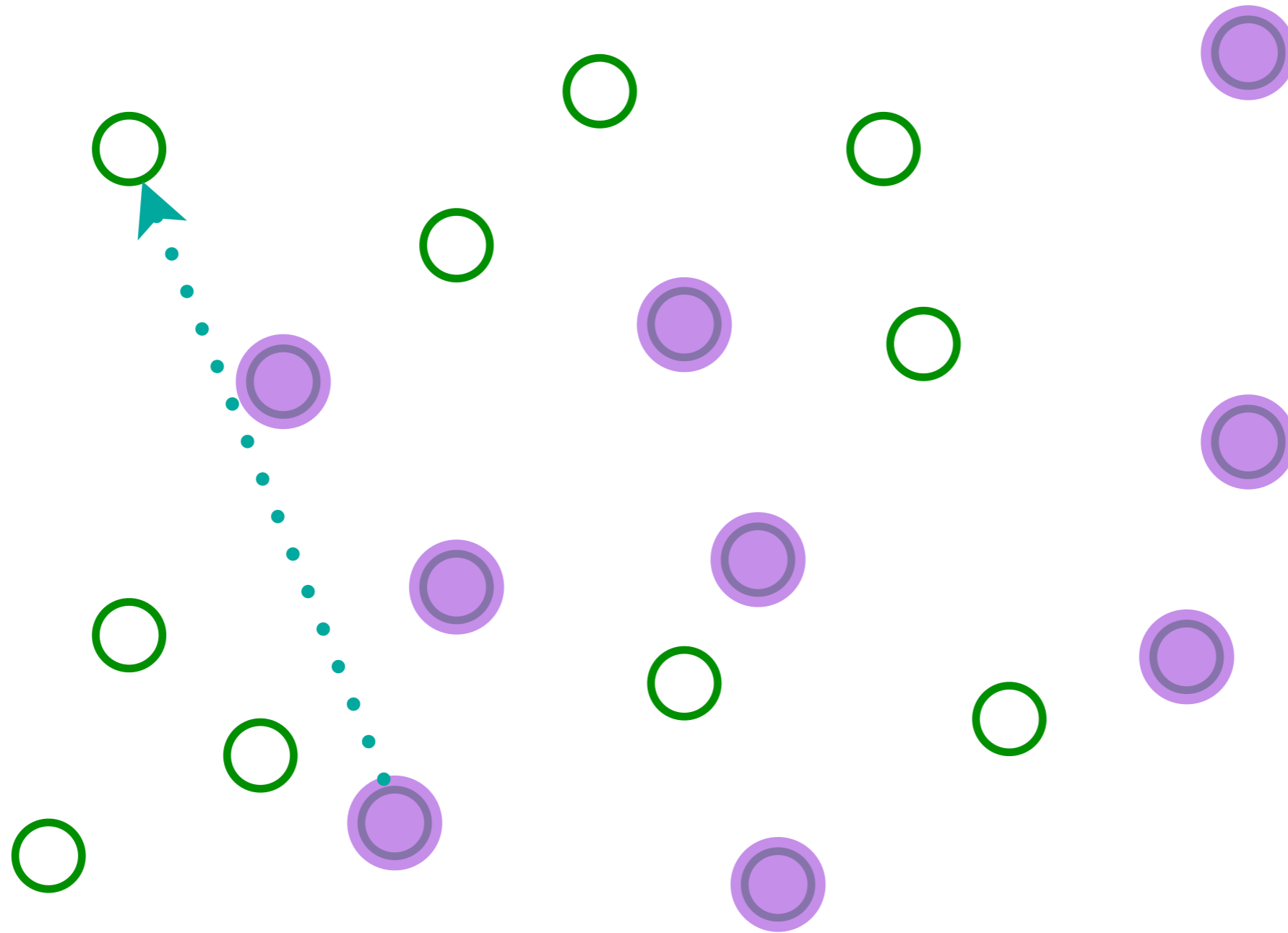
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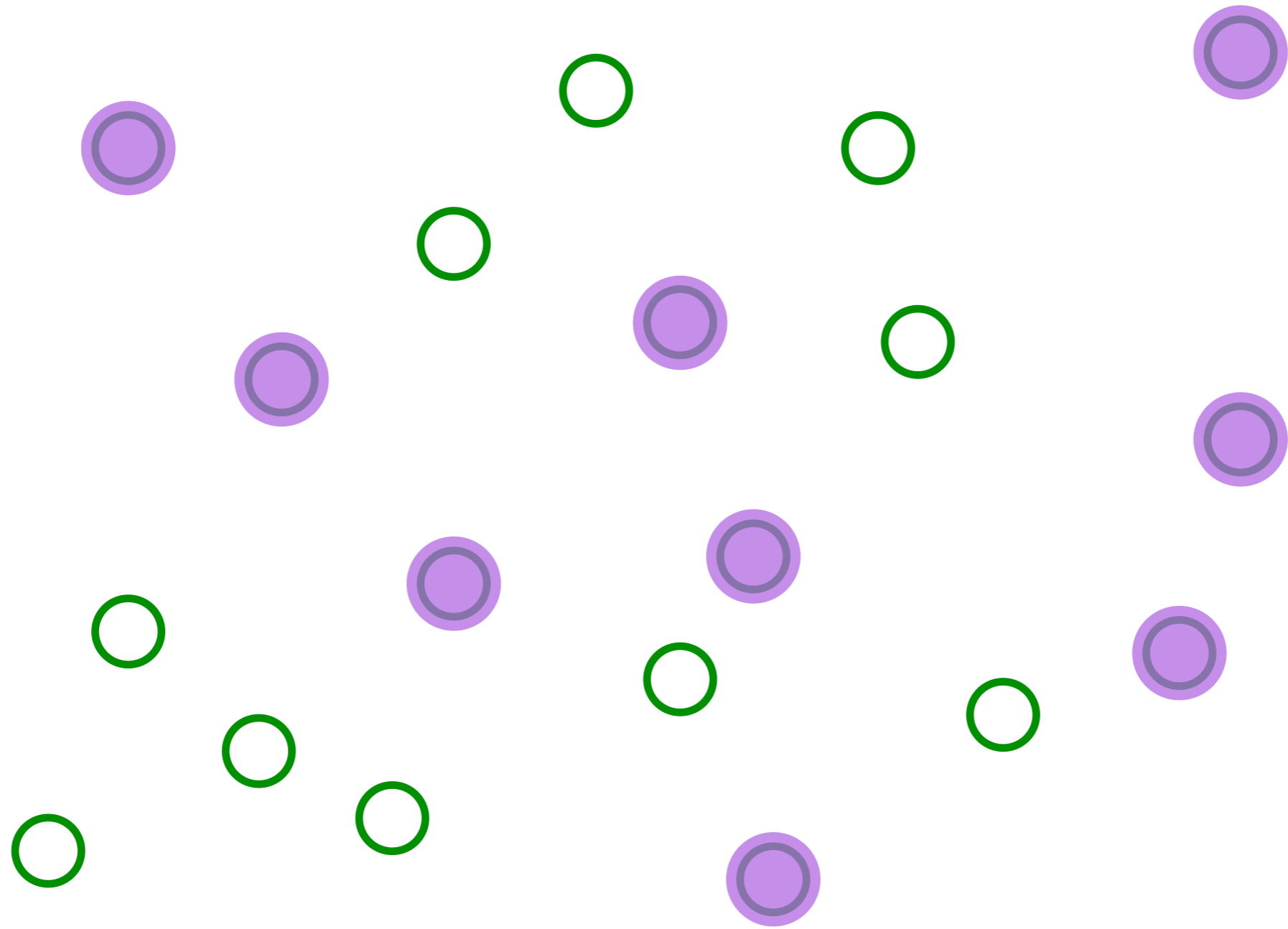
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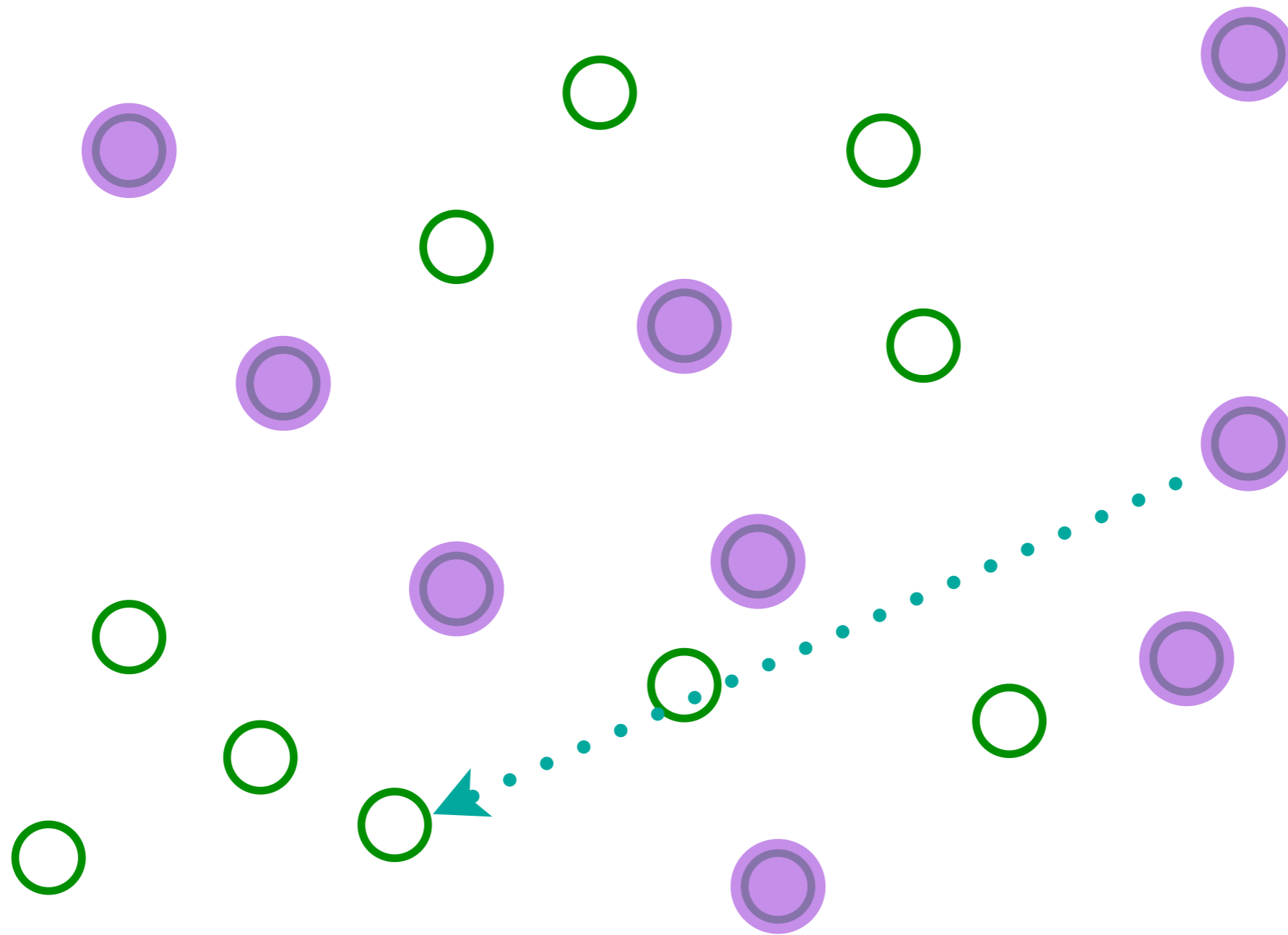
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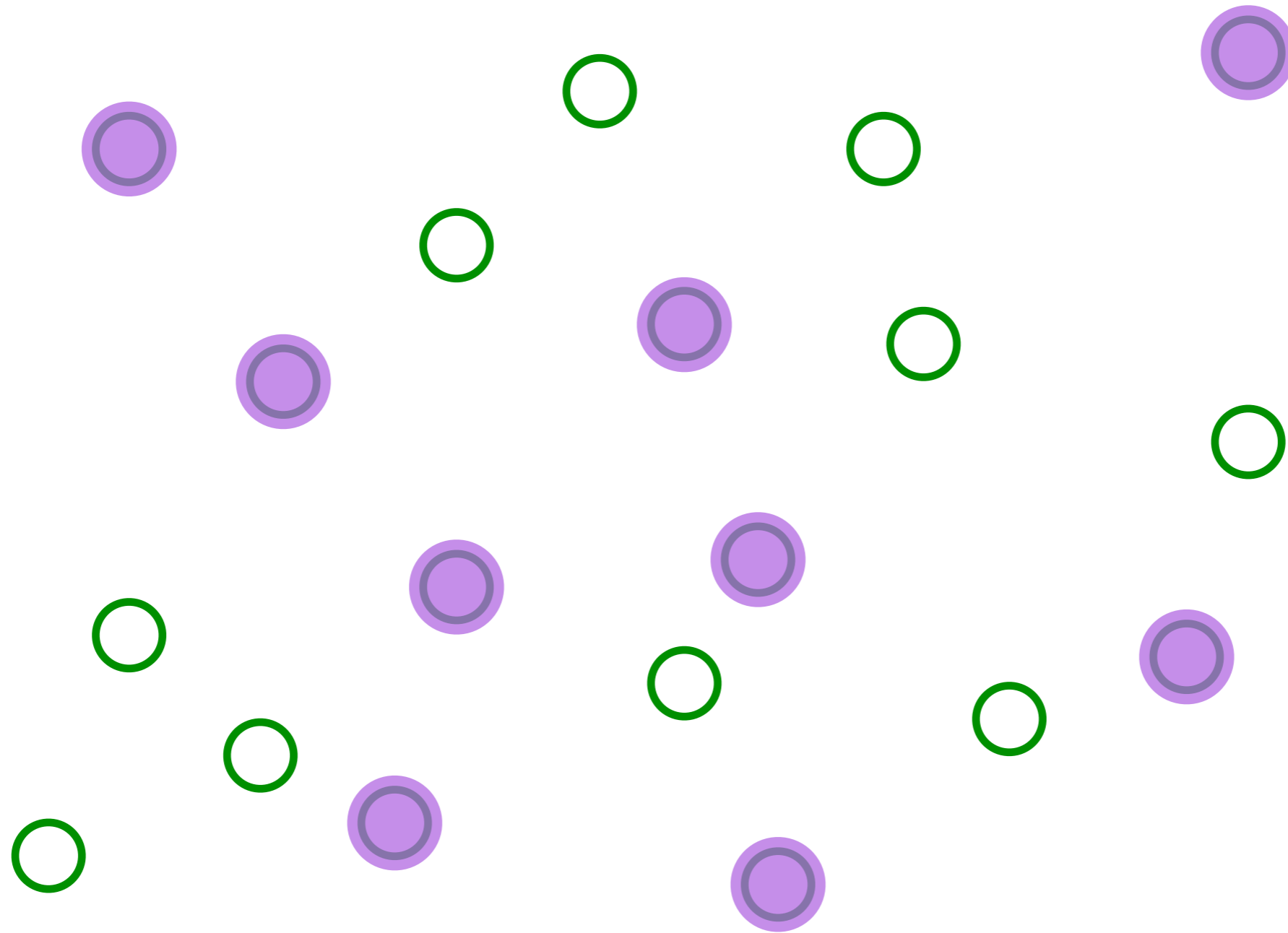
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A simple model of a metal with quasiparticles

$$H = \frac{1}{(N)^{1/2}} \sum_{i,j=1}^N t_{ij} c_i^\dagger c_j - \mu \sum_i c_i^\dagger c_i$$

$$c_i c_j + c_j c_i = 0 \quad , \quad c_i c_j^\dagger + c_j^\dagger c_i = \delta_{ij}$$

$$\frac{1}{N} \sum_i c_i^\dagger c_i = Q$$

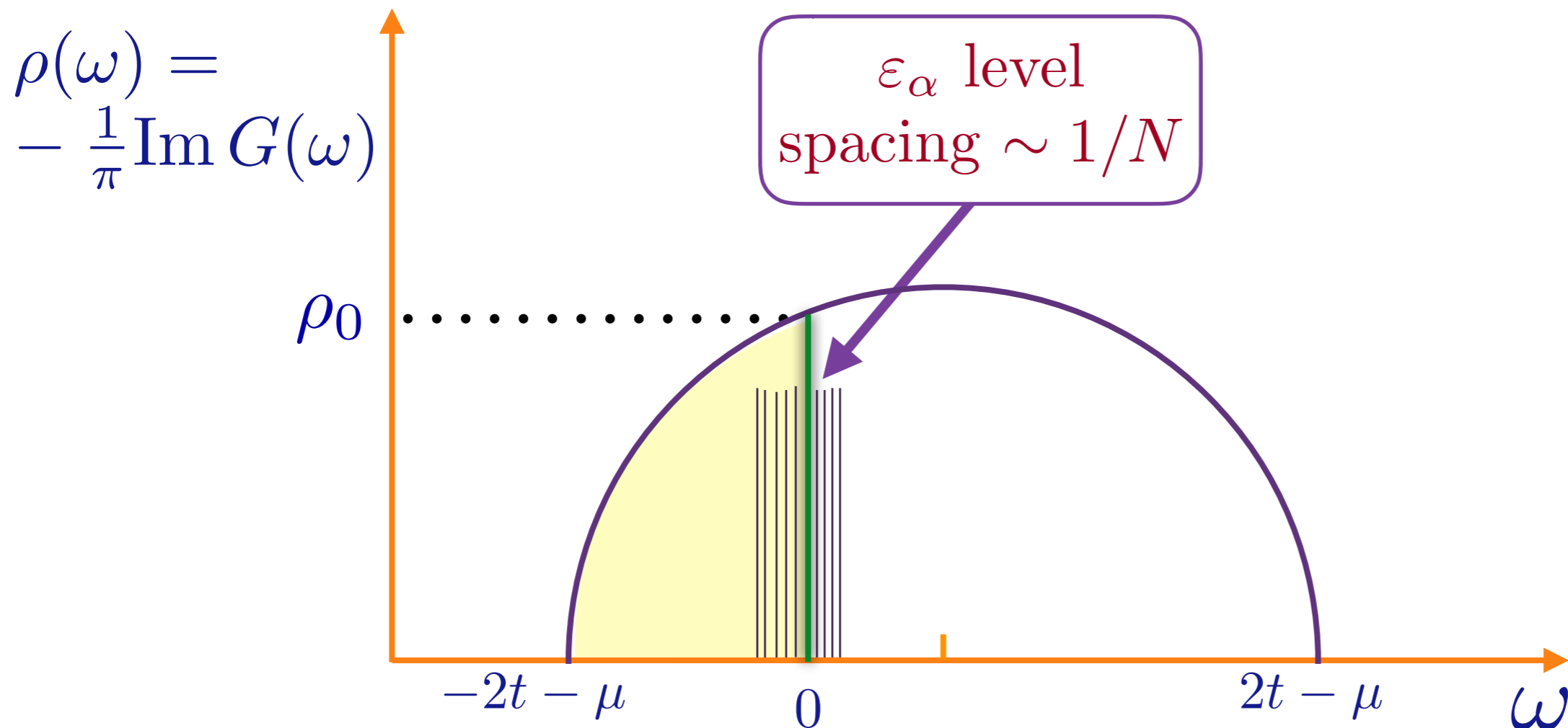
t_{ij} are independent random variables with $\overline{t_{ij}} = 0$ and $\overline{|t_{ij}|^2} = t^2$

**Fermions occupying the eigenstates of a
 $N \times N$ random matrix**

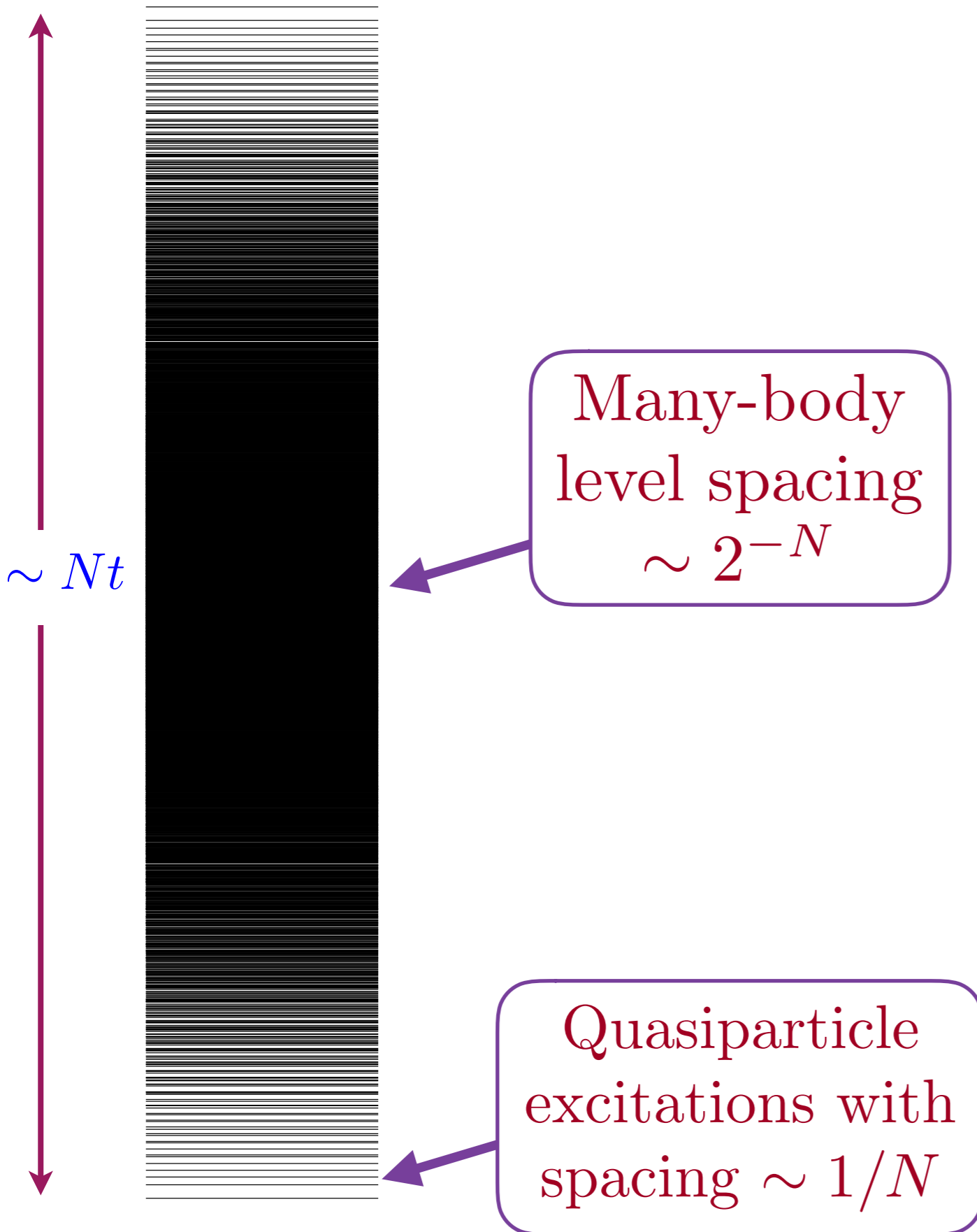
A simple model of a metal with quasiparticles

Let ε_α be the eigenvalues of the matrix t_{ij}/\sqrt{N} . The fermions will occupy the lowest NQ eigenvalues, upto the Fermi energy E_F . The single-particle density of states is

$$\rho(\omega) = (1/N) \sum_\alpha \delta(\omega - \varepsilon_\alpha), \text{ and } \rho_0 \equiv \rho(\omega = 0).$$



A simple model of a metal with quasiparticles



There are 2^N many body levels with energy

$$E = \sum_{\alpha=1}^N n_{\alpha} \varepsilon_{\alpha},$$

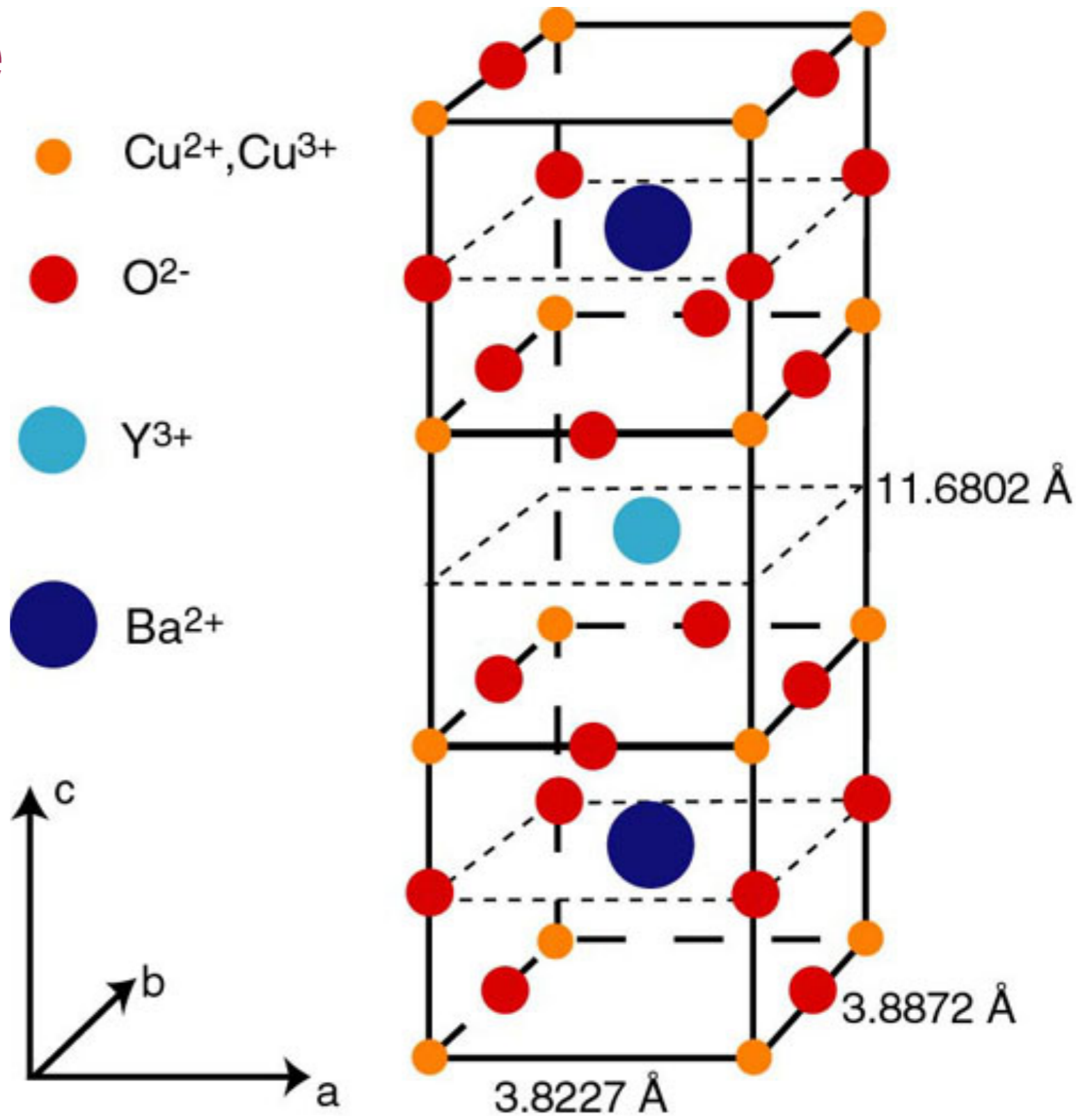
where $n_{\alpha} = 0, 1$. Shown are all values of E for a single cluster of size $N = 12$. The ε_{α} have a level spacing $\sim 1/N$.

**Ordinary
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High temperature superconductors



Quantum matter without quasiparticles

Strange metal

Entangled electrons lead to “strange” temperature dependence of resistivity and other properties

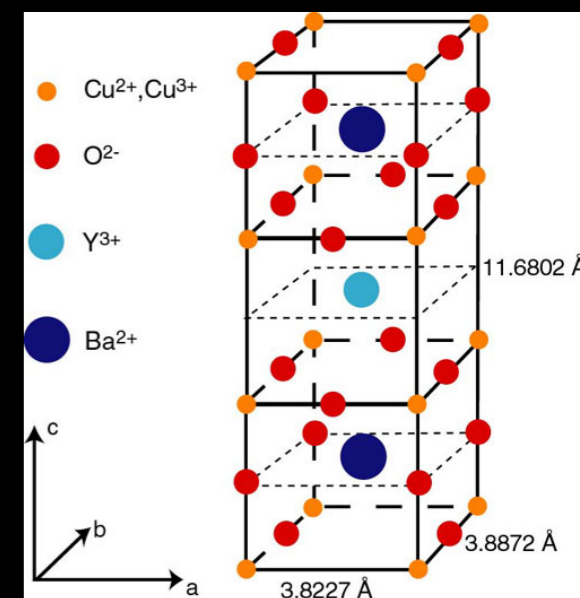
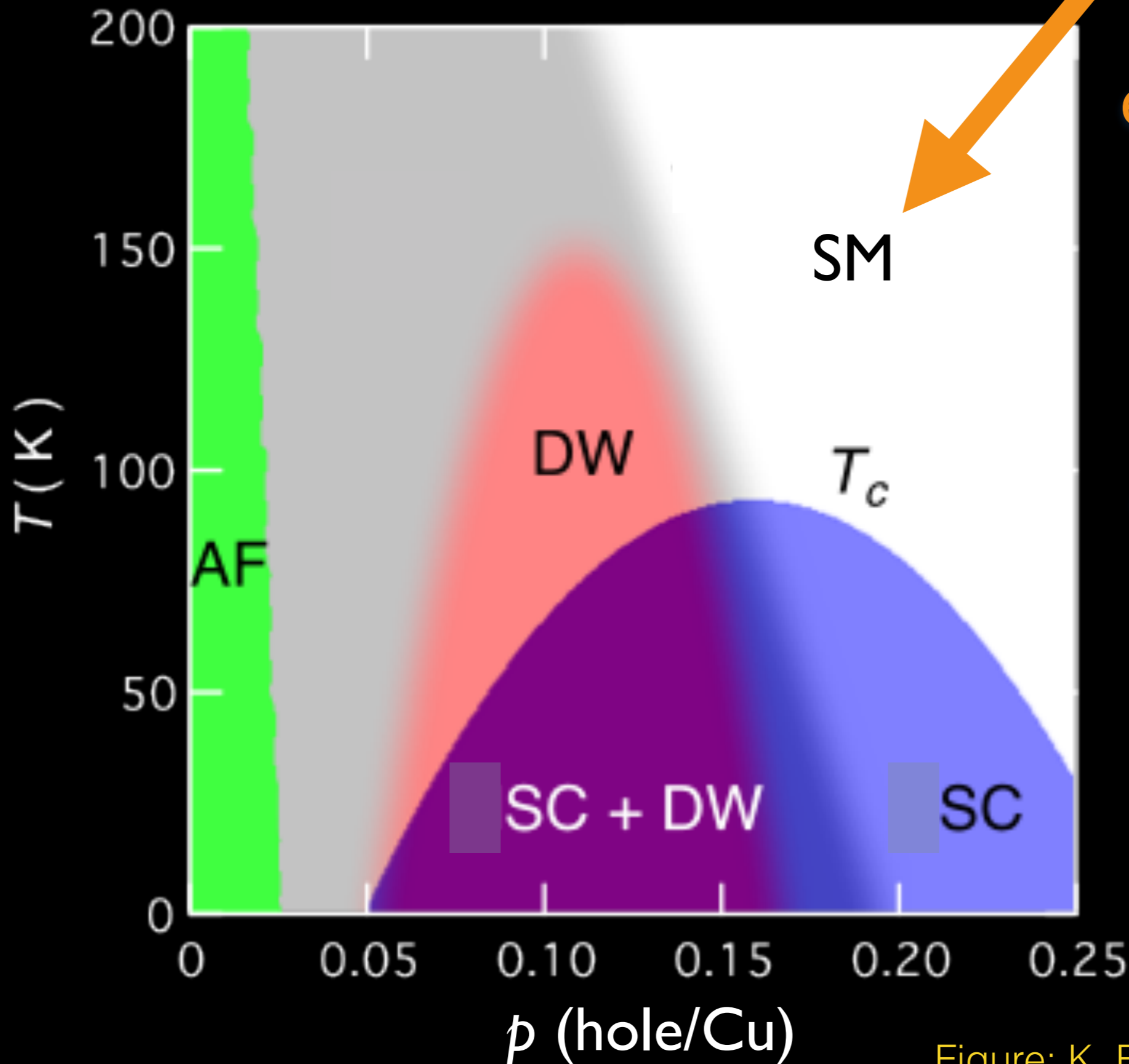


Figure: K. Fujita and J. C. Seamus Davis



“Strange”,

“Bad”,



or “Incoherent”,

metal has a resistivity, ρ , which obeys

$$\rho \sim T,$$

and

in some cases $\rho \gg h/e^2$

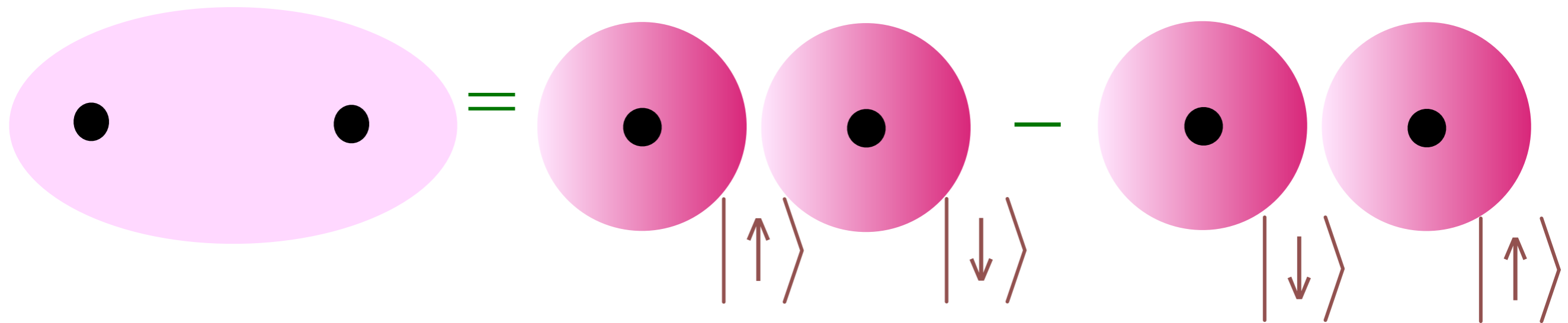
(in two dimensions),

where h/e^2 is the quantum unit of resistance.

Quantum Entanglement: quantum superposition with more than one particle

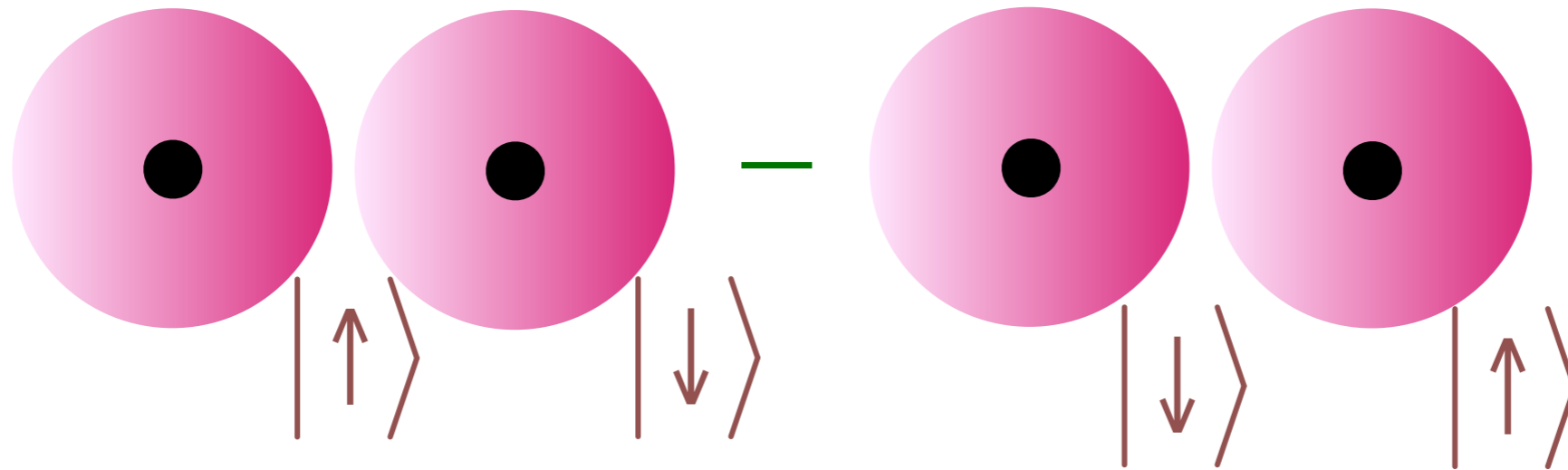


Hydrogen molecule:

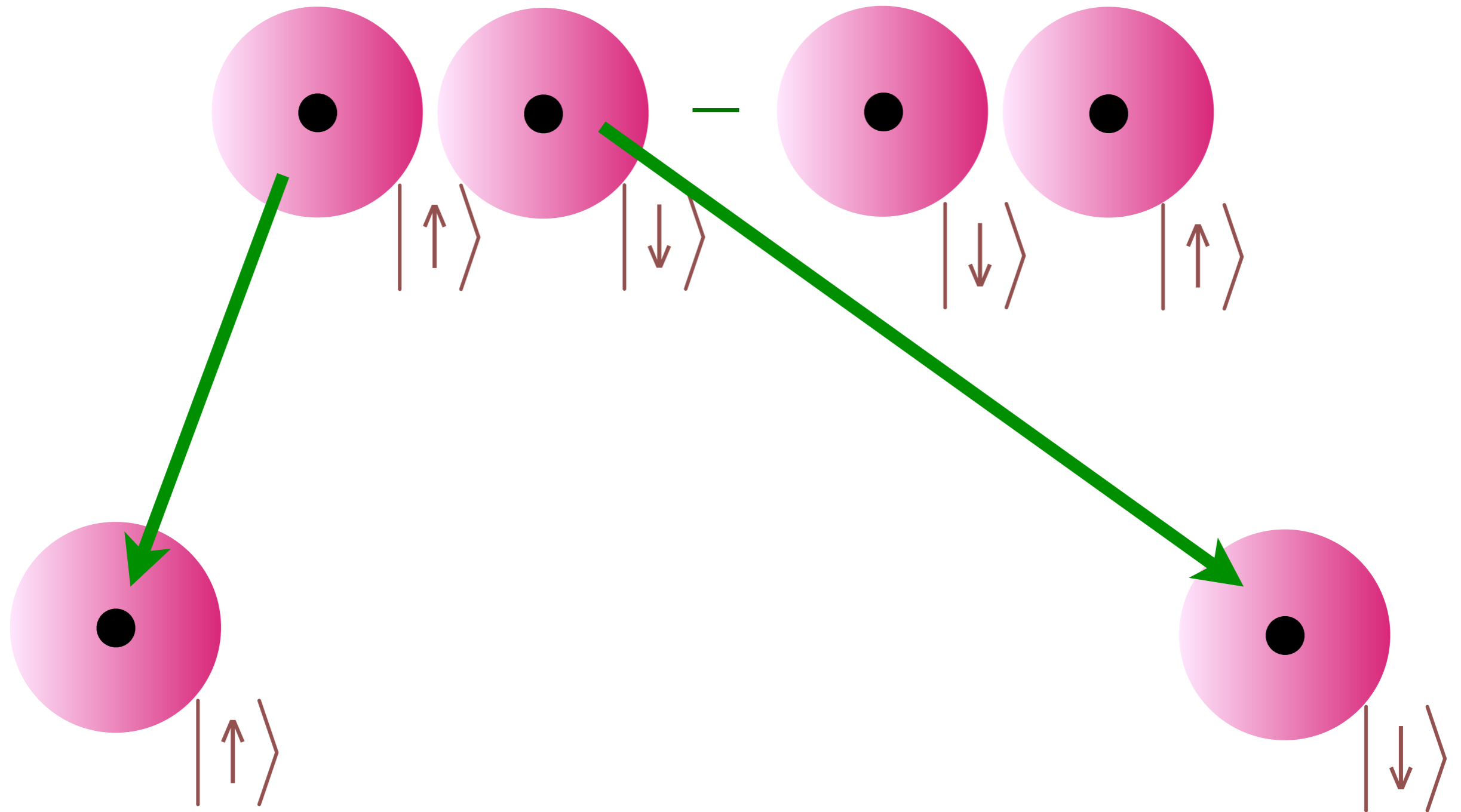


$$= \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

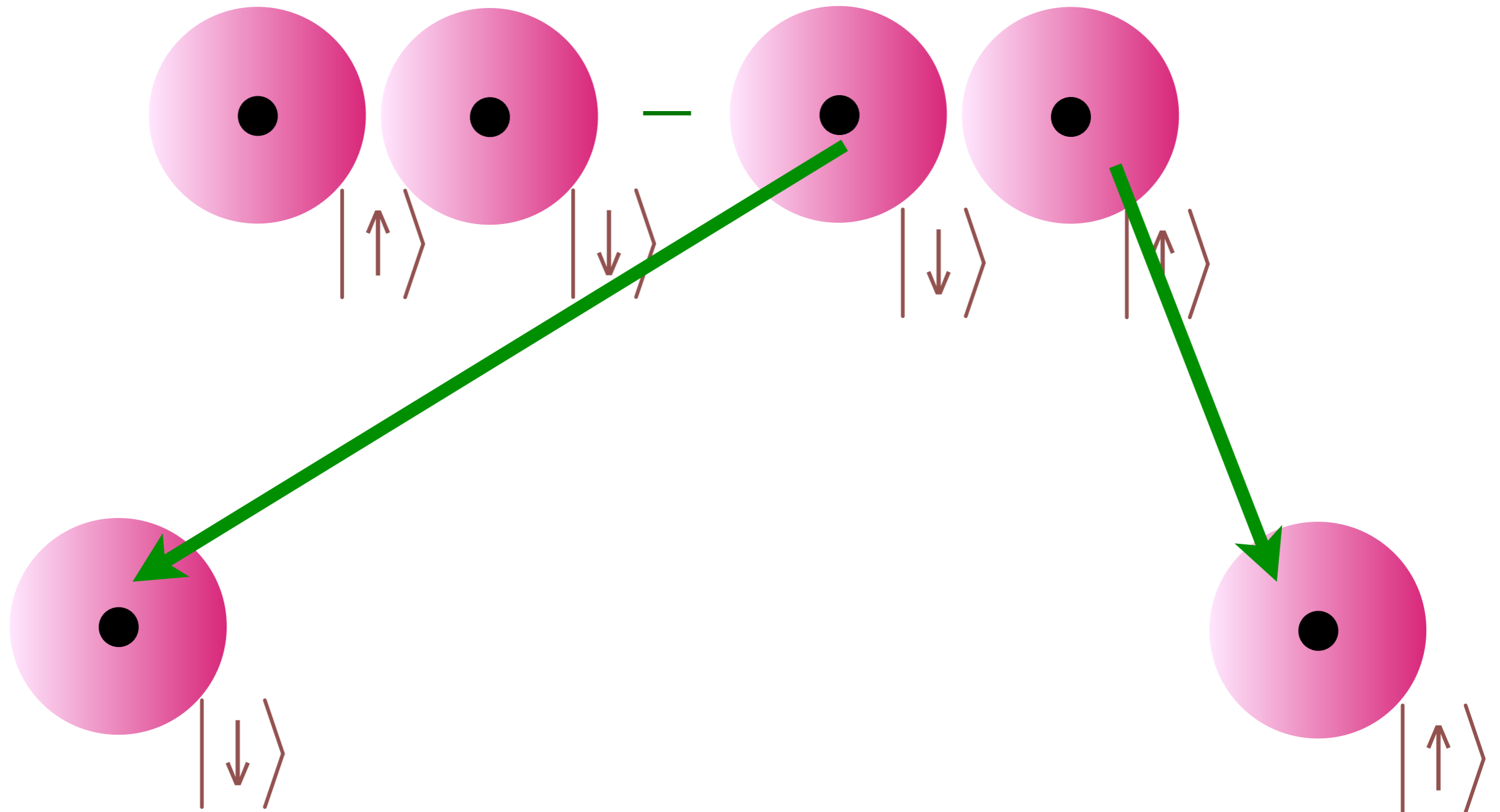
Quantum Entanglement: quantum superposition with more than one particle



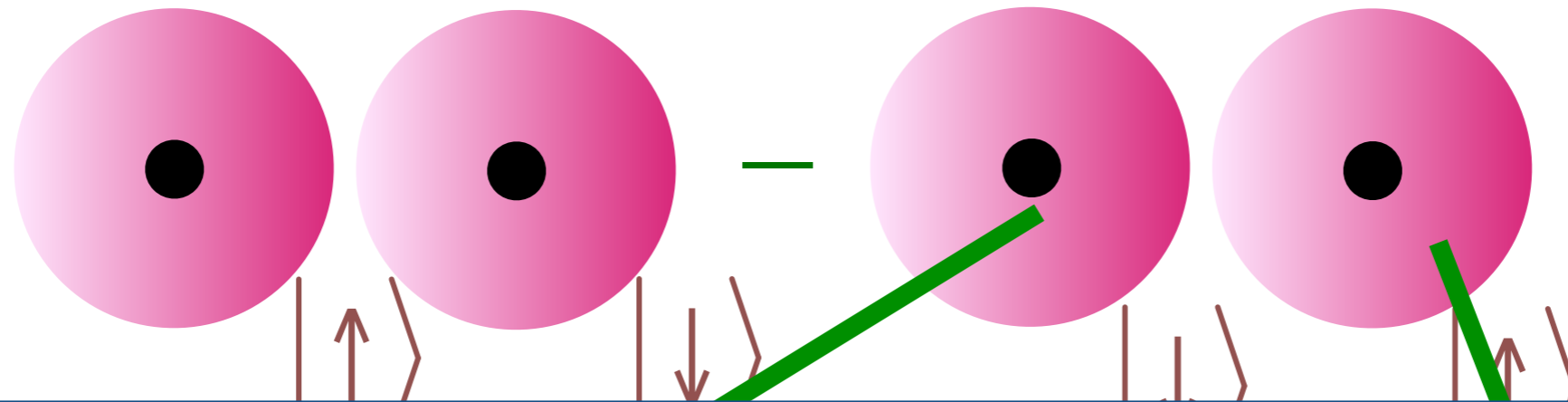
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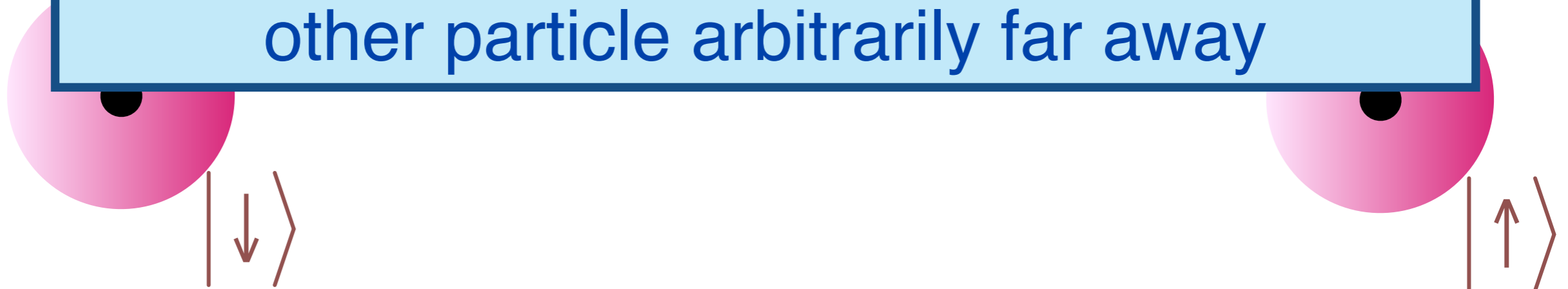
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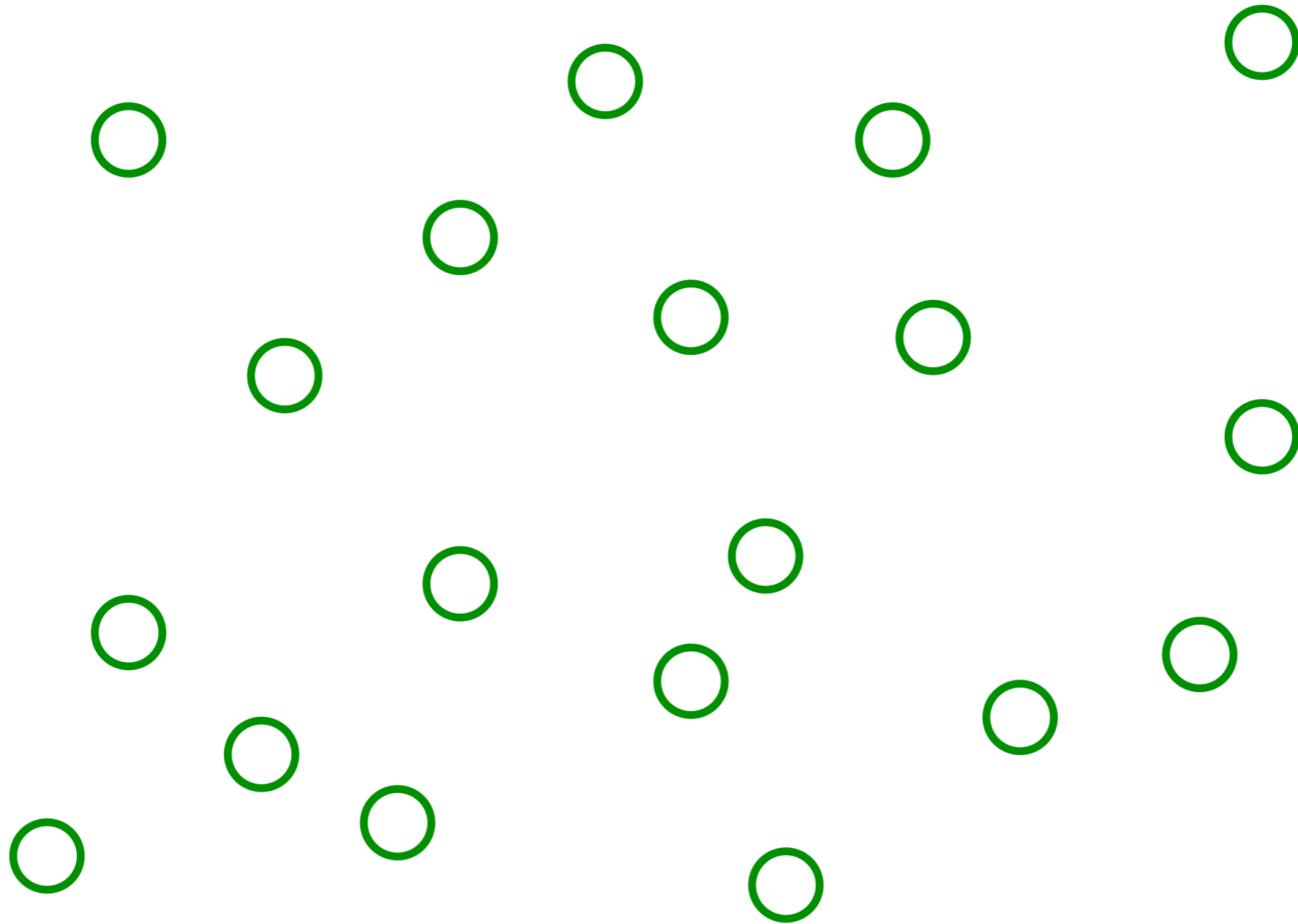
Quantum Entanglement: quantum superposition with more than one particle



Einstein-Podolsky-Rosen “paradox” (1935):
Measurement of one particle
instantaneously determines the state of the
other particle arbitrarily far away

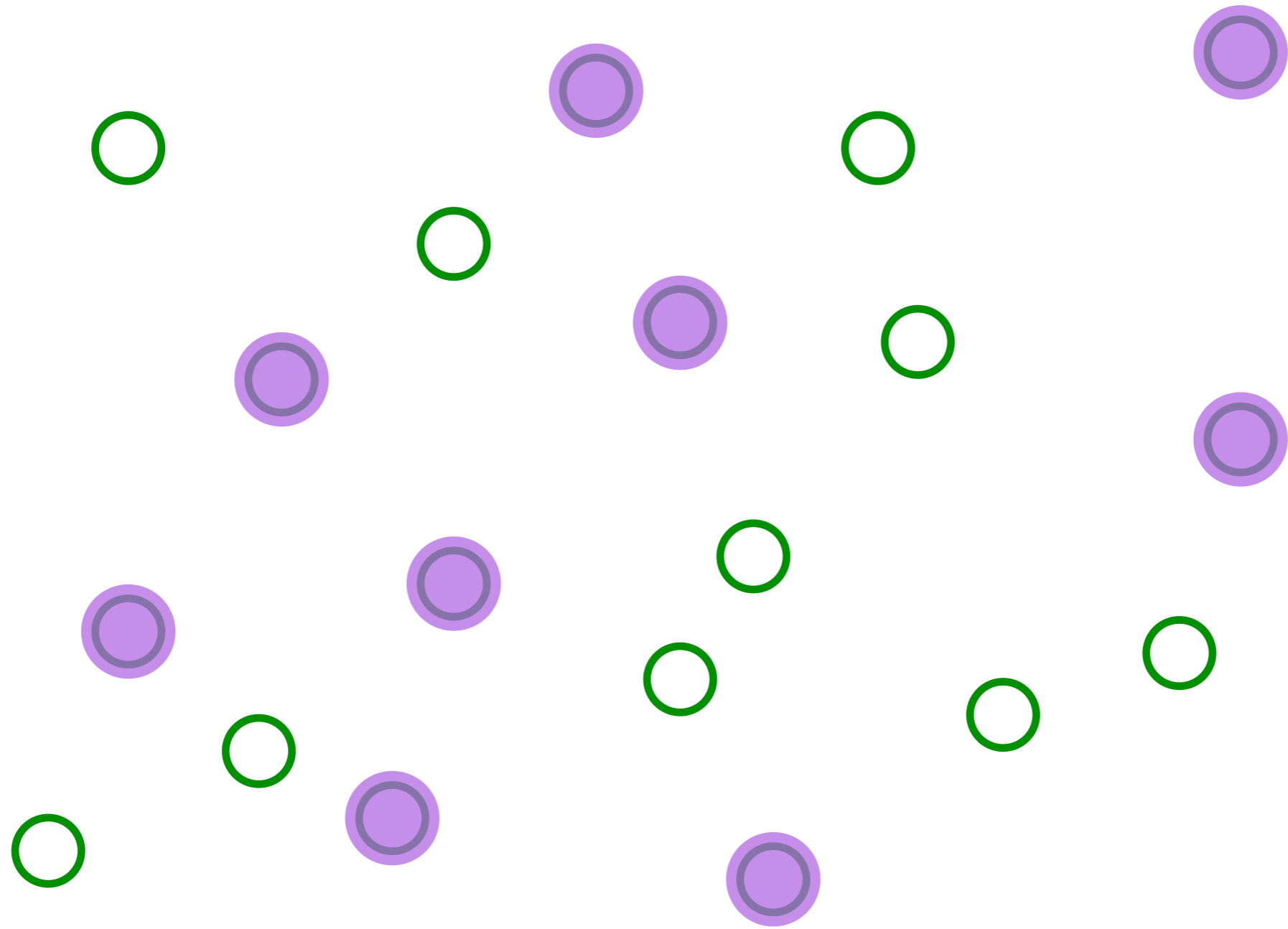


The Sachdev-Ye-Kitaev (SYK) model



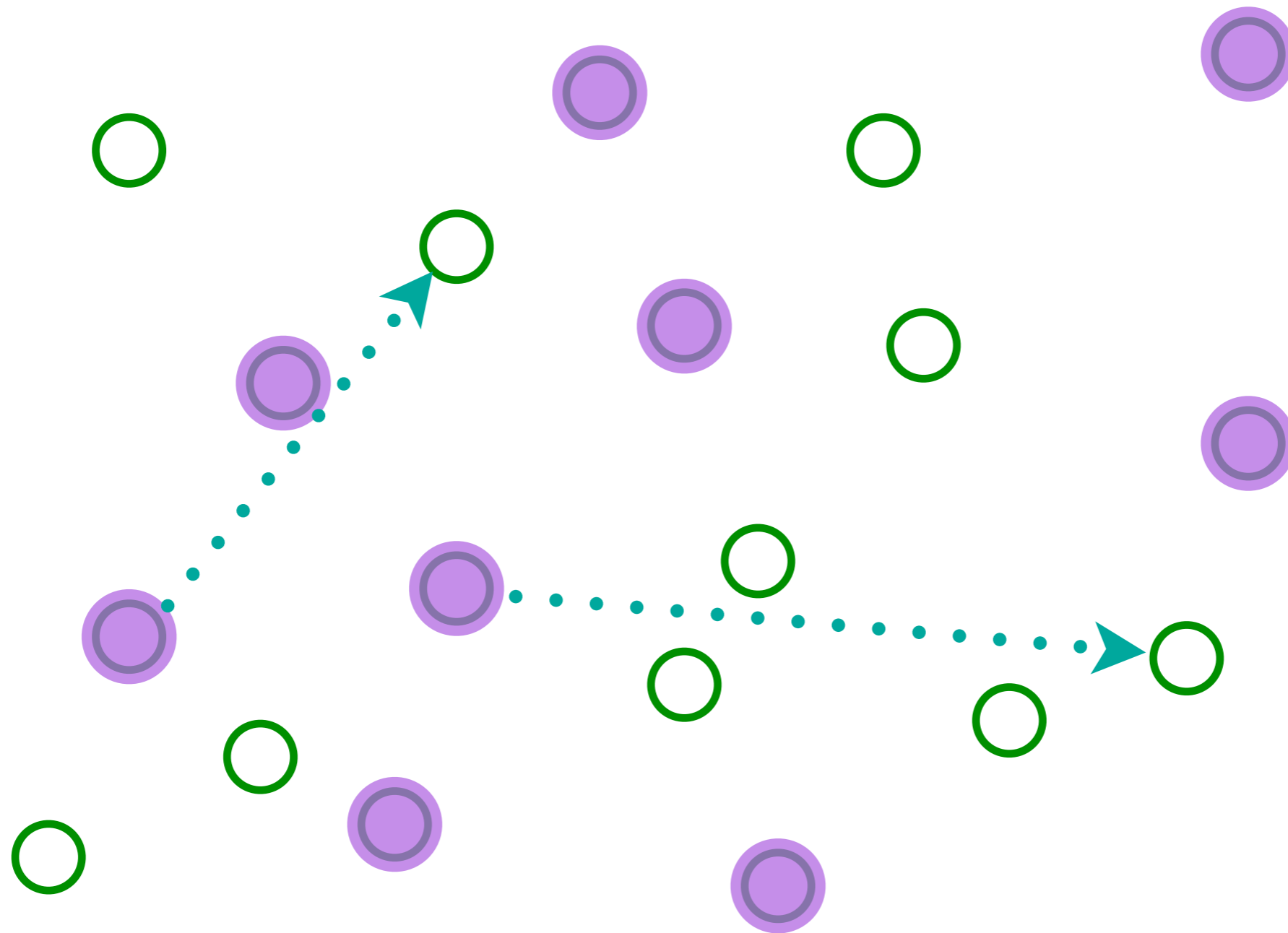
Pick a set of random positions

The SYK model



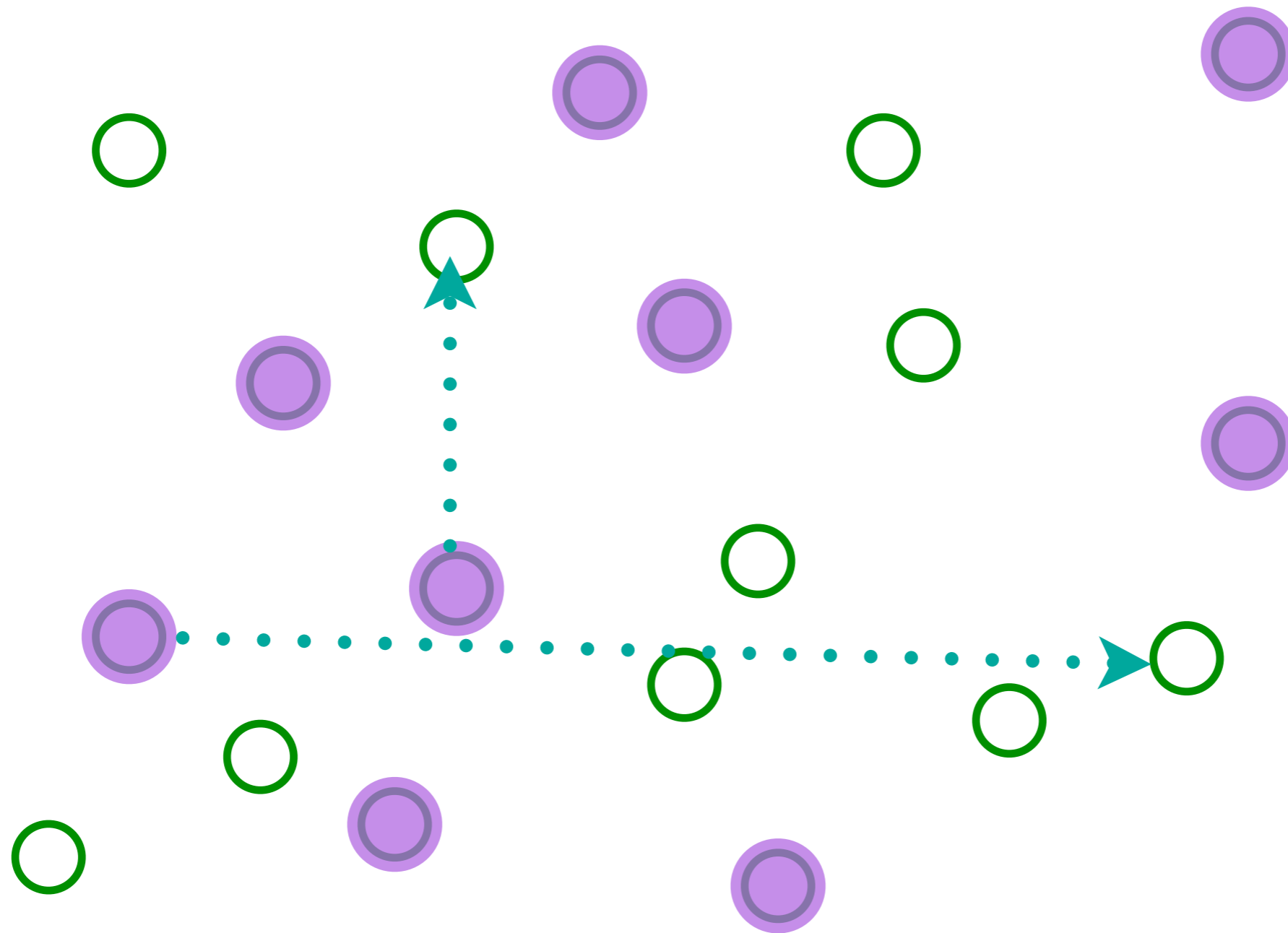
Place electrons randomly on some sites

The SYK model



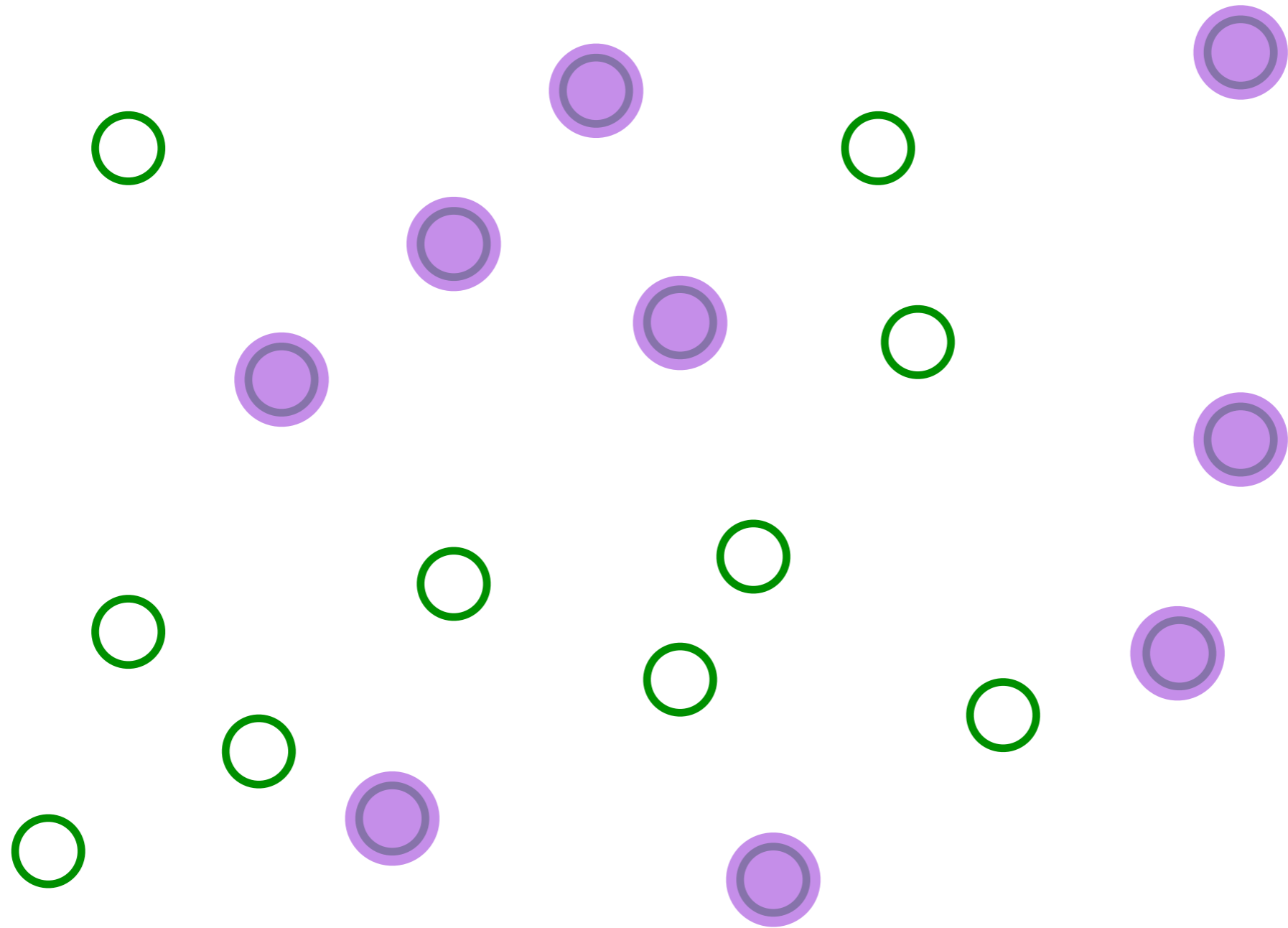
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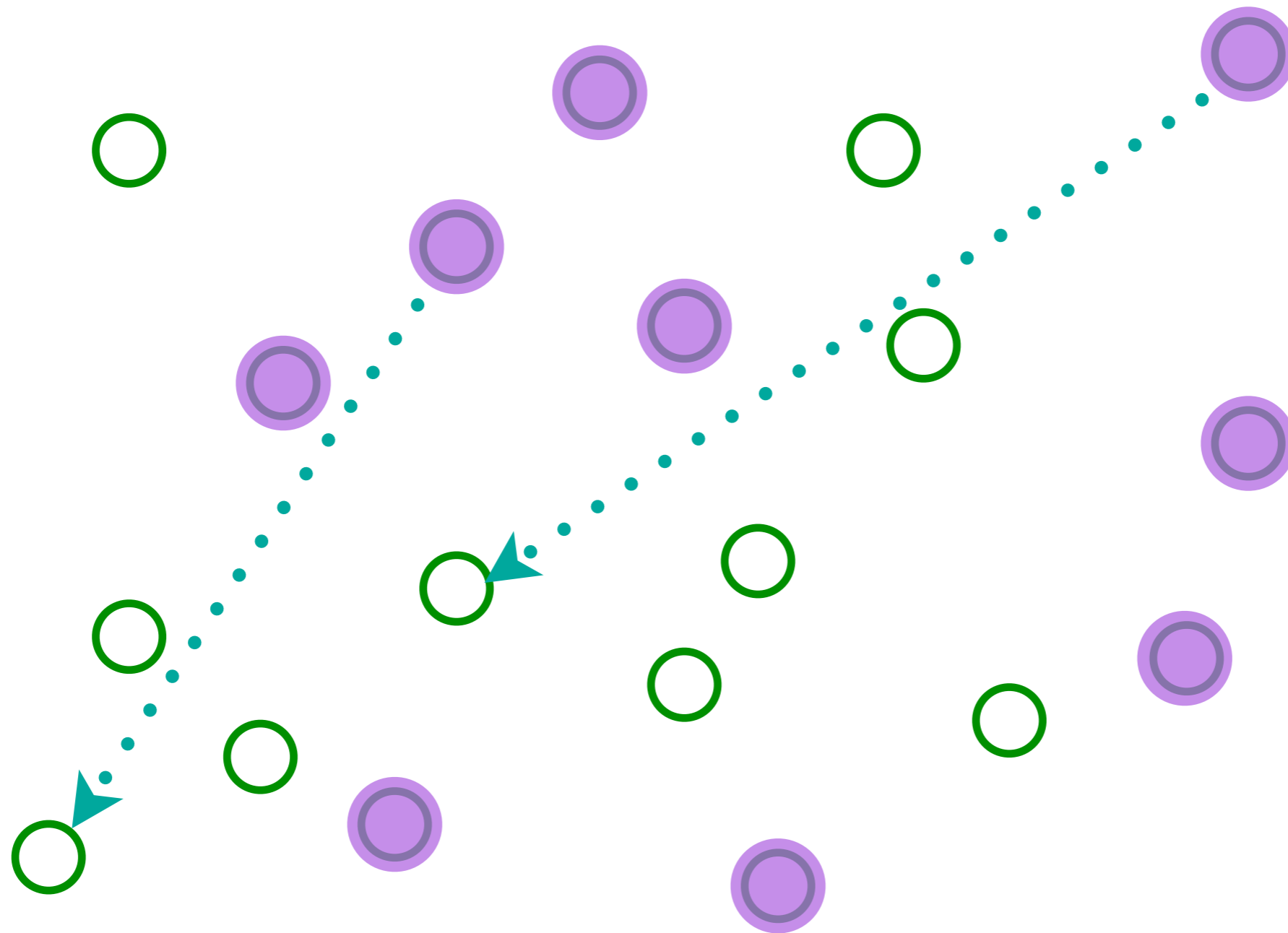
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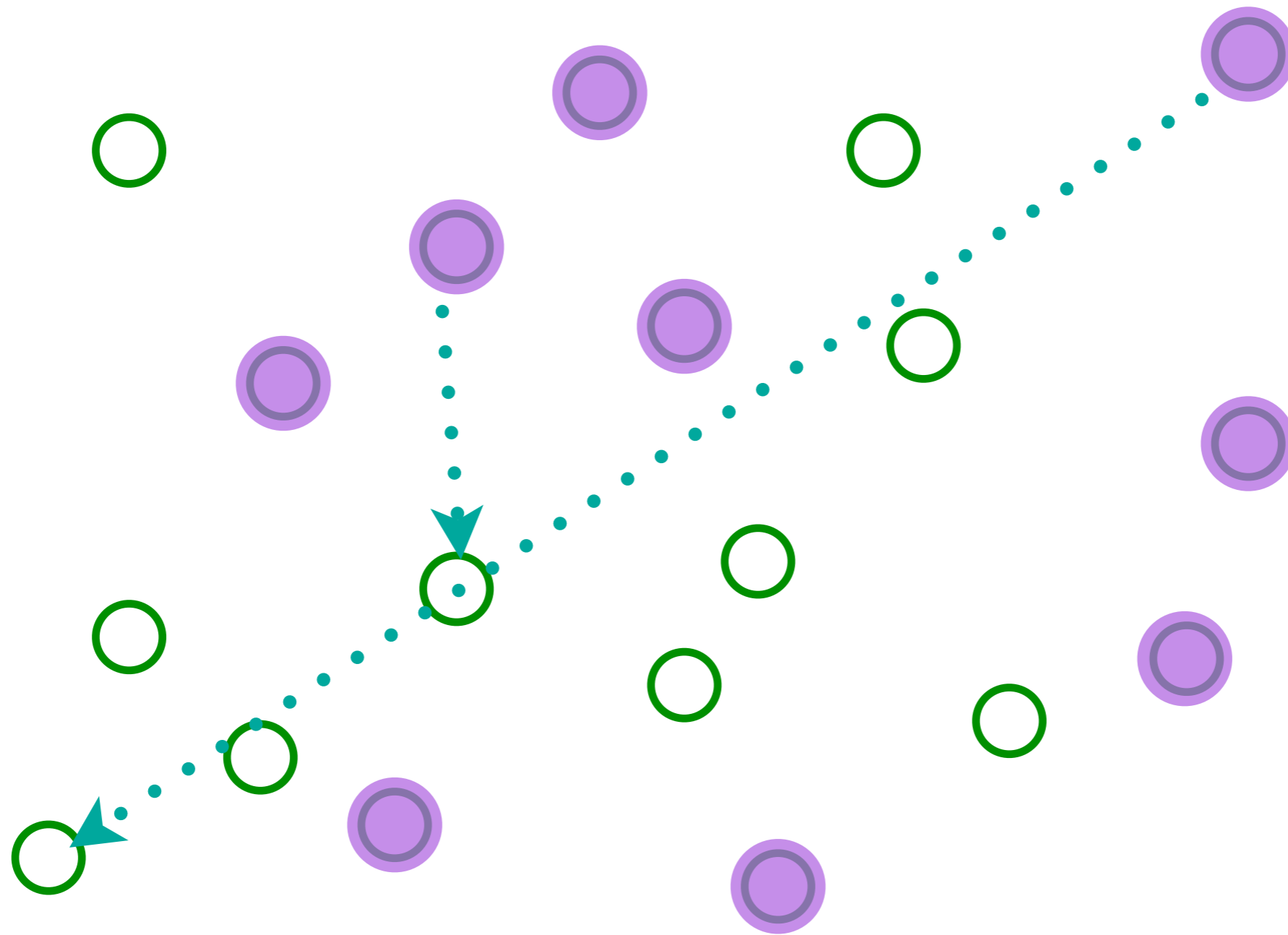
Entangle electrons pairwise randomly

The SYK model



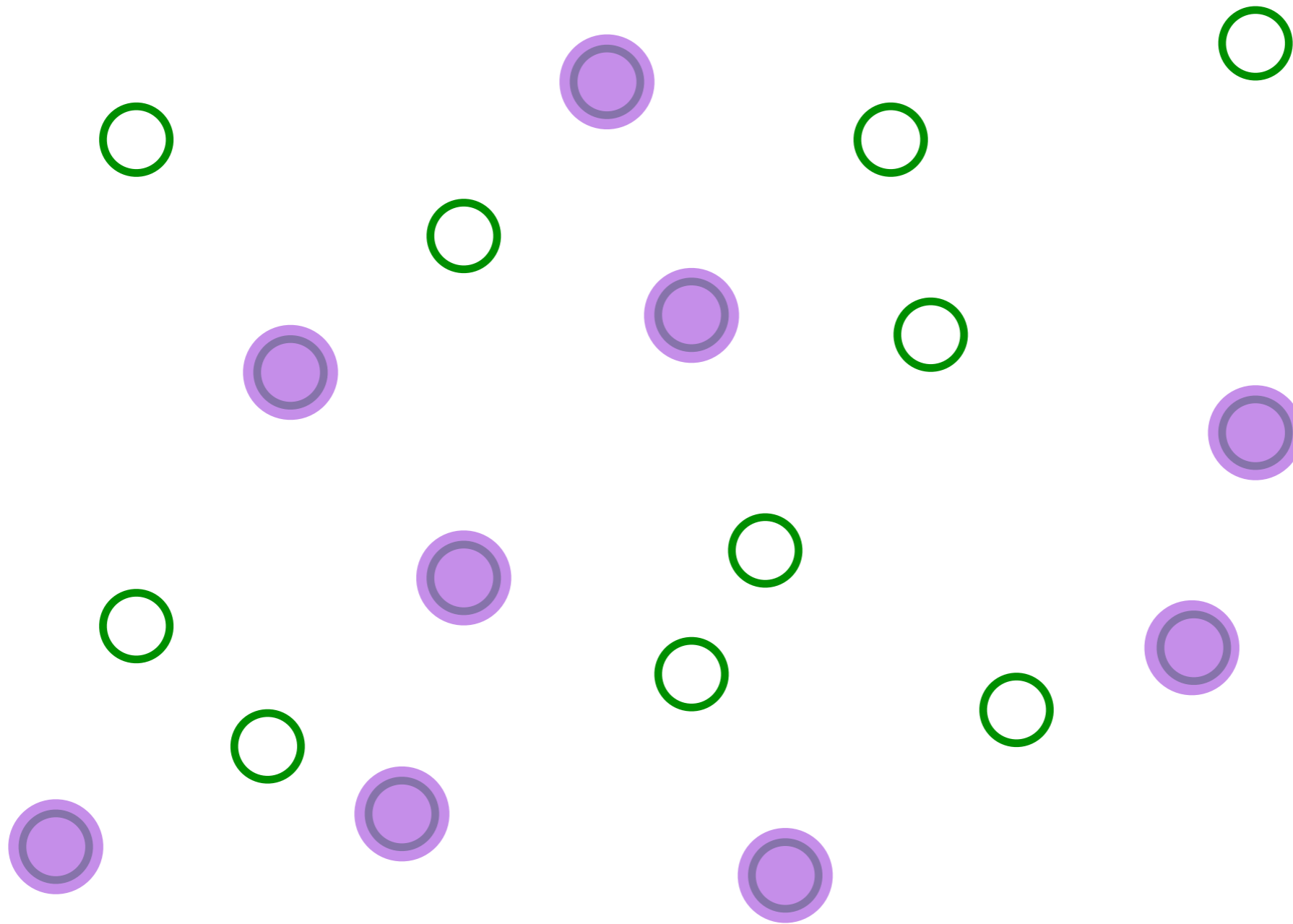
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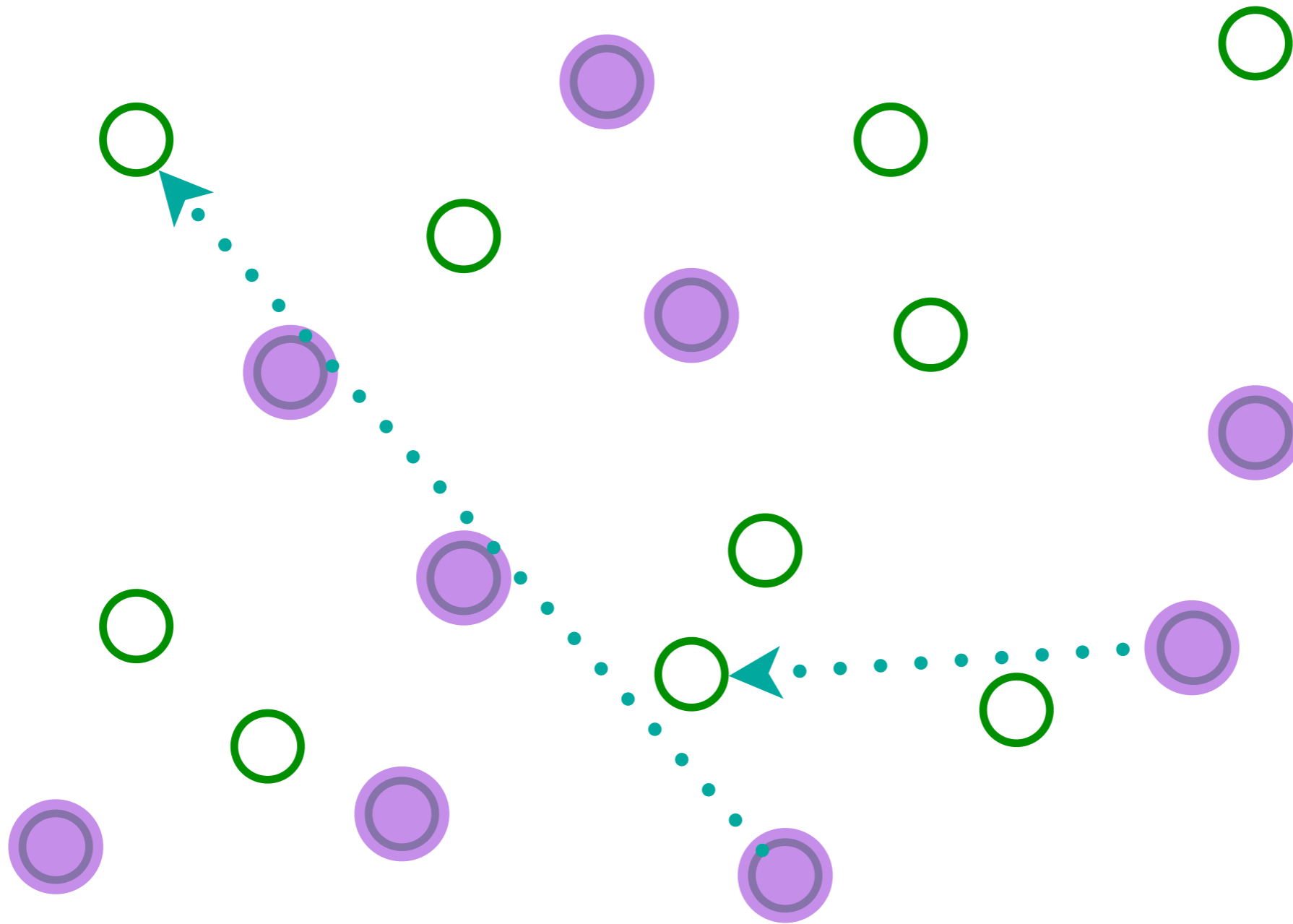
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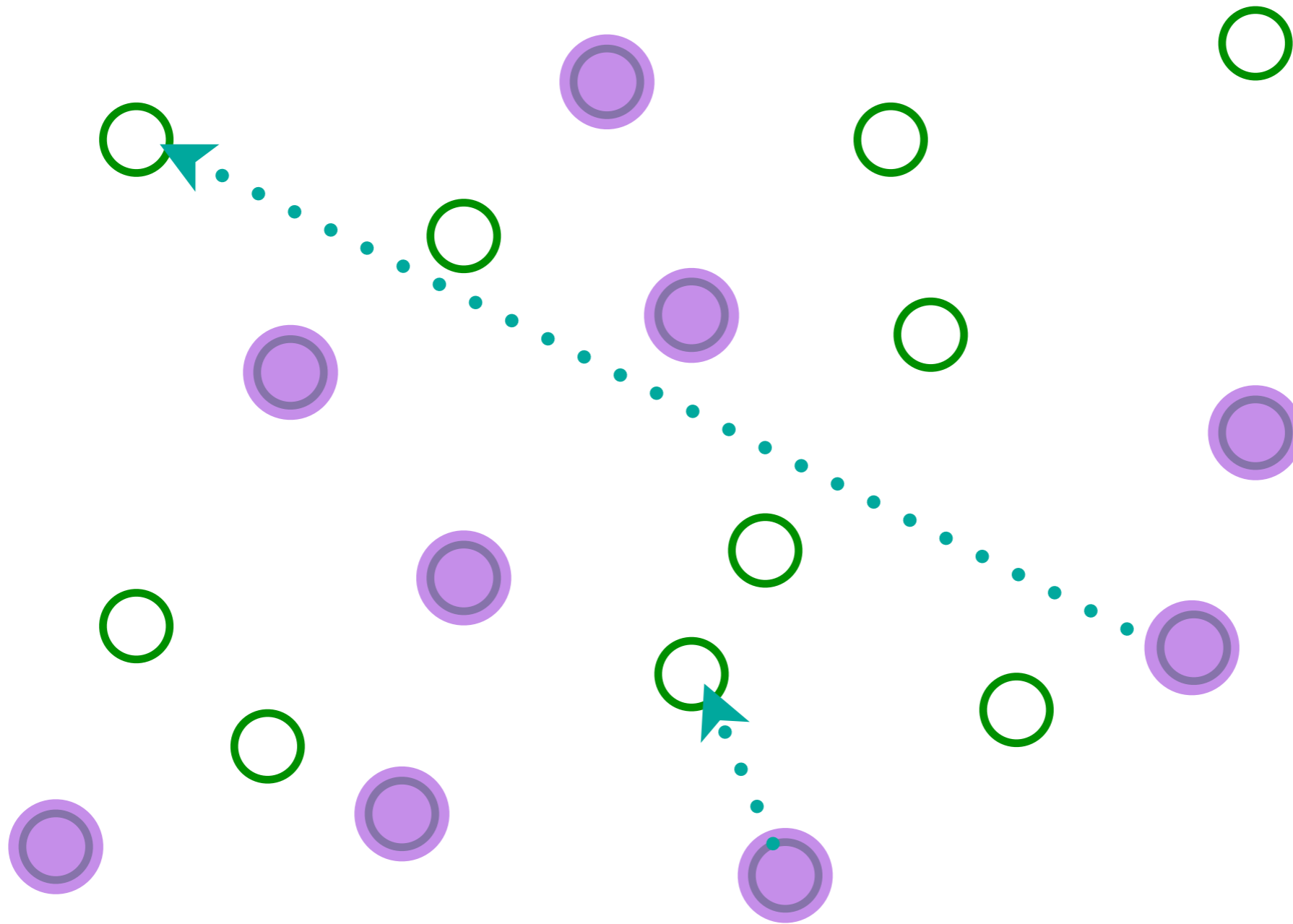
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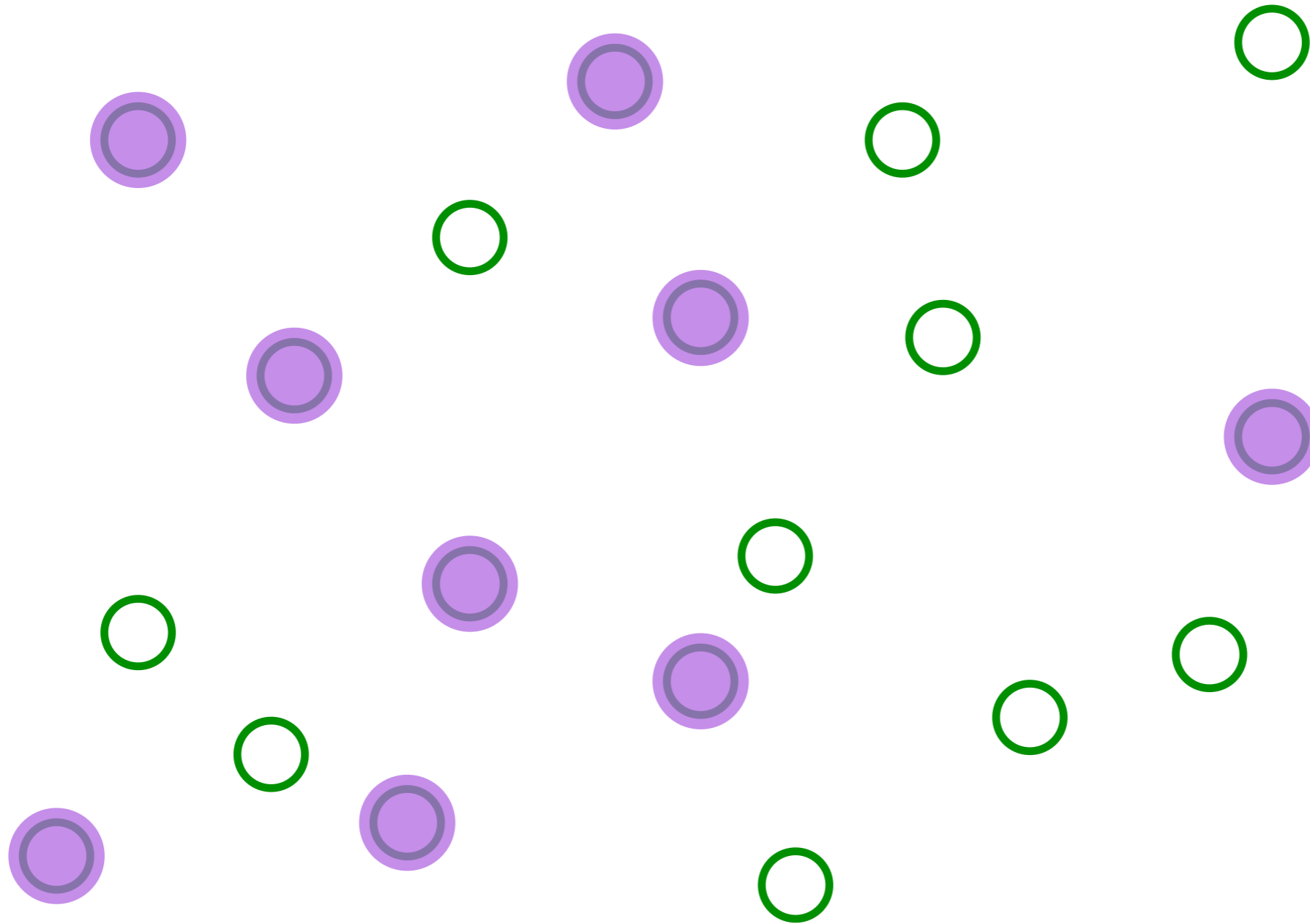
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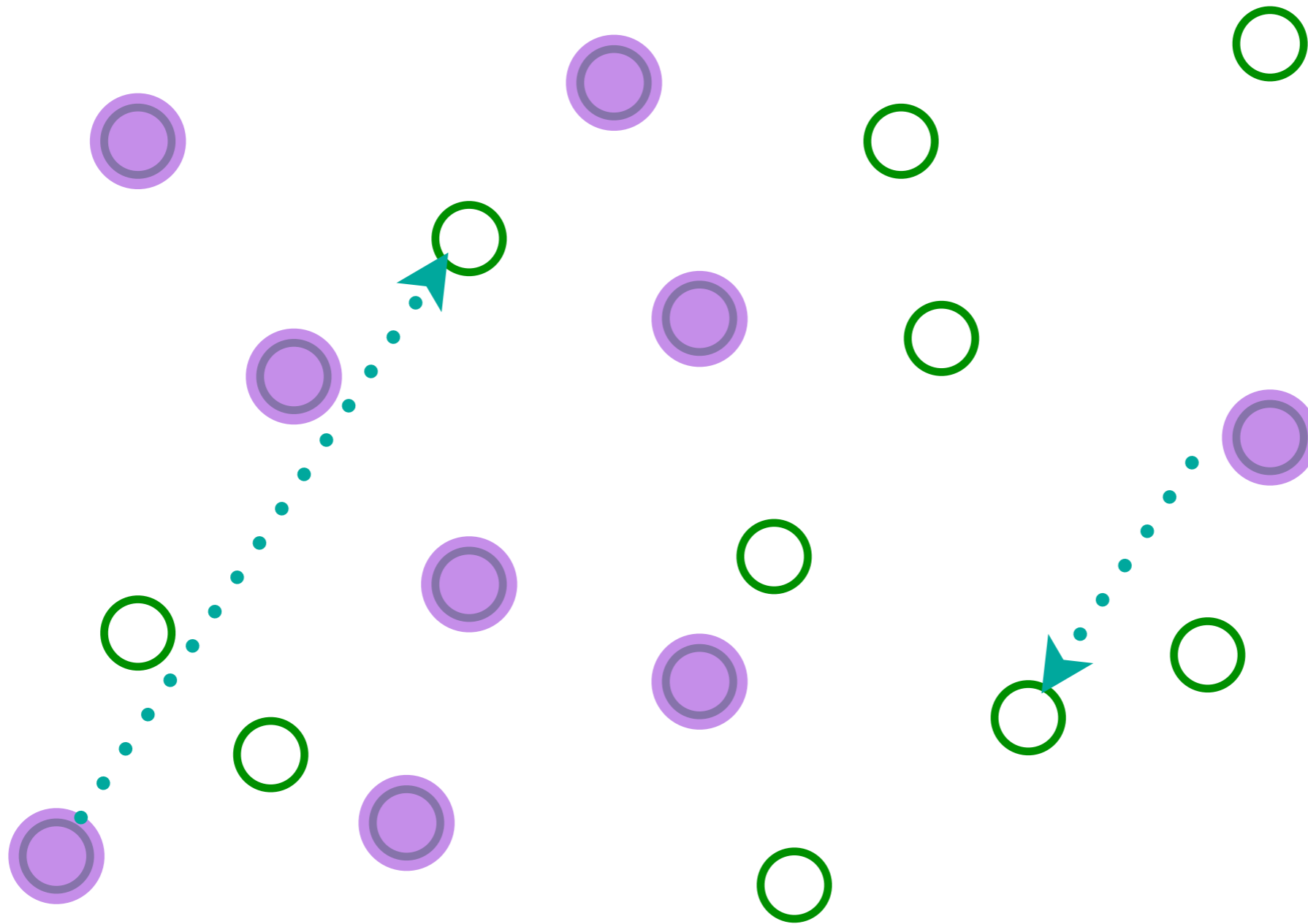
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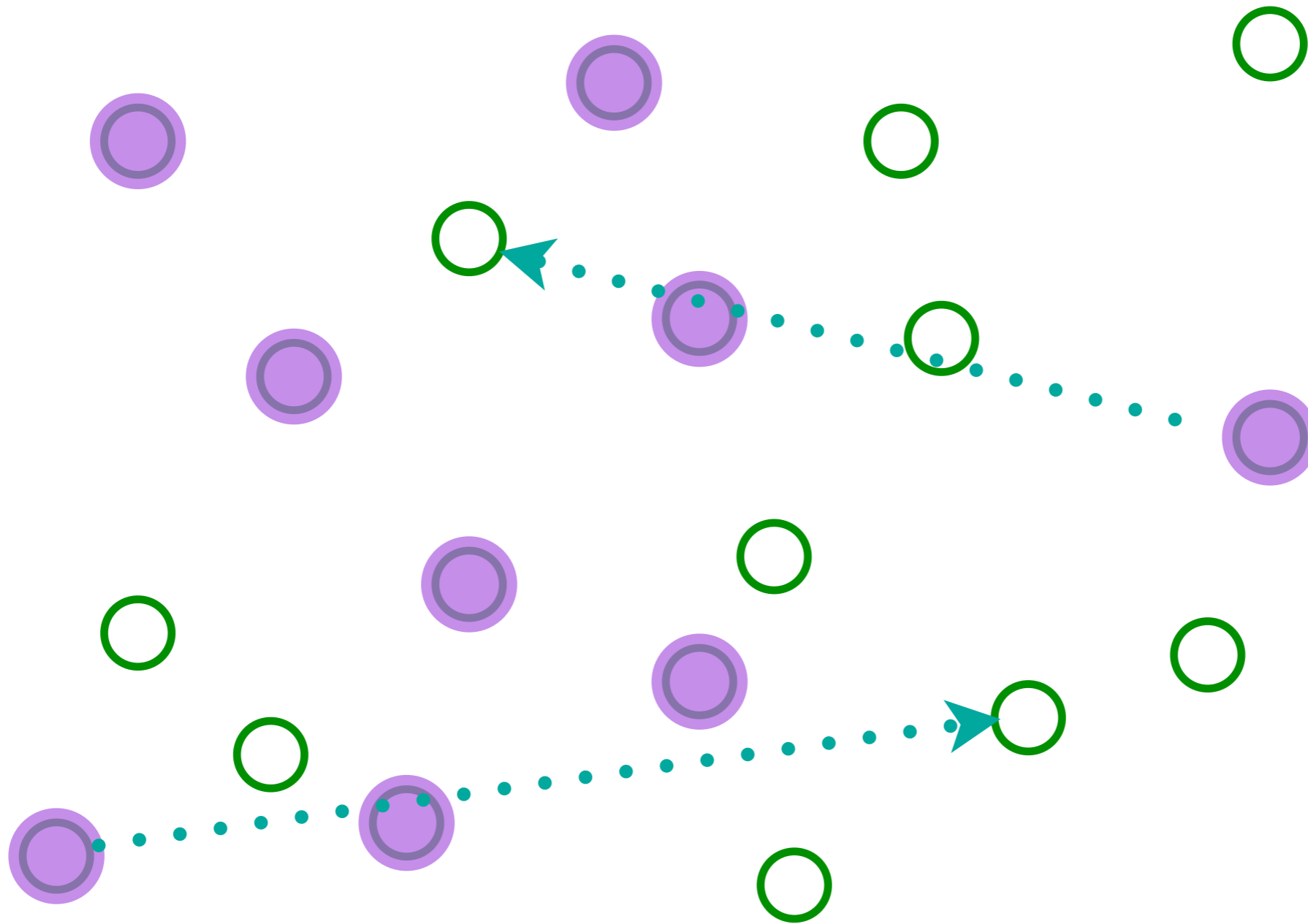
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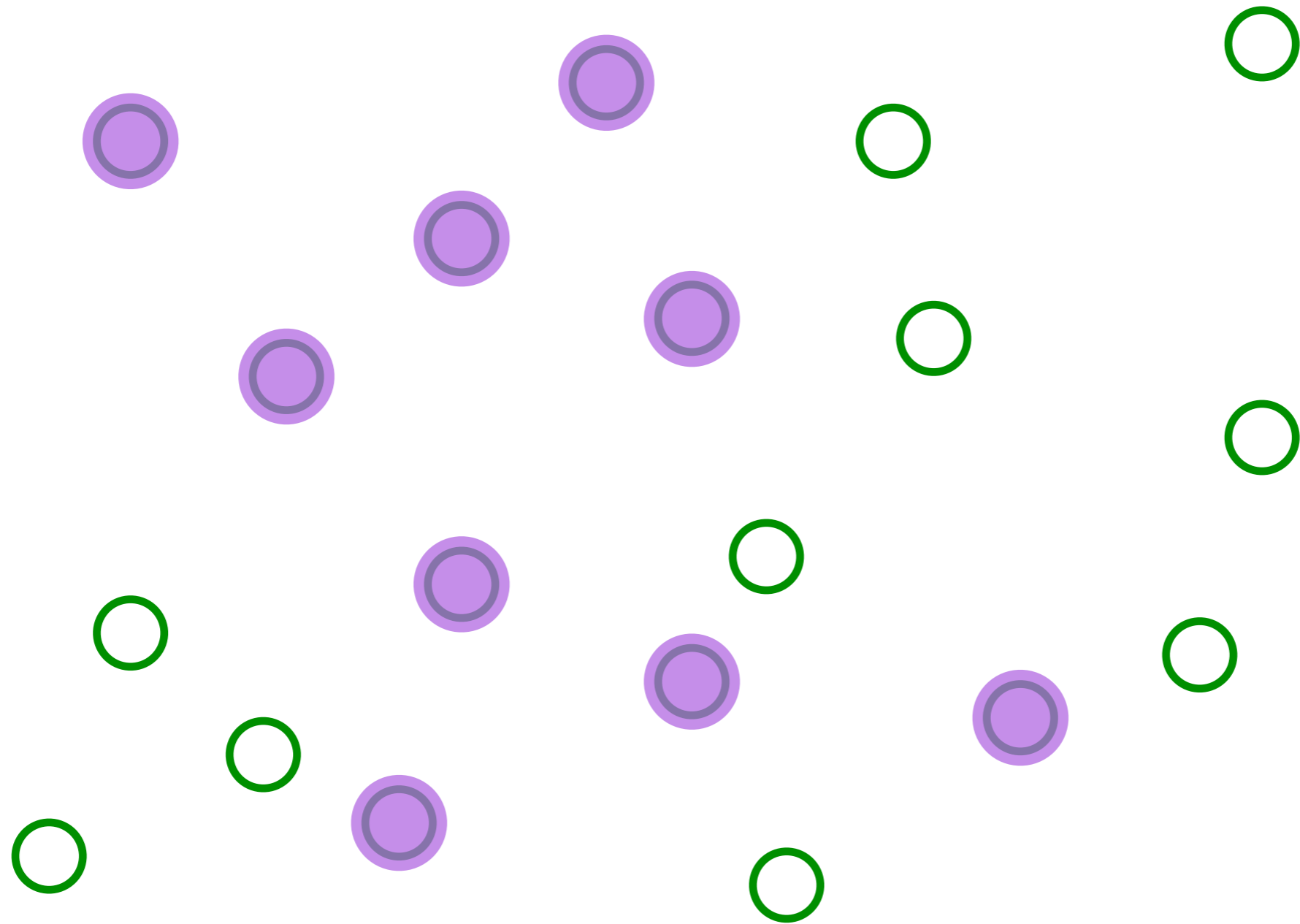
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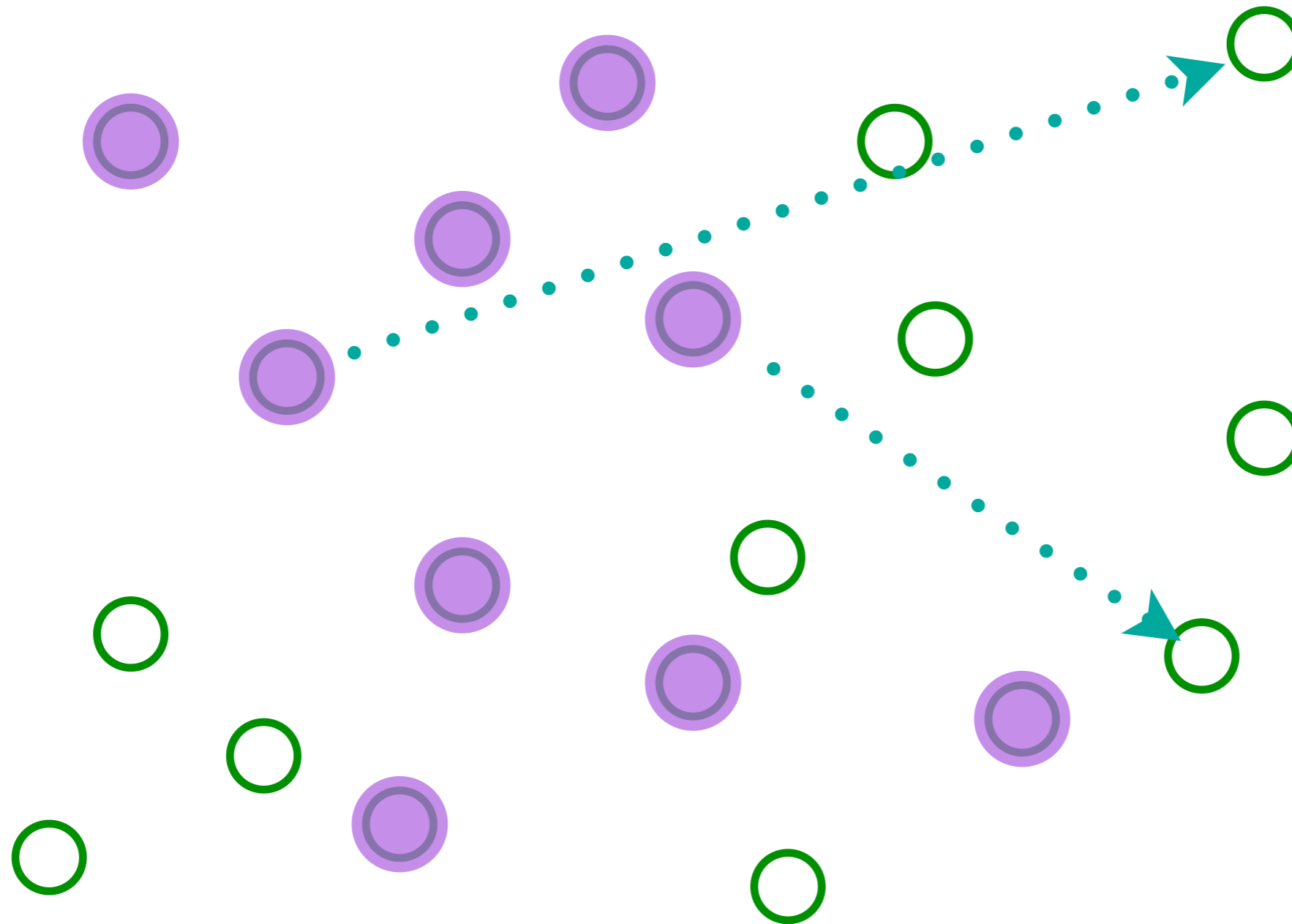
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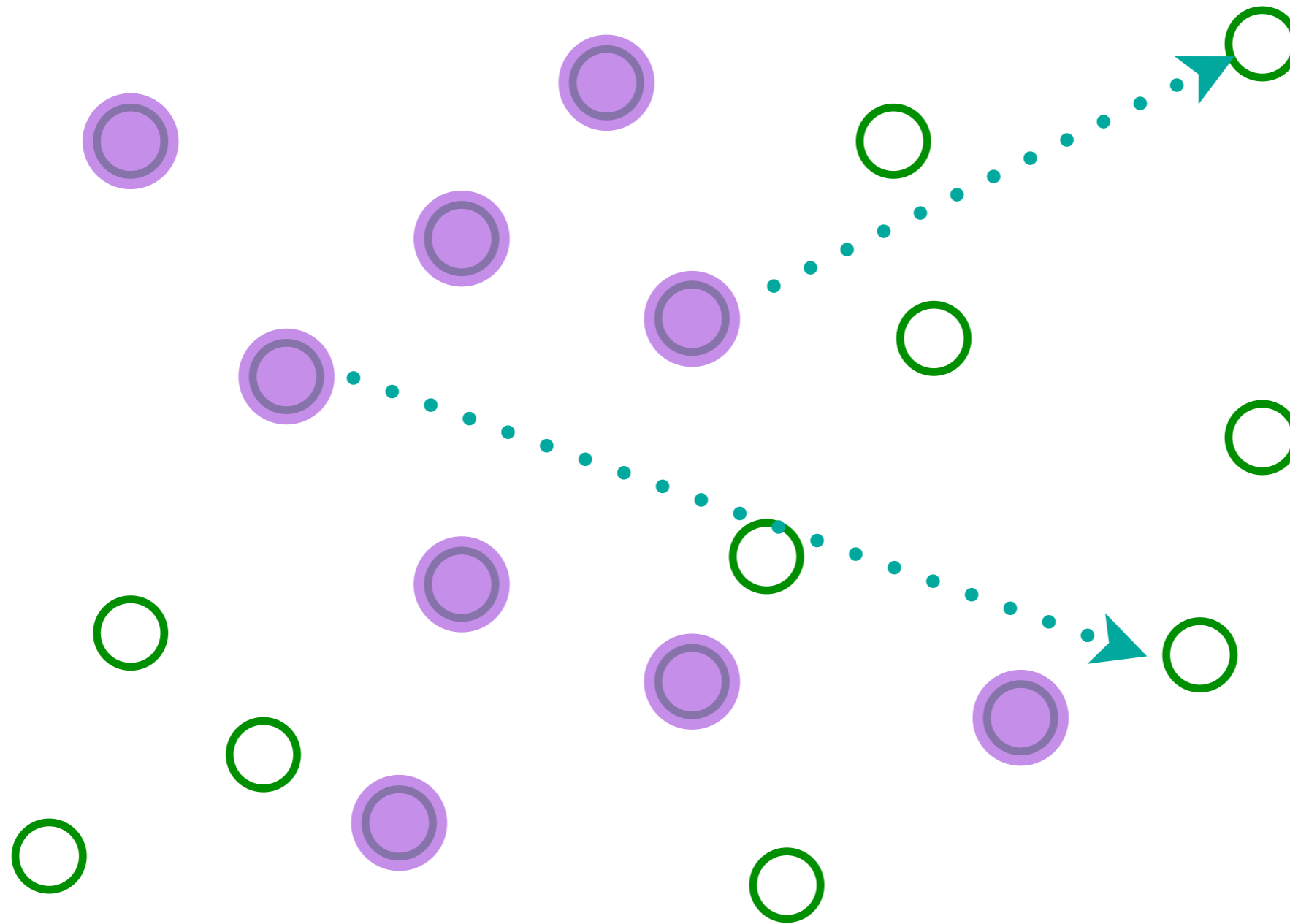
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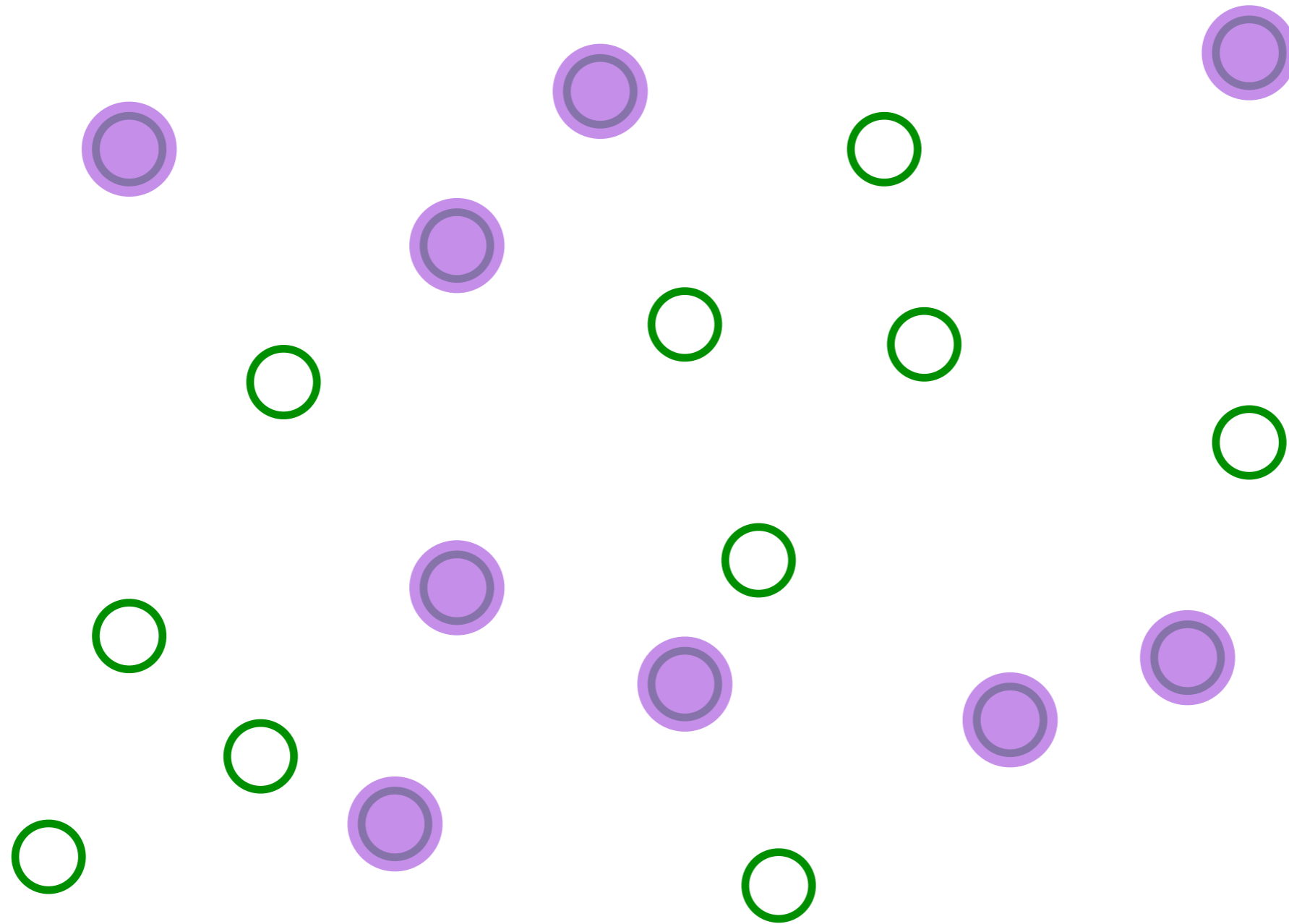
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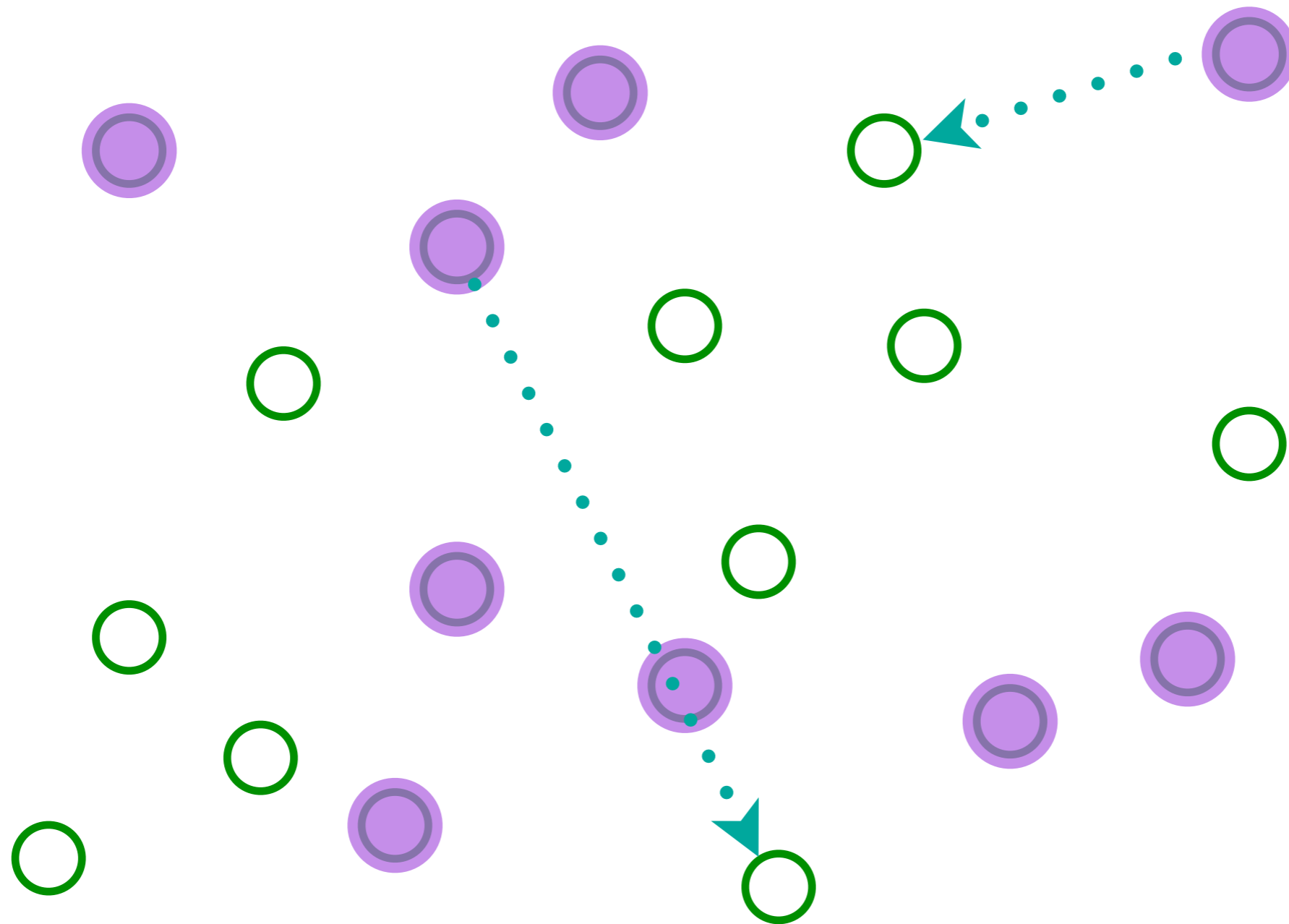
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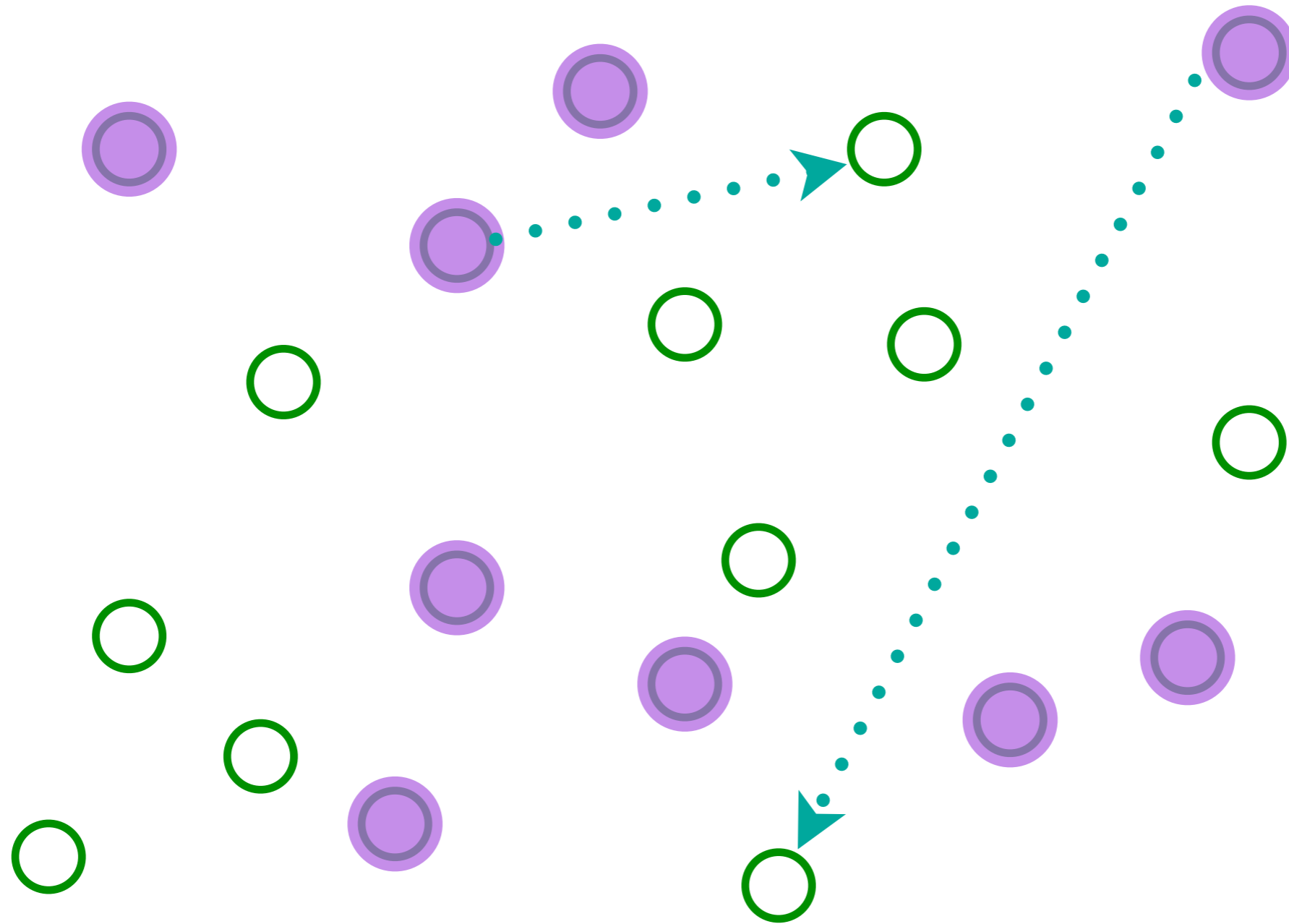
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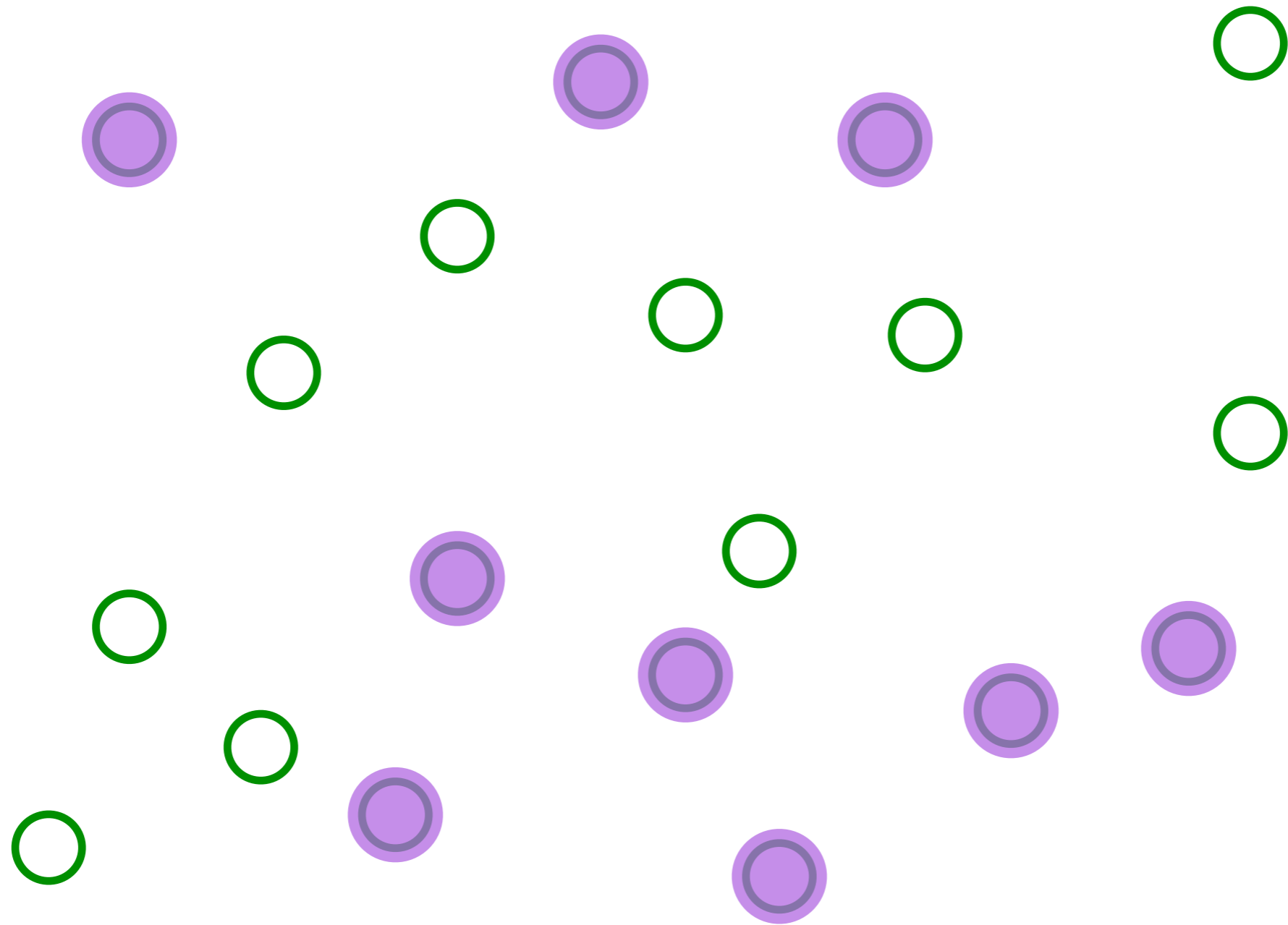
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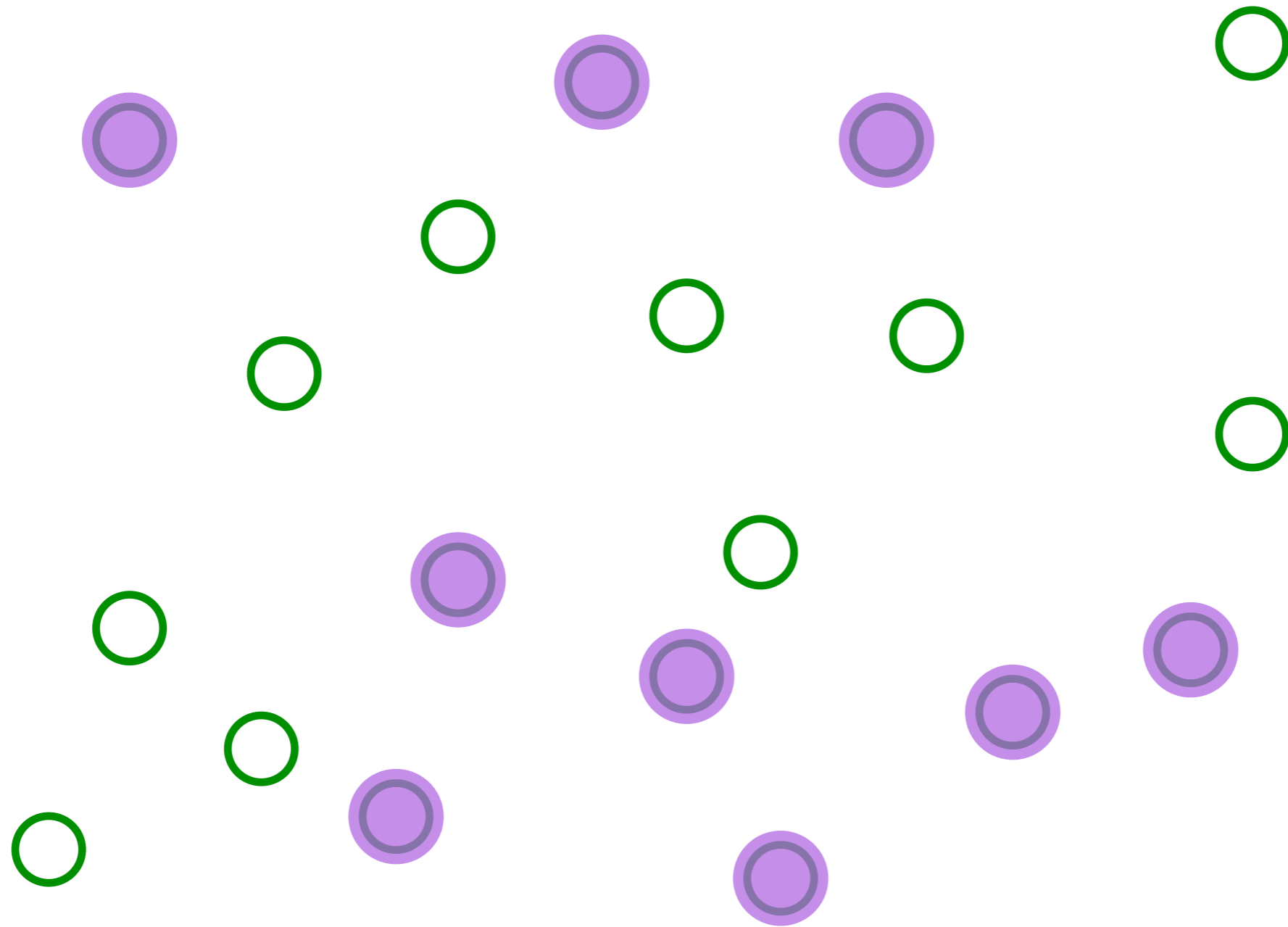
Entangle electrons pairwise randomly

The SYK model



Entangle electrons pairwise randomly

The SYK model



This describes both a strange metal and a black hole!

The SYK model

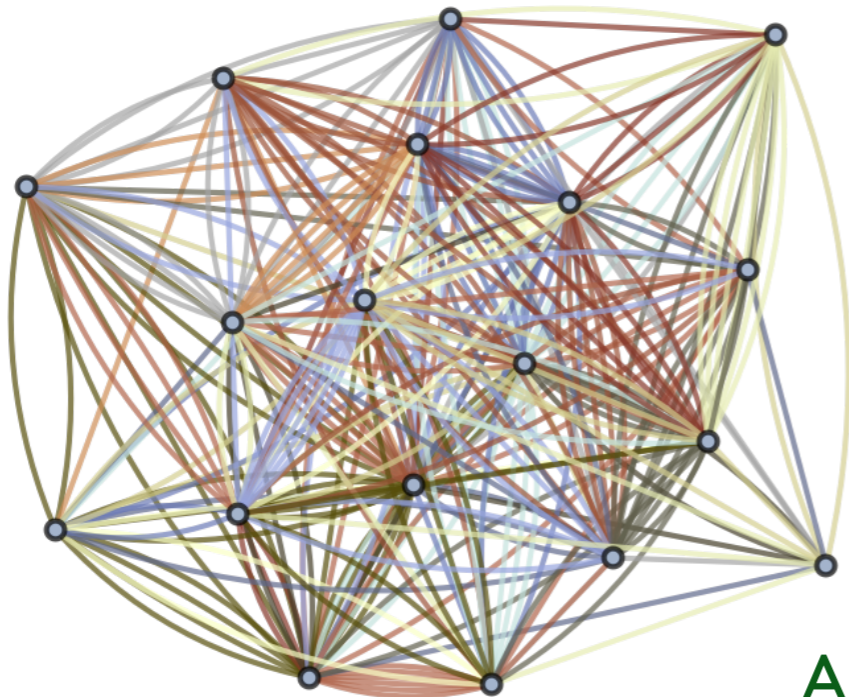
(See also: the “2-Body Random Ensemble” in nuclear physics; did not obtain the large N limit;
T.A. Brody, J. Flores, J.B. French, P.A. Mello, A. Pandey, and S.S.M. Wong, Rev. Mod. Phys. **53**, 385 (1981))

$$H = \frac{1}{(2N)^{3/2}} \sum_{i,j,k,l=1}^N U_{ij;kl} c_i^\dagger c_j^\dagger c_k c_l - \mu \sum_i c_i^\dagger c_i$$

$$c_i c_j + c_j c_i = 0 \quad , \quad c_i c_j^\dagger + c_j^\dagger c_i = \delta_{ij}$$

$$Q = \frac{1}{N} \sum_i c_i^\dagger c_i$$

$U_{ij;kl}$ are independent random variables with $\overline{U_{ij;kl}} = 0$ and $\overline{|U_{ij;kl}|^2} = U^2$
 $N \rightarrow \infty$ yields critical strange metal.

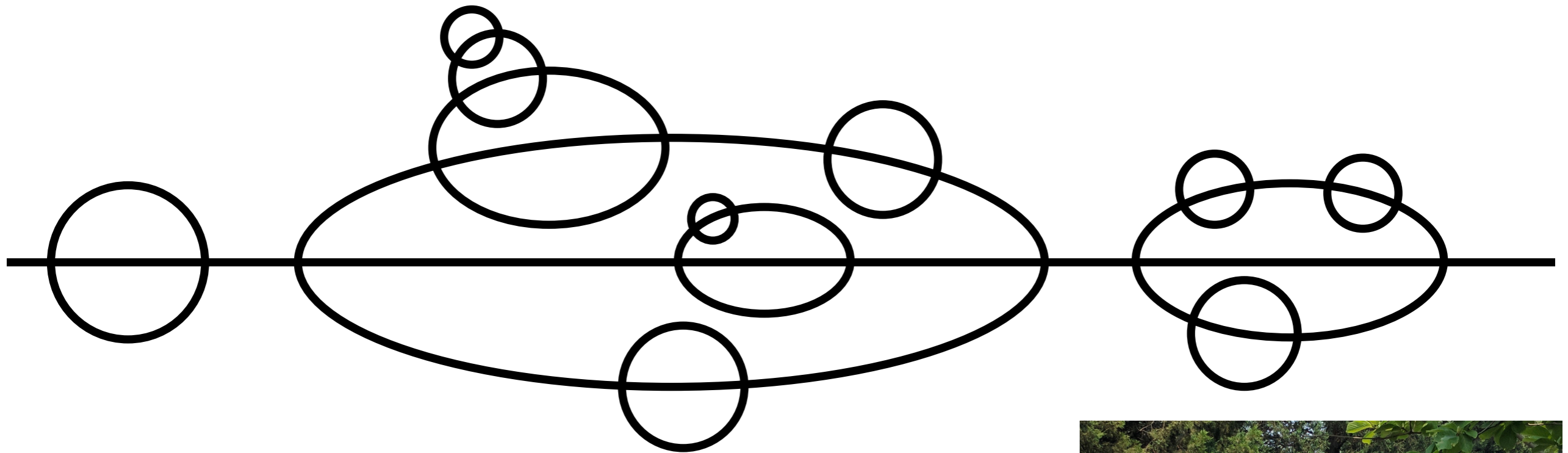


S. Sachdev and J. Ye, PRL **70**, 3339 (1993)

A. Kitaev, unpublished; S. Sachdev, PRX **5**, 041025 (2015)

The SYK model

The large N limit is given by the sum of “melon” Feynman graphs



S. Sachdev and J. Ye, PRL **70**, 3339 (1993)

The SYK model

The large N limit is given by the sum of “melon” Feynman graphs

For long times $\tau > 0$

$$\langle c_i(\tau) c_i^\dagger(0) \rangle = \frac{A}{\sqrt{\tau}}$$

$$\langle c_i^\dagger(\tau) c_i(0) \rangle = e^{-2\pi\mathcal{E}} \frac{A}{\sqrt{\tau}}$$

The parameter \mathcal{E} determines the particle-hole asymmetry.



S. Sachdev and J. Ye, PRL **70**, 3339 (1993)

The SYK model



There are 2^N many body levels with energy E . Shown are all values of E for a single cluster of size $N = 12$. The $T \rightarrow 0$ state has an entropy $S_{GPS} = N s_0$, where $s_0 < \ln 2$ is determined by integrating

$$\frac{ds_0}{dQ} = 2\pi\mathcal{E}.$$

At $Q = 1/2$,

$$s_0 = \frac{G}{\pi} + \frac{\ln(2)}{4} = 0.464848\dots$$

where G is Catalan's constant.

GPS: A. Georges, O. Parcollet, and S. Sachdev, PRB **63**, 134406 (2001)

$\sim NU$

Many-body level spacing $\sim 2^{-N} = e^{-N \ln 2}$

Non-quasiparticle excitations with spacing $\sim e^{-N s_0}$

The SYK model

No quasiparticles



Julia Steinberg

- Rapid local thermal equilibration (of fermion correlators) in a ‘Planckian’ time

$$\tau_{\text{eq}} \sim \frac{\hbar}{k_B T} \quad , \quad \text{as } T \rightarrow 0.$$

A. Georges and O. Parcollet
PRB **59**, 5341 (1999)

A. Eberlein, V. Kasper, S. Sachdev, and
J. Steinberg, PRB **96**, 205123 (2017)

Established by solution of Schwinger-Keldysh equations for a quench.

The SYK model

No quasiparticles



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A. Eberlein, V. Kasper, S. Sachdev, and
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Established by solution of Schwinger-Keldysh equations for a quench.

- Presence of quasiparticles should slow down thermalization, so *all* quantum systems obey

$$\tau_{\text{eq}} > C \frac{\hbar}{k_B T} \quad , \quad \text{as } T \rightarrow 0.$$

S. Sachdev, *Quantum Phase Transitions*,
Cambridge (1999)

Absence of quasiparticles \Leftrightarrow Fastest possible thermalization

The SYK model

The theory of fluctuations about the large N saddle point are expressed in terms of a bilocal time Green's function, $G(\tau_1, \tau_2)$. This theory is invariant at low energies under a time reparameterization f , and an emergent gauge transformation g

$$\tau = f(\sigma)$$

$$G(\tau_1, \tau_2) = [f'(\sigma_1)f'(\sigma_2)]^{-1/4} \frac{g(\sigma_1)}{g(\sigma_2)} \tilde{G}(\sigma_1, \sigma_2)$$

where $f(\sigma)$ and $g(\sigma)$ are arbitrary functions.

The SYK model

The large N saddle point is

$$G_s(\tau_1 - \tau_2) \sim (\tau_1 - \tau_2)^{-1/2}.$$

The saddle point will be invariant under a reparamaterization $f(\tau)$ when choosing $G(\tau_1, \tau_2) = G_s(\tau_1 - \tau_2)$ leads to a transformed $\tilde{G}(\sigma_1, \sigma_2) = G_s(\sigma_1 - \sigma_2)$ (and similarly for Σ). It turns out this is true only for the $SL(2, \mathbb{R})$ transformations under which

$$f(\tau) = \frac{a\tau + b}{c\tau + d}, \quad ad - bc = 1.$$

So the (approximate) reparametrization symmetry is spontaneously broken down to $SL(2, \mathbb{R})$ by the saddle point.

The Schwarzian theory of the SYK model

The effective theory of time reparameterizations $f(\tau)$ broken down to $SL(2, \mathbb{R})$, and gauge transformations $g(\tau) = e^{i\phi(\tau)}$ is

$$S_{\text{eff}}[f, \phi] = \frac{K}{2} \int_0^{1/T} d\tau (\partial_\tau \phi + i(2\pi \mathcal{E} T) \partial_\tau f)^2 - \frac{\gamma}{4\pi^2} \int_0^{1/T} d\tau \{ \tan(\pi T f(\tau)), \tau \},$$

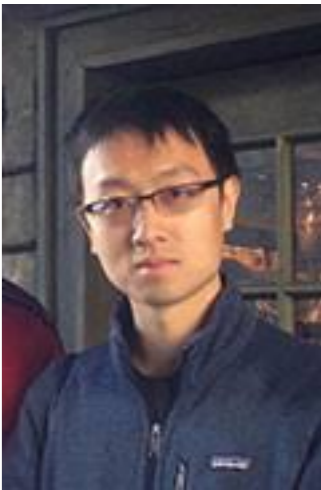
where $f(\tau)$ is a monotonic map from $[0, 1/T]$ to $[0, 1/T]$, the couplings K , γ , and \mathcal{E} can be related to thermodynamic derivatives and we have used the Schwarzian:

$$\{g, \tau\} \equiv \frac{g'''}{g'} - \frac{3}{2} \left(\frac{g''}{g'} \right)^2.$$

Specifically, an argument constraining the effective action at $T = 0$ is

$$S_{\text{eff}} \left[f(\tau) = \frac{a\tau + b}{c\tau + d}, \phi(\tau) = 0 \right] = 0,$$

and this is origin of the Schwarzian.



Yingfei Gu

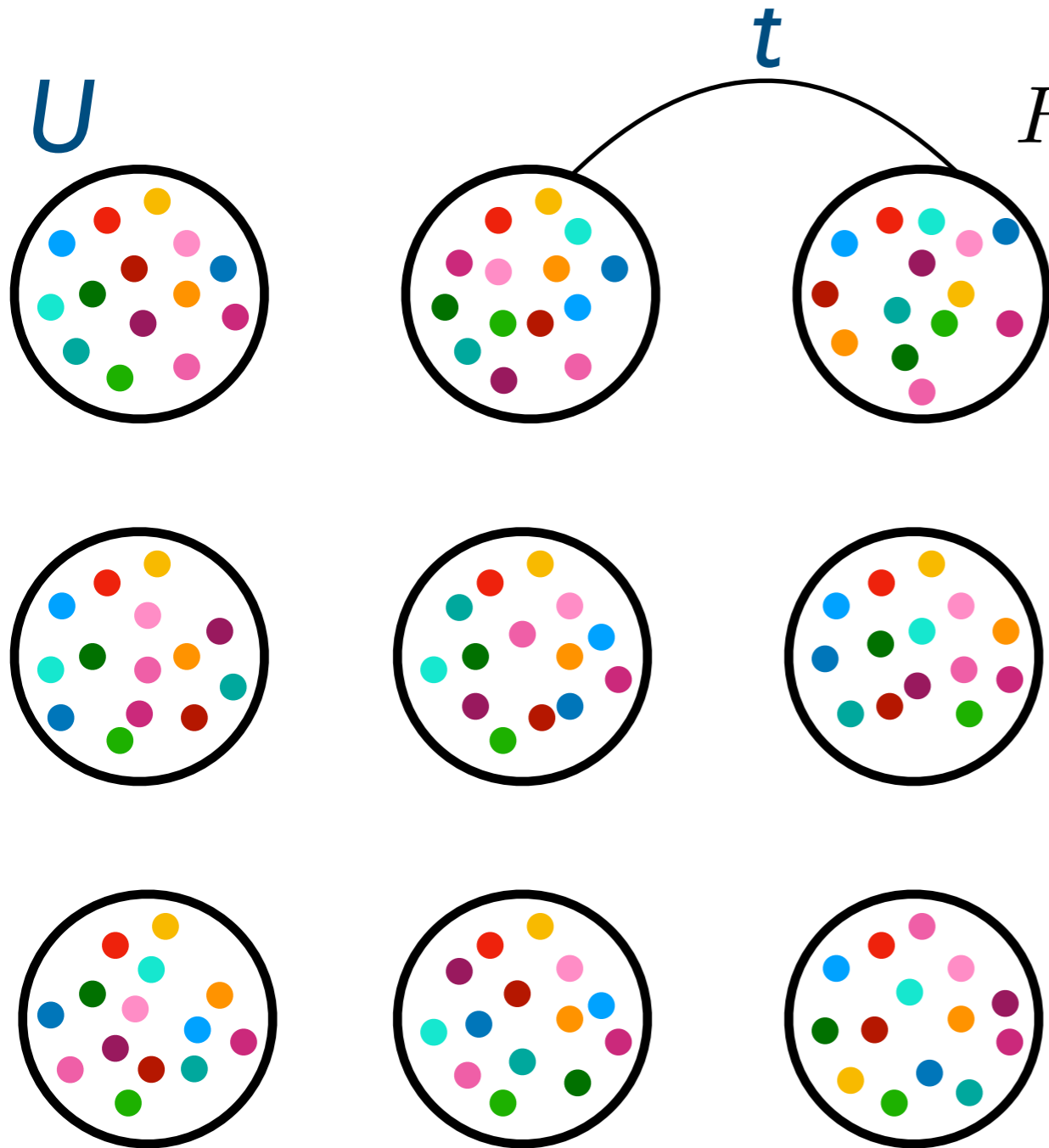
J. Maldacena and D. Stanford, arXiv:1604.07818;
R. Davison, Wenbo Fu, A. Georges, Yingfei Gu, K. Jensen, S. Sachdev, arXiv:1612.00849;
A. Gaikwad, L.K. Joshi, G. Mandal, and S.R. Wadia, arXiv:1802.07746;
Yingfei Gu and S. Sachdev, unpublished



Coupled SYK Islands



SYK quantum islands of electrons with random or regular hopping between them.



$$H = \sum_x \sum_{i < j, k < l} U_{ijkl,x} c_{ix}^\dagger c_{jx}^\dagger c_{kx} c_{lx} + \sum_{\langle xx' \rangle} \sum_{i,j} t_{ij,xx'} c_{i,x}^\dagger c_{j,x'}$$

$$\overline{|U_{ijkl}|^2} = \frac{2U^2}{N^3}$$

$$\overline{|t_{ij,xx'}|^2} = t_0^2/N$$

Xue-Yang Song, Chao-Ming Jian, and L. Balents, PRL **119**, 216601 (2017)

Pengfei Zhang, PRB **96**, 205138 (2017)

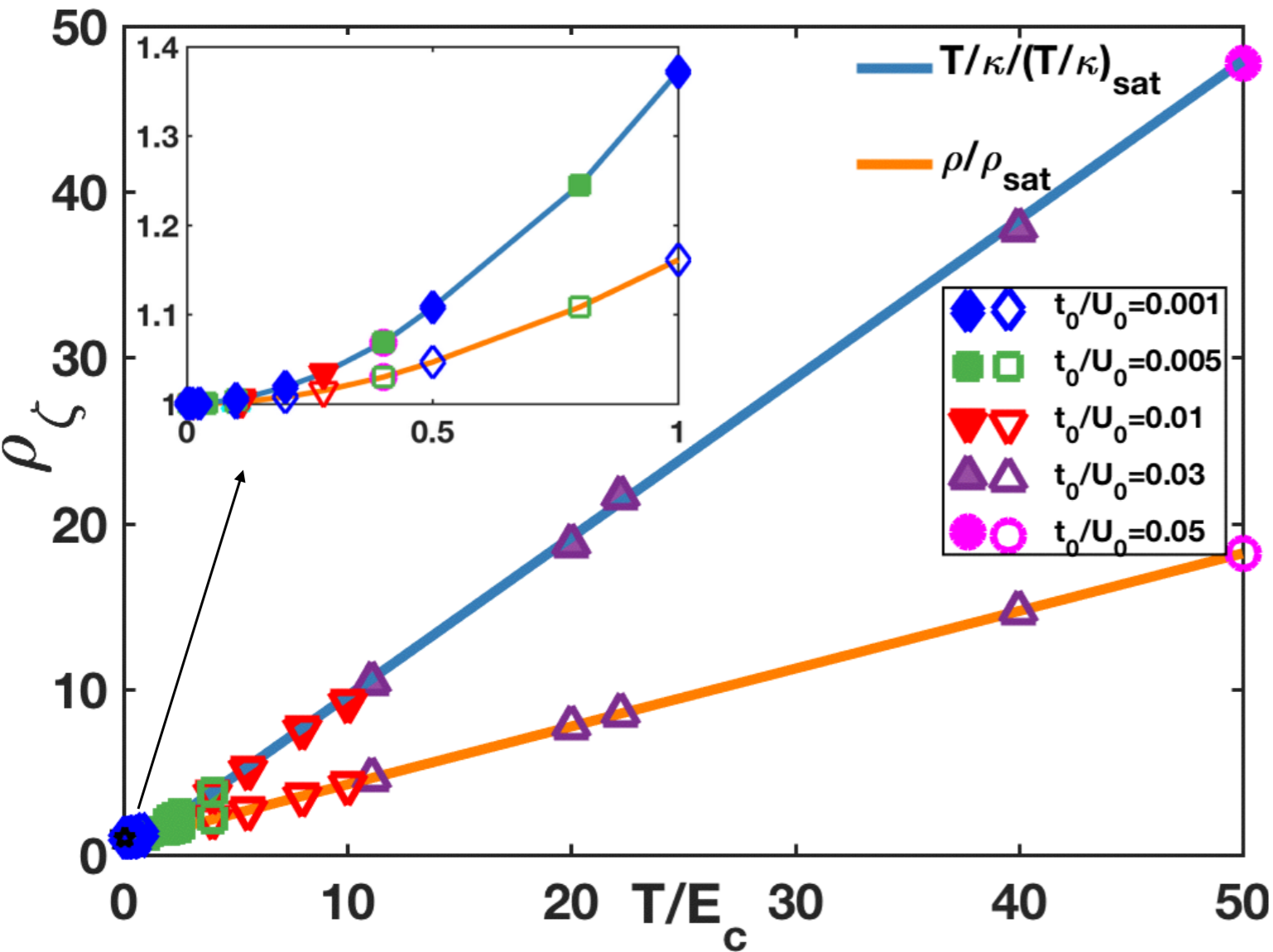
Debanjan Chowdhury, Yochai Werman, Erez Berg, T. Senthil, PRX **8**, 021049 (2018)

Aavishkar A. Patel, John McGreevy, Daniel P. Arovas, Subir Sachdev, PRX **8**, 021049 (2018)

See also A. Georges and O. Parcollet PRB **59**, 5341 (1999)

Coupled SYK Islands

Low 'coherence' scale



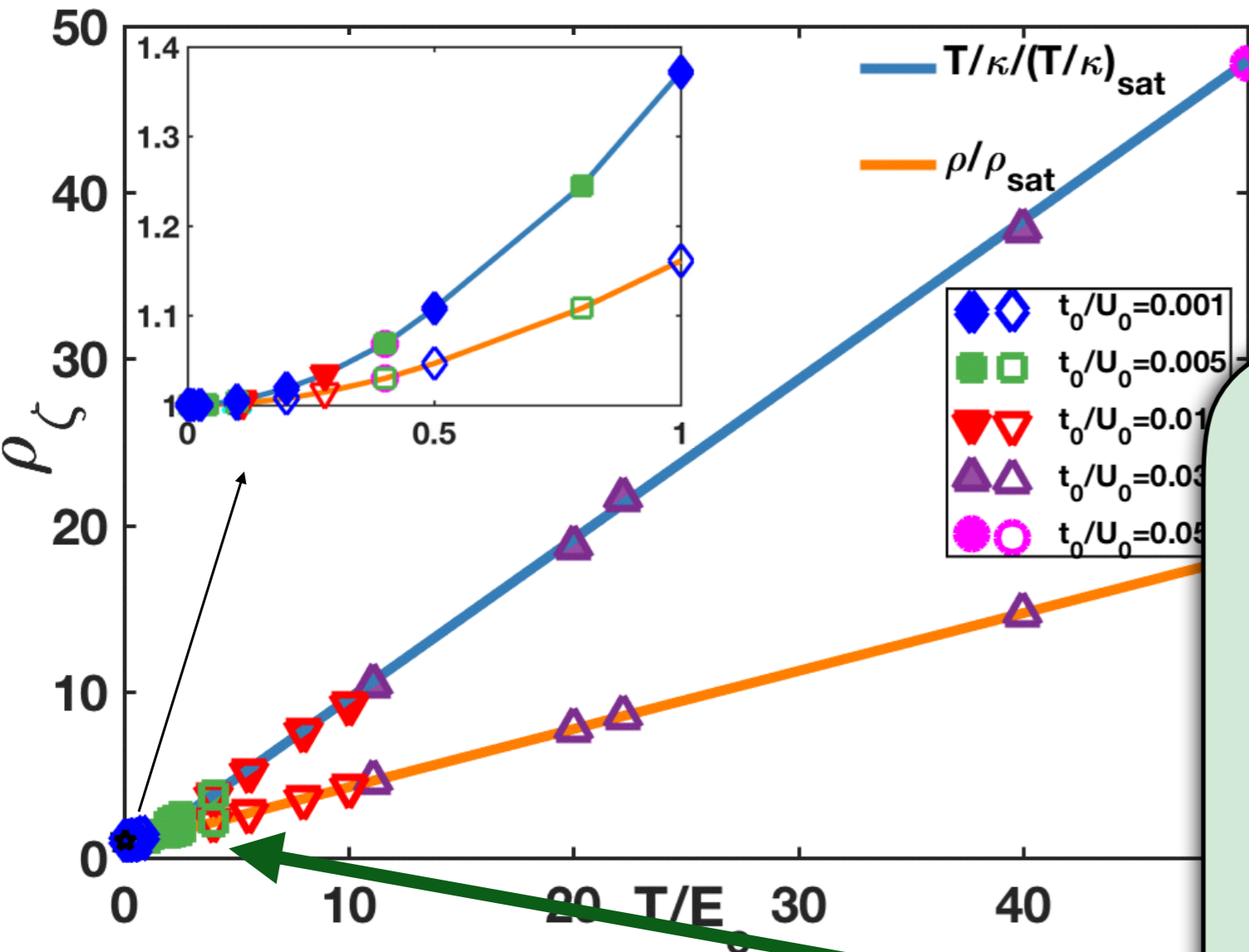
$$E_c \sim \frac{t_0^2}{U}$$

Xue-Yang Song, Chao-Ming Jian, and L. Balents, PRL **119**, 216601 (2017)

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Low 'coherence' scale



$$E_c \sim \frac{t_0^2}{U}$$

For $T < E_c$, the resistivity, ρ , and entropy density, s , are

$$\rho = \frac{h}{e^2} \left[c_1 + c_2 \left(\frac{T}{E_c} \right)^2 \right]$$

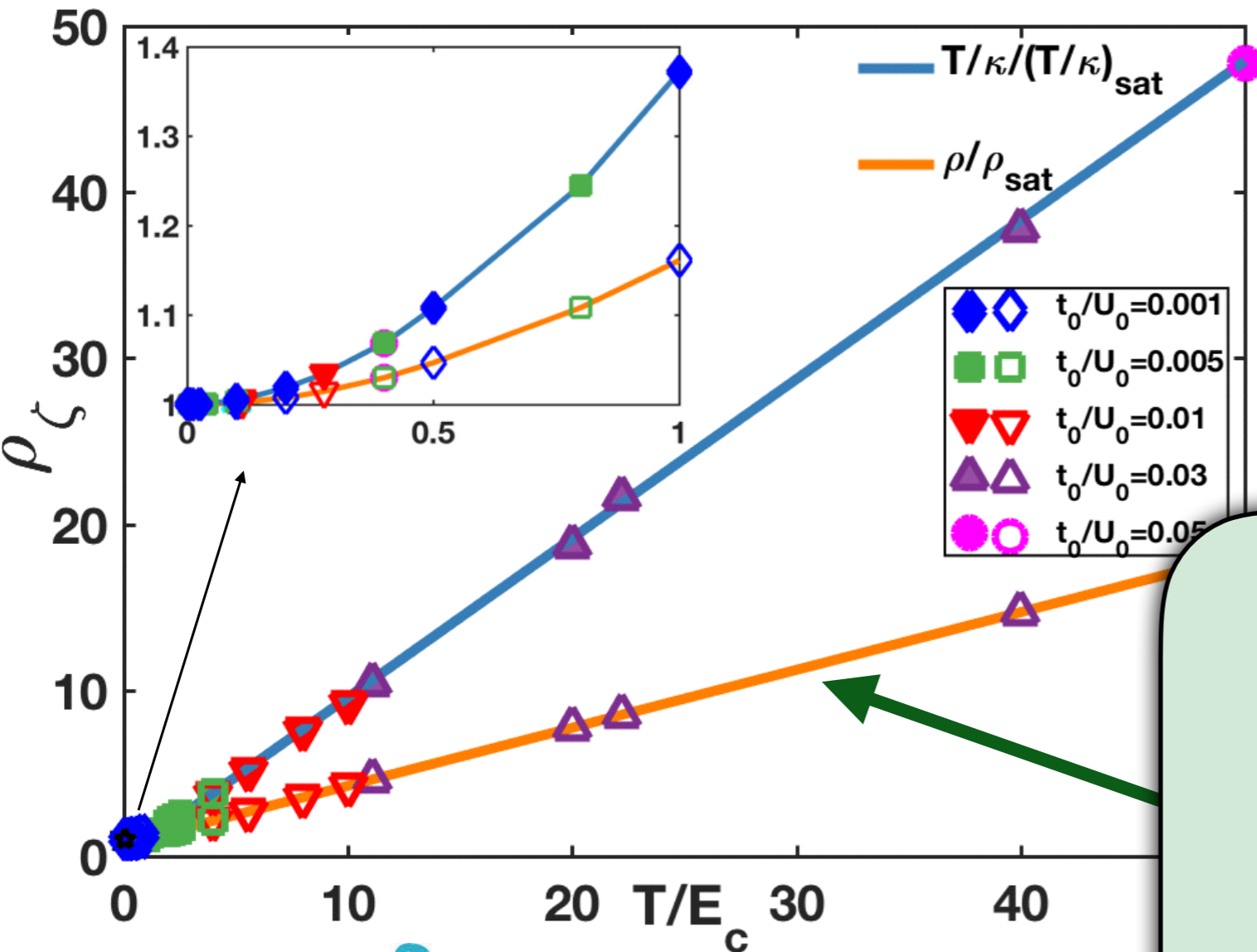
$$s \sim s_0 \left(\frac{T}{E_c} \right)$$

Xue-Yang Song, Chao-Ming Jian, and L. Balents, PRL **119**, 216601 (2017)

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Coupled SYK Islands

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$$E_c \sim \frac{t_0^2}{U}$$

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Xue-Yang Song, Chao-Ming Jian, and L. Balents, PRL **119**, 216601 (2017)

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**Ordinary
metals**

**Strange
metals**

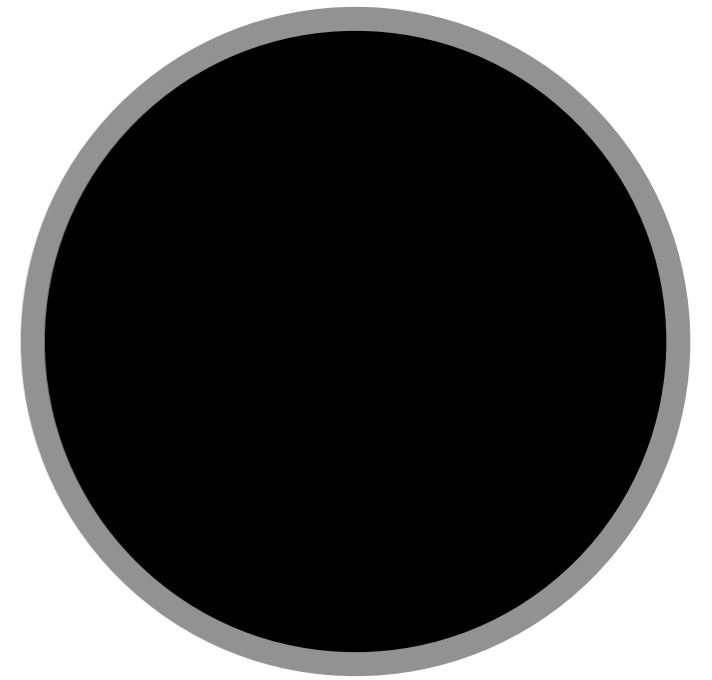
**Black
holes**

Black Holes

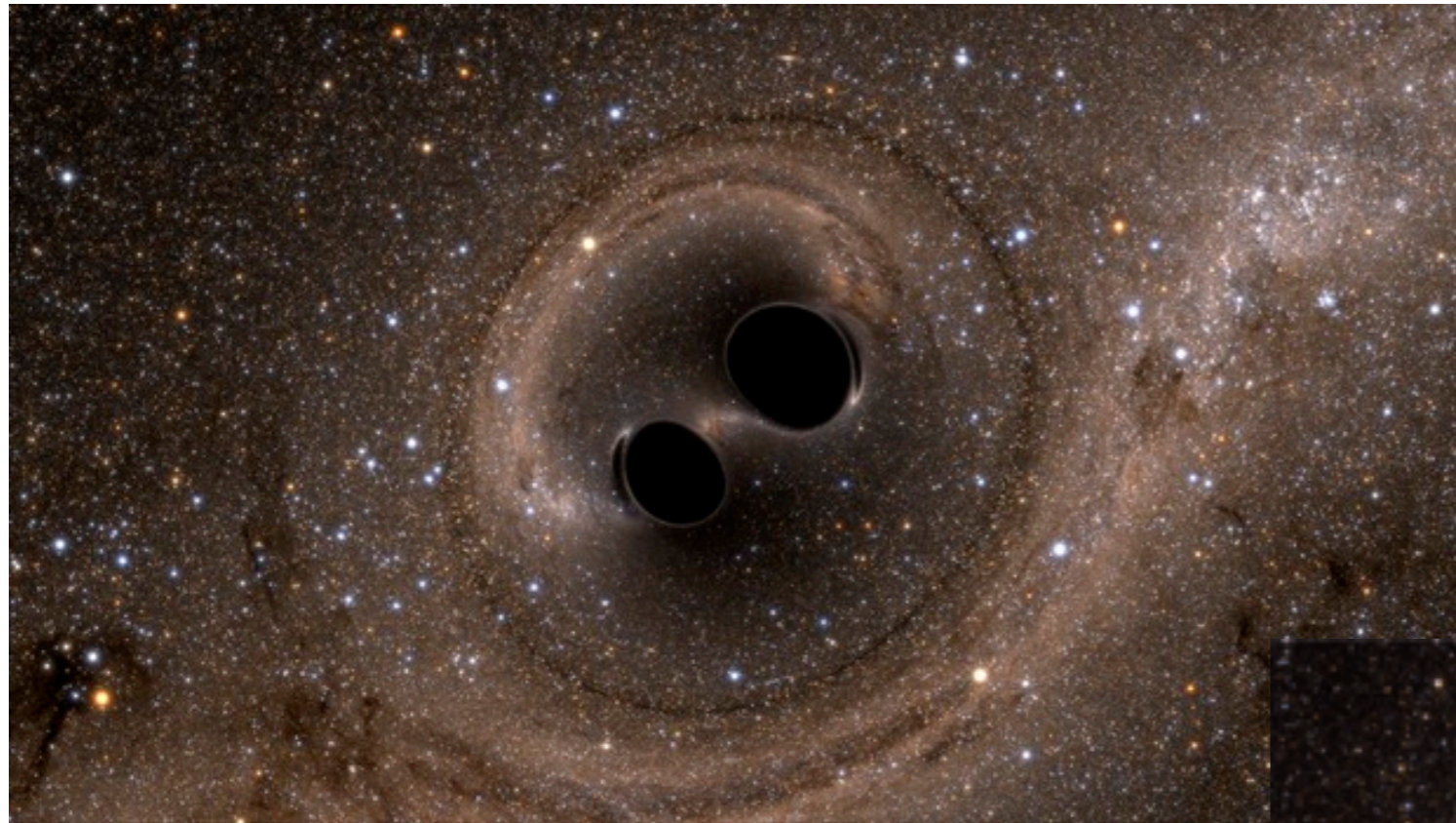
Objects so dense that light is gravitationally bound to them.

In Einstein's theory, the region inside the black hole **horizon** is disconnected from the rest of the universe.

Horizon radius $R = \frac{2GM}{c^2}$

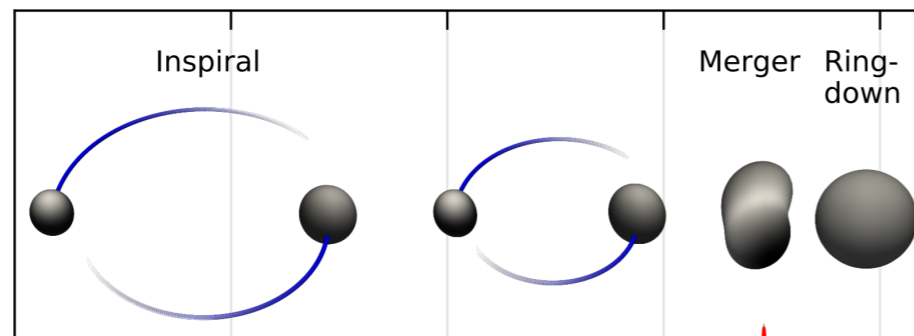
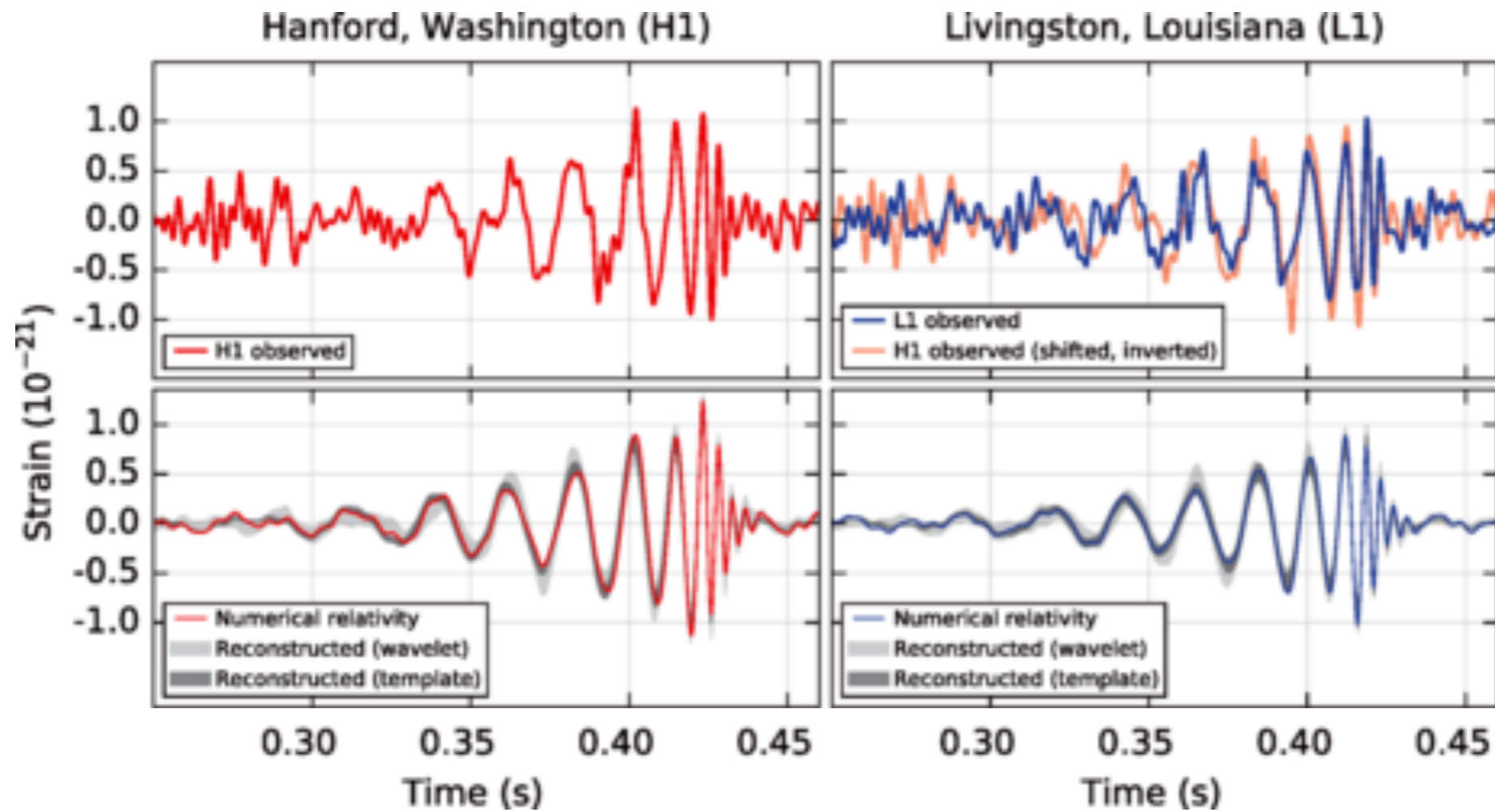


On September 14, 2015, LIGO detected the merger of two black holes, each weighing about 30 solar masses, with radii of about 100 km, 1.3 billion light years away



0.1 seconds later !

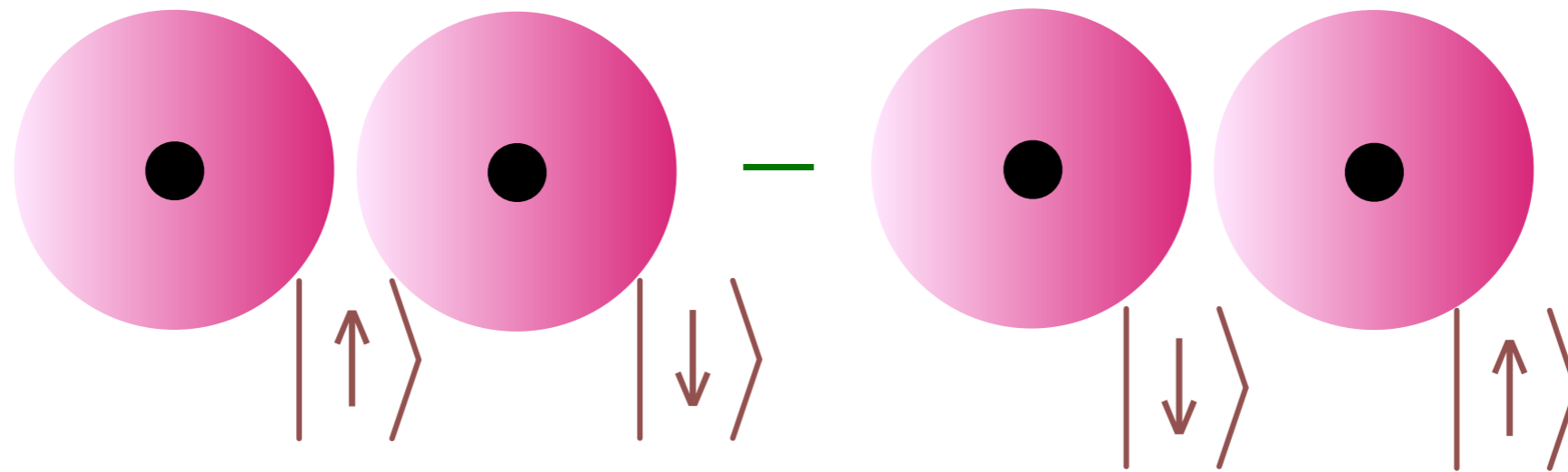




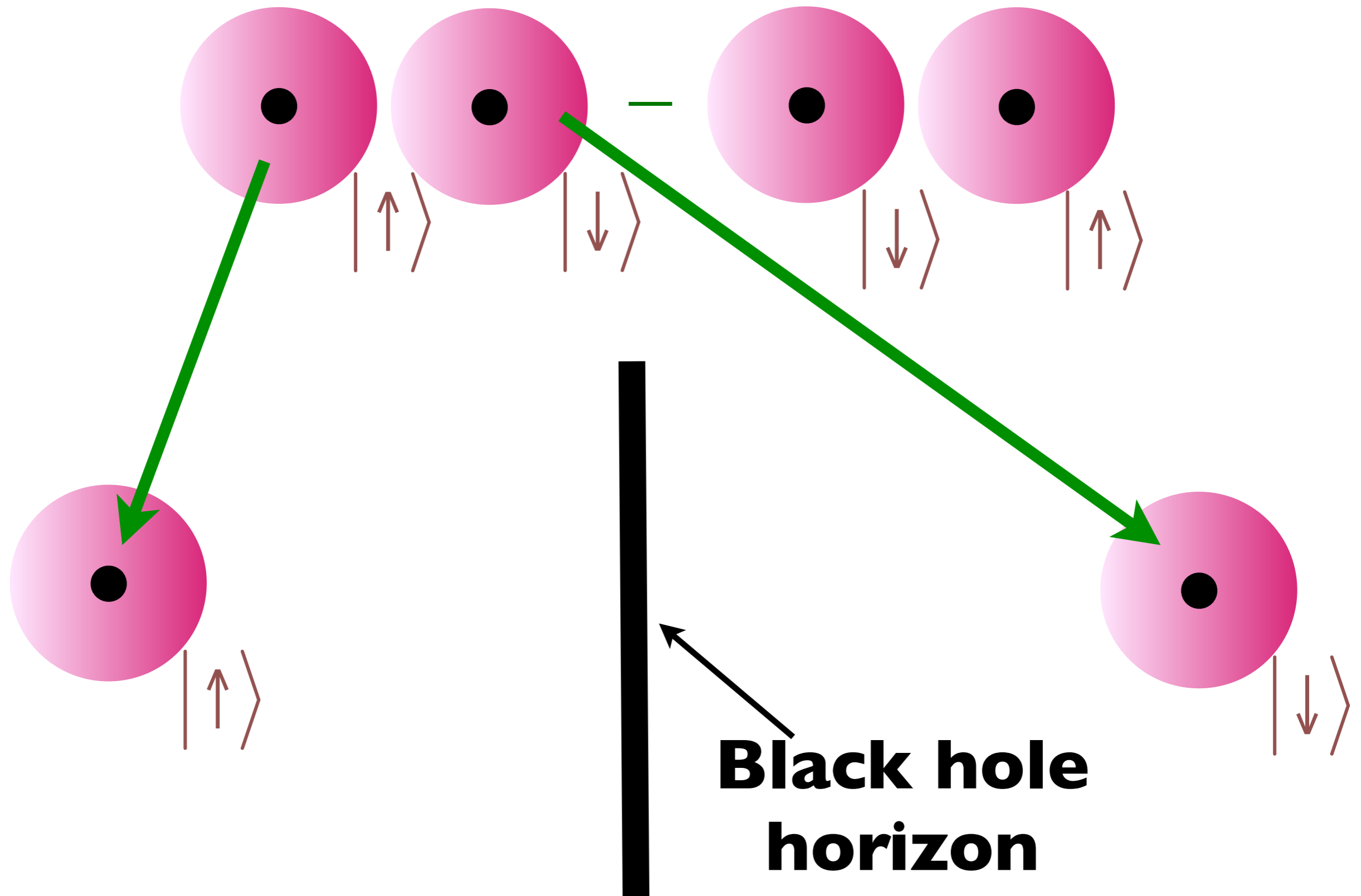
LIGO
September 14, 2015

- The ring-down is predicted by General Relativity to happen in a time $\frac{8\pi GM}{c^3} \sim 8$ milliseconds.

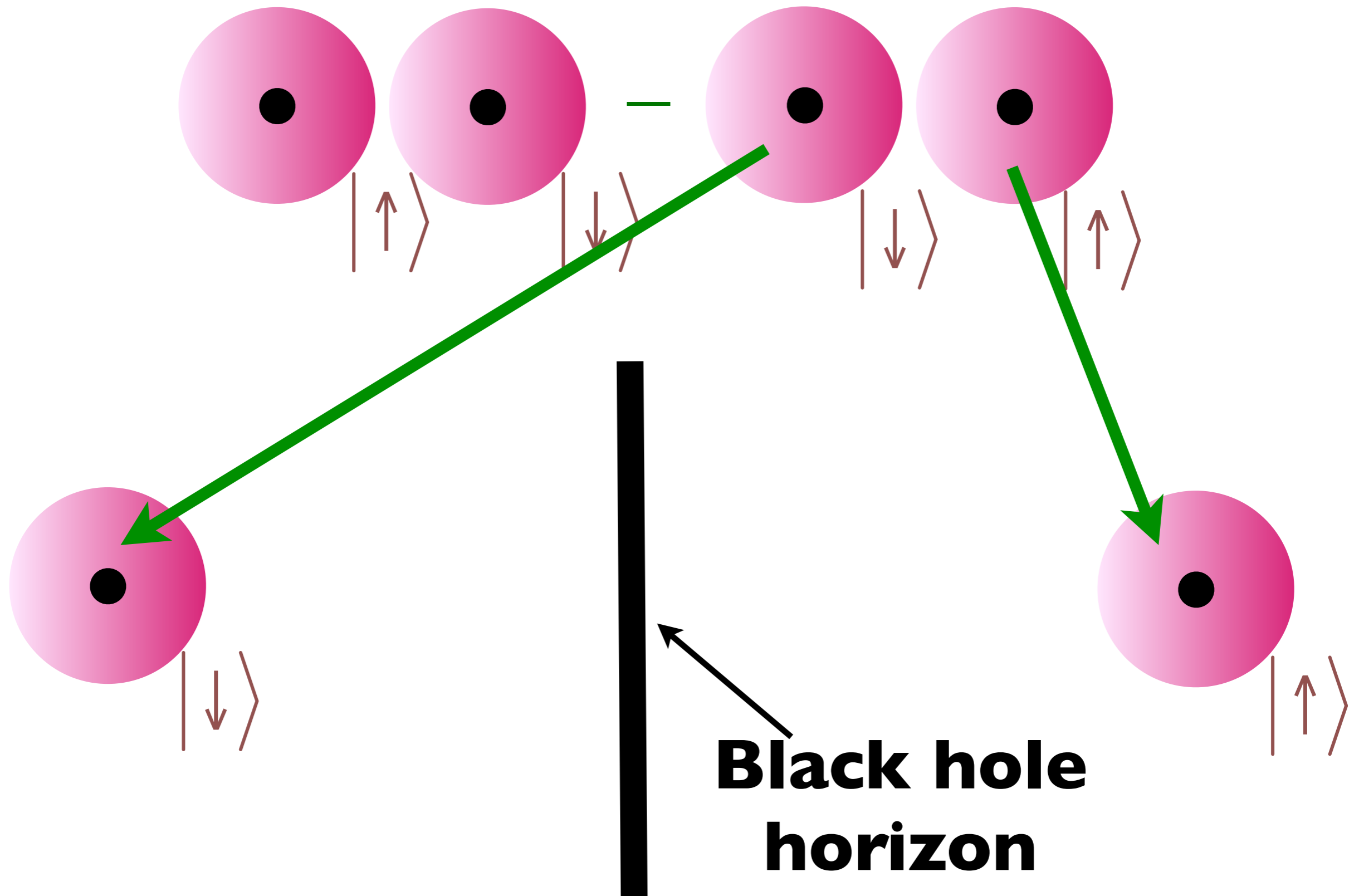
Quantum Entanglement across a black hole horizon



Quantum Entanglement across a black hole horizon

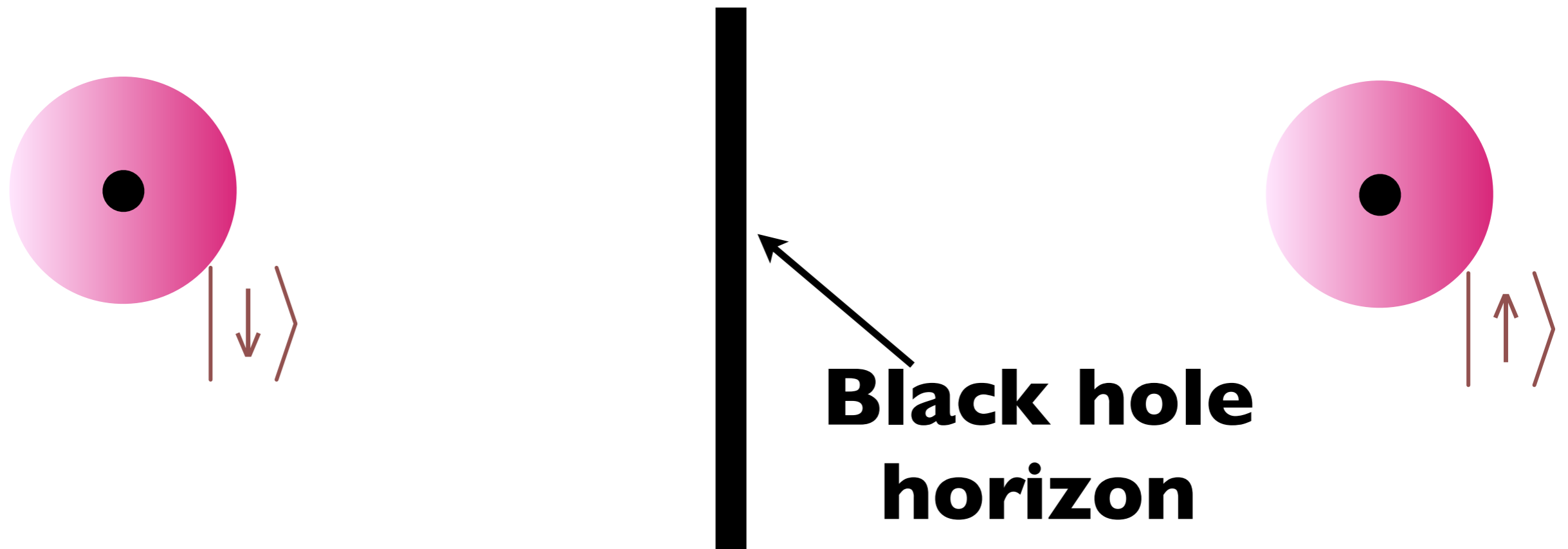


Quantum Entanglement across a black hole horizon



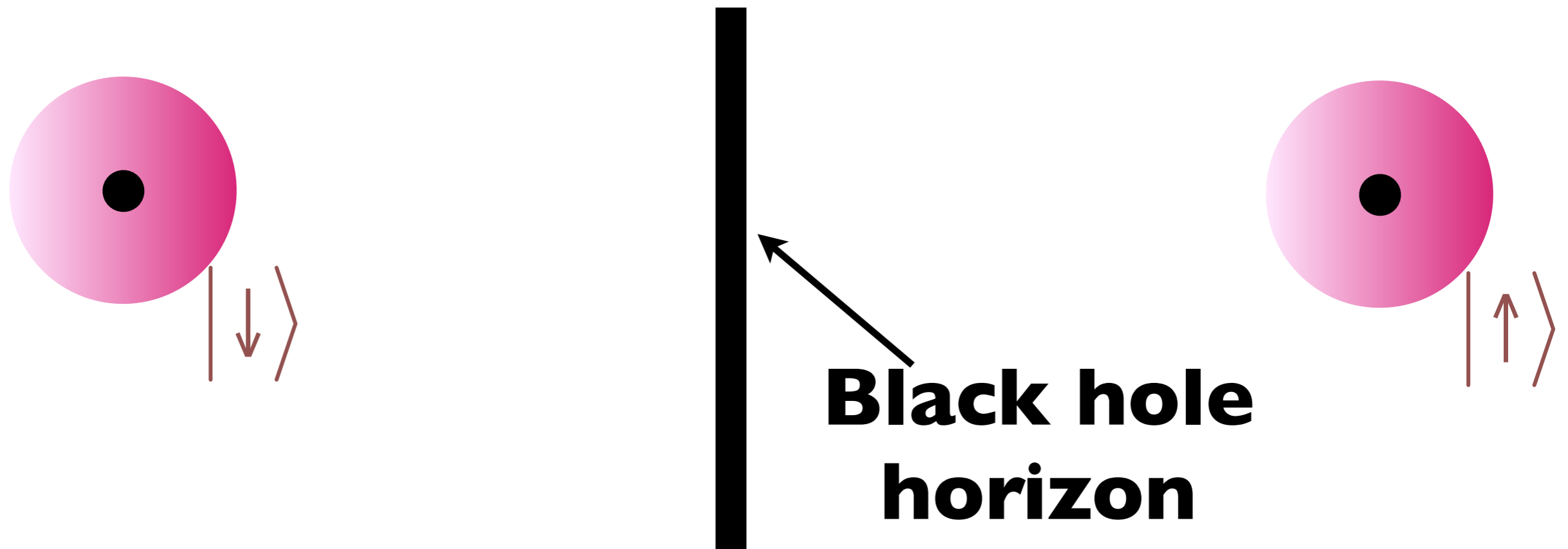
Quantum Entanglement across a black hole horizon

There is quantum entanglement between the inside and outside of a black hole



Quantum Entanglement across a black hole horizon

Hawking used this to show that black hole horizons have an entropy and a temperature
(because to an outside observer, the state of the electron inside the black hole is an unknown)

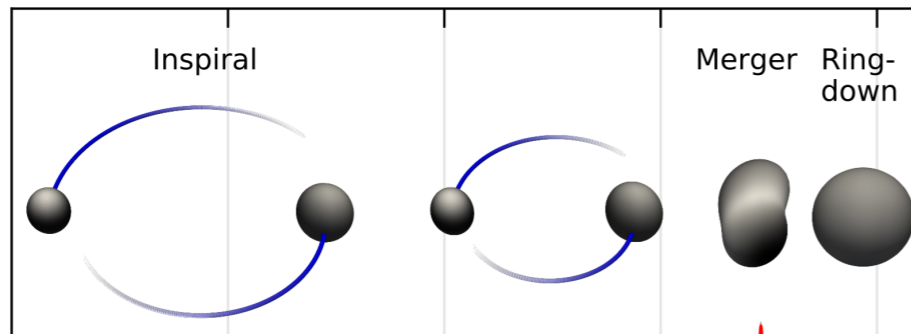
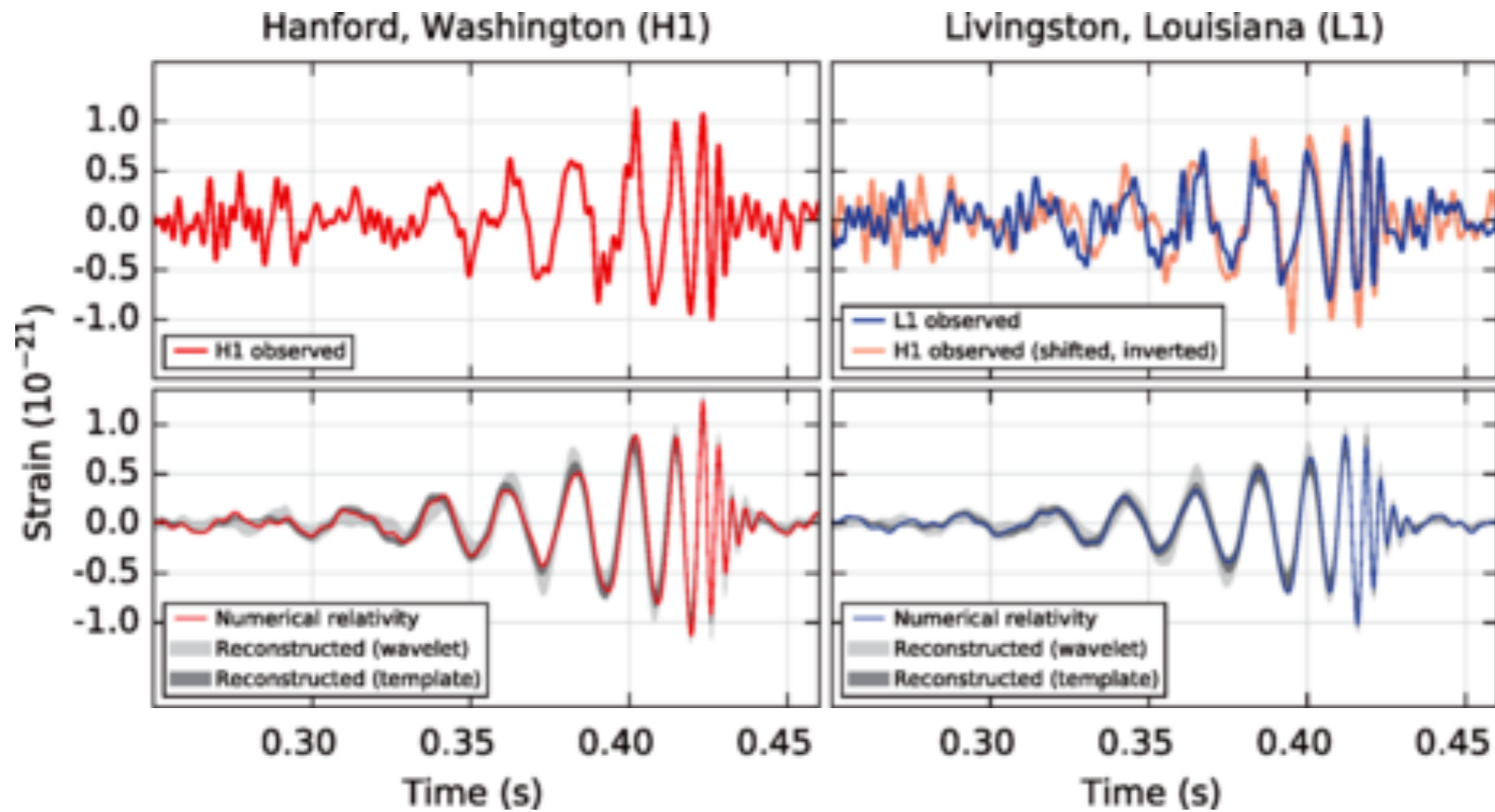


Black holes

- Black holes have an entropy and a temperature, $T_H = \hbar c^3 / (8\pi G M k_B)$.
- The entropy is proportional to their surface area.

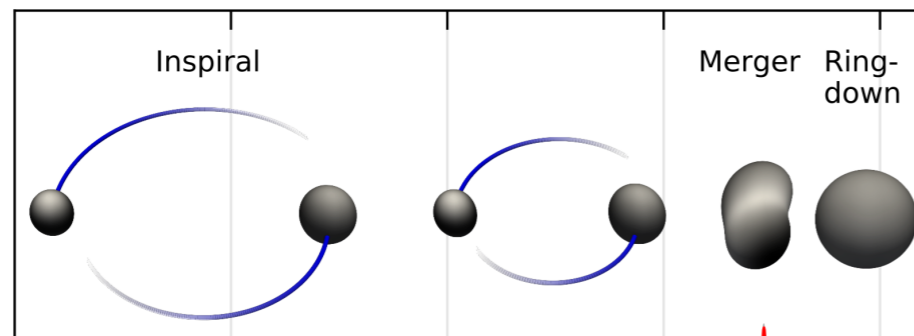
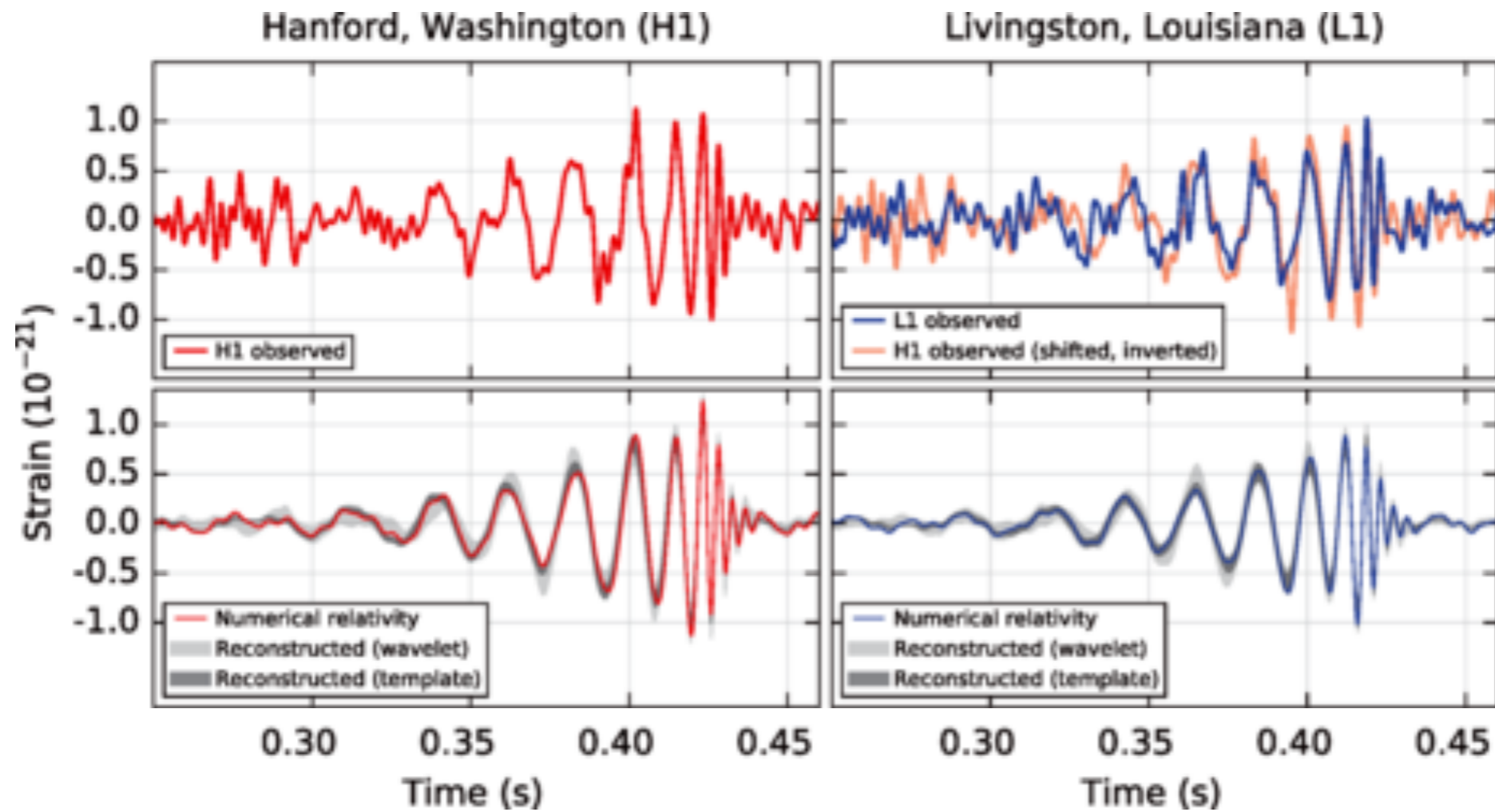
J. D. Bekenstein, PRD **7**, 2333 (1973)
S.W. Hawking, Nature **248**, 30 (1974)





LIGO
September 14, 2015

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LIGO
September 14, 2015

- The ring-down is predicted by General Relativity to happen in a time $\frac{8\pi GM}{c^3} \sim 8$ milliseconds. Curiously this happens to equal $\frac{\hbar}{k_B T_H}$; so the ring down can also be viewed as the approach of a quantum system to thermal equilibrium at the fastest possible rate!

Black holes

- Black holes have an entropy and a temperature, $T_H = \hbar c^3 / (8\pi G M k_B)$.
- The entropy is proportional to their surface area.
- They relax to thermal equilibrium in a Planckian time $\sim \hbar / (k_B T_H)$.



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Holography:

Quantum black holes “look like” quantum many-particle systems without quasiparticle excitations, residing “on” the surface of the black hole

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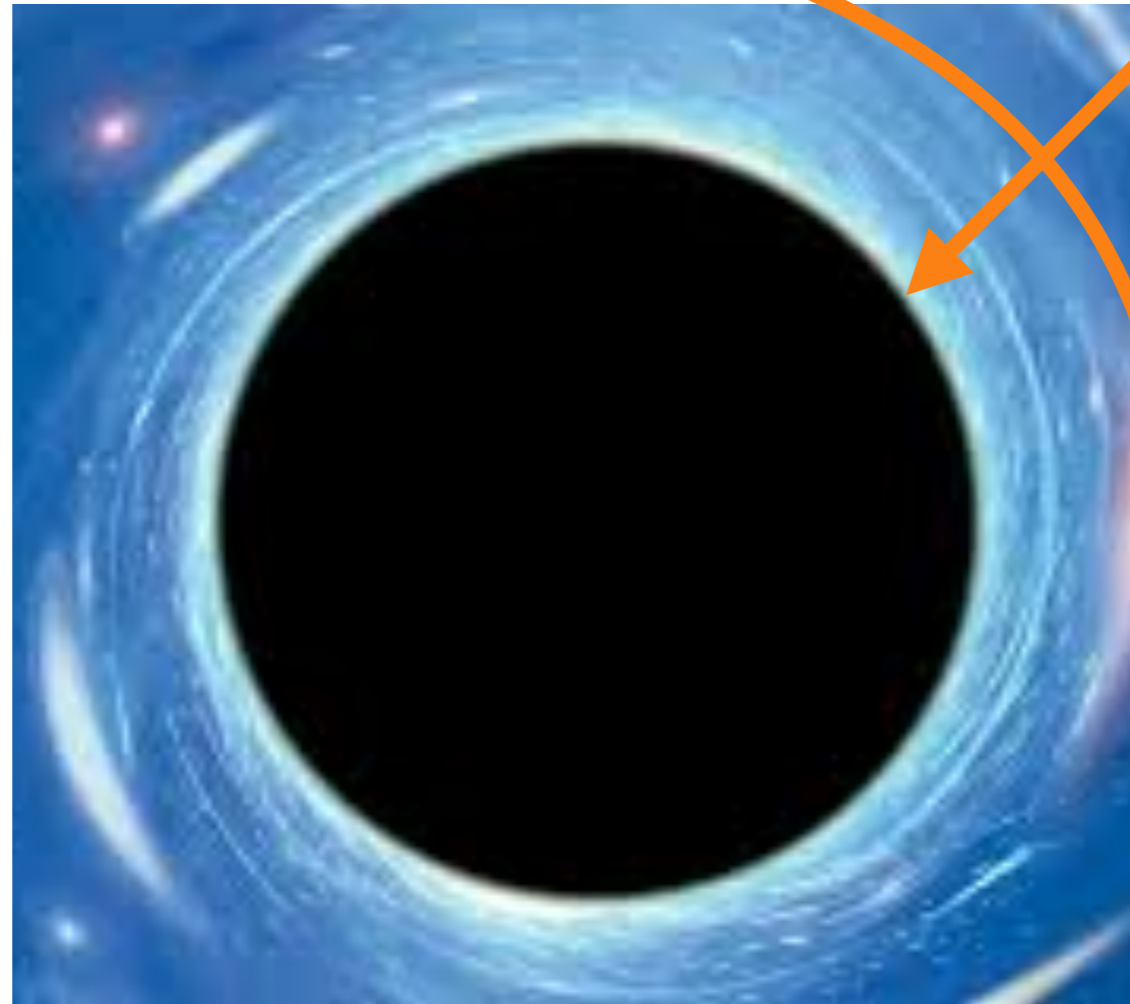
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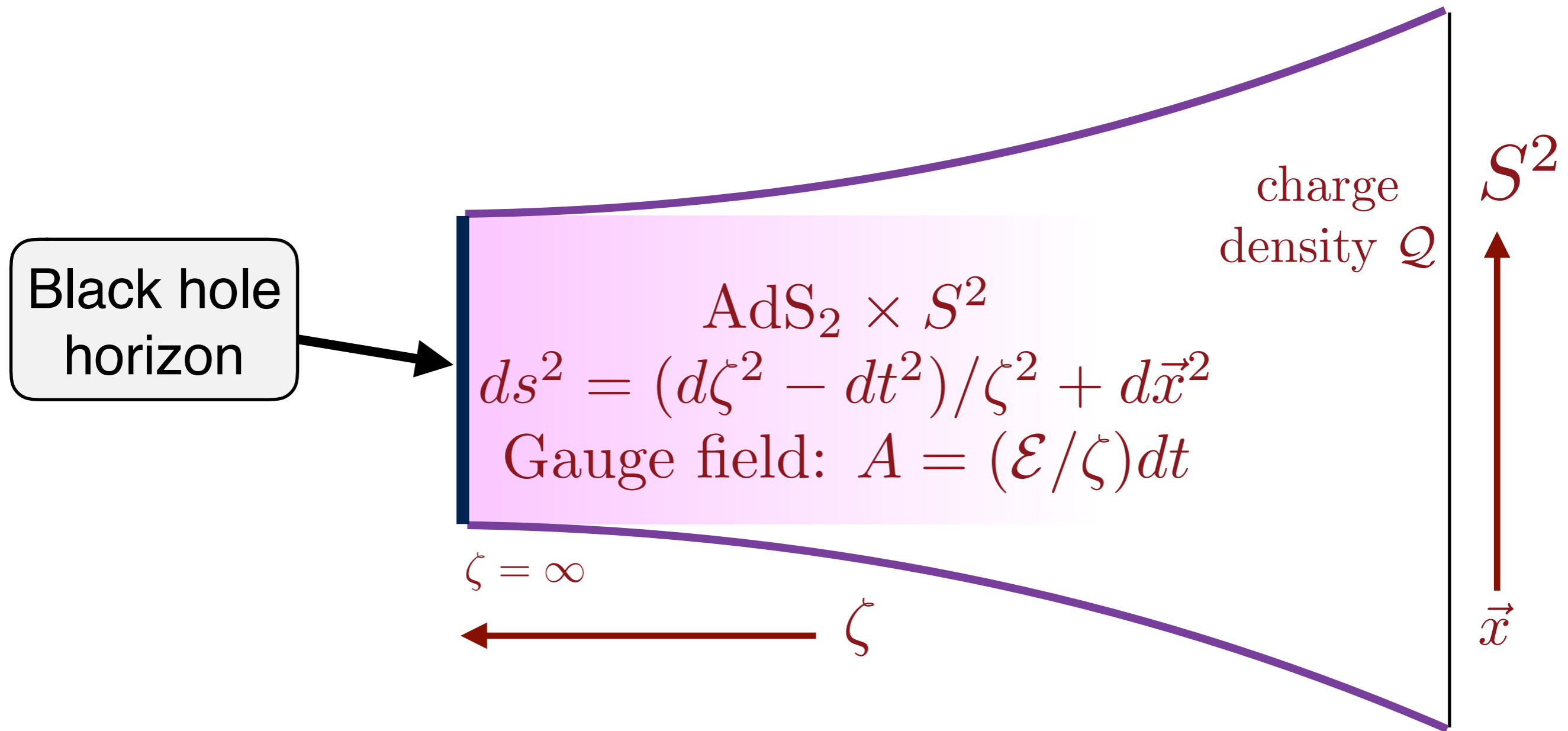
Black
holes

The SYK model also describes
extremal charged black holes !



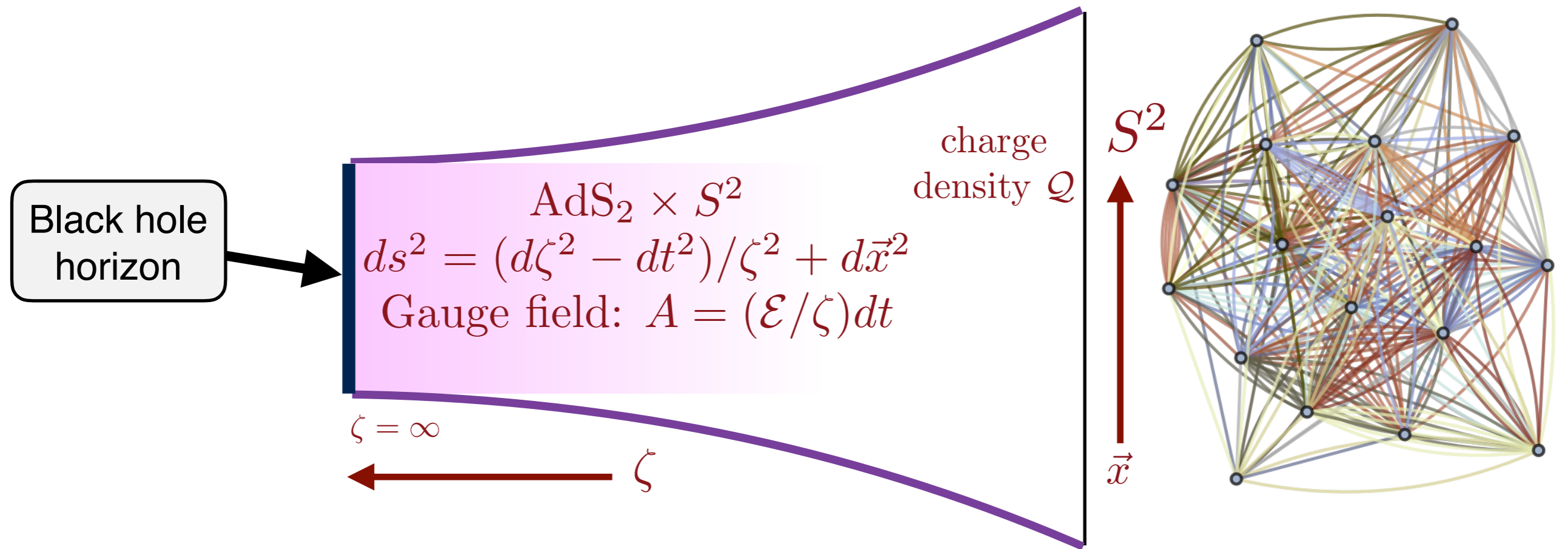
Consider a charged black hole with the smallest possible mass: the extremal limit. Zoom in to the near-horizon region at low energies. In this limit, the quantum theory lives in one space (ζ) and one time dimension

SYK models and black holes



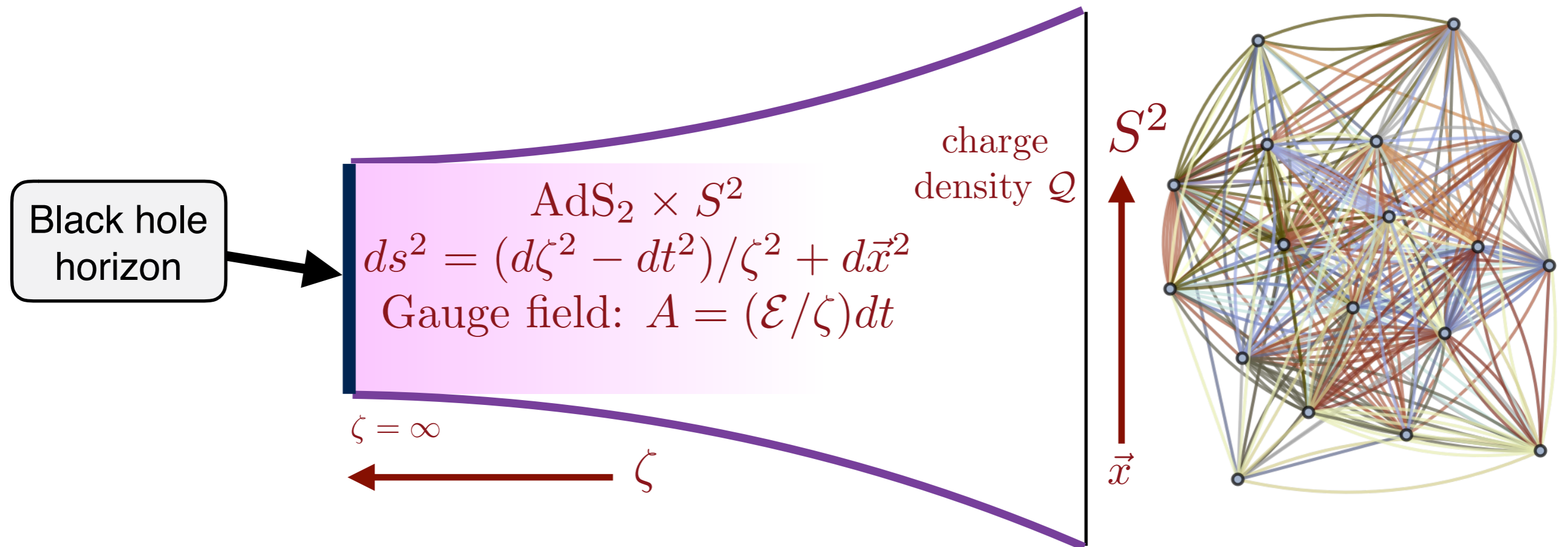
The near-horizon region of an extremal charged black hole has the geometry of (1+1)-dimensional anti-de Sitter spacetime. By holography, this should map to a zero-dimensional quantum system: this turns out to be the SYK model

SYK models and black holes



Bekenstein-Hawking entropy of AdS_2 horizon
at $T = 0 \Leftrightarrow N s_0$ entropy of SYK model

SYK models and black holes



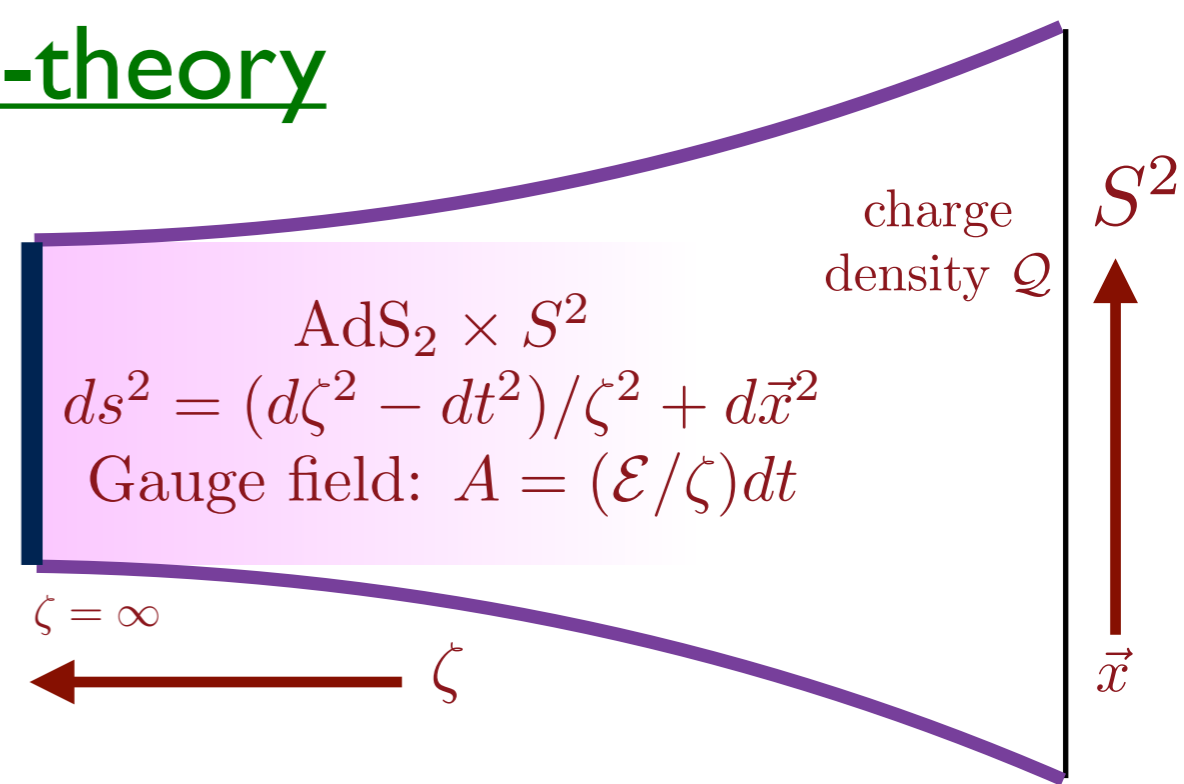
Einstein's equations imply that the growth of the Bekenstein-Hawking entropy with the black hole charge obeys the same relation as the SYK model:

$$\frac{\partial S_{BH}}{\partial \mathcal{Q}} = 2\pi\mathcal{E}$$

where \mathcal{E} , the near-horizon electric field, determines the particle-hole asymmetry, also as in the SYK model.



Einstein-Maxwell-theory



$$S_{4D} = \int d^4x \sqrt{-\hat{g}} \left(\hat{\mathcal{R}} + 6/L^2 - \frac{1}{4} \hat{F}_{\mu\nu} \hat{F}^{\mu\nu} \right),$$

- ⇒ Near-extremal black hole of radius R and $T \ll 1/R$ from 4D Einstein-Maxwell
- ⇒ 2D Einstein-Maxwell with a scalar field (fluctuations of black hole radius)
- ⇒ Absence of 2D quantum gravity fluctuations: reduction to (0+1)D
- ⇒ (0 + 1)D theory is precisely the Schwarzian theory of the SYK model.

$$S_{\text{eff}}[f, \phi] = \frac{K}{2} \int_0^{1/T} d\tau (\partial_\tau \phi + i(2\pi\mathcal{E}T)\partial_\tau f)^2 - \frac{\gamma}{4\pi^2} \int_0^{1/T} d\tau \{ \tan(\pi T f(\tau)), \tau \},$$

J. Maldacena, D. Stanford, and Zhenbin Yang, arXiv:1606.01857; K. Jensen, arXiv:1605.06098; J. Engelsoy, T.G. Mertens, and H. Verlinde, arXiv:1606.03438; P. Nayak, A. Shukla, R.M. Soni, S.P. Trivedi, and V. Vishal, arXiv:1802.09547; U. Moitra, S.P. Trivedi, and V. Vishal, arXiv:1808.08239

SYK models and black holes

- Reparameterization and gauge invariance are defining properties of Einstein-Maxwell theory
- In imaginary time, AdS_2 is the homogeneous hyperbolic space: two-dimensional surface of constant negative curvature. Its metric is invariant under $\text{SL}(2, \mathbb{R})$

$ds^2 = (d\tau^2 + d\zeta^2)/\zeta^2$ is invariant under

$$\tau' + i\zeta' = \frac{a(\tau + i\zeta) + b}{c(\tau + i\zeta) + d} \quad \text{with } ad - bc = 1.$$



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Their identical symmetries lead to the same low energy quantum theory for the SYK model and extremal charged black holes !



Quantum matter without quasiparticles

- Planckian dynamics (*i.e.* fastest possible local thermalization in a time $\hbar/(k_B T)$) is realized in the ‘solvable’ SYK models.
- Black holes thermalize in a Planckian time $\sim \hbar/(k_B T_H)$, where T_H is the Hawking temperature.

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- Lattices of SYK islands have led to a partial understanding of strange metals.