

# A model of the cuprates: from the pseudogap metal, to d-wave superconductivity, and charge order

Superconductivity Gordon Research Conference  
Interactions, Topology and Applications  
Les Diablerets, May 3, 2023  
Subir Sachdev

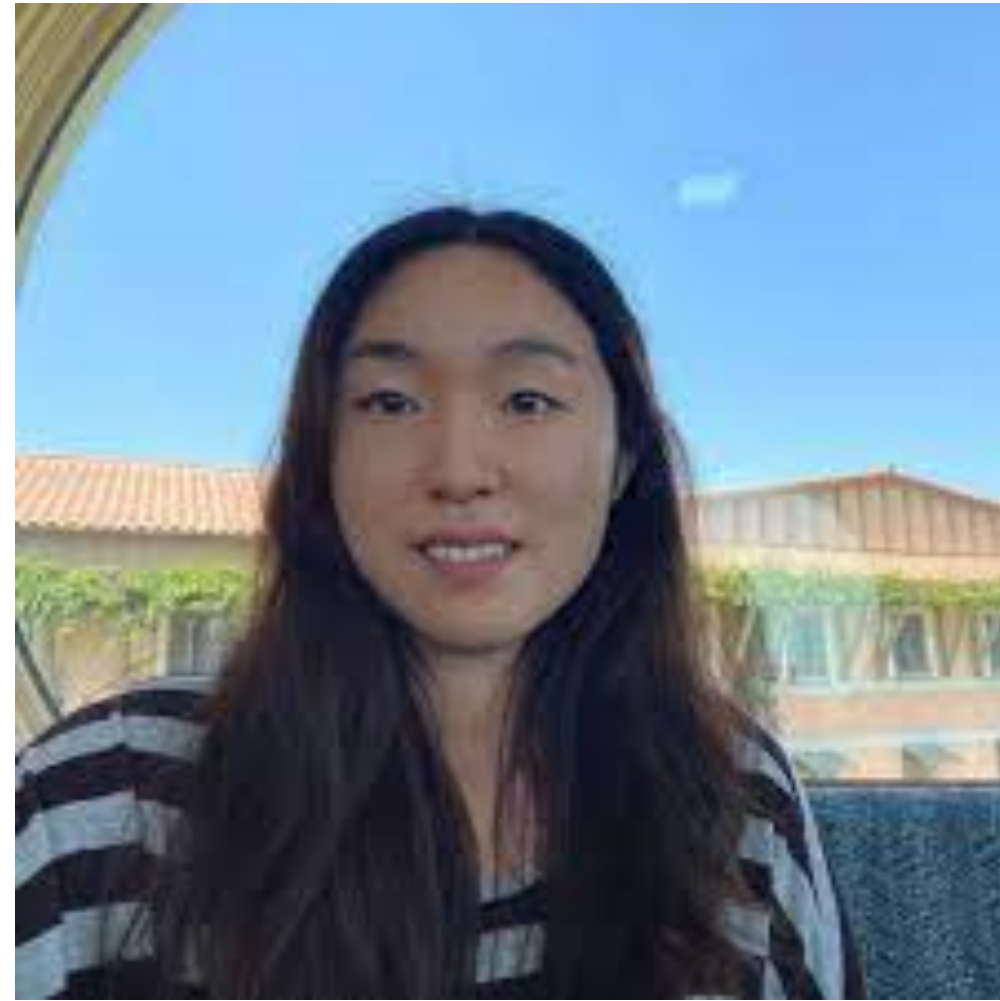
Maine Christos, Zhu-Xi Luo, Henry Shackleton, Ya-Hui Zhang,  
Mathias Scheurer, and S. S., arXiv:2302.07885  
Alexander Nikolaenko, Jonas v. Milczewski, Darshan G. Joshi,  
and S.S., arXiv:2211.10452

Talk online: [sachdev.physics.harvard.edu](https://sachdev.physics.harvard.edu)





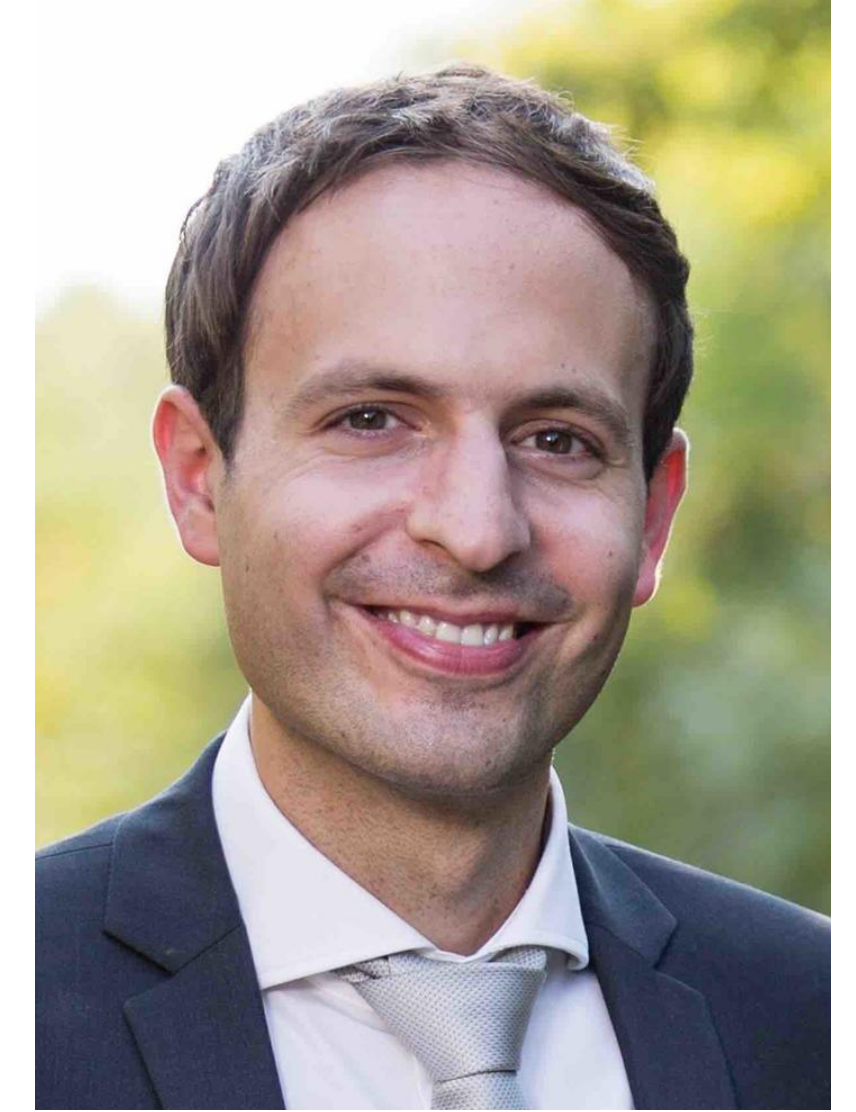
**Maine Christos**



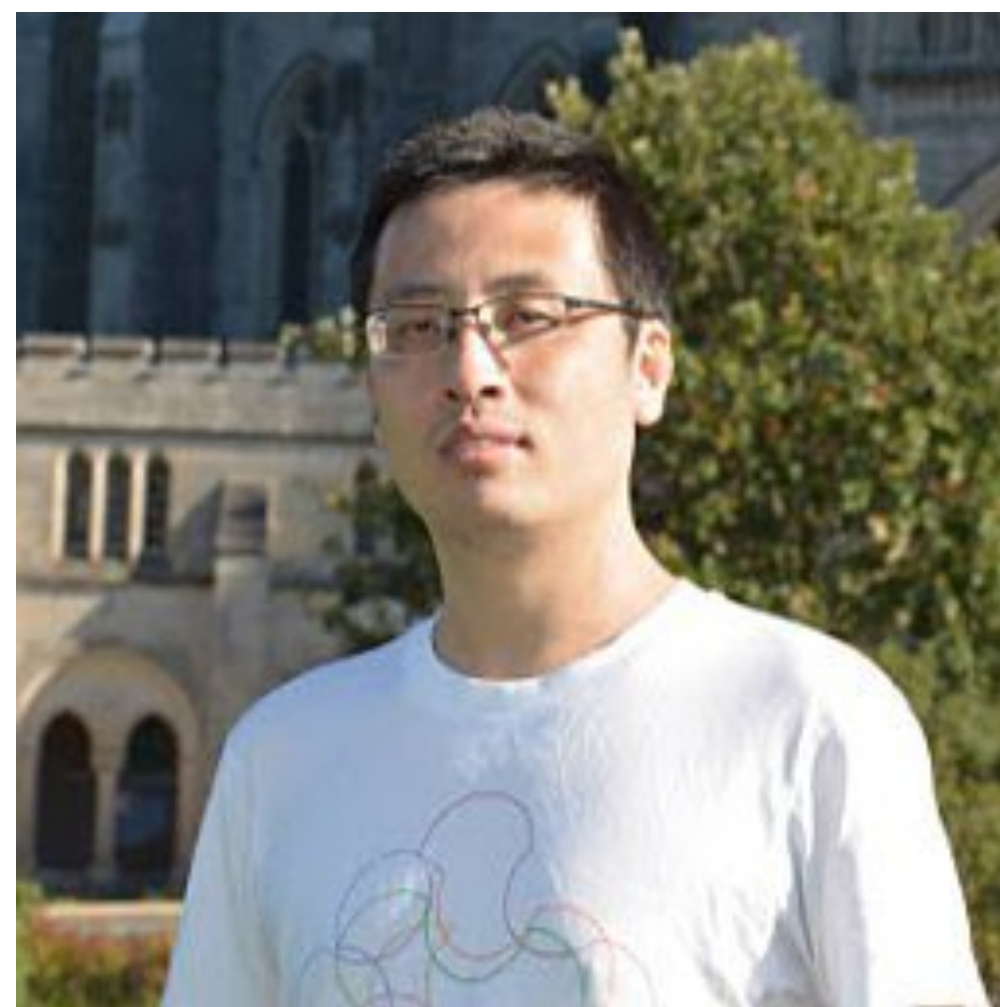
**Zhu-Xi Luo**



**Henry  
Shackleton**



**Mathias Scheurer  
Innsbruck → Stuttgart**



**Ya-Hui Zhang  
Johns Hopkins**



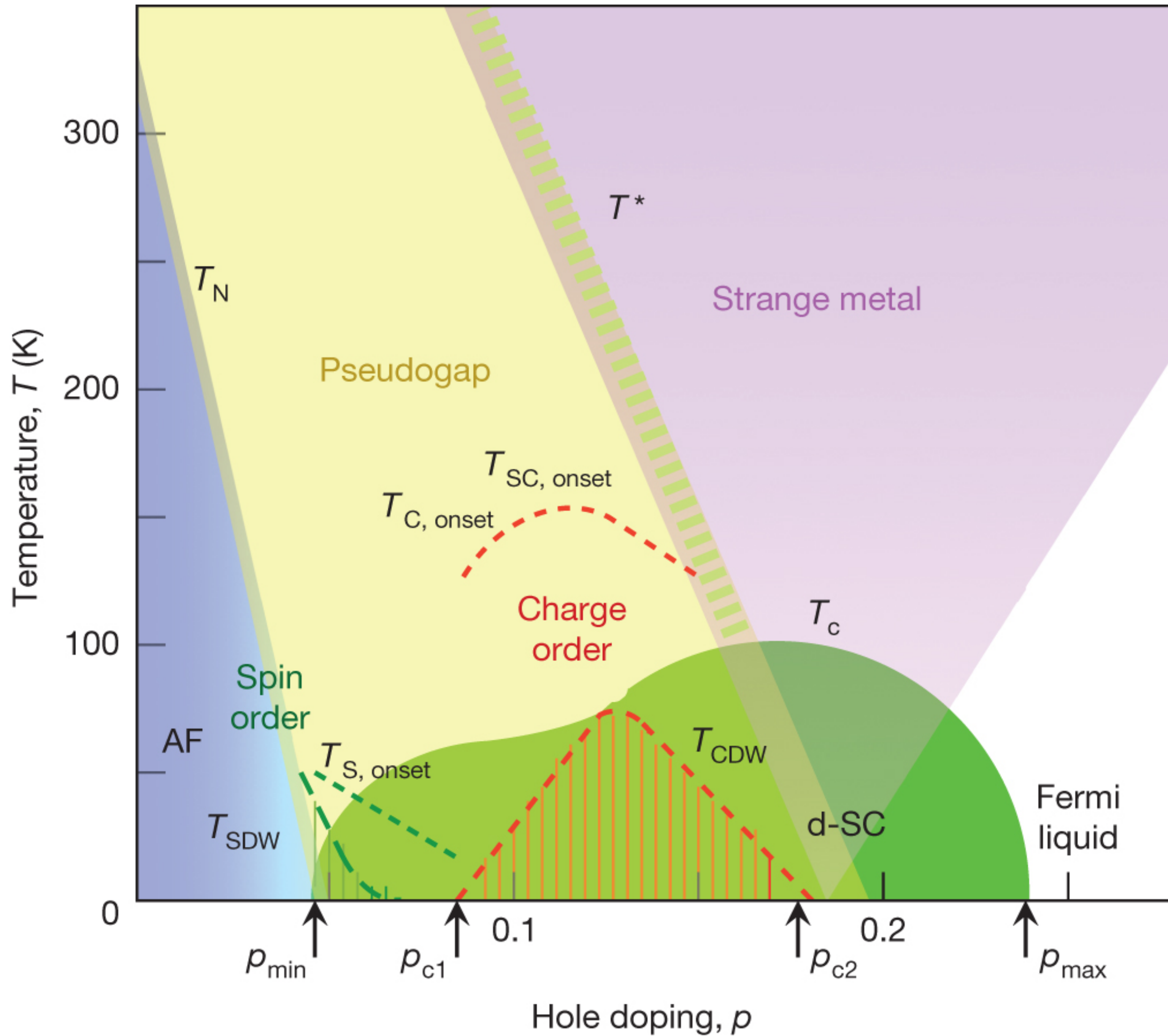
**Alexander  
Nikolaenko**

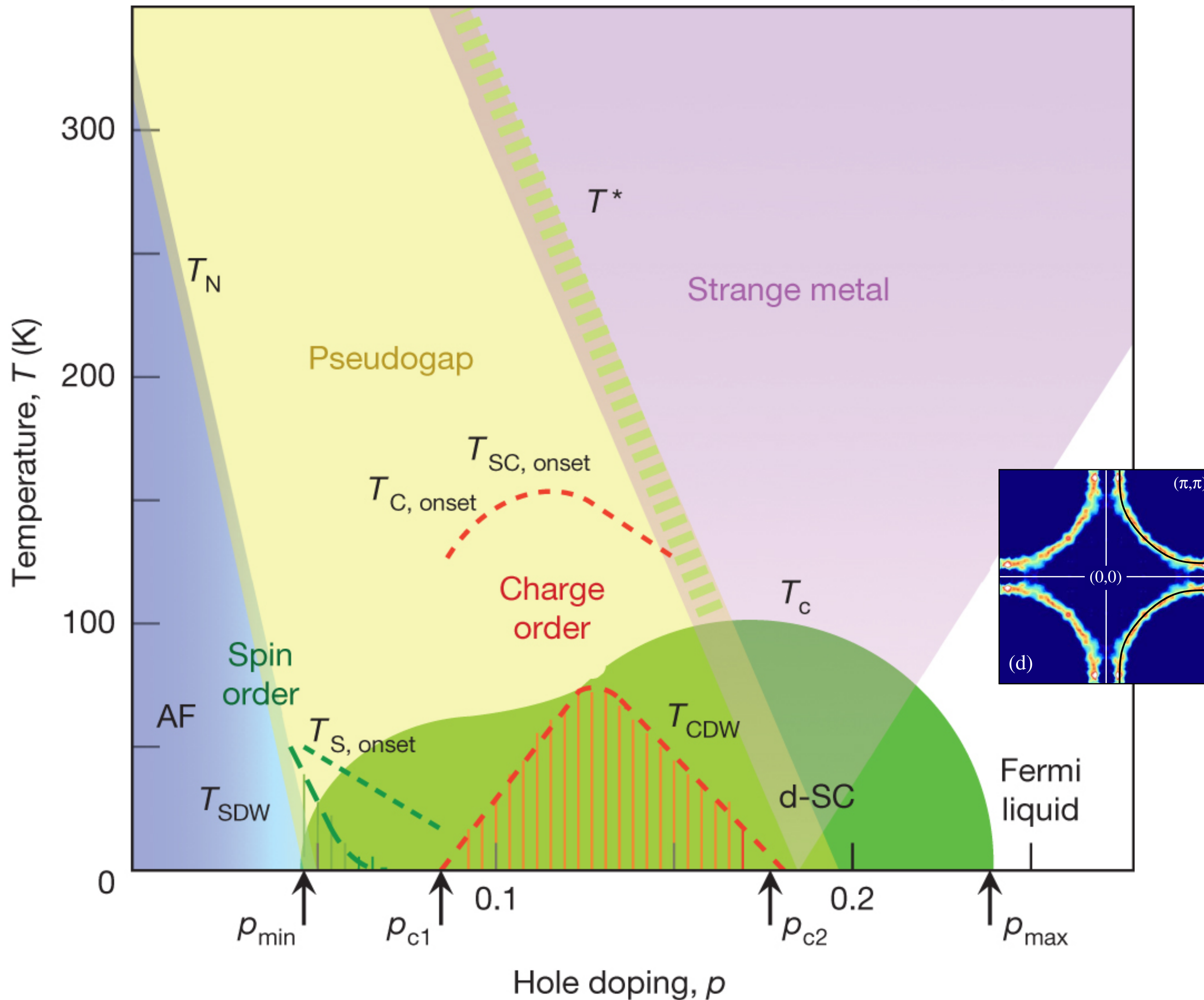


**Darshan Joshi  
TIFR Hyderabad**



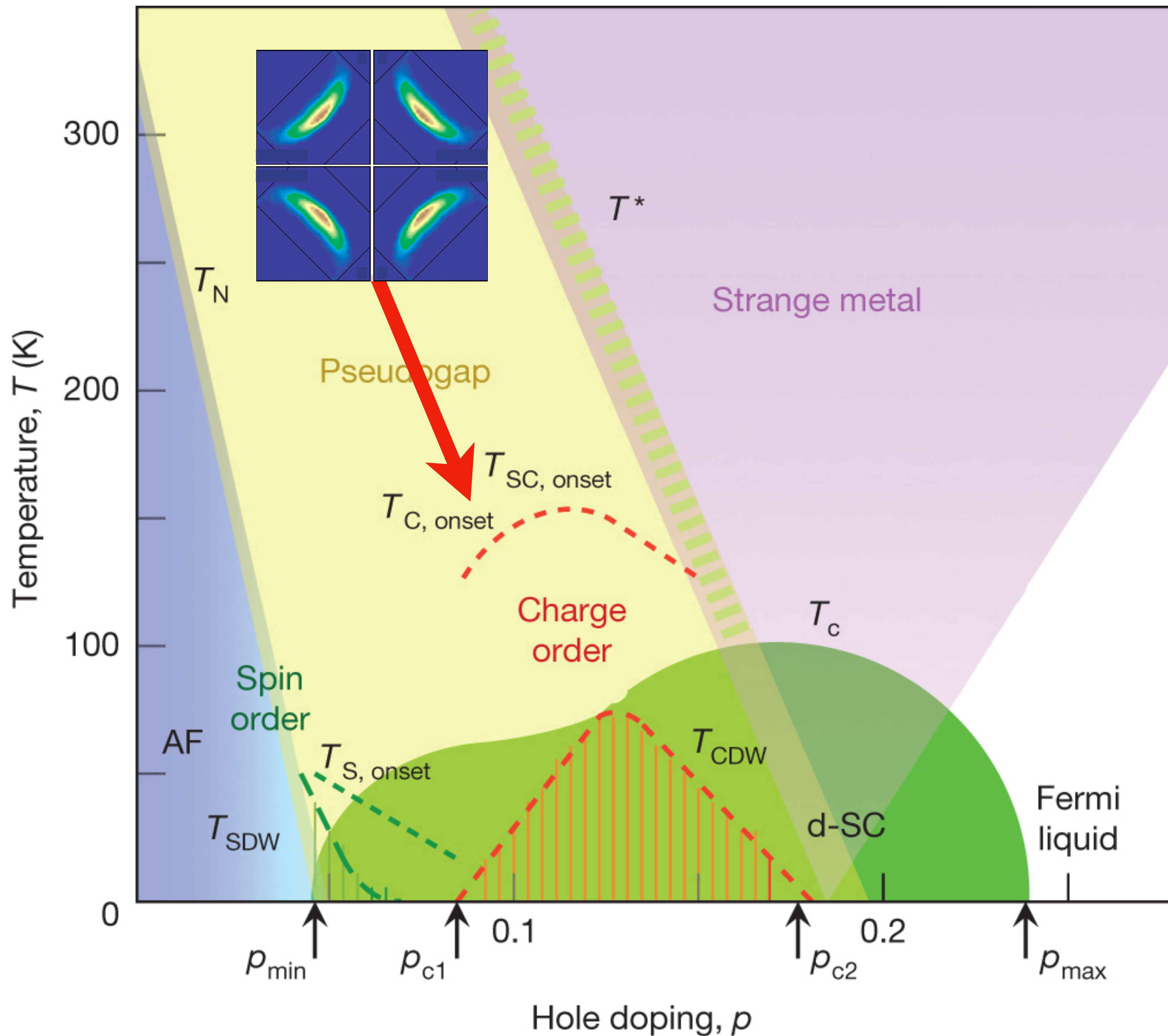
**Jonas von Milczewski**





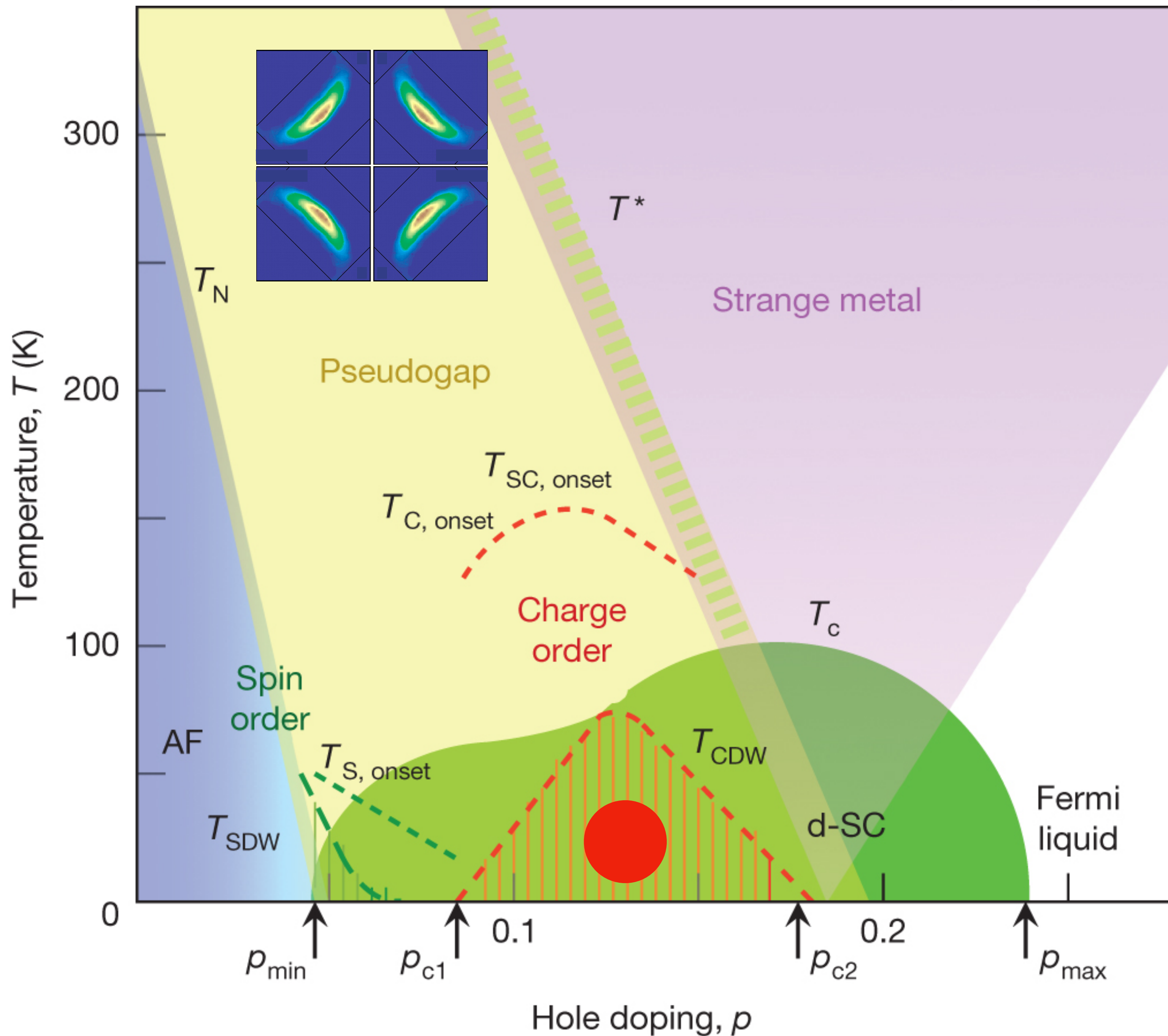
Fermi liquid  
in the  
overdoped metal





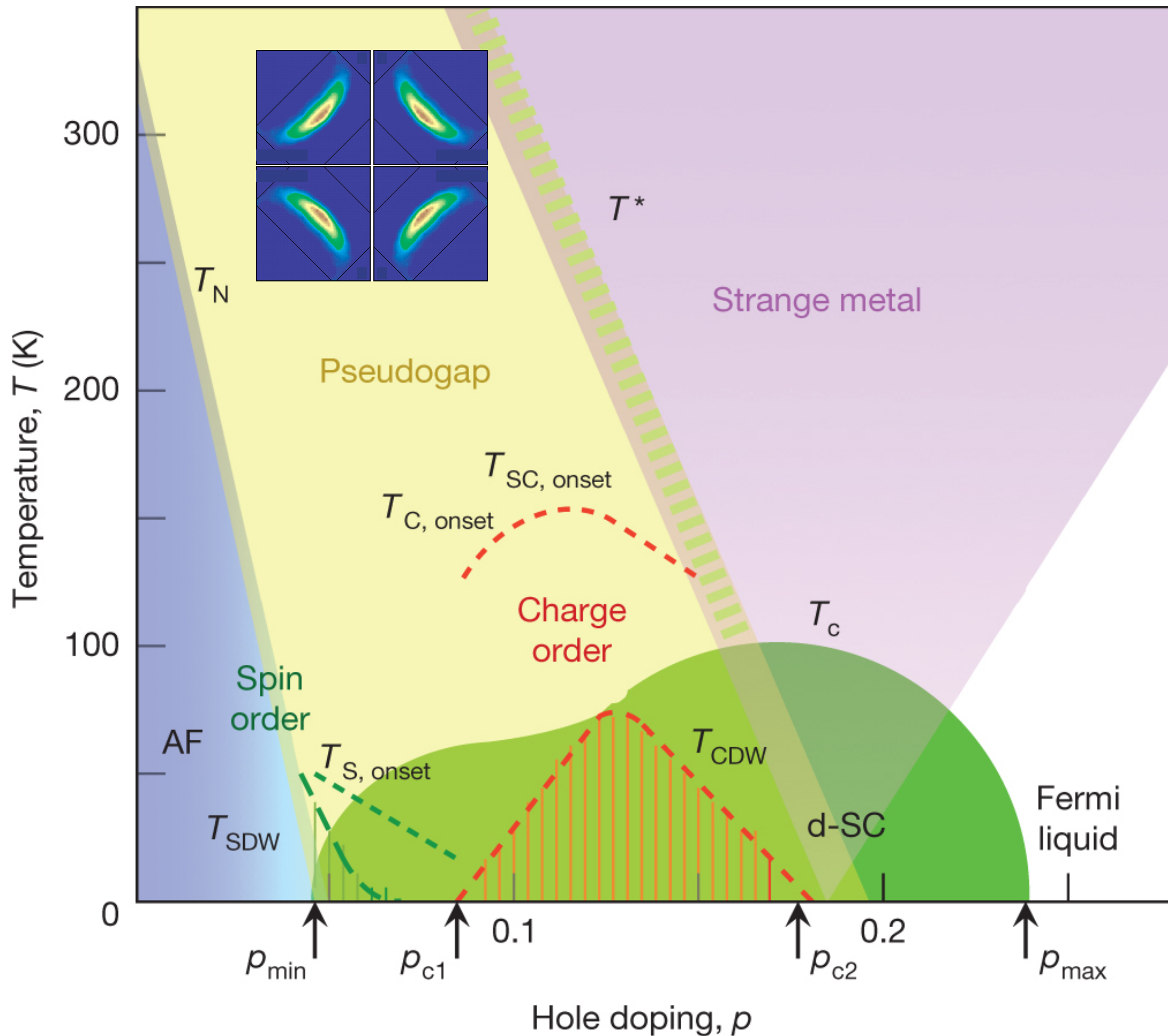
Needed: a theory for the onset of charge order and  $d$ -wave superconductivity from the pseudogap metal.

Why are  $T_c$  and  $T_{CDW}$  about the same?



Quantum oscillations in the CDW phase at low  $T$  show only a single electron pocket.

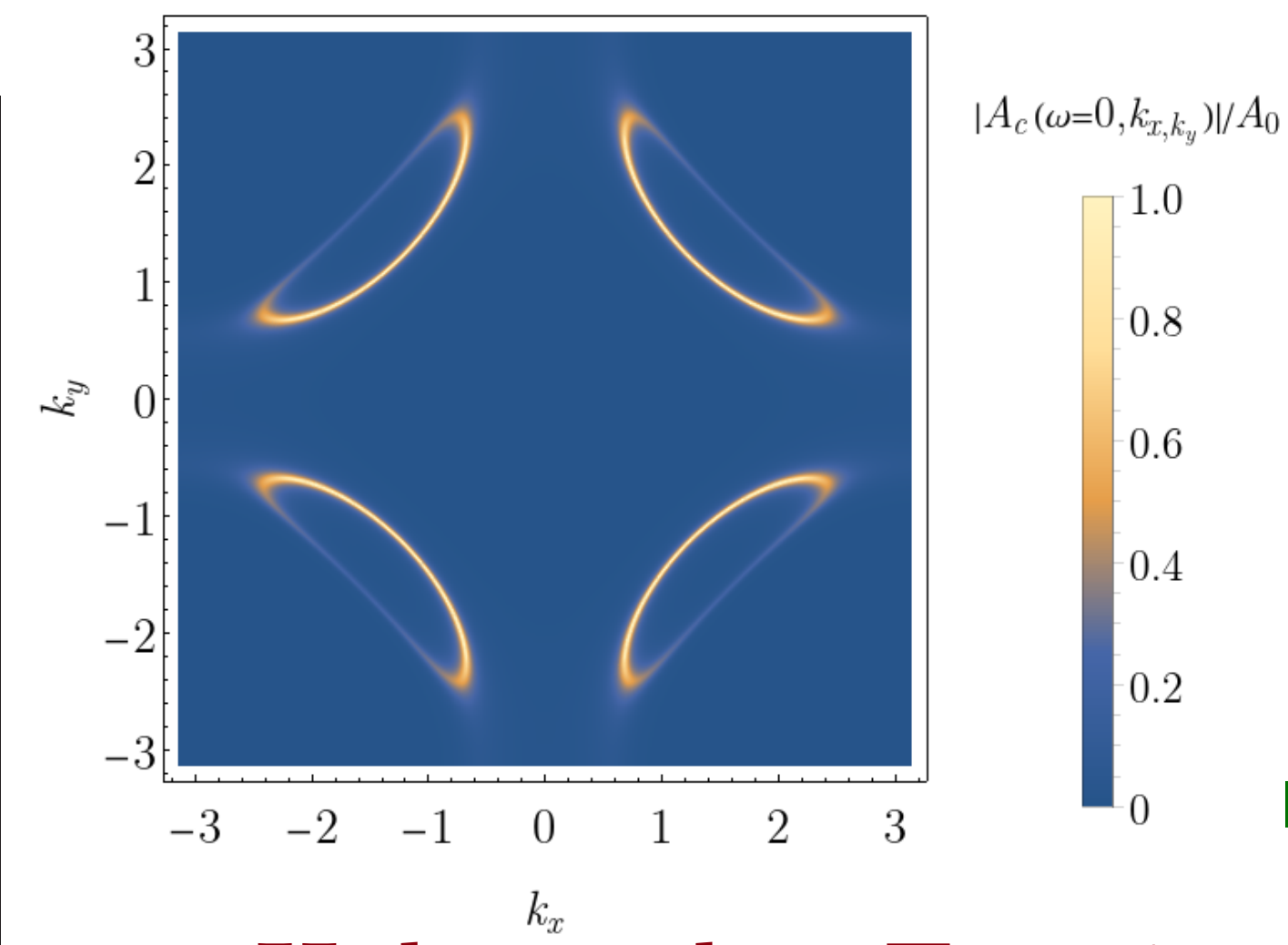
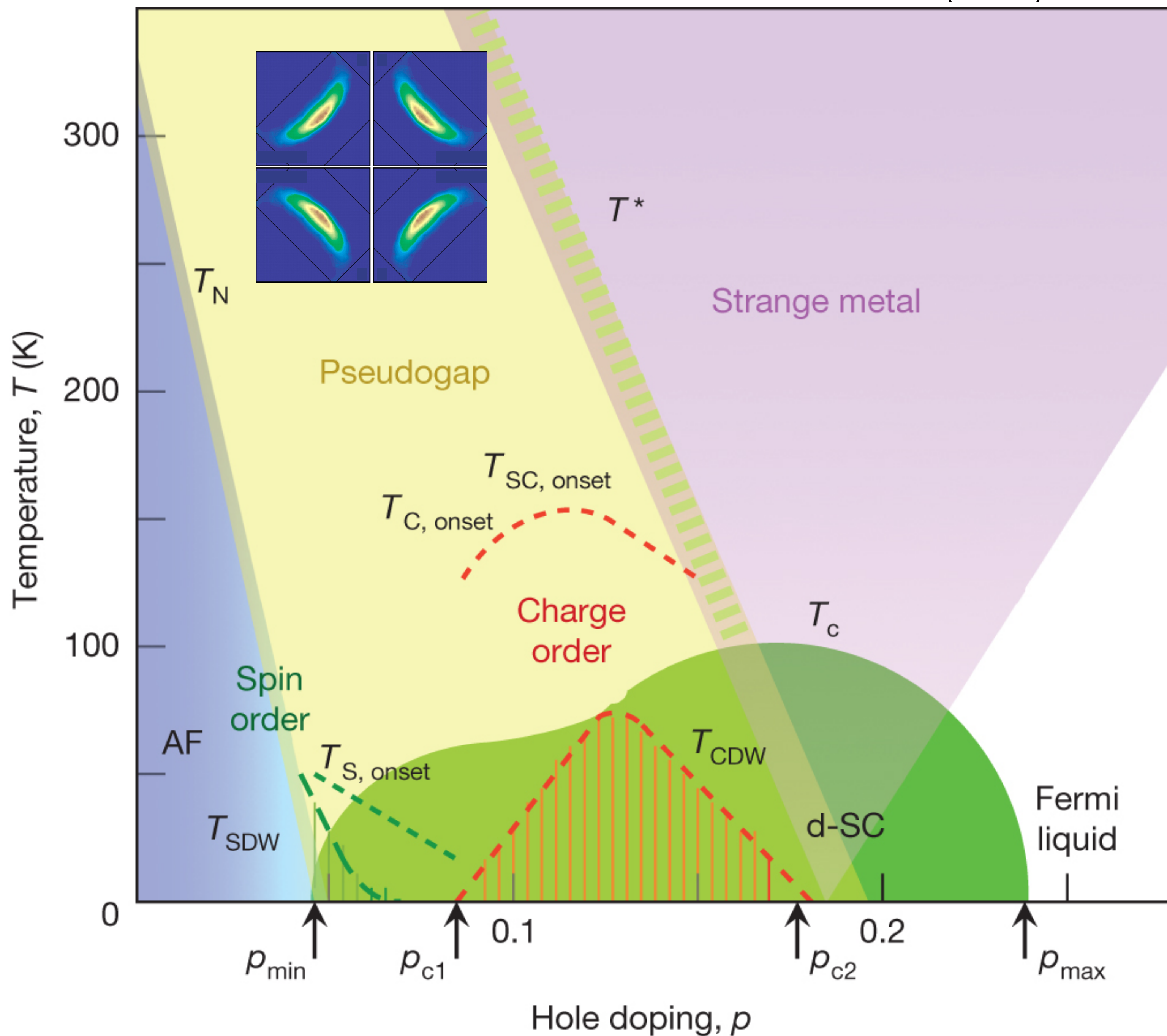
This cannot be obtained in the theory of CDWs in a Fermi liquid.



Theory for  
“pseudogap metal”  
with “Fermi arcs”?

Use the pseudogap metal  
in place of the Fermi liquid  
as the ‘parent’ to  
*conventional*  
*d*-wave superconductor,  
charge density wave,  
spin density wave,  
pair density wave

...



Ya-Hui Zhang and  
S. Sachdev, *PRR* **2**,  
023172 (2020)

E. Mascot,  
A. Nikolaenko,  
M. Tikhonovskaya,  
Ya-Hui Zhang,  
D. K. Morr, and  
S. Sachdev, *PRB*  
**105**, 075146 (2022)

Hole pocket Fermi surfaces  
of size  $p$  with  
charge  $e$ , spin-1/2 quasiparticles

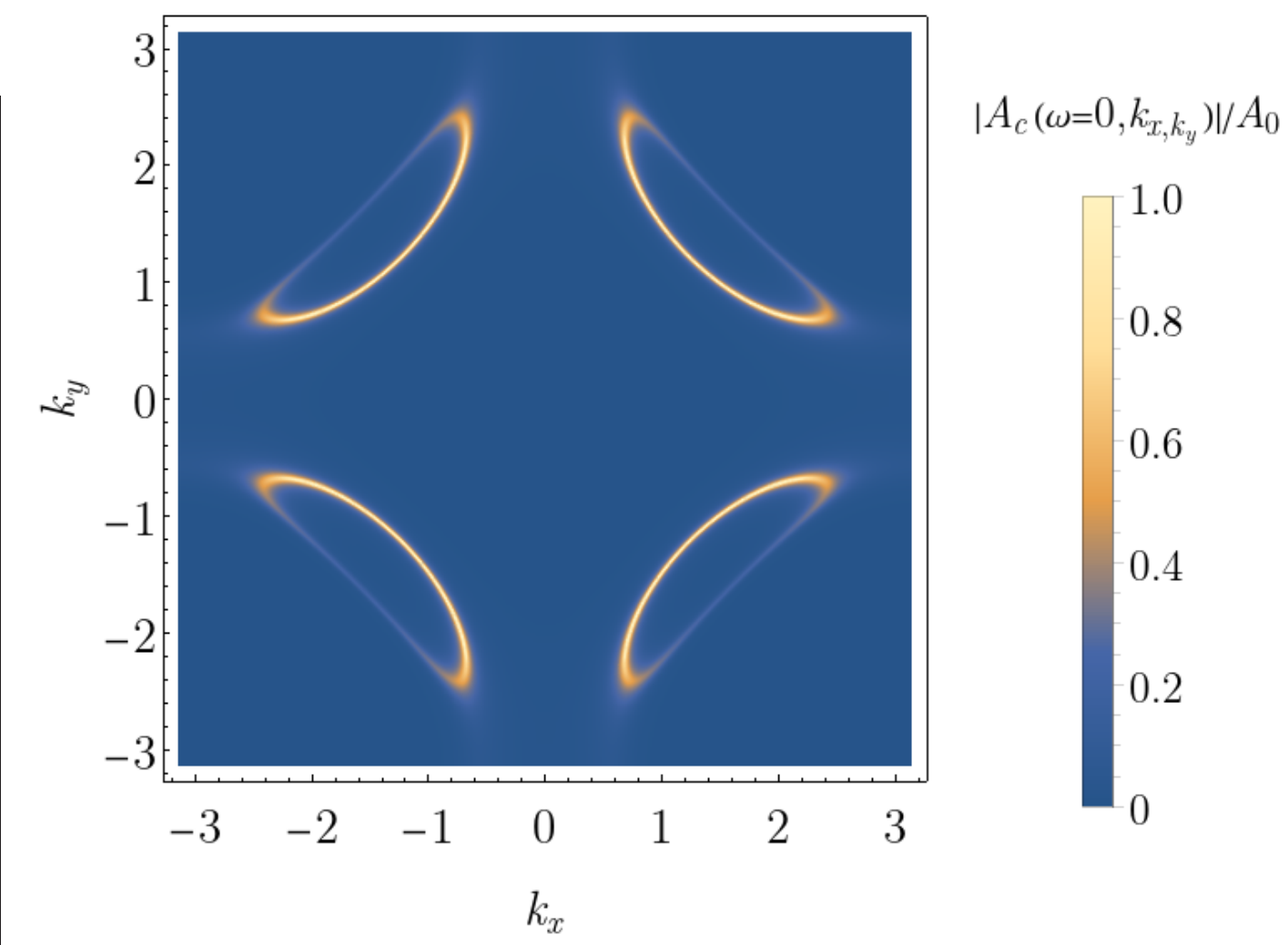
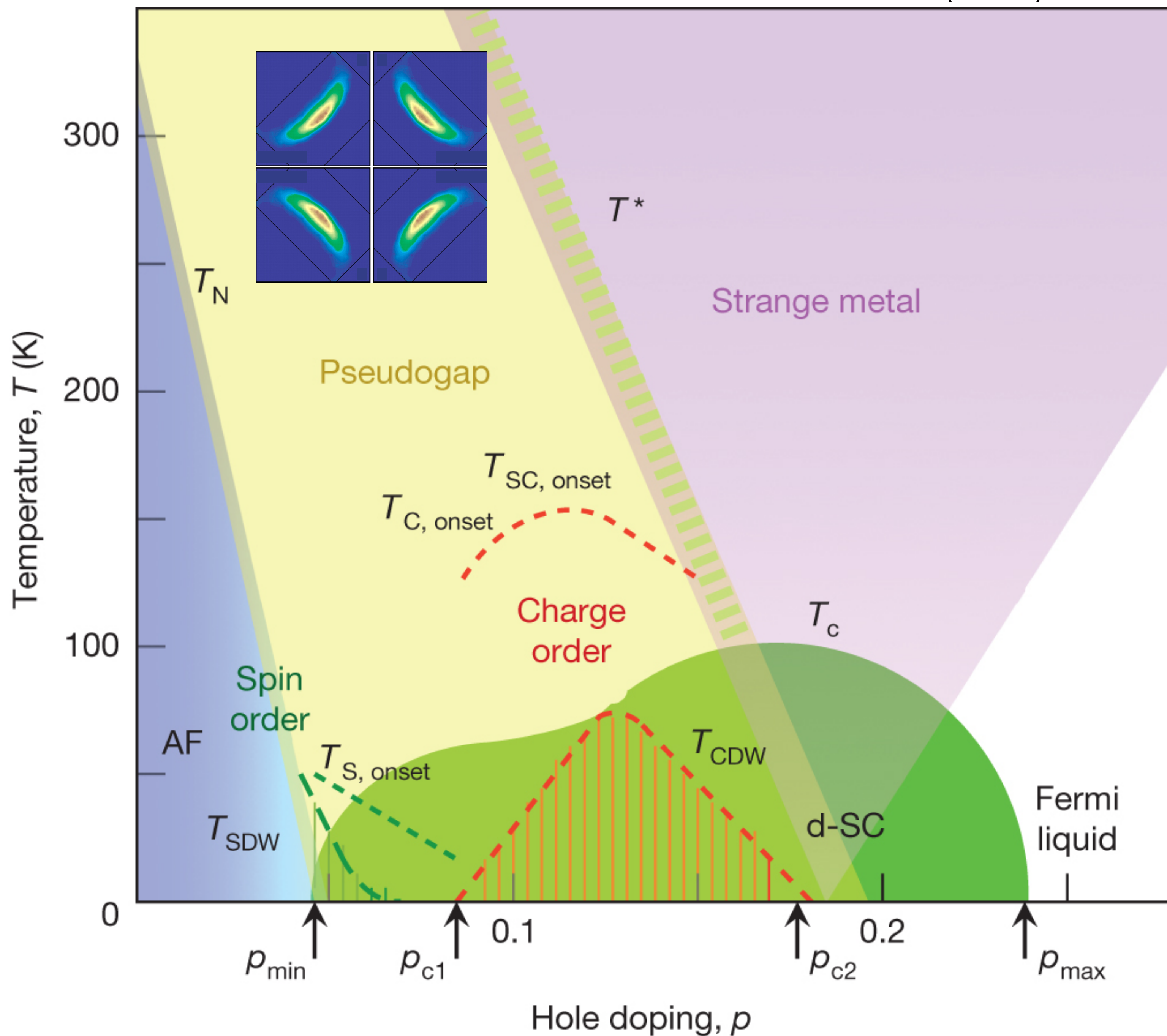
Kai-Yu Yang, T. M. Rice, Fu-Chun Zhang,  
*PRB* **73**, 174501 (2006).

T. D. Stanescu and G. Kotliar,  
*PRB* **74**, 125110 (2006).

C. Berthod, T. Giamarchi, S. Biermann, and A. Georges,  
*PRL* **97**, 136401 (2006).

S. Sakai, Y. Motome, M. Imada,  
*PRL* **102**, 056404 (2009).

J. Skolimowski and M. Fabrizio,  
*PRB* **106**, 045109 (2022).



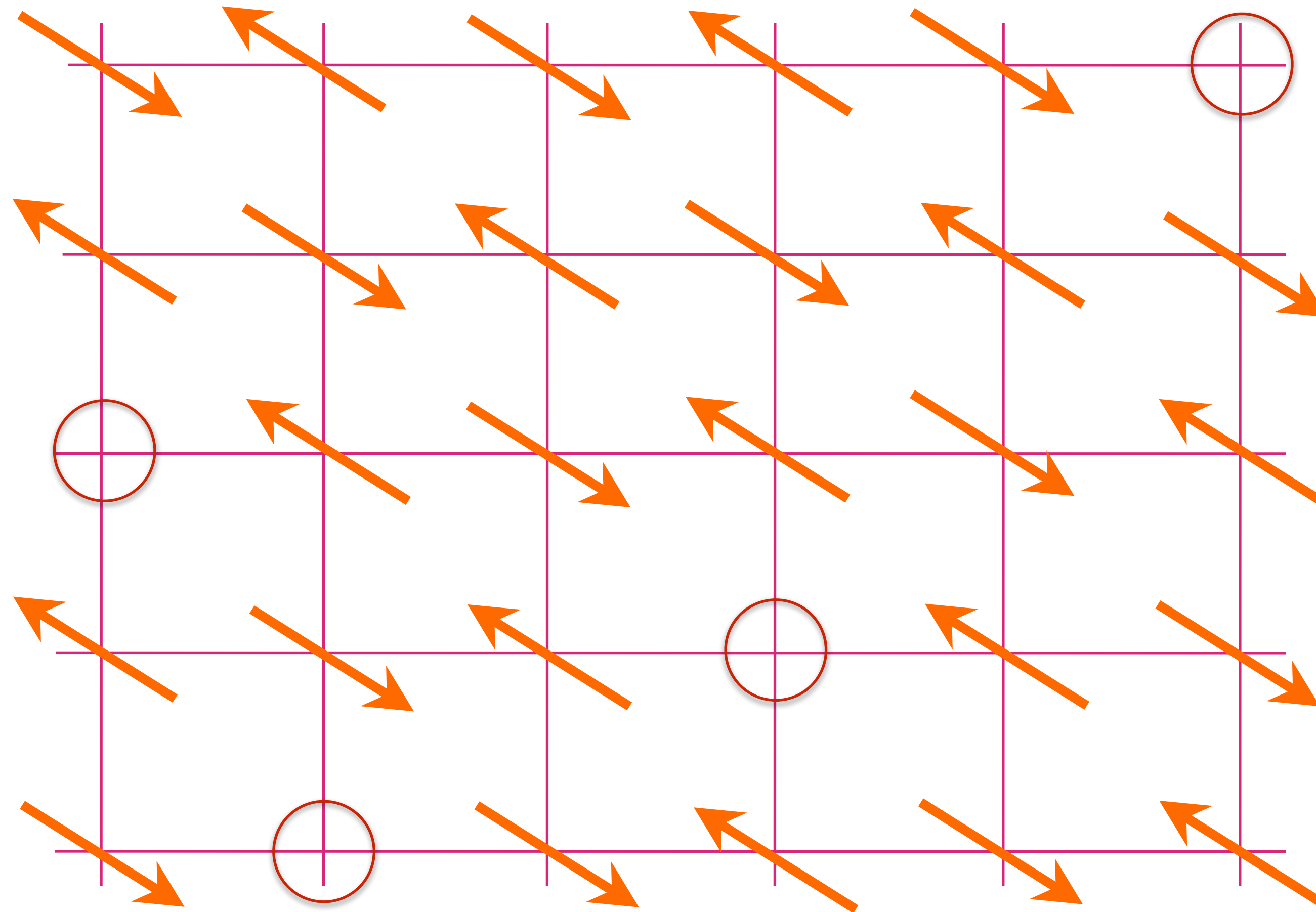
Ya-Hui Zhang and  
S. Sachdev, PRR **2**,  
023172 (2020)

E. Mascot,  
A. Nikolaenko,  
M. Tikhonovskaya,  
Ya-Hui Zhang,  
D. K. Morr, and  
S. Sachdev, PRB  
**105**, 075146 (2022)

Hole pocket Fermi surfaces  
of size  $p$  with  
charge  $e$ , spin-1/2 quasiparticles  
+  
'spectator'  
square lattice spin liquid  
at half-filling.

FL\*: Spin liquid is *required* because  
the Fermi surface does not enclose  
the Luttinger volume  $(1 + p)$ .

# Earlier approach to FL\* in a **one-band** model

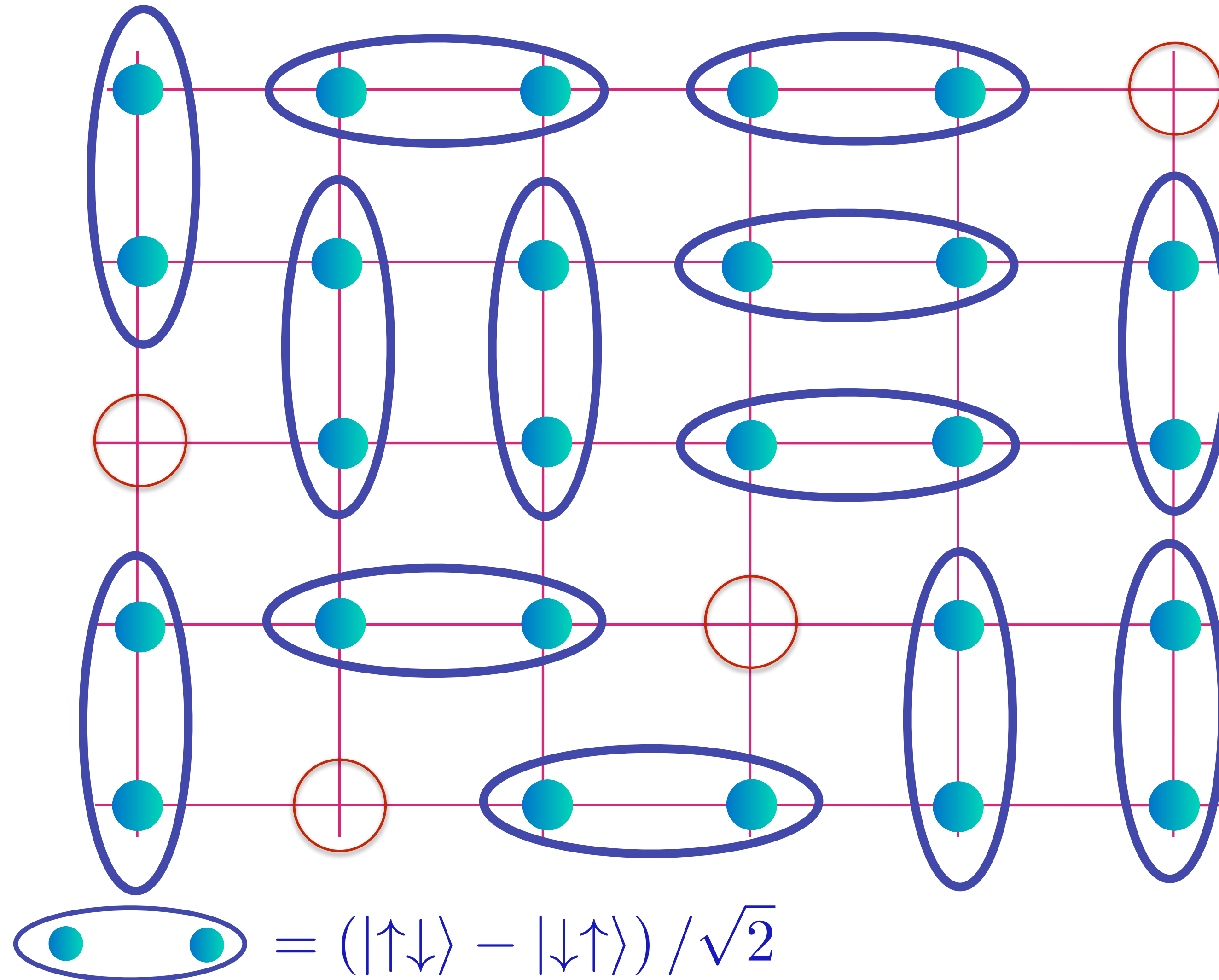


Anti-ferromagnet with  $p$  holes per square

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

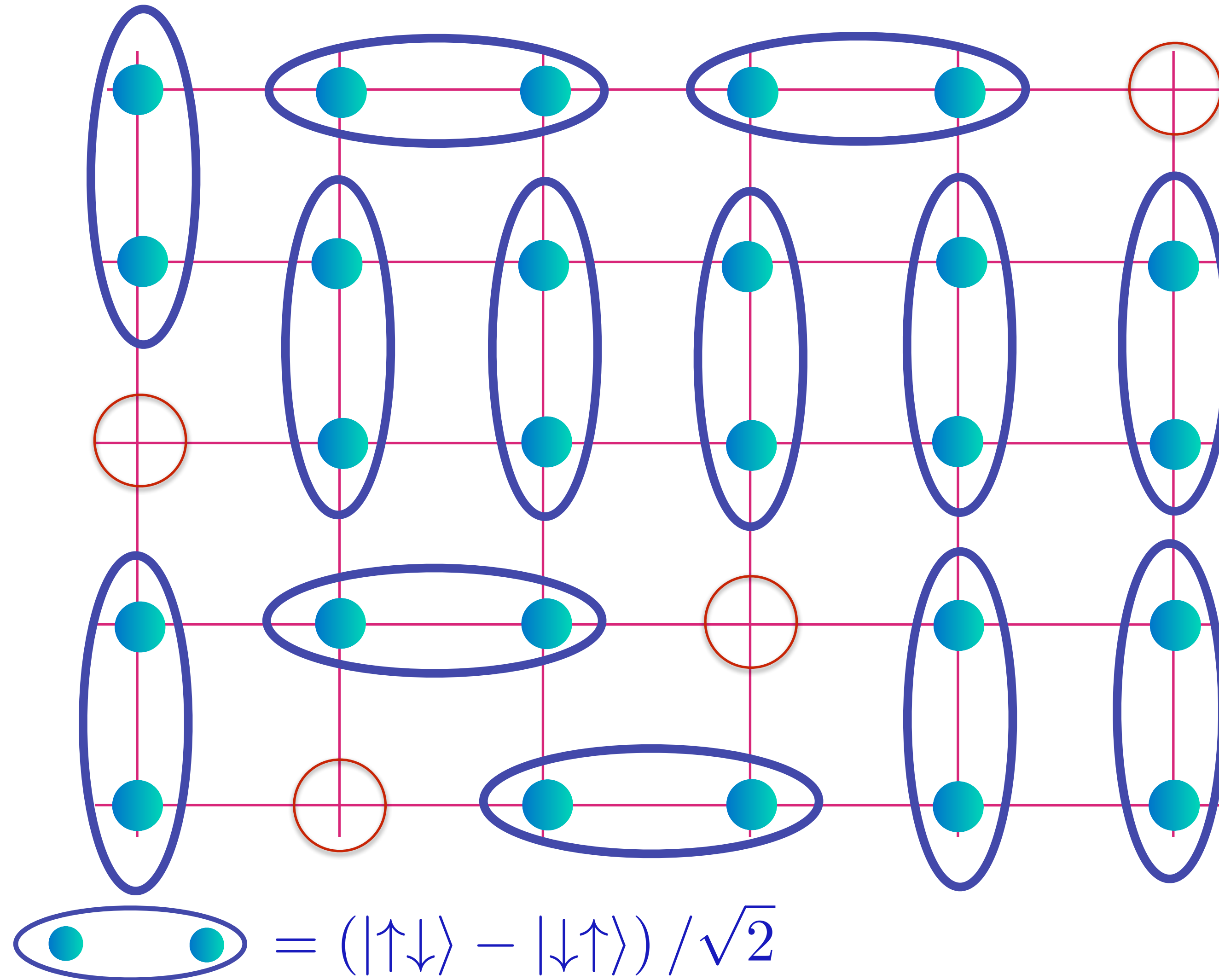


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

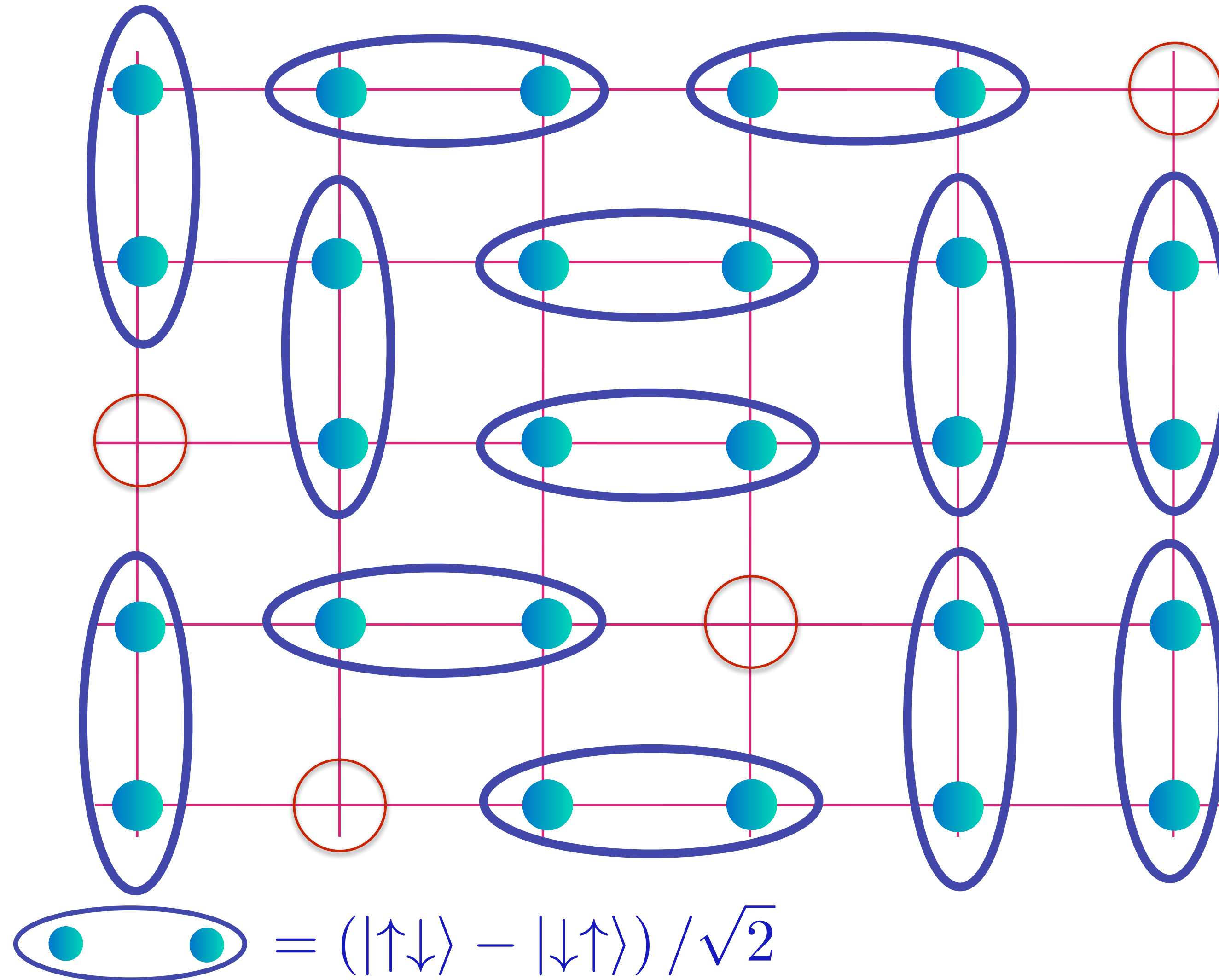


Spin liquid  
with density  
 $p$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

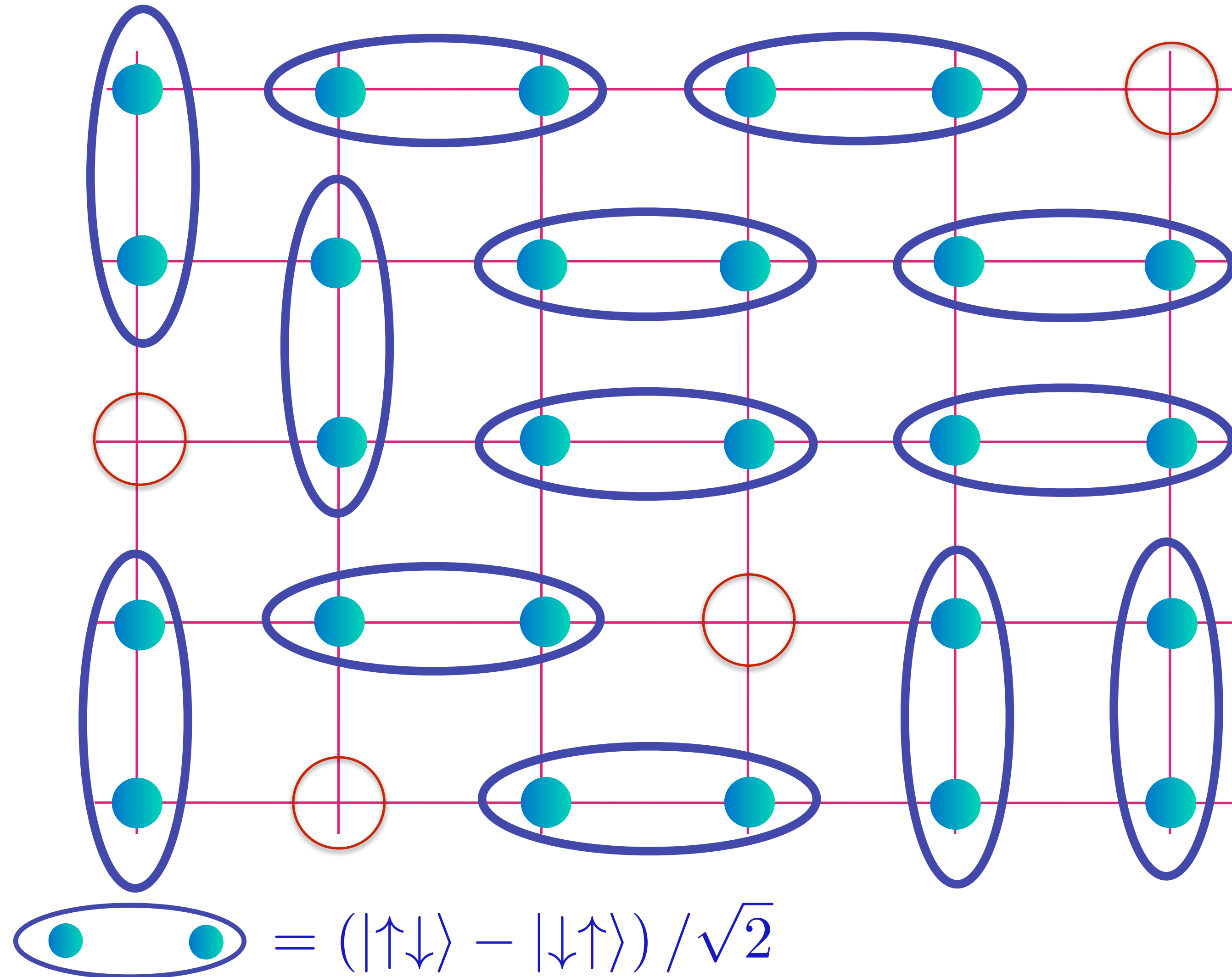


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

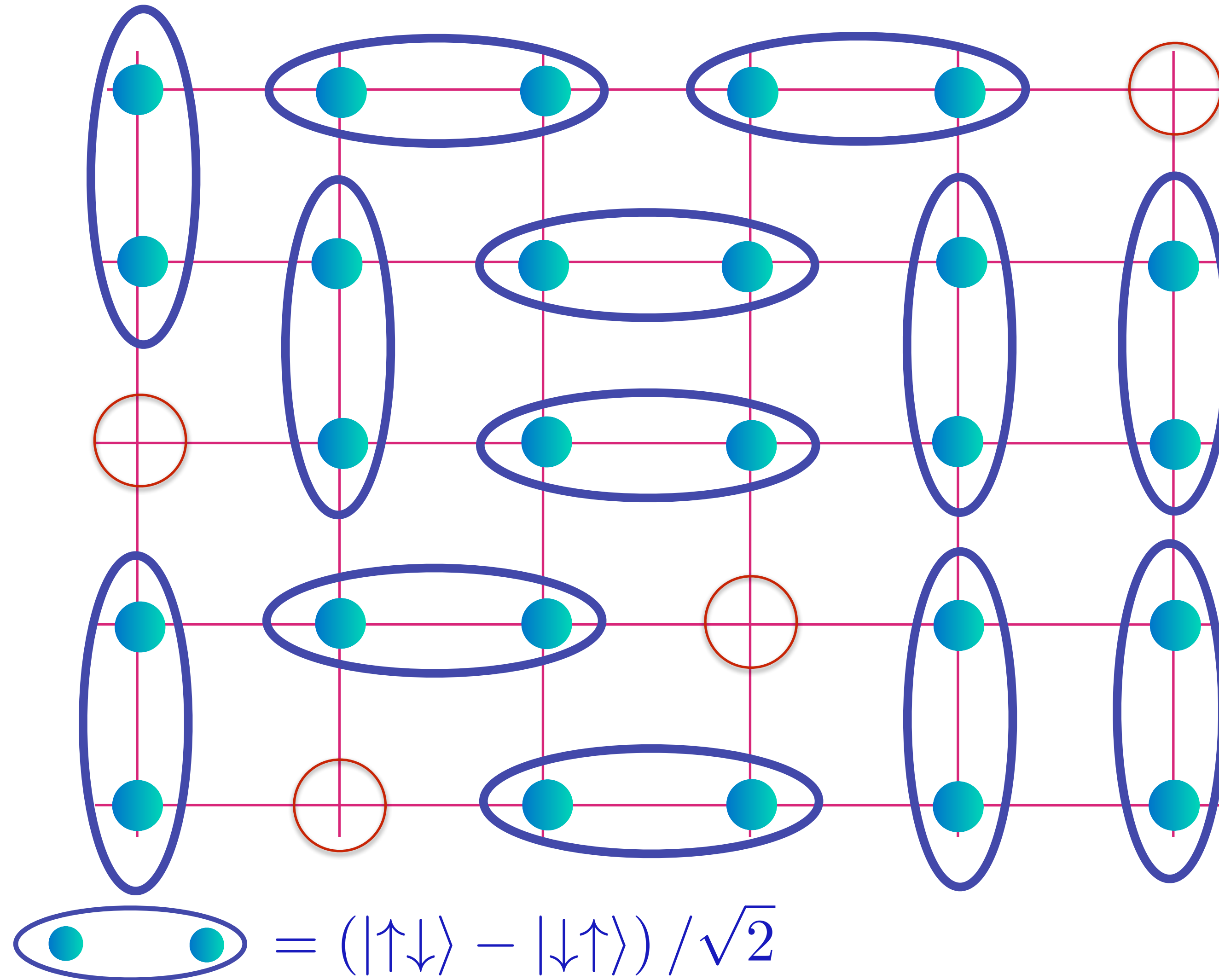


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

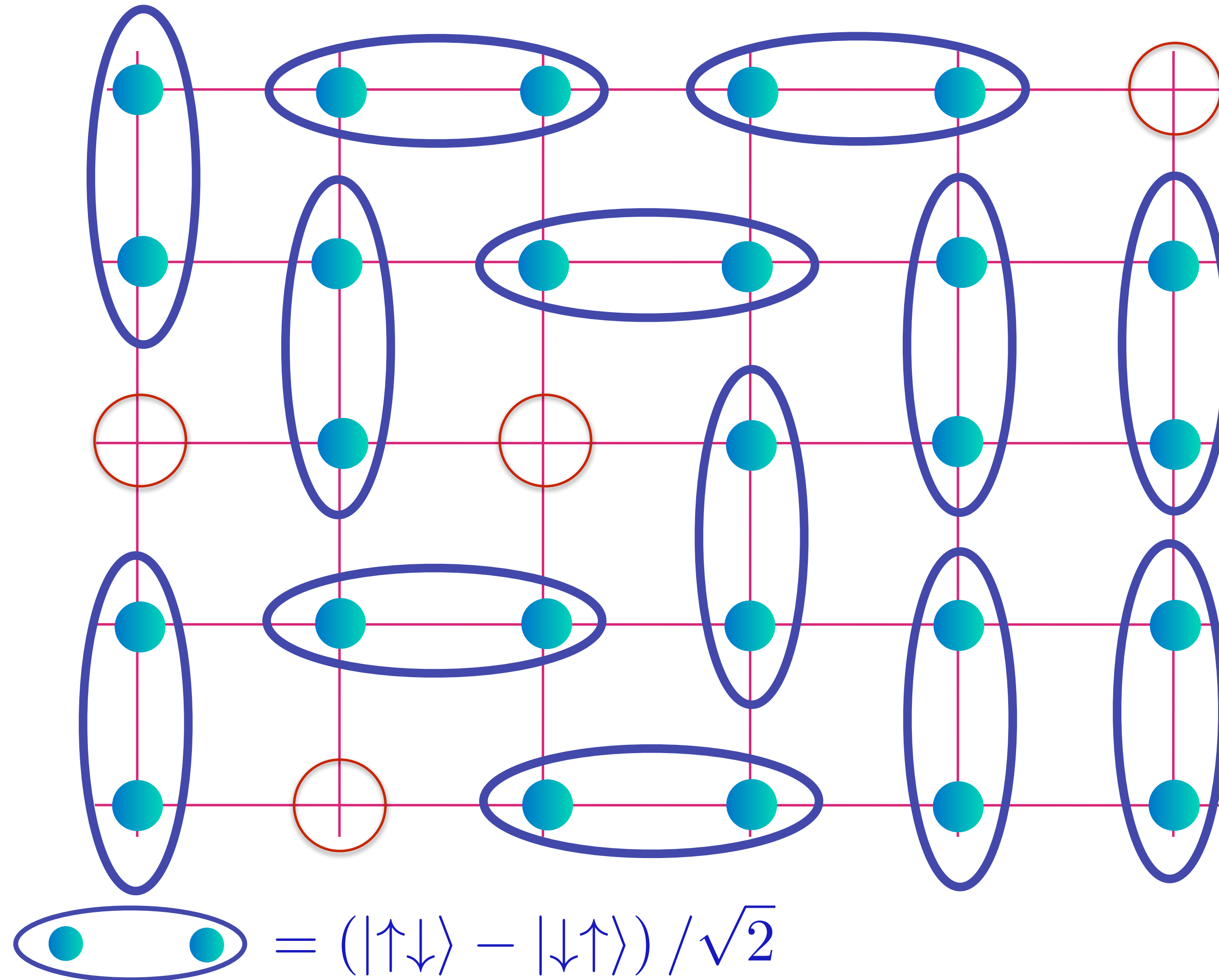


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

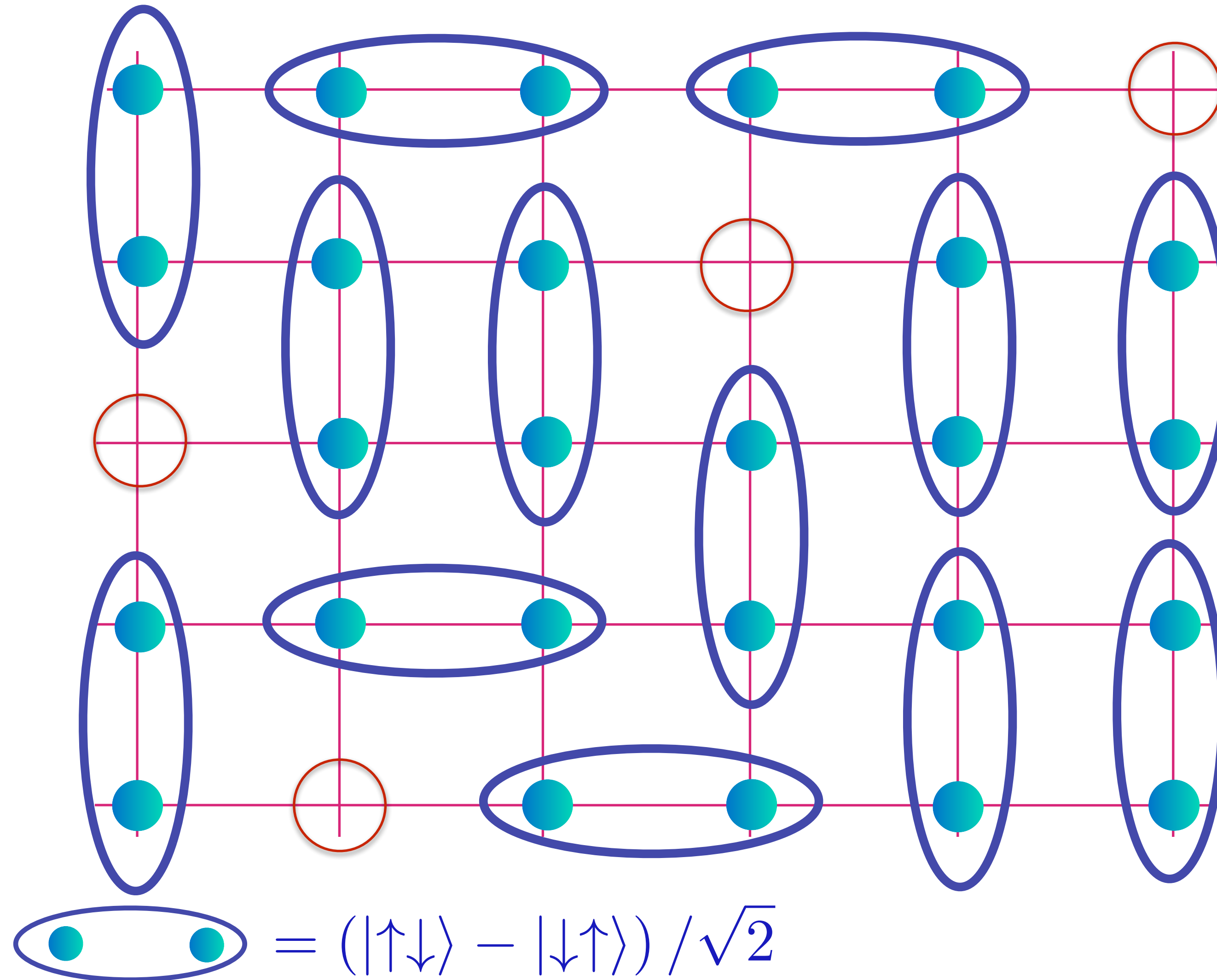


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

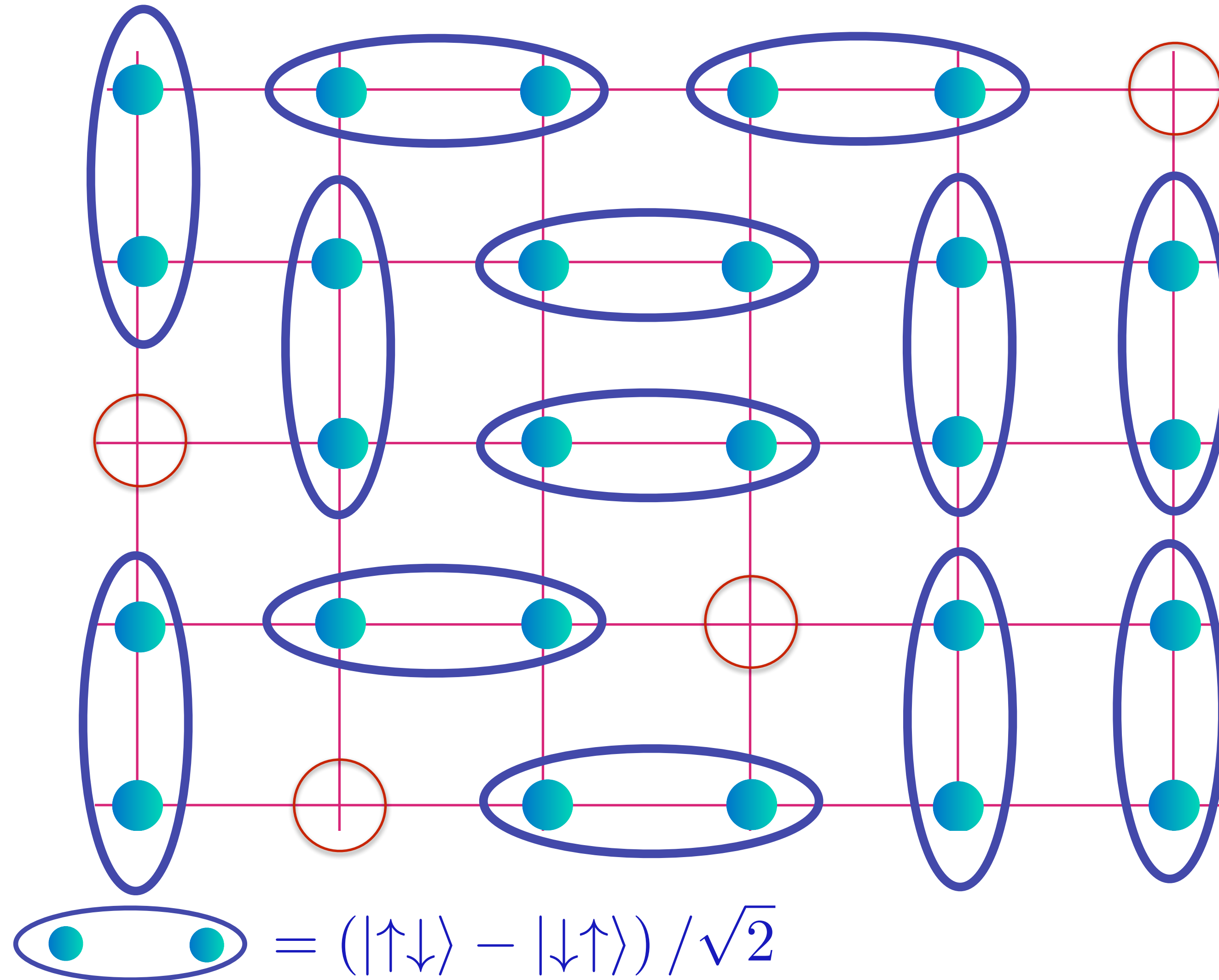


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

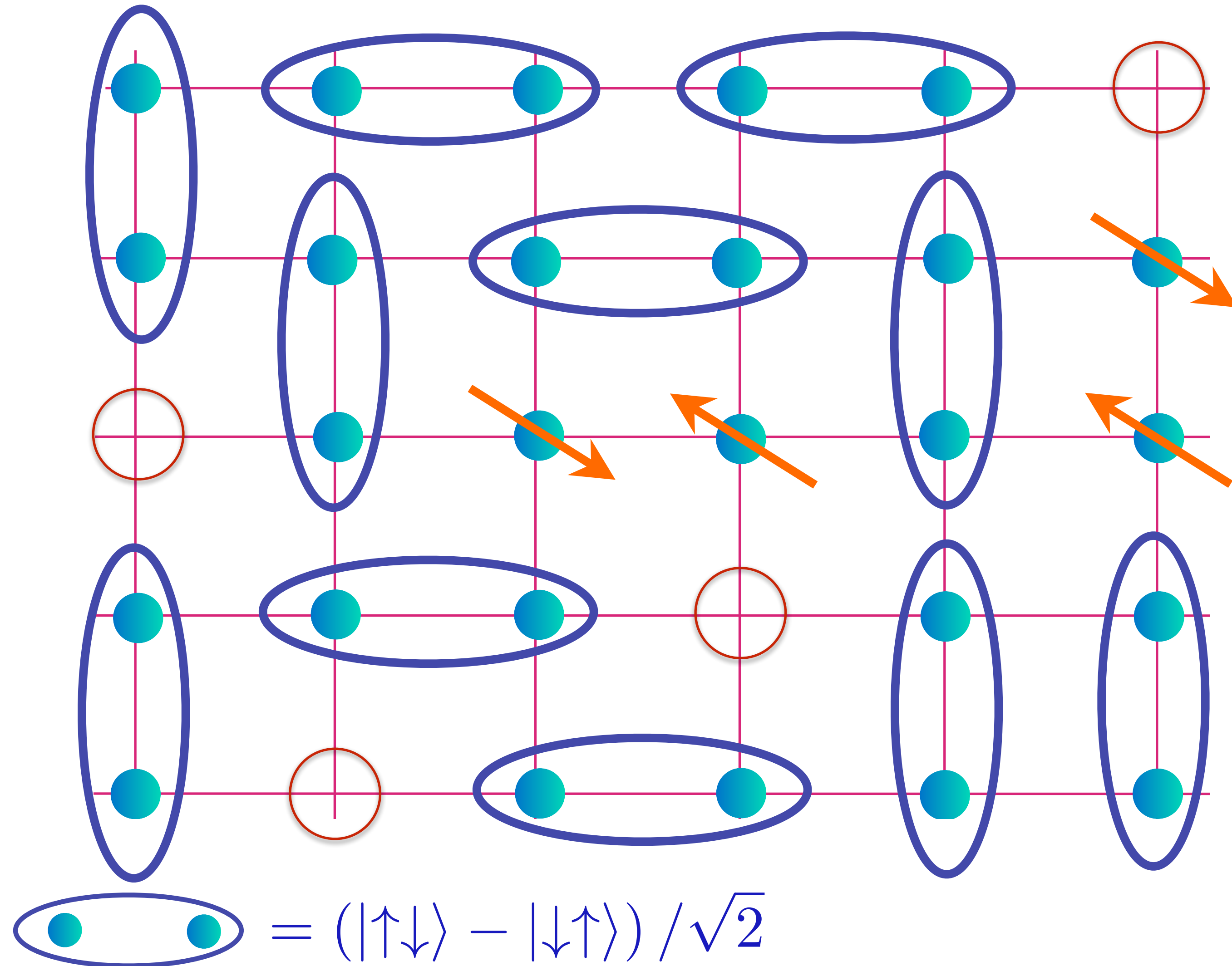


Spin liquid  
with density  
 $p$  of spinless,  
charge  $+e$   
“holons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

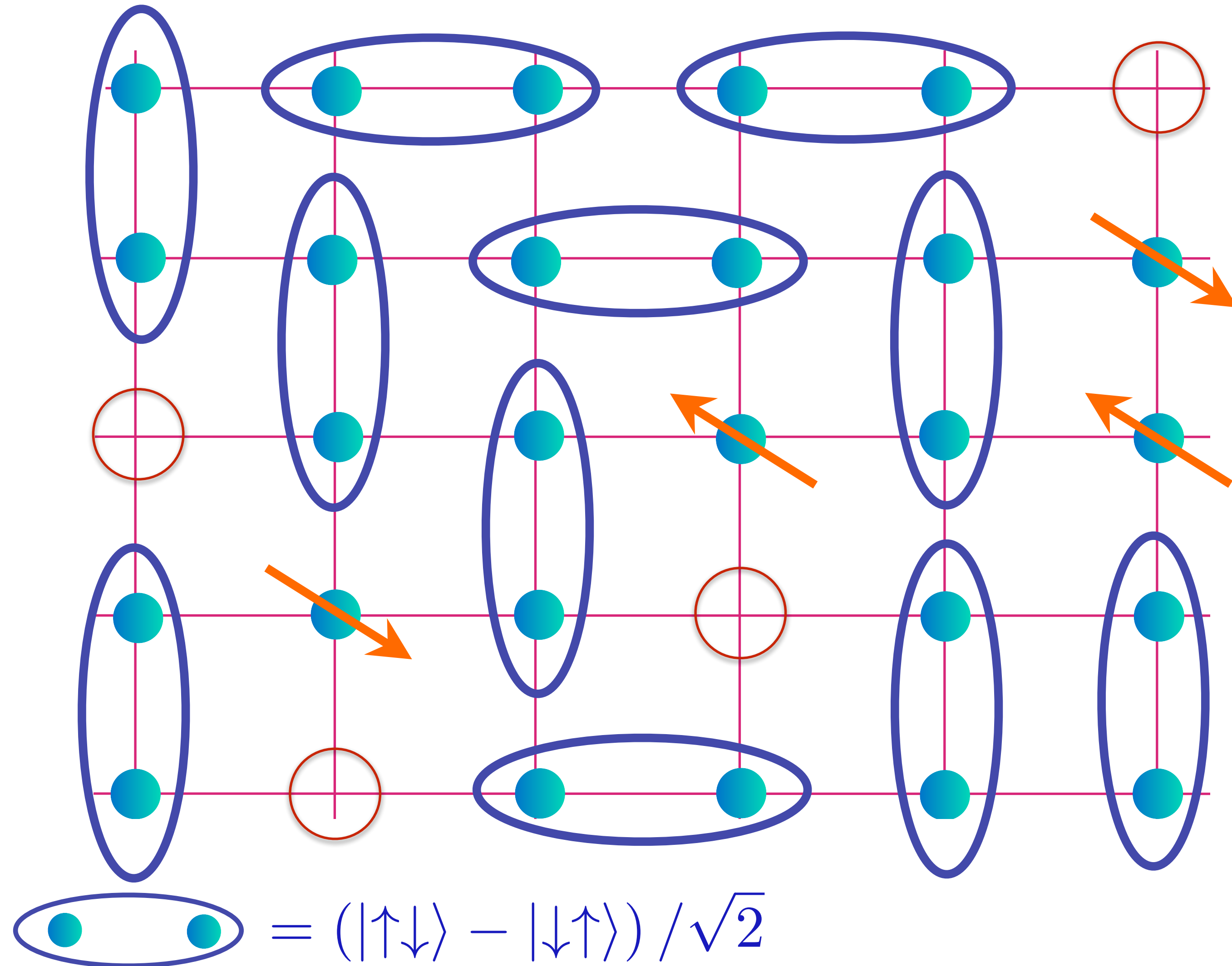


Spin liquid  
with density  
 $p$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

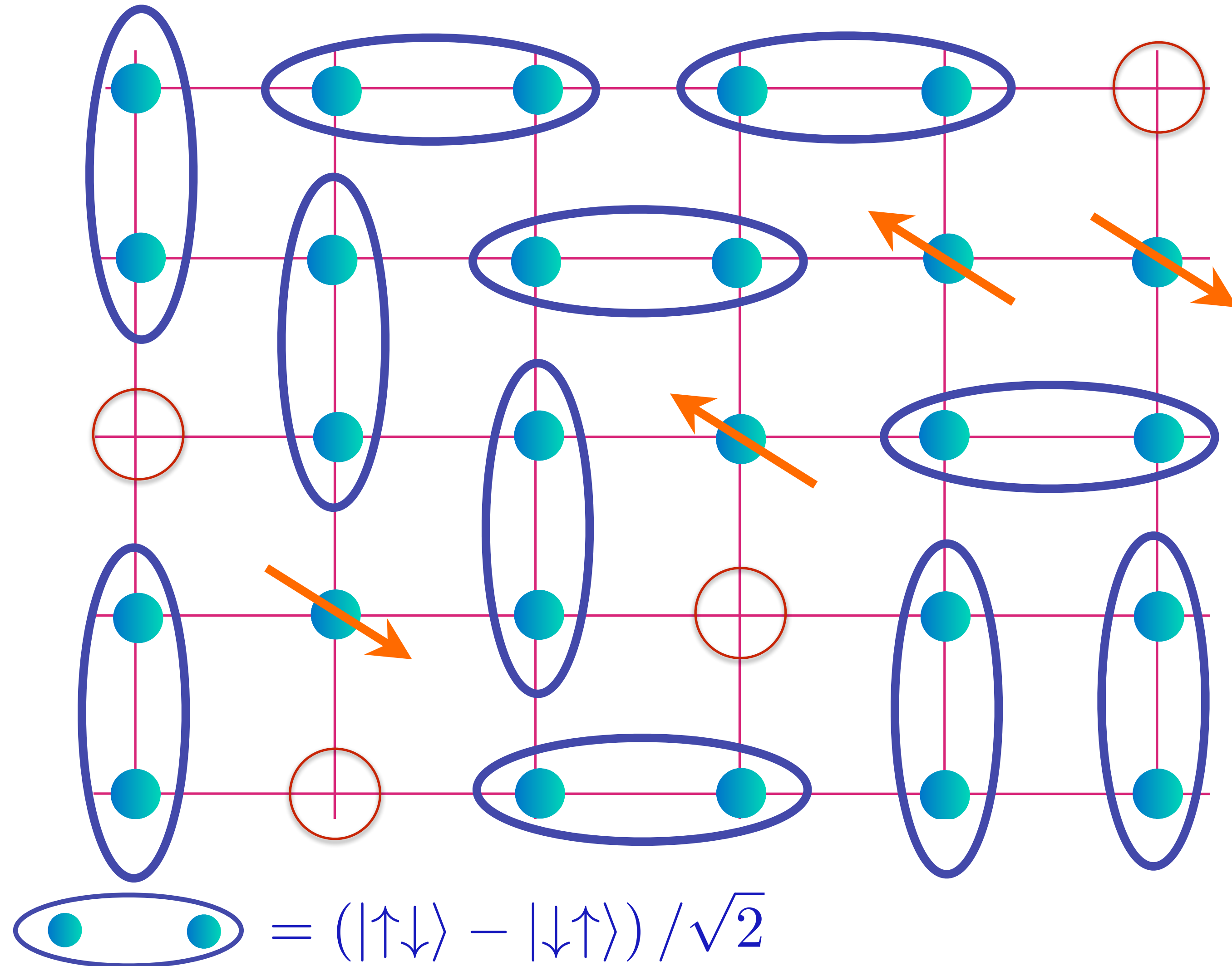


Spin liquid  
with density  
 $p$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

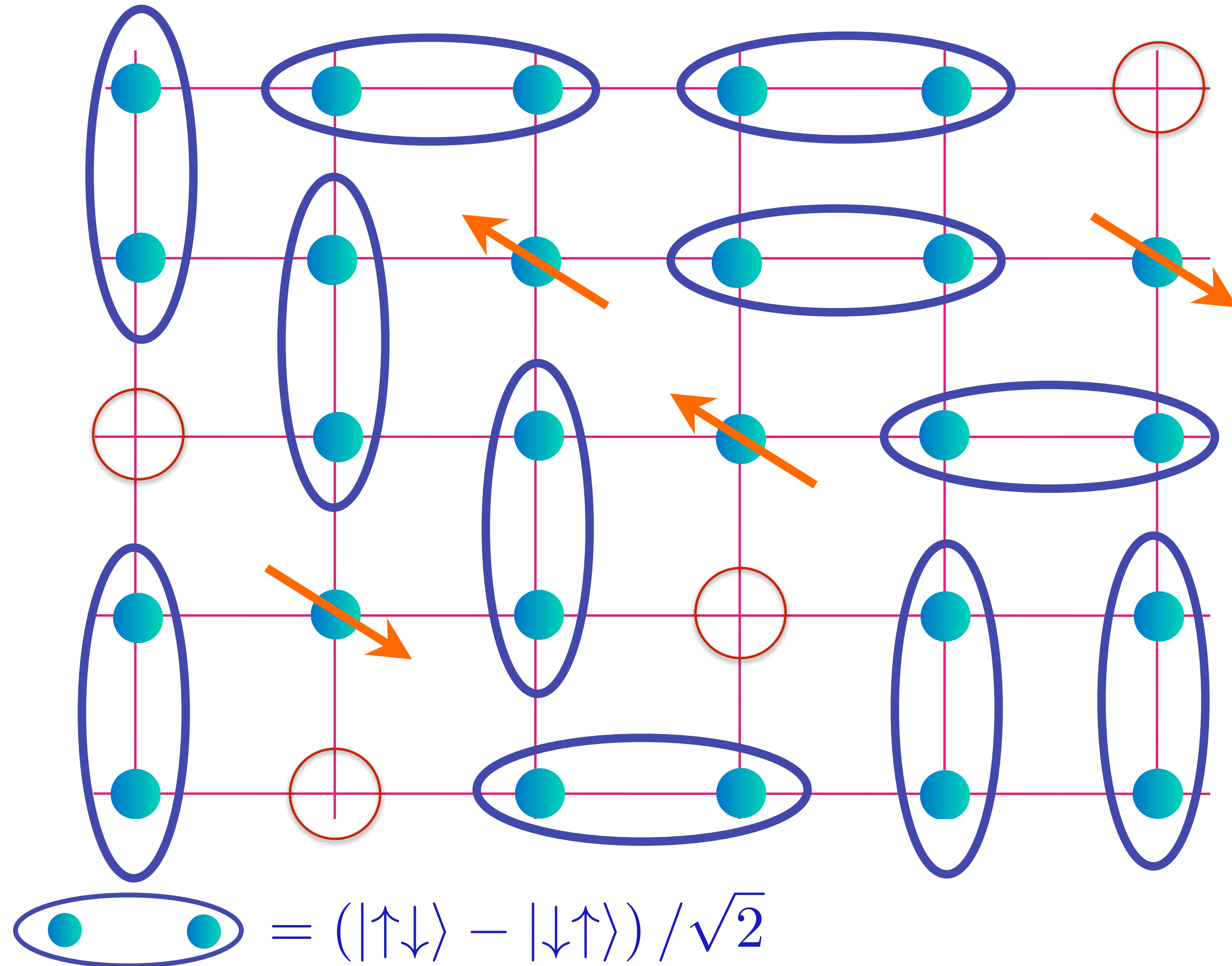


Spin liquid  
with density  
 $p$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

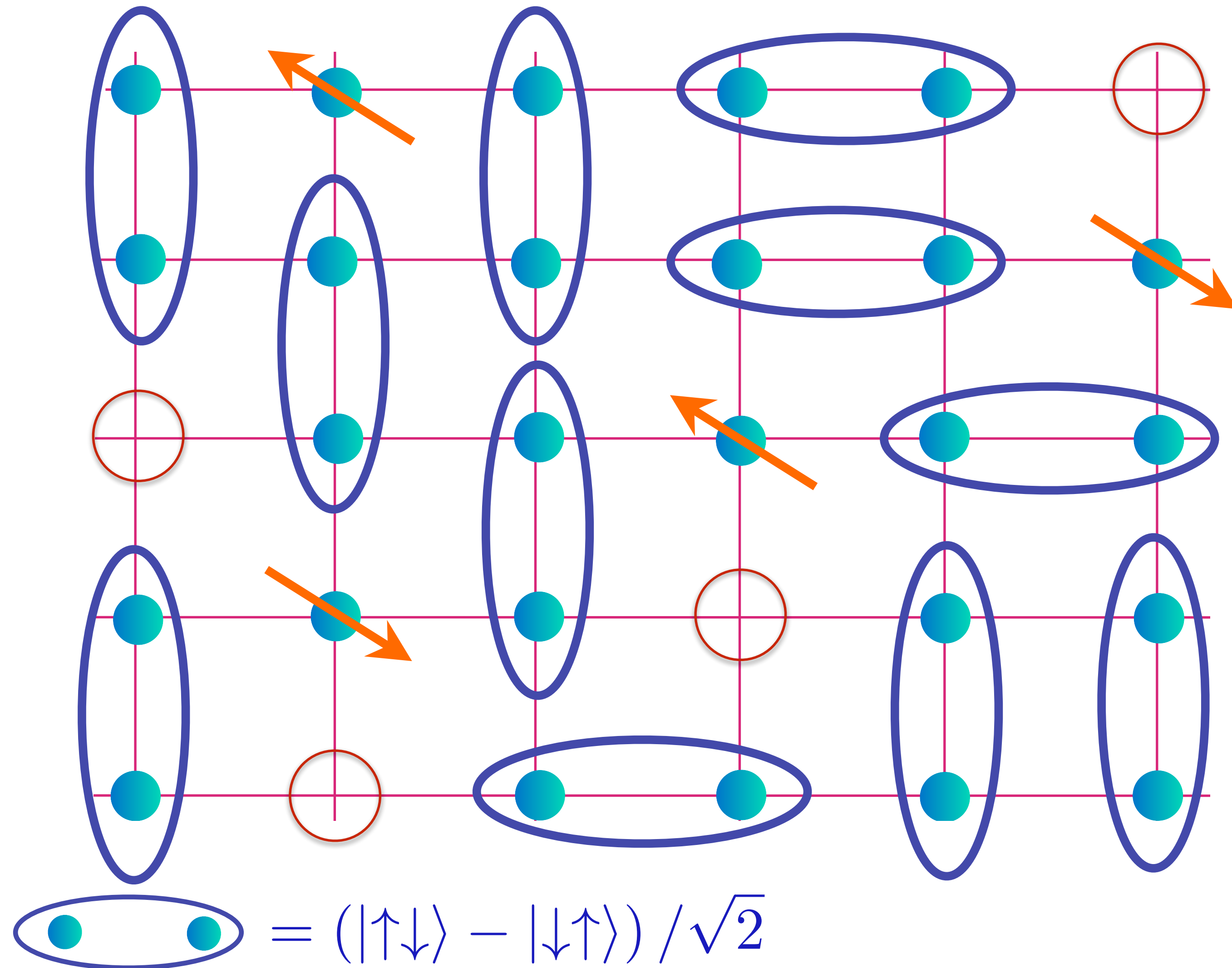


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

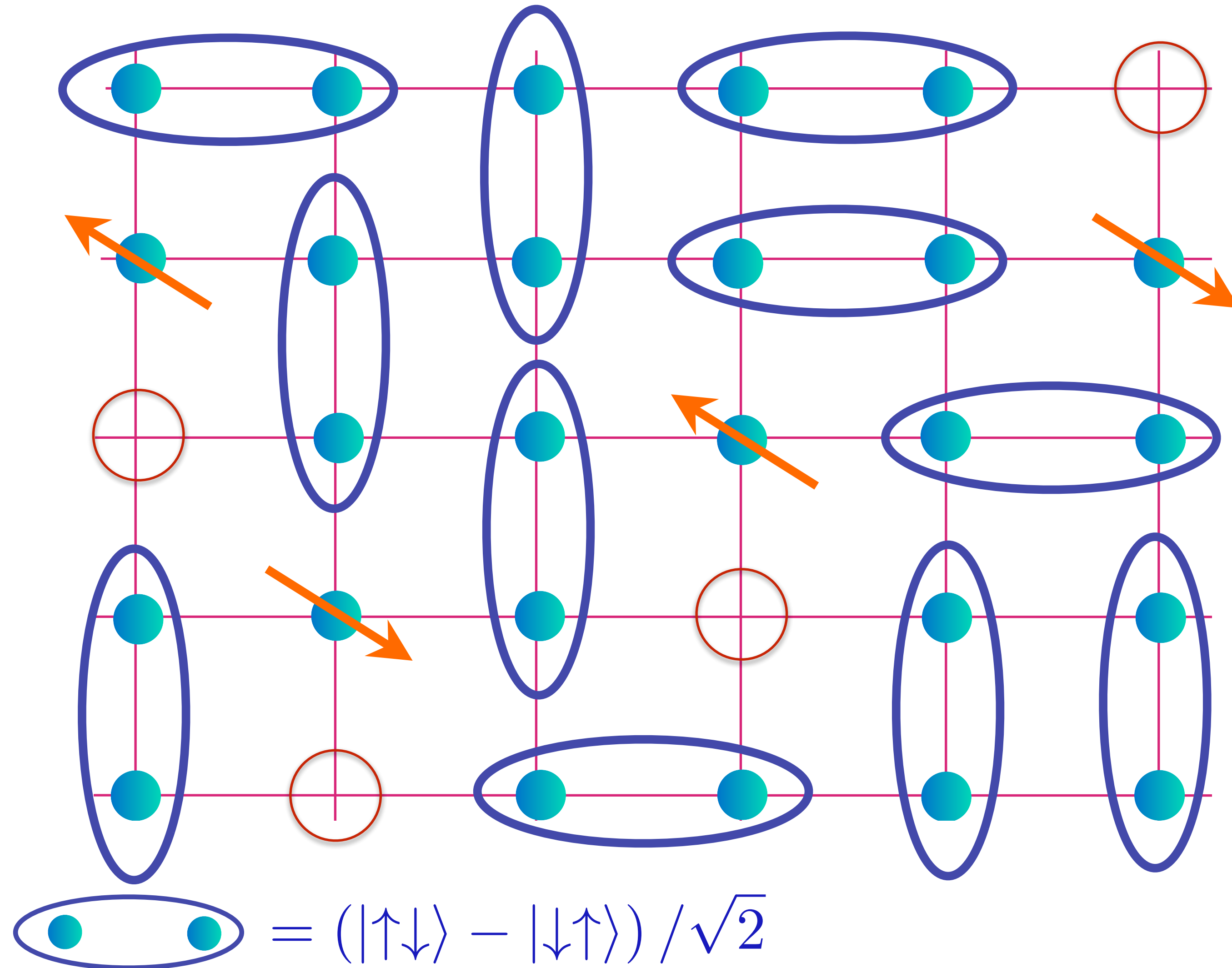


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

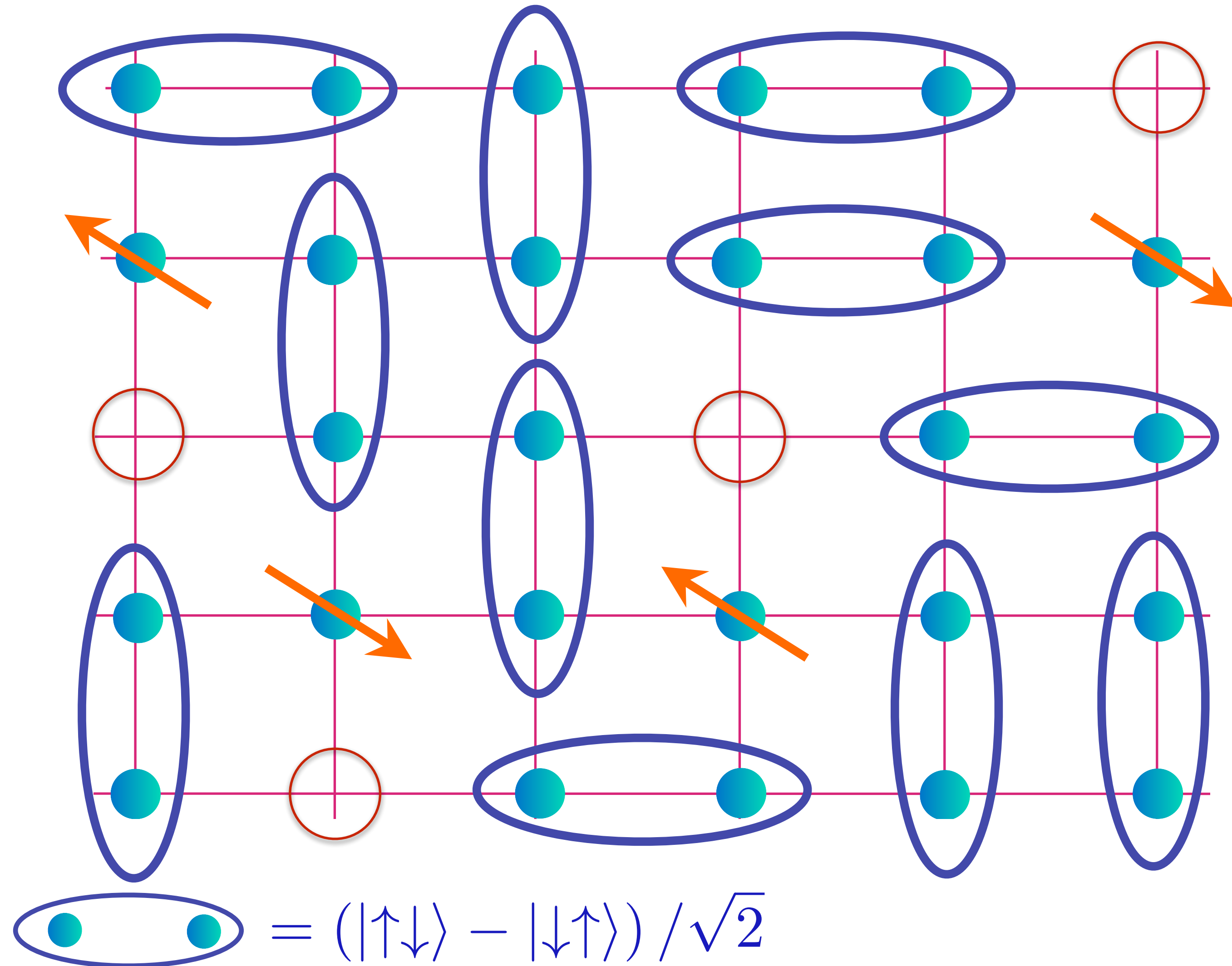


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

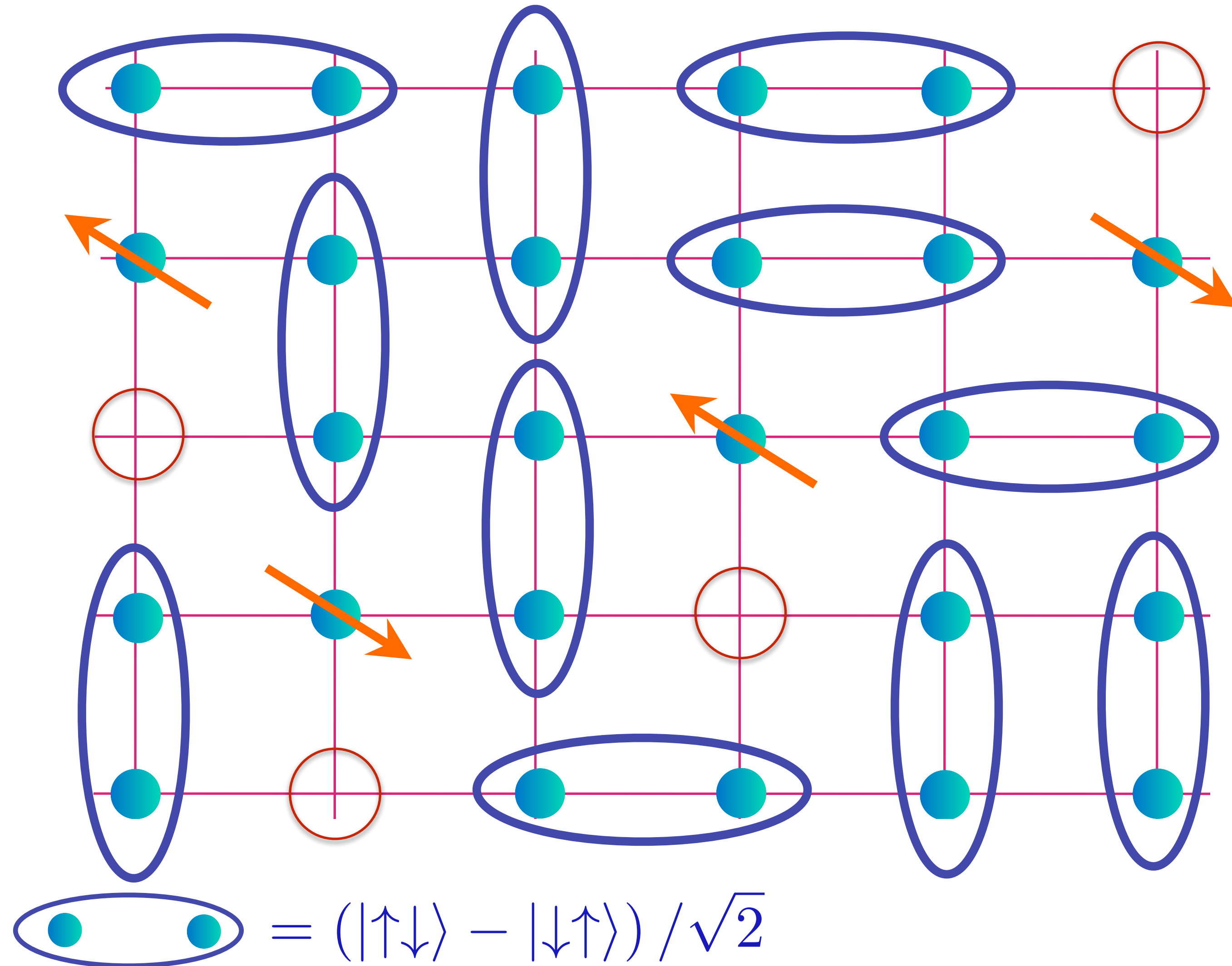


Spin liquid  
with density  $p$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Holon metal

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, PRB **35**, 8865 (1987)

D. Rokhsar and S.A. Kivelson, PRL **61**, 2376 (1988)

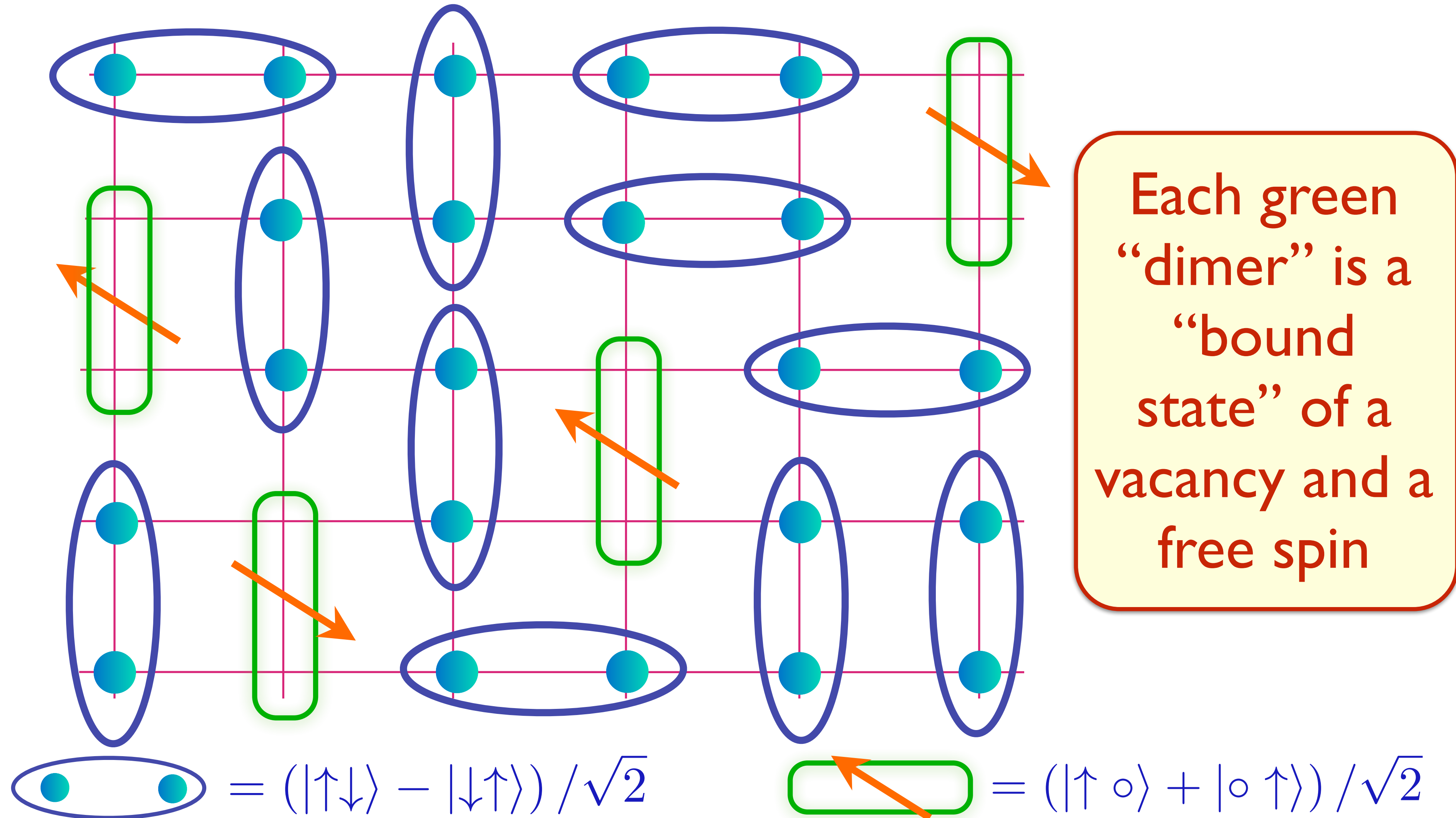


Spin liquid  
with density  
 $\rho$  of spinless,  
charge  $+e$   
“holons” and  
charge 0, spin-1/2  
“spinons”.

# Earlier approach to FL\* in a *one-band* model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

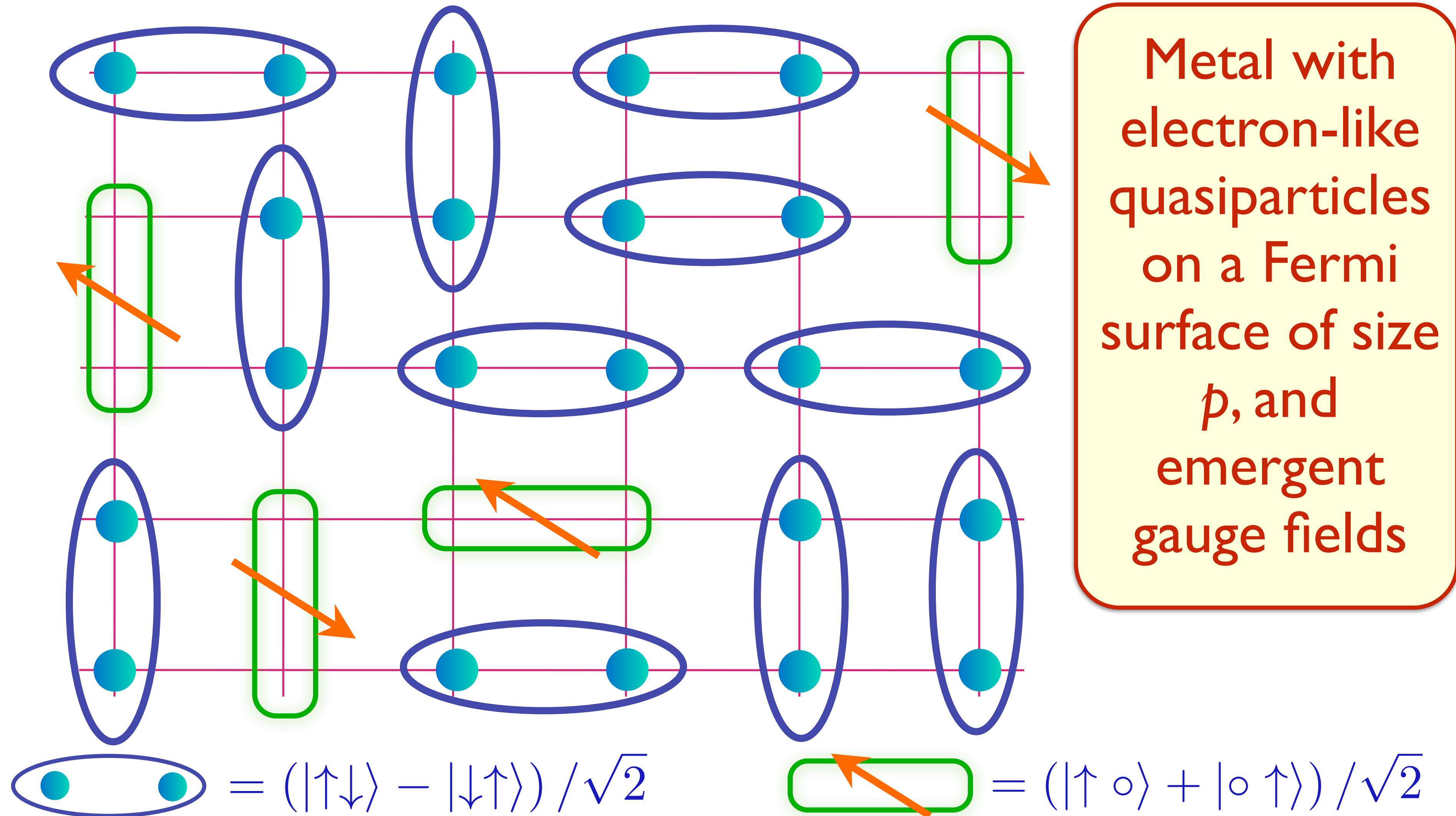


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

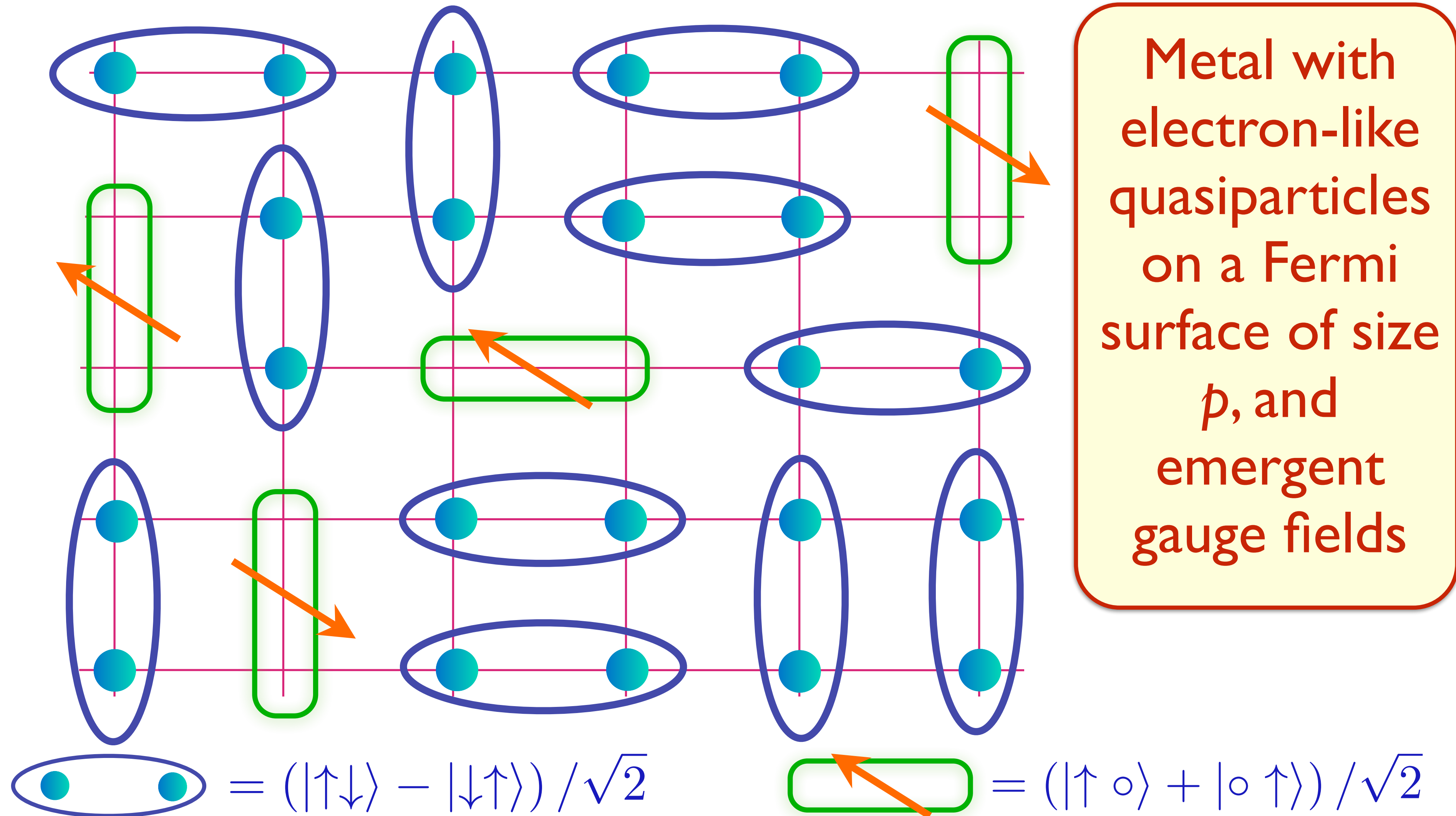


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

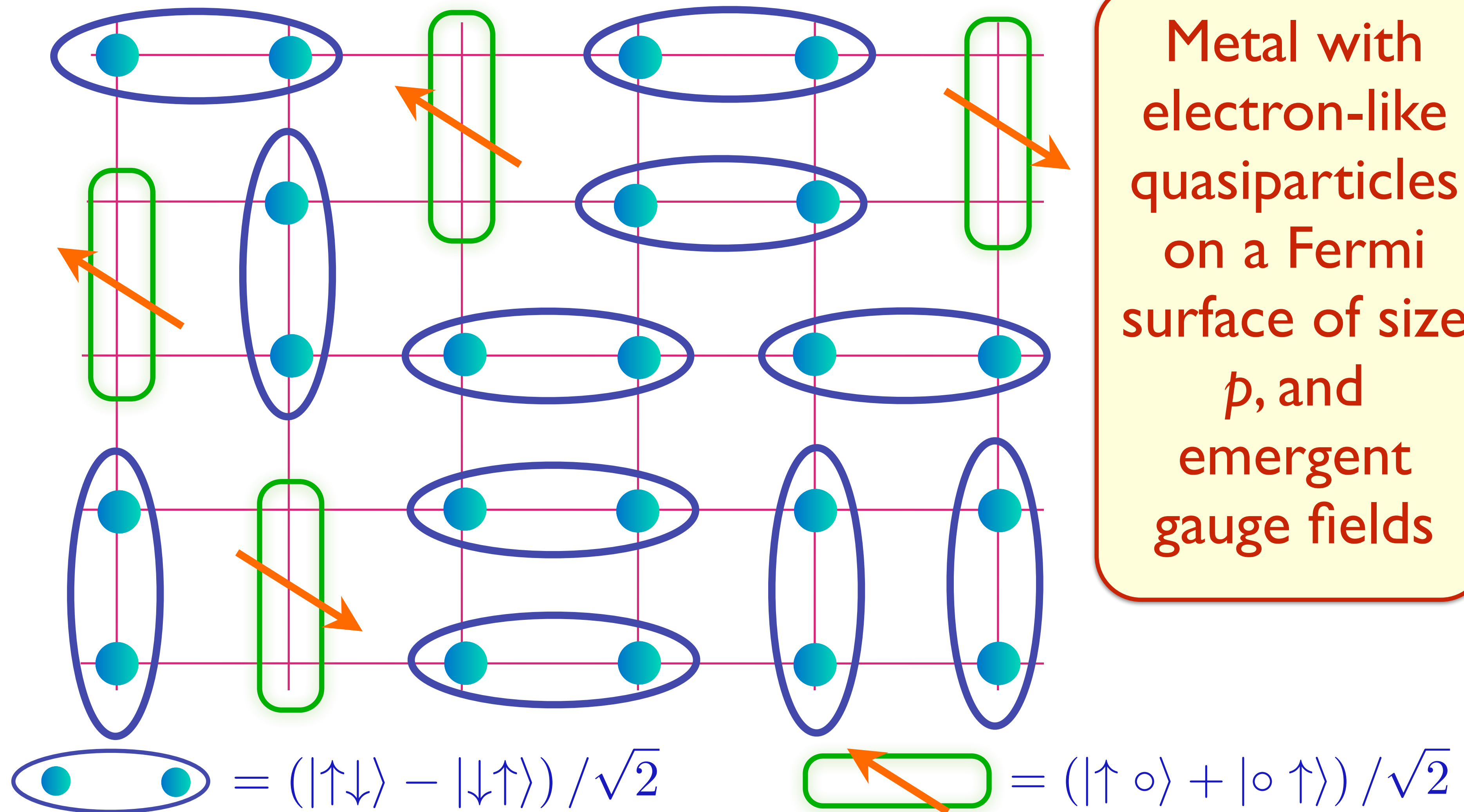


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

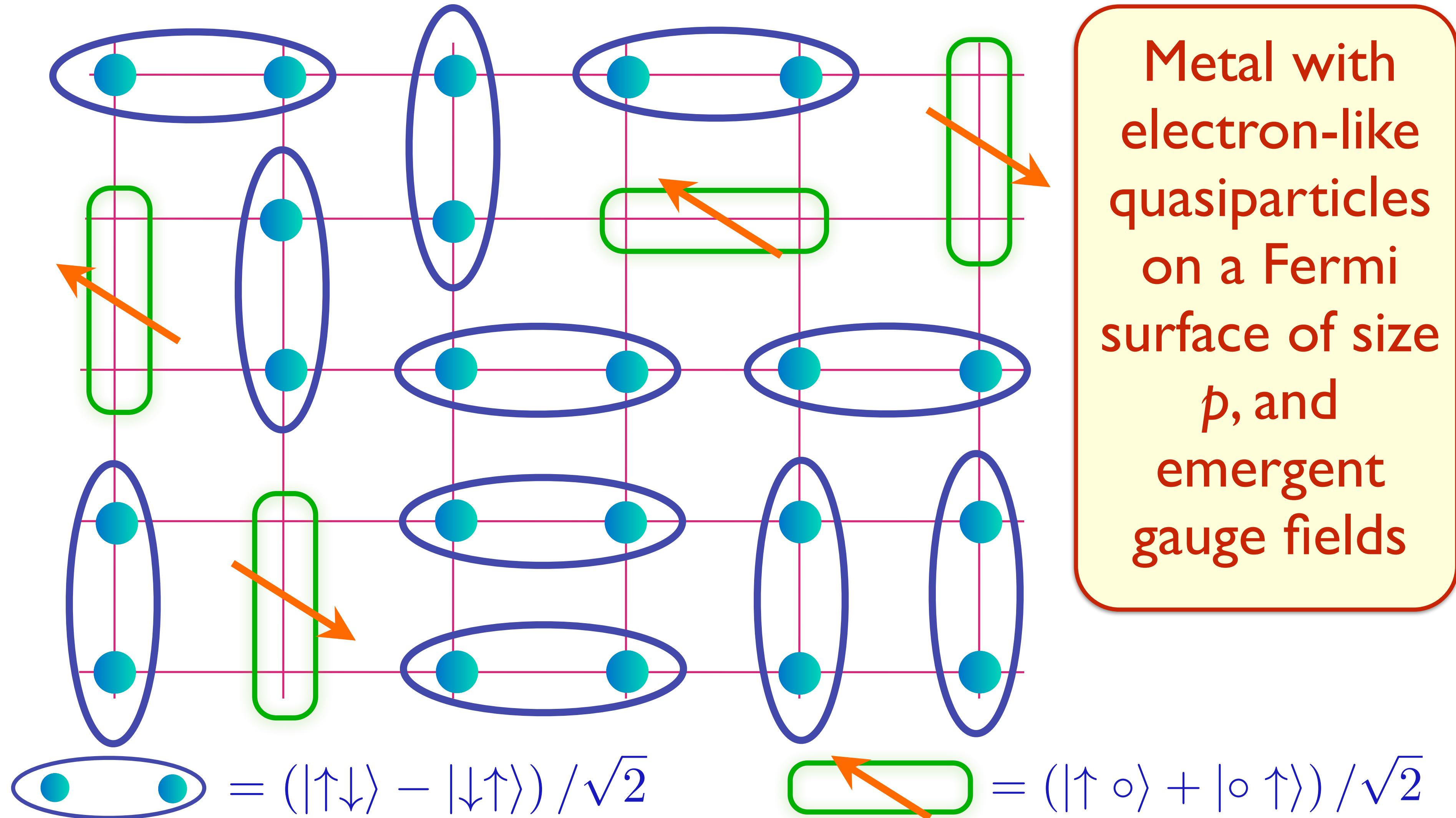


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

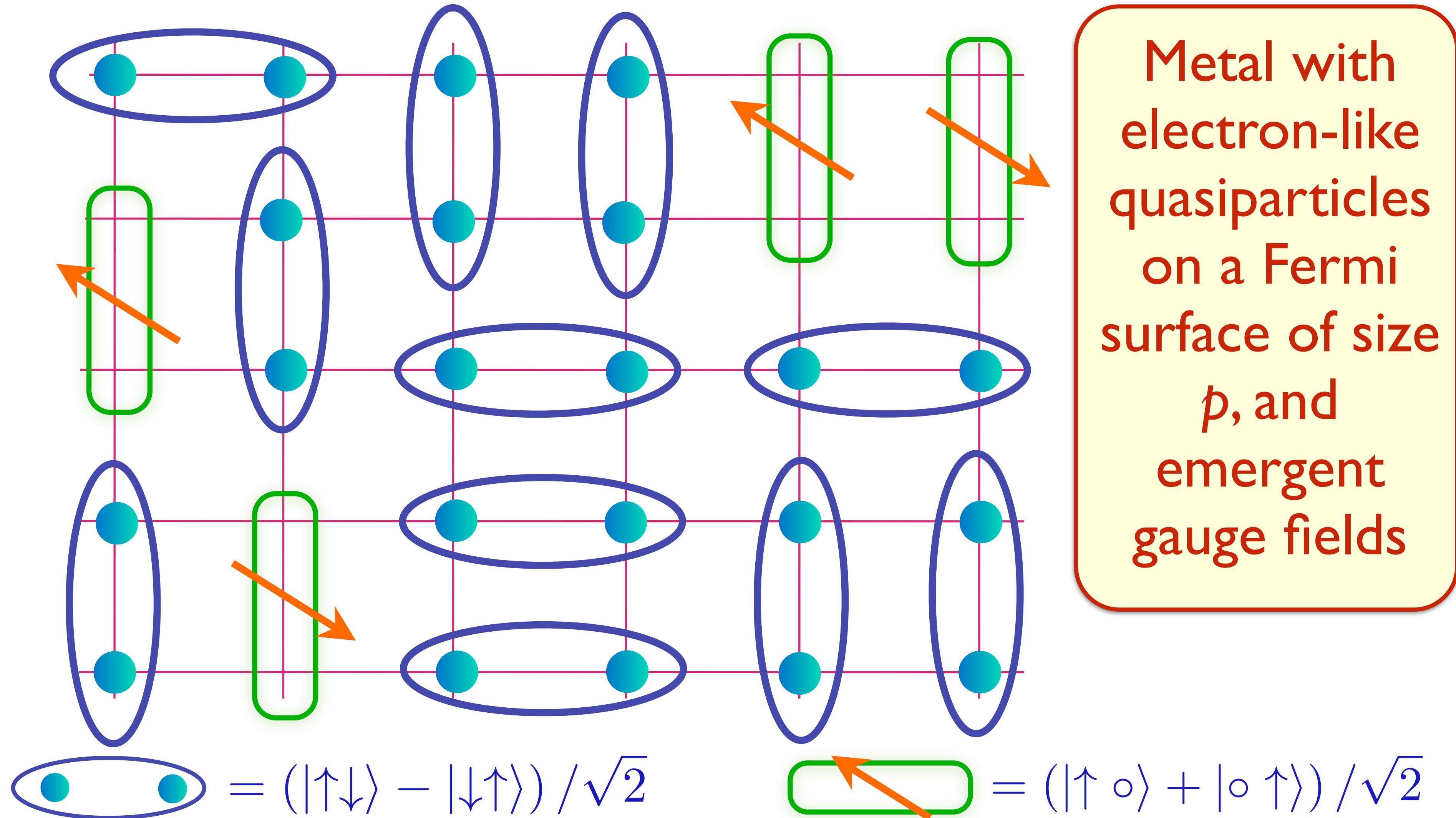


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

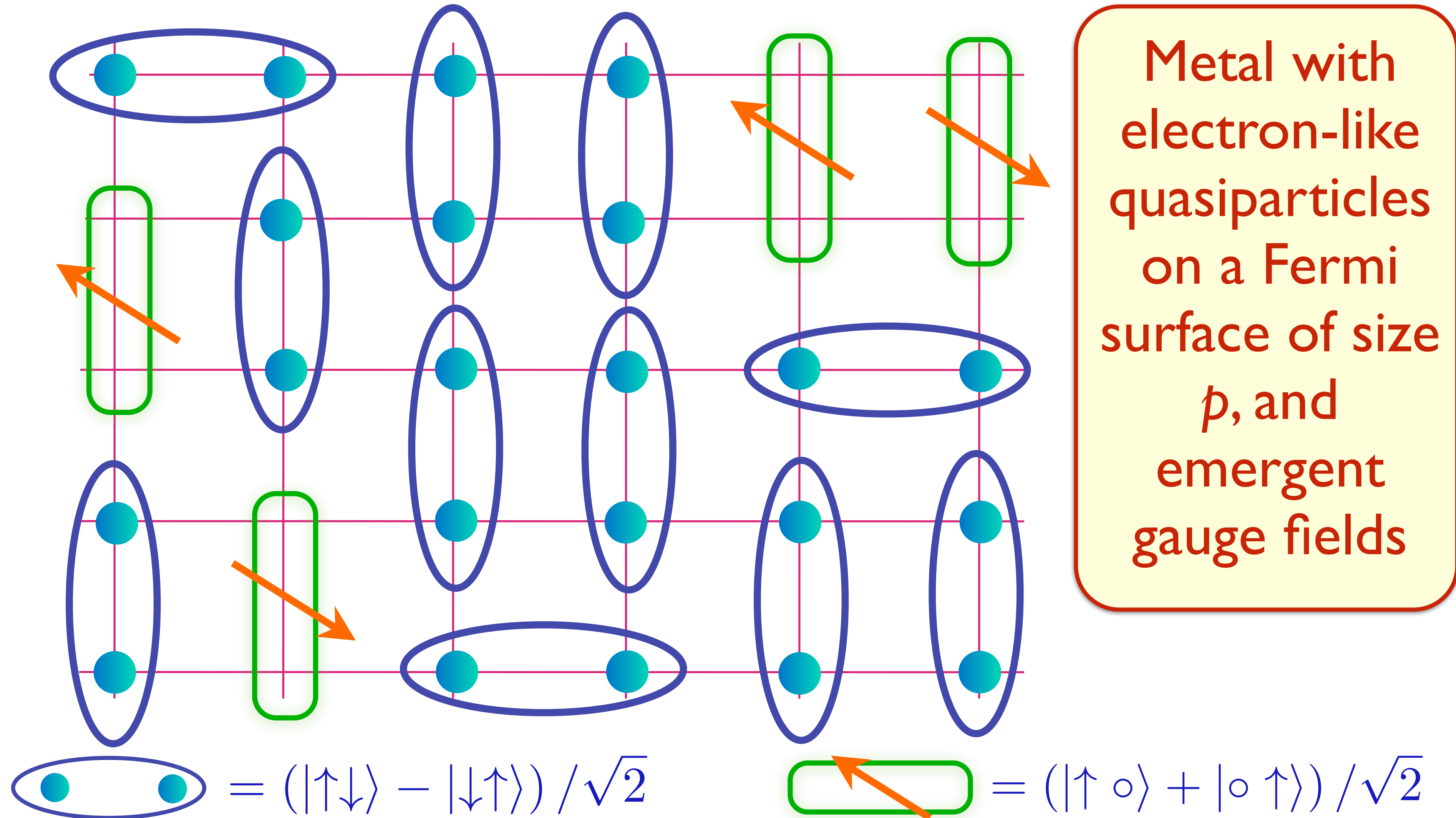


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)

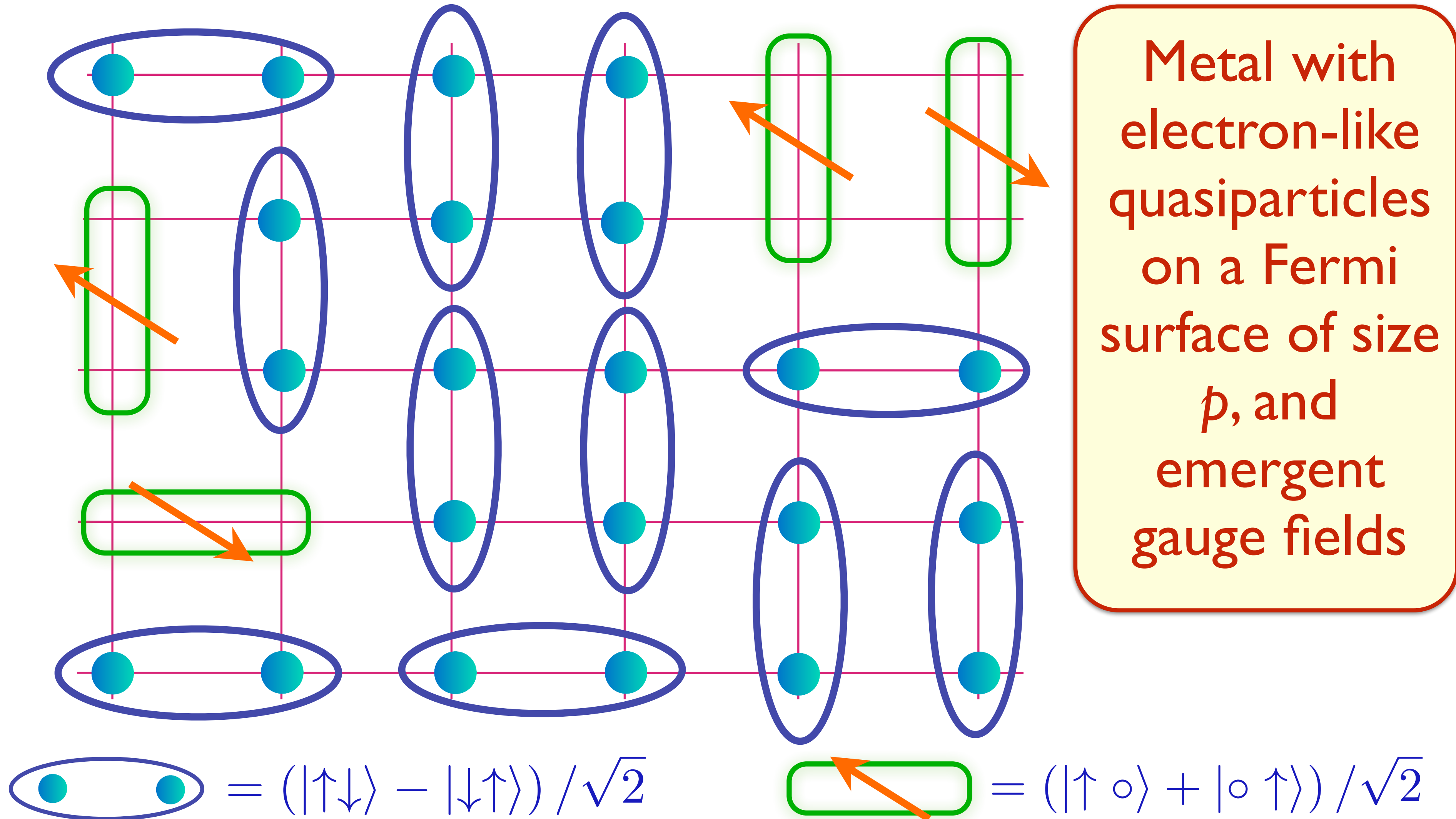


E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)

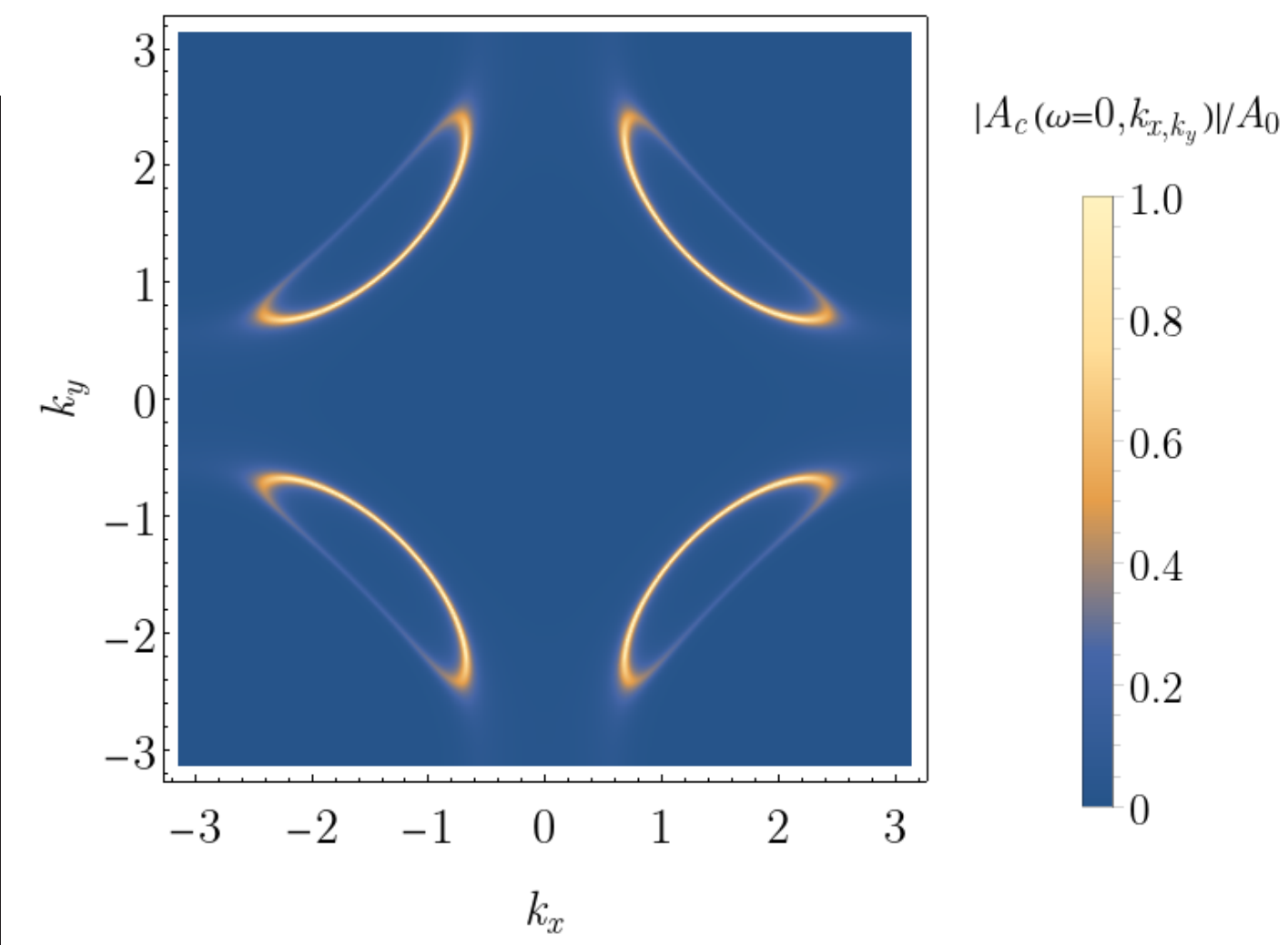
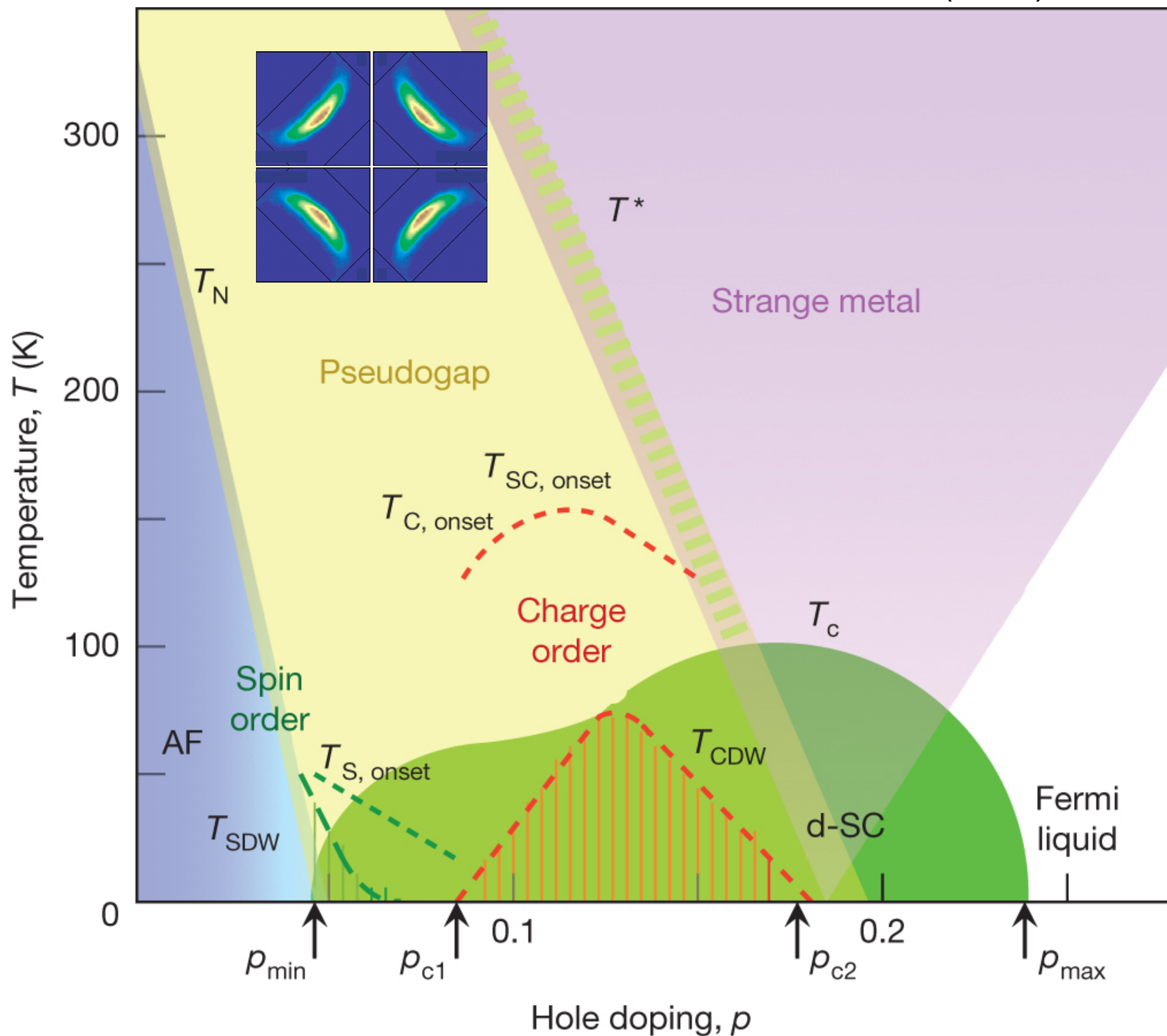
# Earlier approach to FL\* in a **one-band** model

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)



E. G. Moon and S. Sachdev, PRB **83**, 224508 (2011); M. Punk, A. Allais, and S. Sachdev, PNAS **112**, 9552 (2015)



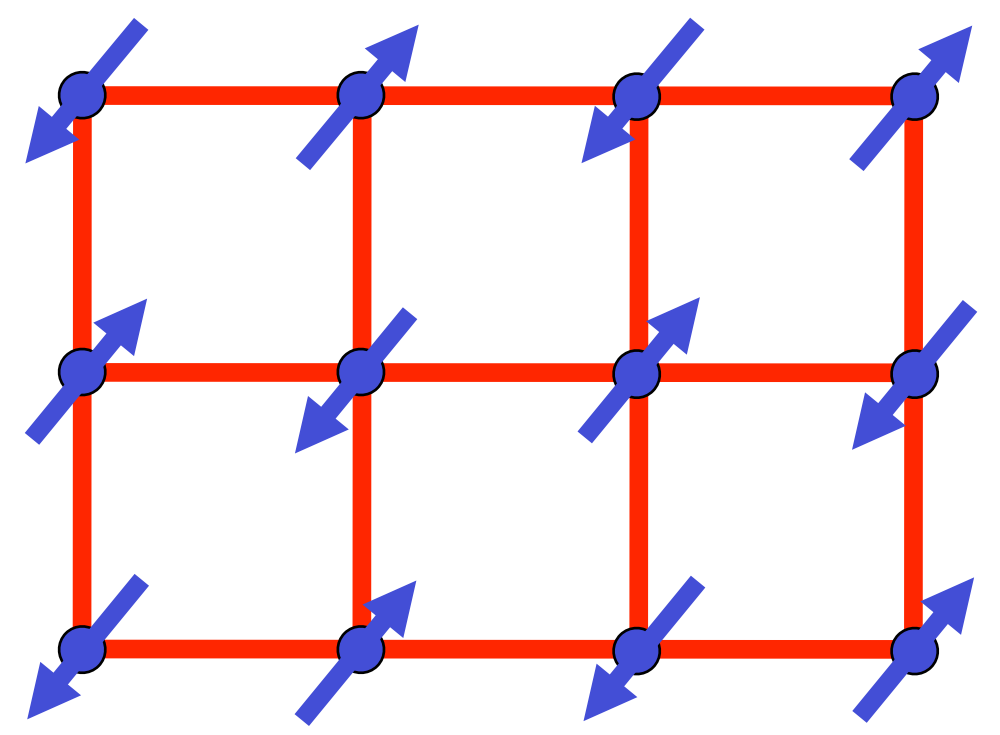
Ya-Hui Zhang and  
S. Sachdev, PRR **2**,  
023172 (2020)

E. Mascot,  
A. Nikolaenko,  
M. Tikhonovskaya,  
Ya-Hui Zhang,  
D. K. Morr, and  
S. Sachdev, PRB  
**105**, 075146 (2022)

Hole pocket Fermi surfaces  
of size  $p$  with  
charge  $e$ , spin-1/2 quasiparticles  
+  
'spectator'  
square lattice spin liquid  
at half-filling.

But which spin liquid?

Insulating  $S=1/2$  antiferromagnet



Spin liquid

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

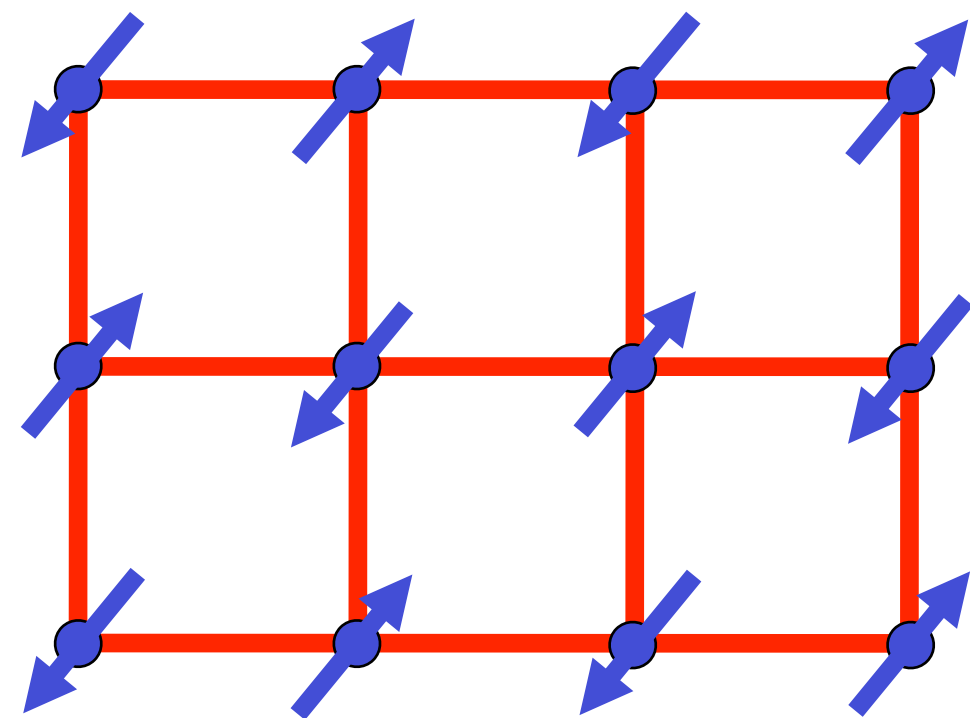
Schwinger bosons

$$\mathbf{S}_i = \frac{1}{2} b_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} b_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} b_{i\alpha}^\dagger b_{i\alpha} = 1$$

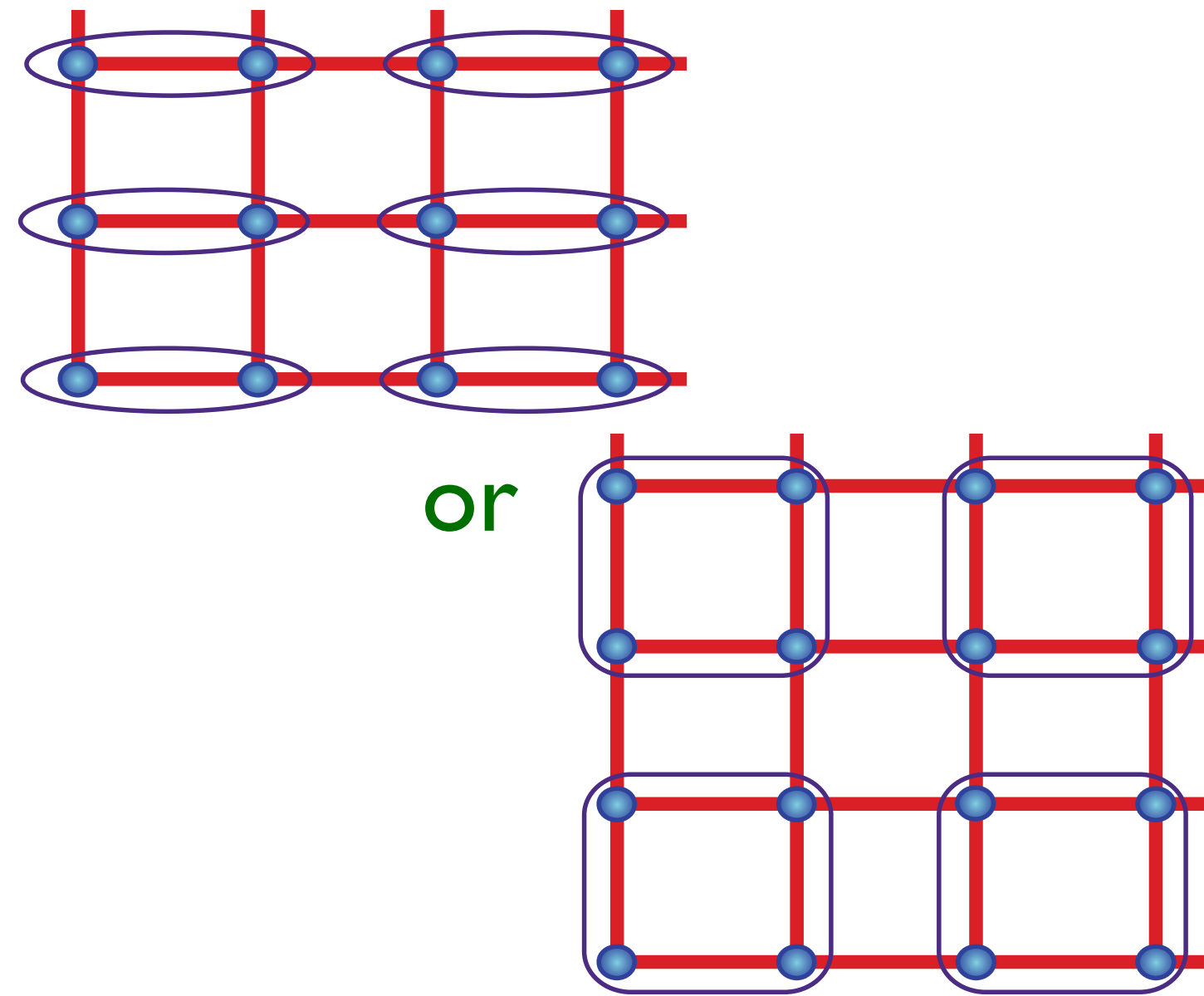
Mean-field spin liquid  
with gapped bosonic spinons.

D.P. Arovas and A. Auerbach, PRB **38**, 316 (1988)

# Insulating $S=1/2$ antiferromagnet



Higgs phase,  $\langle z_\alpha \rangle \neq 0$ :  
Néel order



Confining phase,  $\langle z_\alpha \rangle = 0$ :  
VBS order

$s$

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger bosons

$$\mathbf{S}_i = \frac{1}{2} b_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} b_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} b_{i\alpha}^\dagger b_{i\alpha} = 1$$

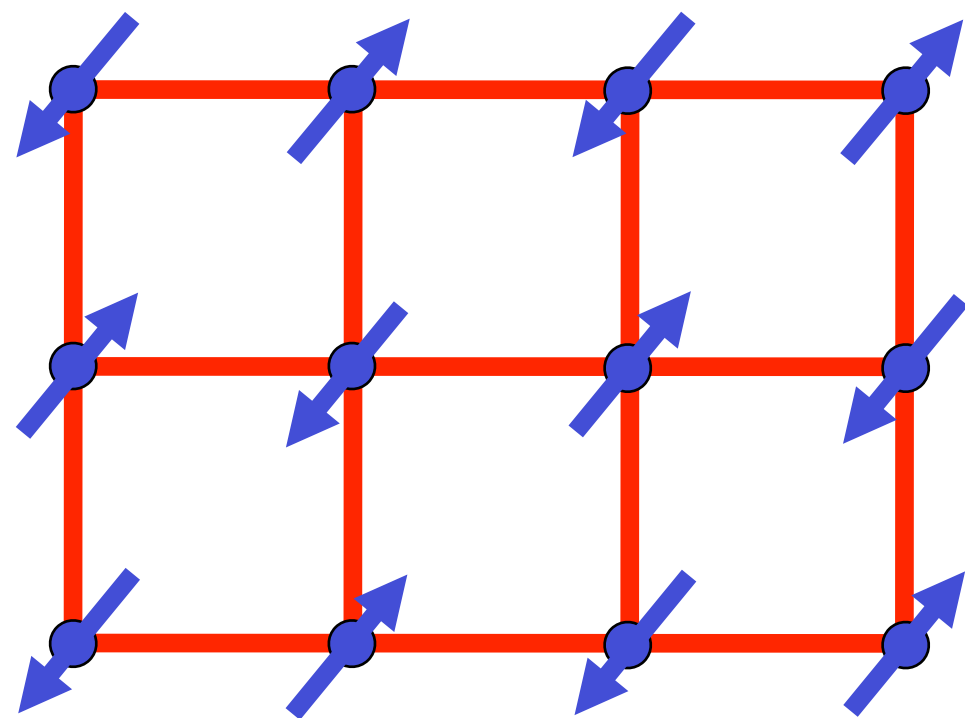
Mean-field spin liquid  
with gapped bosonic spinons.

Low energy  $\mathbb{C}\mathbb{P}^1$  U(1) gauge theory

$$z_\alpha \sim b_{A\alpha} + \varepsilon_{\alpha\beta} b_{B\beta}$$

$$\mathcal{L} = |(\partial_\mu - ia_\mu)z_\alpha|^2 + s|z_\alpha|^2 + u|z_\alpha|^4 + \mathcal{L}_{\text{monopole}}$$

# Insulating $S=1/2$ antiferromagnet



Higgs phase,  $\langle z_\alpha \rangle \neq 0$ :  
Néel order

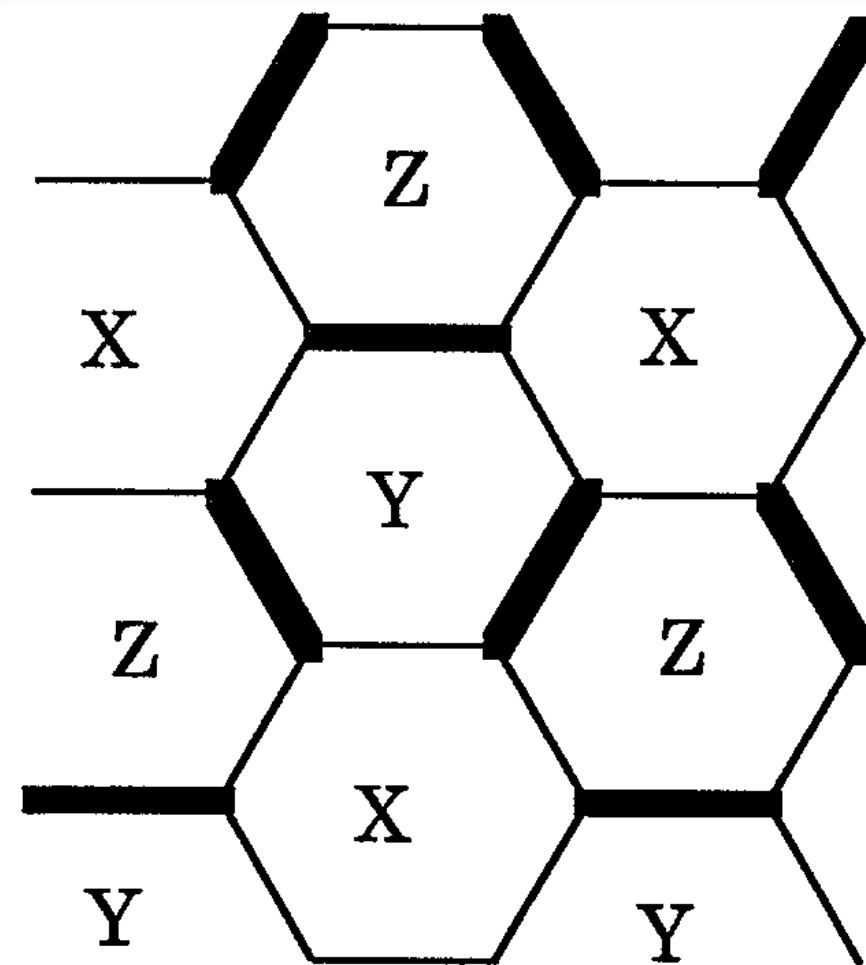


FIG. 8. The dual sublattices  $X, Y, Z$  of the honeycomb lattice. The thickness of the links signifies the structure of the spin-Peierls order for  $n_c \neq 0 \pmod{3}$ ; links with equal thickness have equal values of  $\langle \hat{S}(i)\hat{S}(i+\hat{\eta}) \rangle$ .

Kekulé/T-IVC order on  
the honeycomb lattice

$s$

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger bosons

$$\mathbf{S}_i = \frac{1}{2} b_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} b_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} b_{i\alpha}^\dagger b_{i\alpha} = 1$$

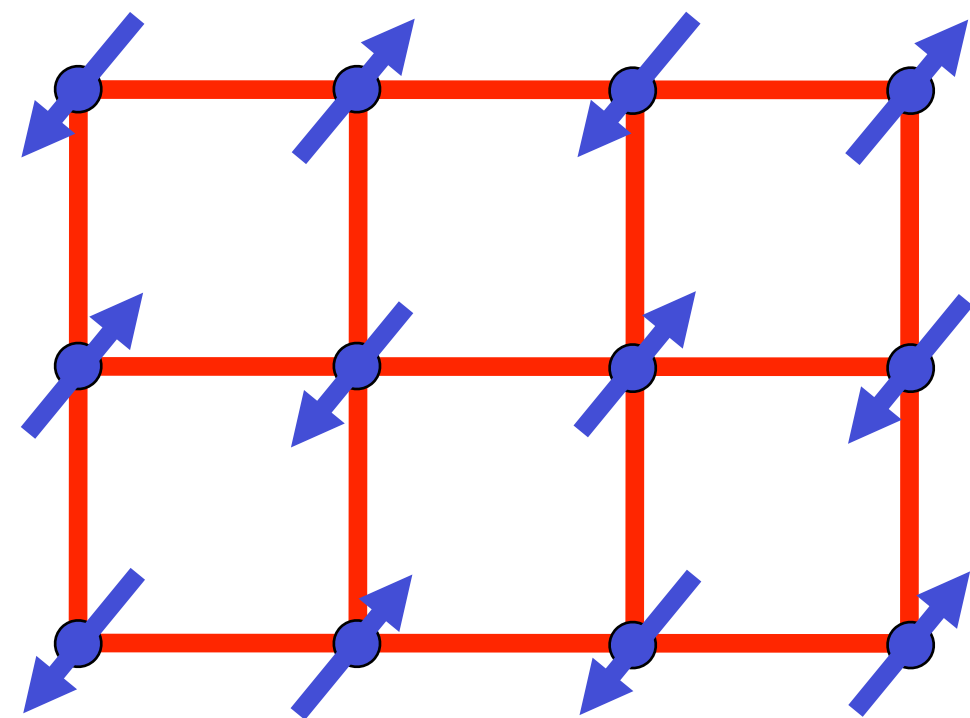
Mean-field spin liquid  
with gapped bosonic spinons.

Low energy  $\mathbb{CP}^1$  U(1) gauge theory

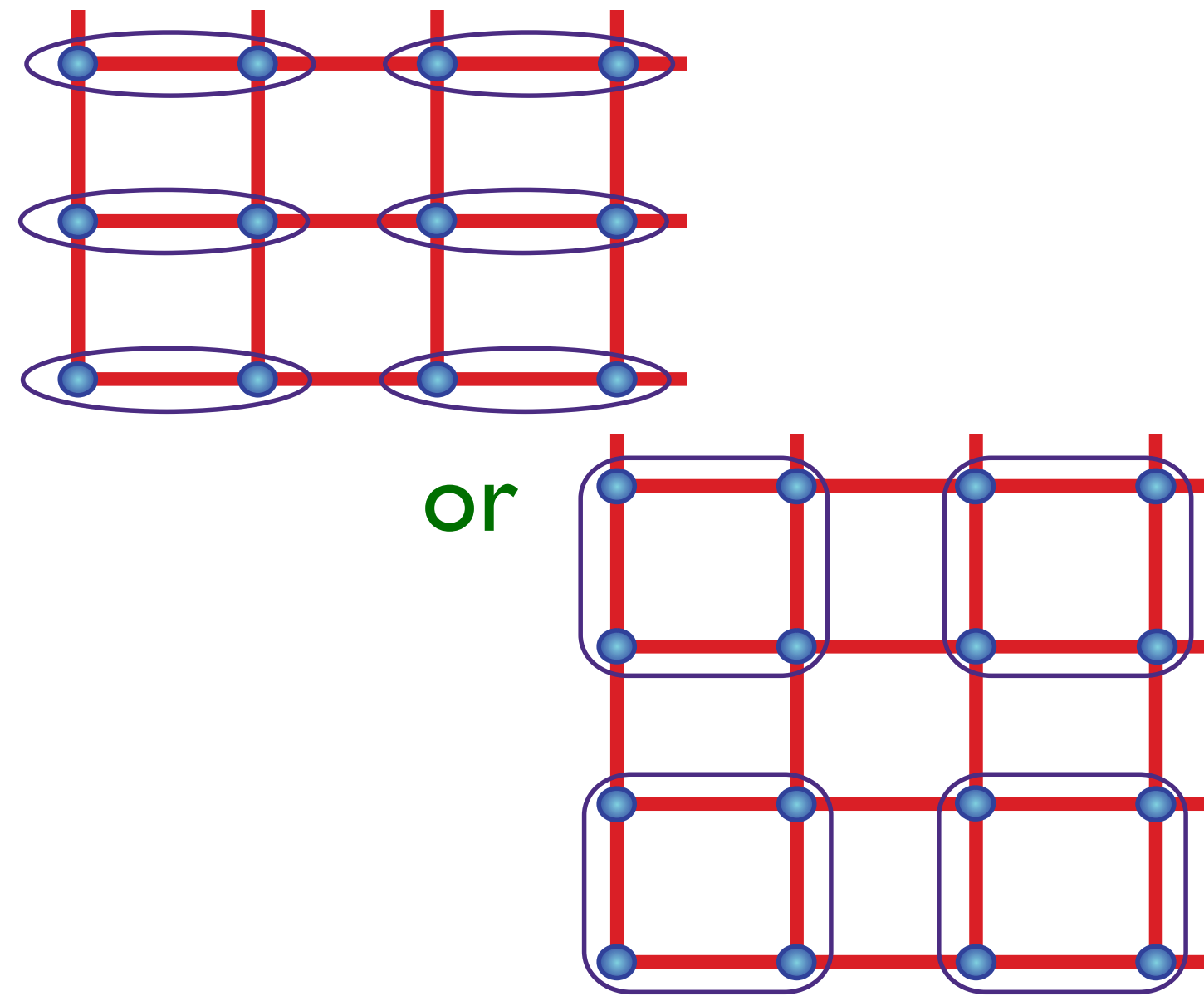
$$z_\alpha \sim b_{A\alpha} + \varepsilon_{\alpha\beta} b_{B\beta}$$

$$\mathcal{L} = |(\partial_\mu - ia_\mu)z_\alpha|^2 + s|z_\alpha|^2 + u|z_\alpha|^4 + \mathcal{L}_{\text{monopole}}$$

# Insulating $S=1/2$ antiferromagnet



Higgs phase,  $\langle z_\alpha \rangle \neq 0$ :  
Néel order



Confining phase,  $\langle z_\alpha \rangle = 0$ :  
VBS order

$s$

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger bosons

$$\mathbf{S}_i = \frac{1}{2} b_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} b_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} b_{i\alpha}^\dagger b_{i\alpha} = 1$$

Mean-field spin liquid  
with gapped bosonic spinons.

Low energy  $\mathbb{C}\mathbb{P}^1$  U(1) gauge theory

$$z_\alpha \sim b_{A\alpha} + \varepsilon_{\alpha\beta} b_{B\beta}$$

$$\mathcal{L} = |(\partial_\mu - ia_\mu)z_\alpha|^2 + s|z_\alpha|^2 + u|z_\alpha|^4 + \mathcal{L}_{\text{monopole}}$$

# Insulating $S=1/2$ antiferromagnet

Spin liquid

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

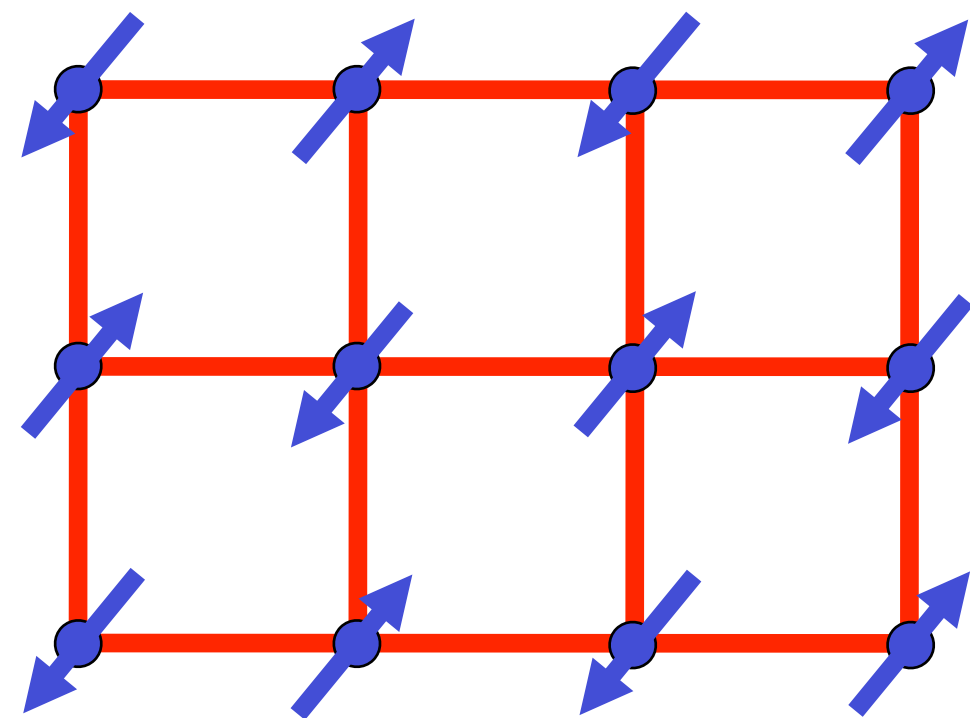
Schwinger fermions

$$\mathbf{S}_i = \frac{1}{2} f_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} f_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} f_{i\alpha}^\dagger f_{i\alpha} = 1$$

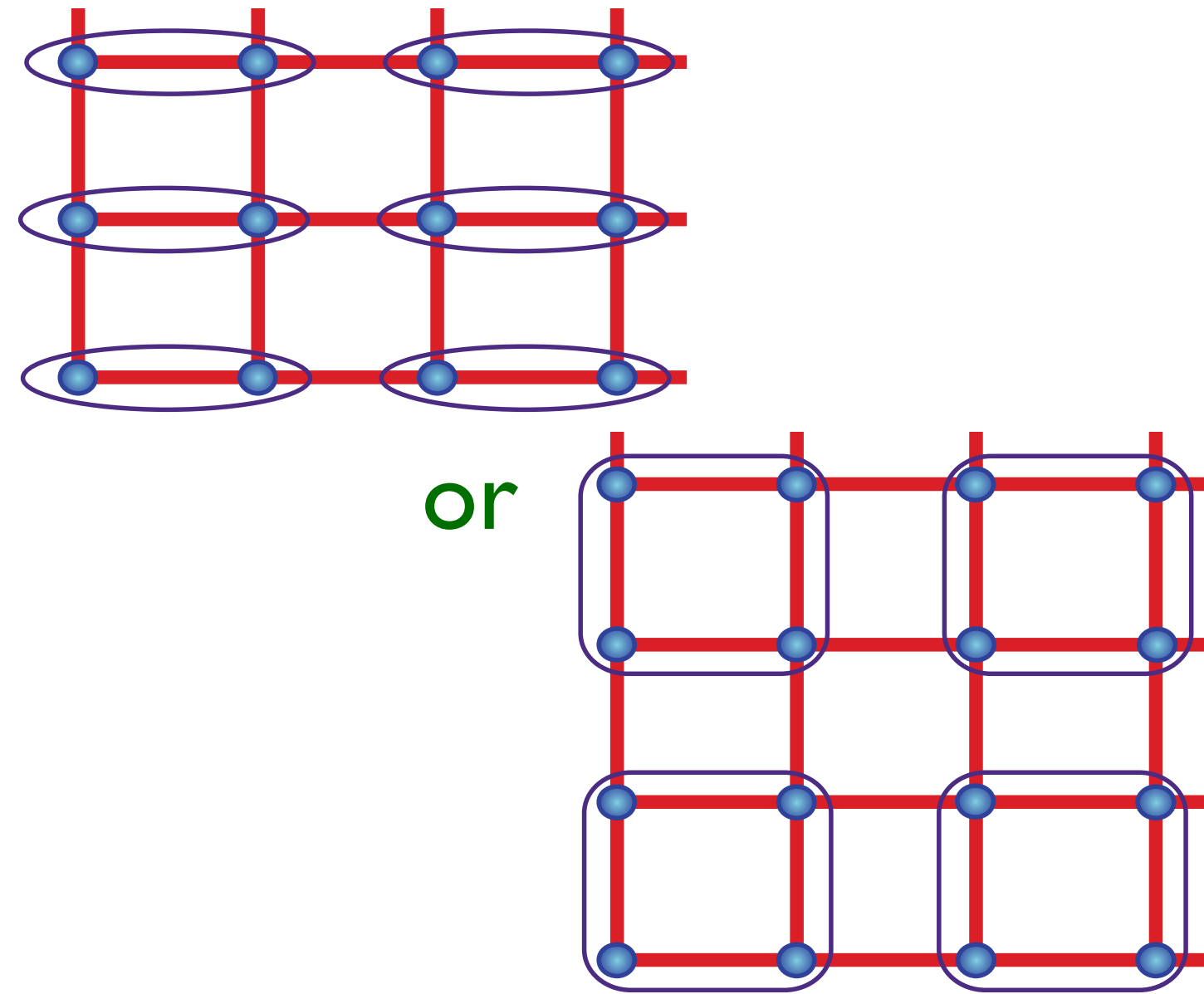
$\pi$ -flux mean-field theory  
with gapless spinons at 2 Dirac points.

I. Affleck and J.B. Marston, PRB **37**, 3774 (1988)

# Insulating $S=1/2$ antiferromagnet



Confining phase:  
Néel order



Confining phase:  
VBS order

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger fermions

$$\mathbf{S}_i = \frac{1}{2} f_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} f_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} f_{i\alpha}^\dagger f_{i\alpha} = 1$$

$\pi$ -flux mean-field theory

with gapless spinons at 2 Dirac points.

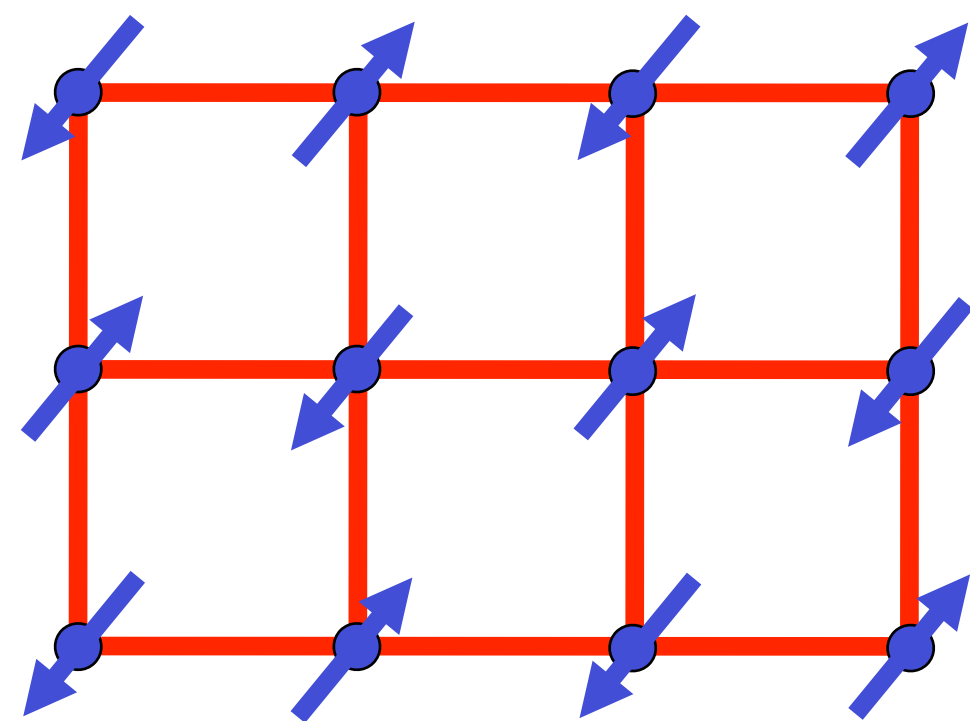
Low energy theory of  $N_f = 2$

Dirac fermions  $\Psi_s$  coupled to  
an emergent  $SU(2)_N$  gauge field.

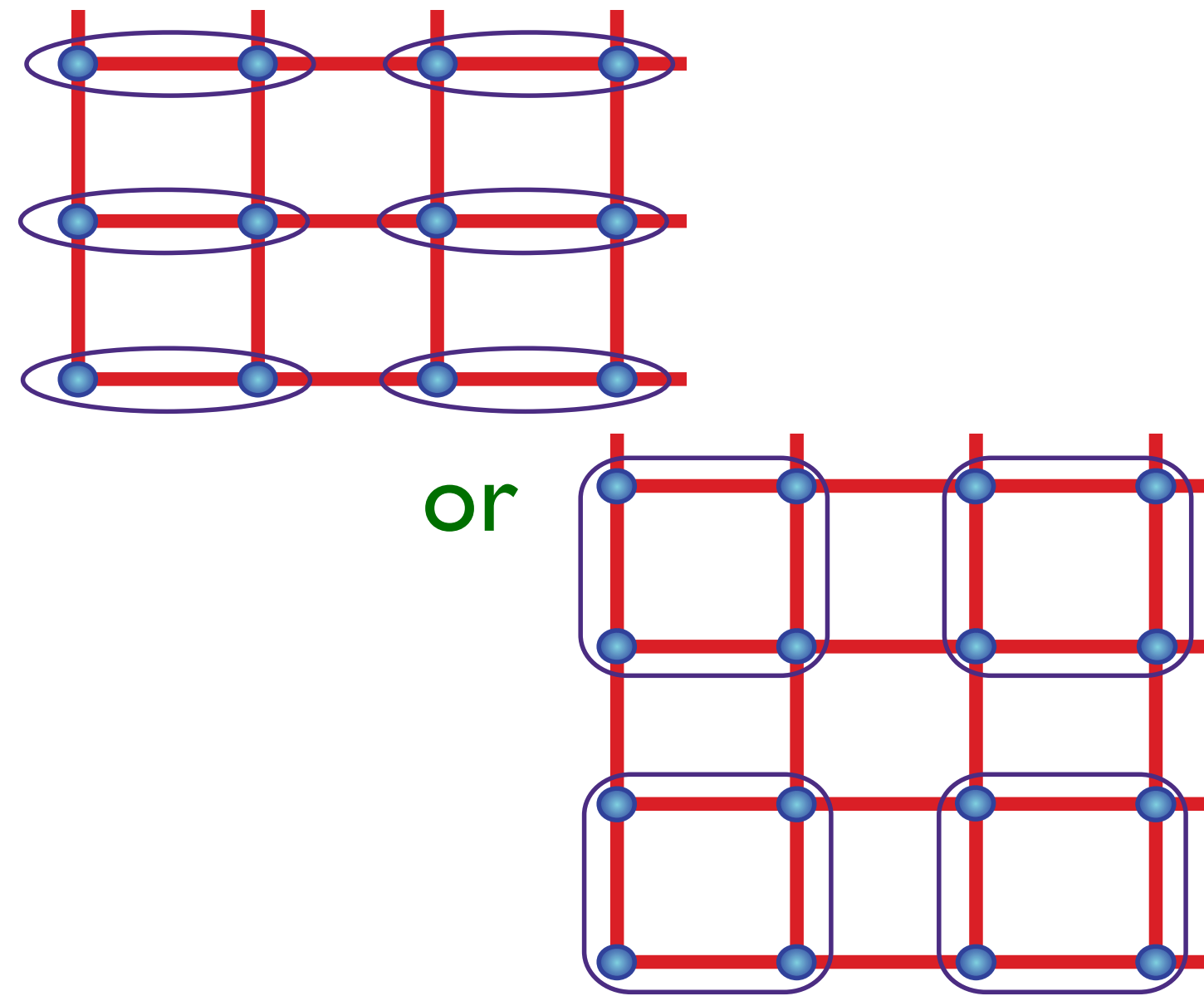
Confining order parameters  
are Néel and VBS states,  
with a global  $SO(5)_f$  symmetry!

$$\mathcal{L} = i\bar{\Psi}_s \gamma_\mu D_\mu \Psi_s + \dots$$

# Insulating $S=1/2$ antiferromagnet



Confining phase:  
Néel order



Confining phase:  
VBS order

$$H = \sum_{i < j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

Schwinger fermions

$$\mathbf{S}_i = \frac{1}{2} f_{i\alpha}^\dagger \boldsymbol{\sigma}_{\alpha\beta} f_{i\beta}, \quad \sum_{\alpha=\uparrow,\downarrow} f_{i\alpha}^\dagger f_{i\alpha} = 1$$

$\pi$ -flux mean-field theory

with gapless spinons at 2 Dirac points.

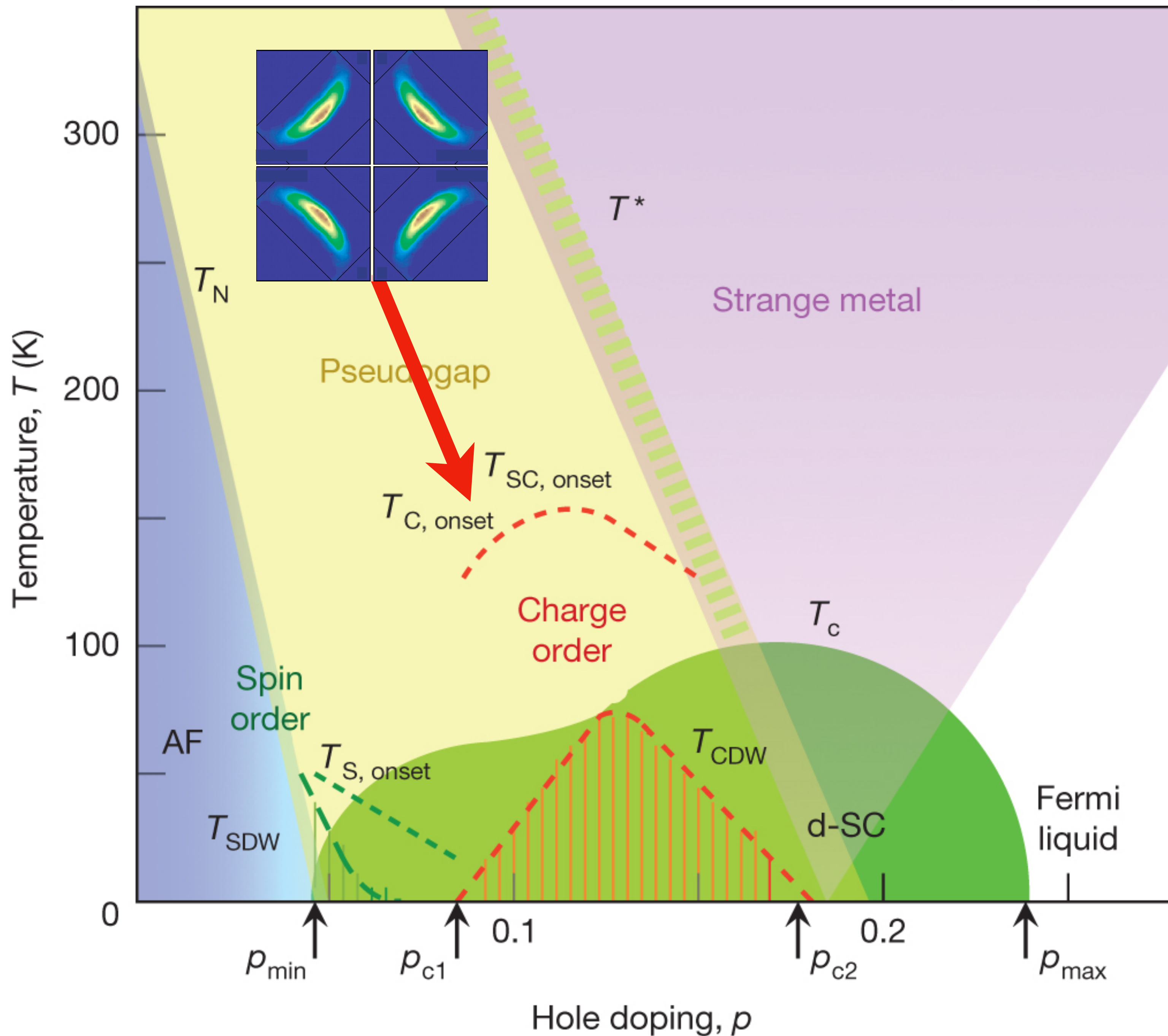
Low energy theory of  $N_f = 2$

Dirac fermions  $\Psi_s$  coupled to  
an emergent  $SU(2)_N$  gauge field.

Confining order parameters  
are Néel and VBS states,  
with a global  $SO(5)_f$  symmetry!

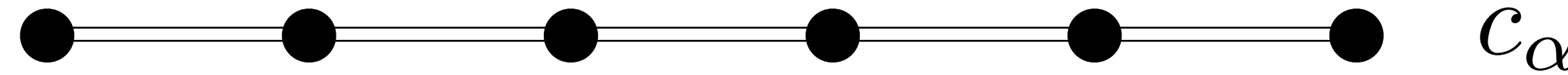
$$\mathcal{L} = i\bar{\Psi}_s \gamma_\mu D_\mu \Psi_s + \dots$$

Dual to  $\mathbb{C}P^1$  U(1) gauge theory.



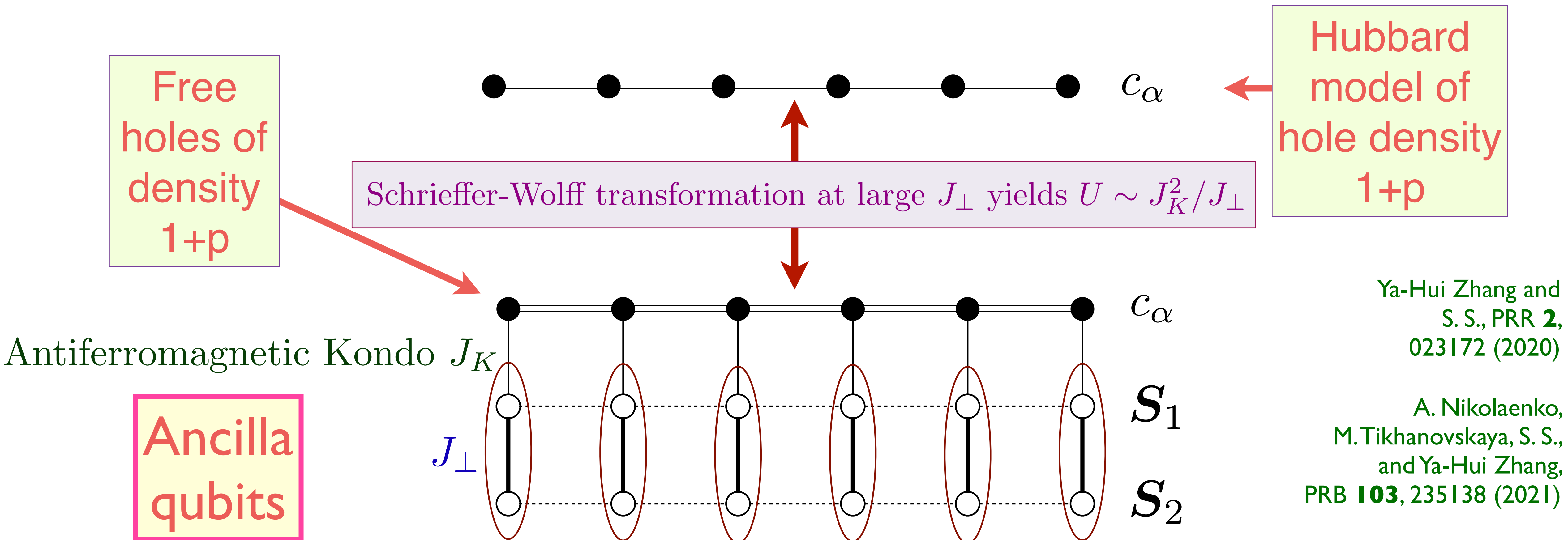
A theory for the confinement of fractionalized excitations in the  $\pi$ -flux spin liquid (which is dual to the  $\mathbb{C}P^1$  spin liquid) from electrically charged excitations.

# Ancilla theory of the Hubbard model



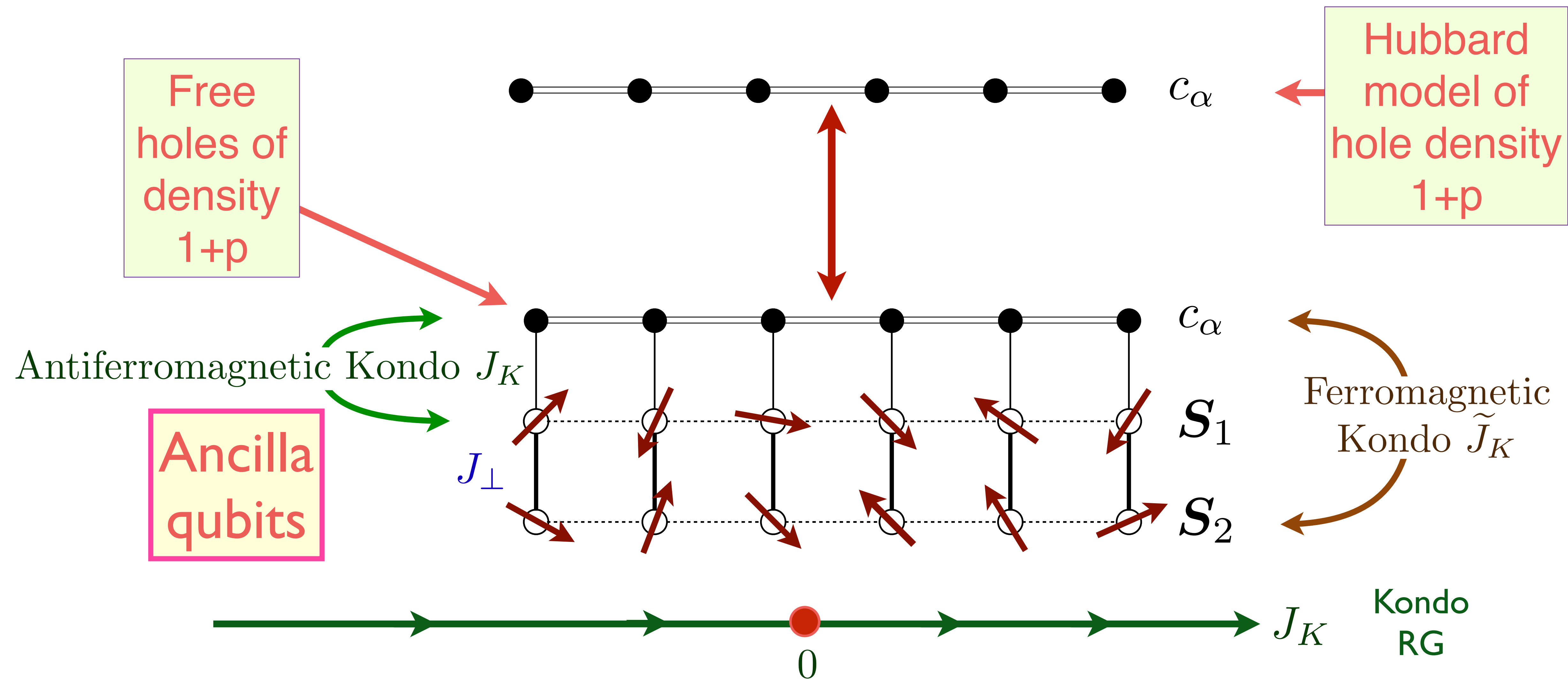
Hubbard  
model of  
hole density  
 $1+p$

# Ancilla theory of the Hubbard model



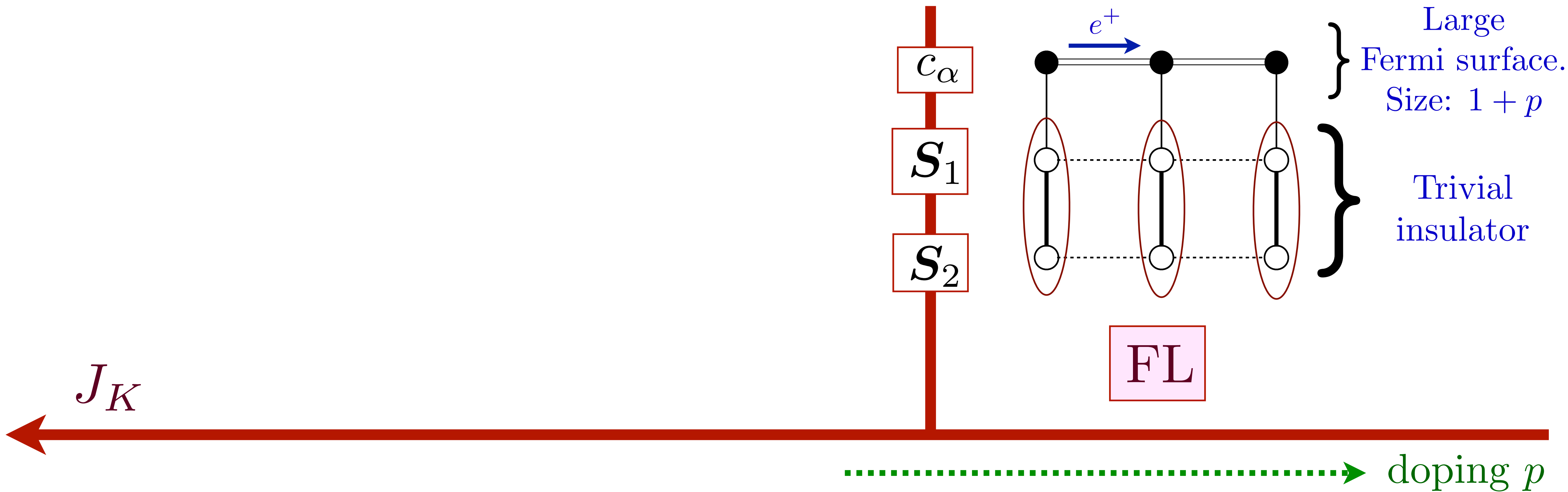
$$\mathcal{H}_{\text{ancilla}} = \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}} c_{\mathbf{p}\alpha}^{\dagger} c_{\mathbf{p}\alpha} + J_K \sum_i c_{i\alpha}^{\dagger} \frac{\boldsymbol{\sigma}_{\alpha\alpha'}}{2} c_{i\alpha'} \cdot \mathbf{S}_{1i} + J_{\perp} \sum_i \mathbf{S}_{1i} \cdot \mathbf{S}_{2i}$$

# Ancilla theory of the Hubbard model

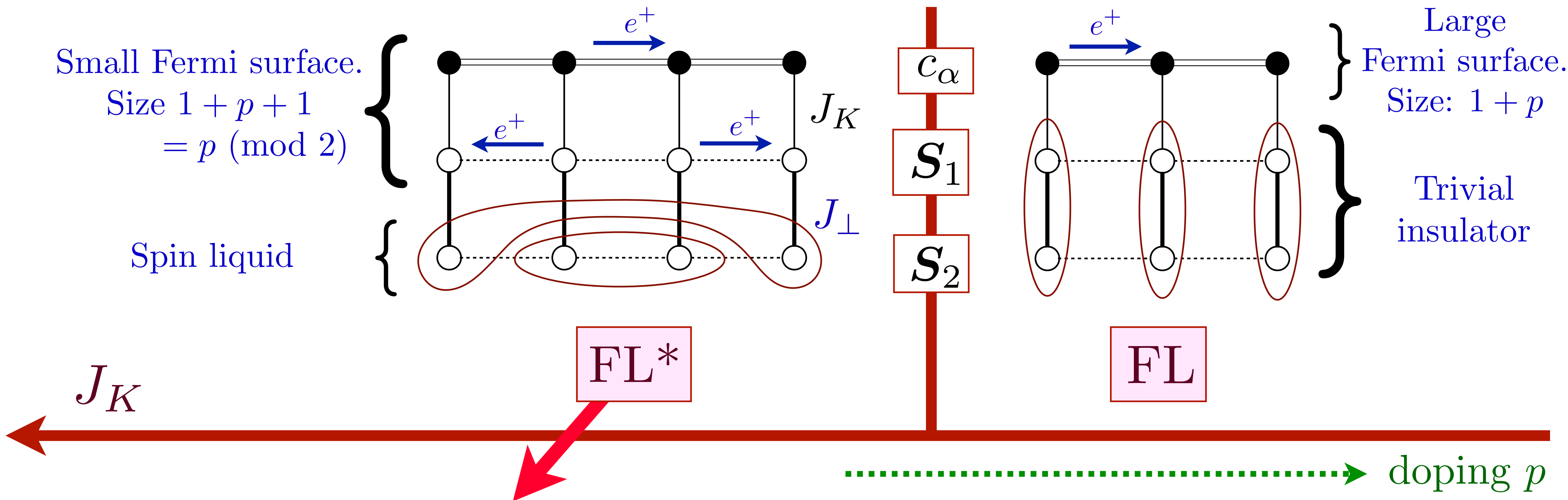


$$\mathcal{H}_{\text{ancilla}} = \sum_{\mathbf{p}} \varepsilon_{\mathbf{p}} c_{\mathbf{p}\alpha}^\dagger c_{\mathbf{p}\alpha} + J_K \sum_i c_{i\alpha}^\dagger \frac{\sigma_{\alpha\alpha'}}{2} c_{i\alpha'} \cdot \mathbf{S}_{1i} + J_\perp \sum_i \mathbf{S}_{1i} \cdot \mathbf{S}_{2i}$$

# Ancilla theory of the Hubbard model

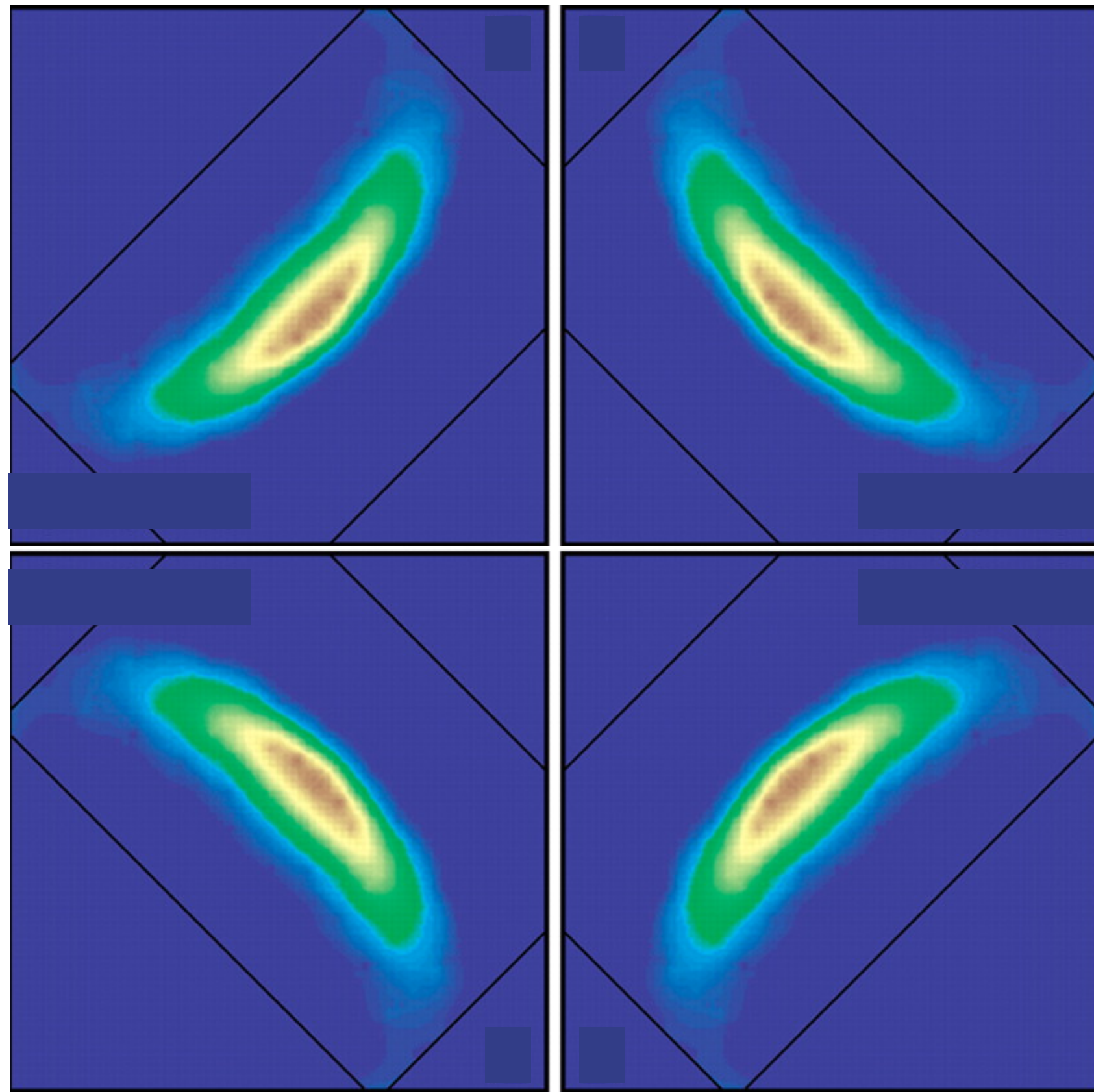


# Ancilla theory of the Hubbard model

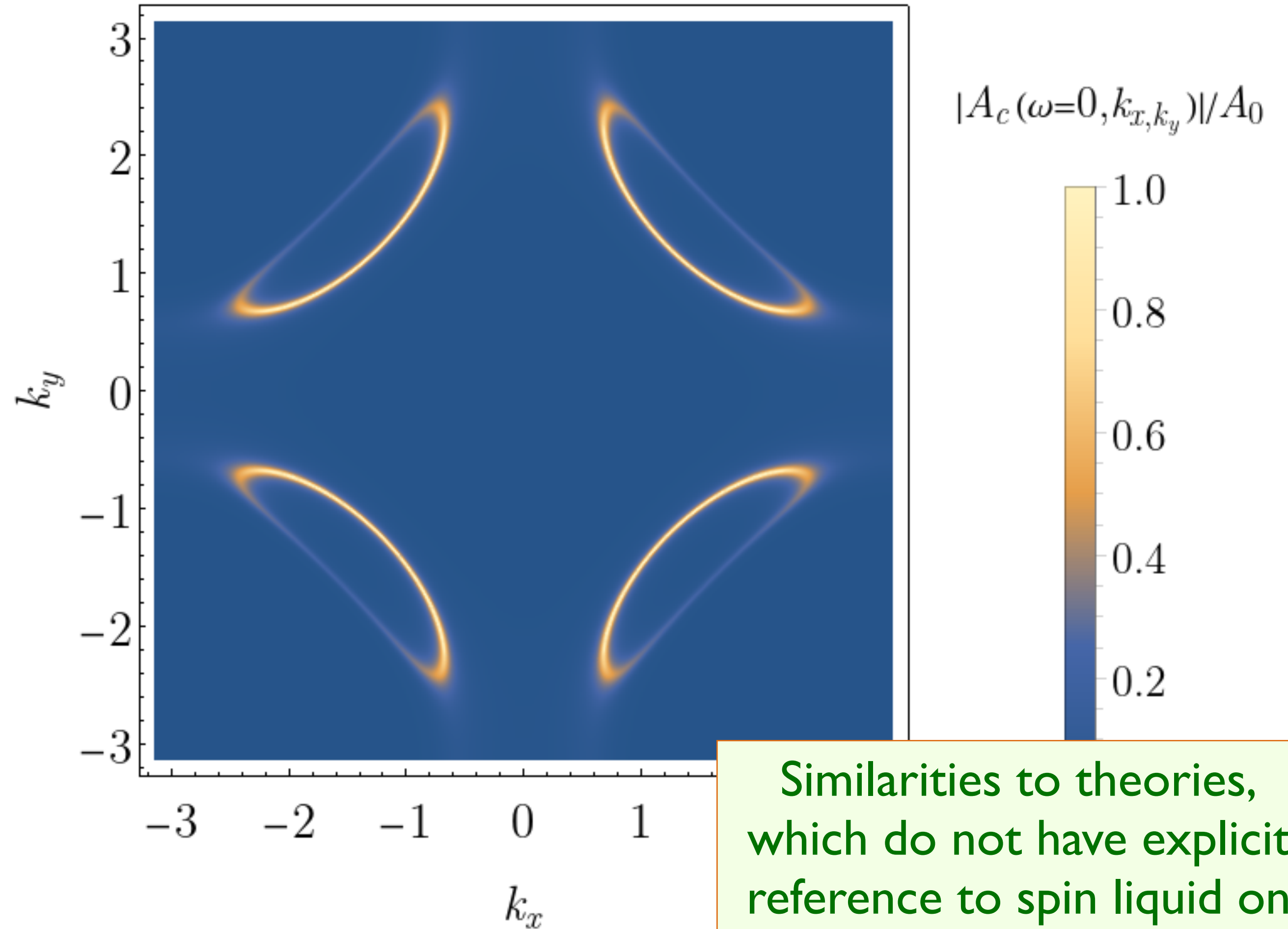


Pseudogap metal =  
 Kondo Lattice Heavy  
 Fermi Liquid  
 $\oplus$   
 Spin Liquid

# Photoemission at small $p$



$\text{Ca}_{2-x}\text{Na}_x\text{CuO}_2\text{Cl}_2$   
at  $x = 0.10$

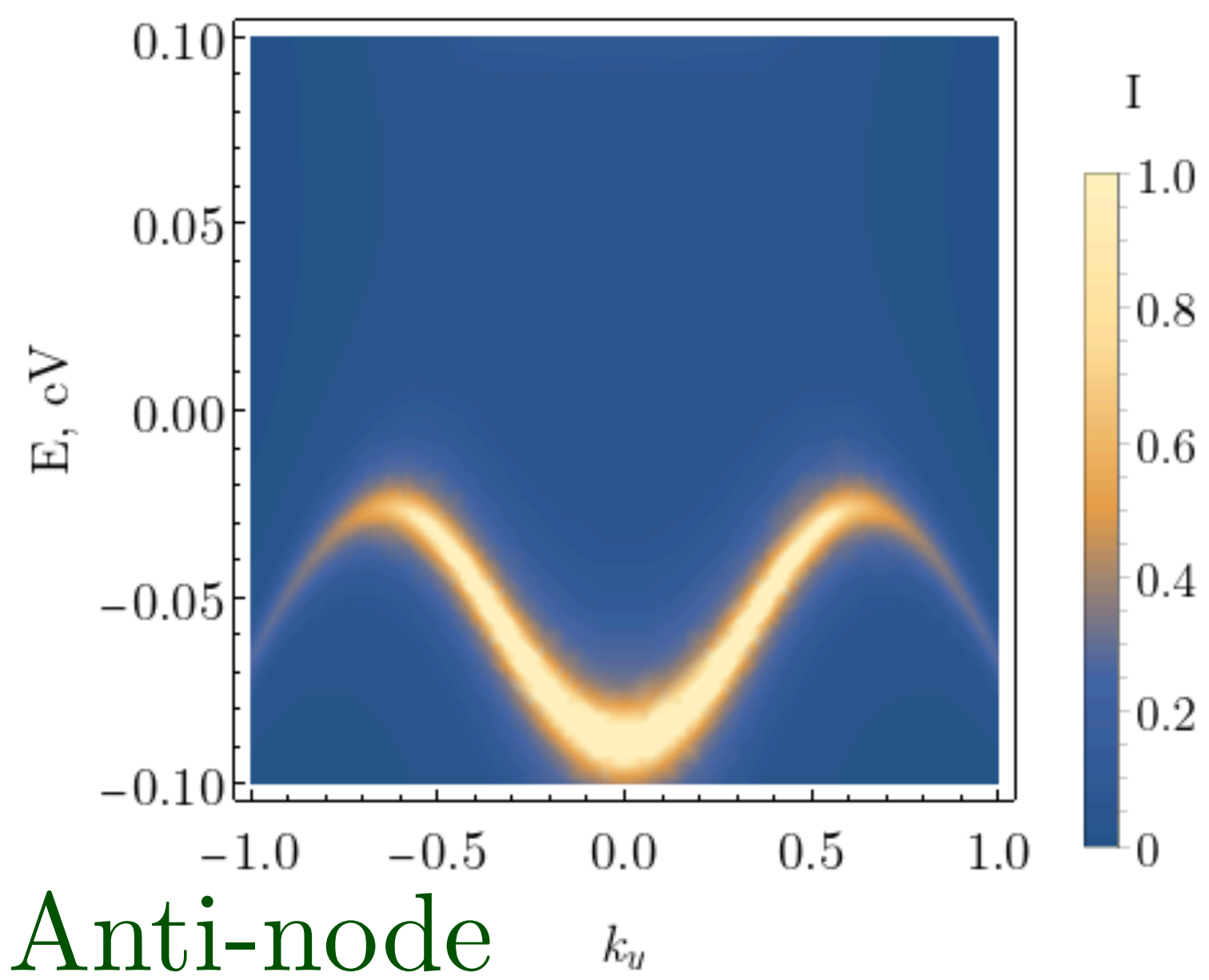


“*Fermi arcs*”

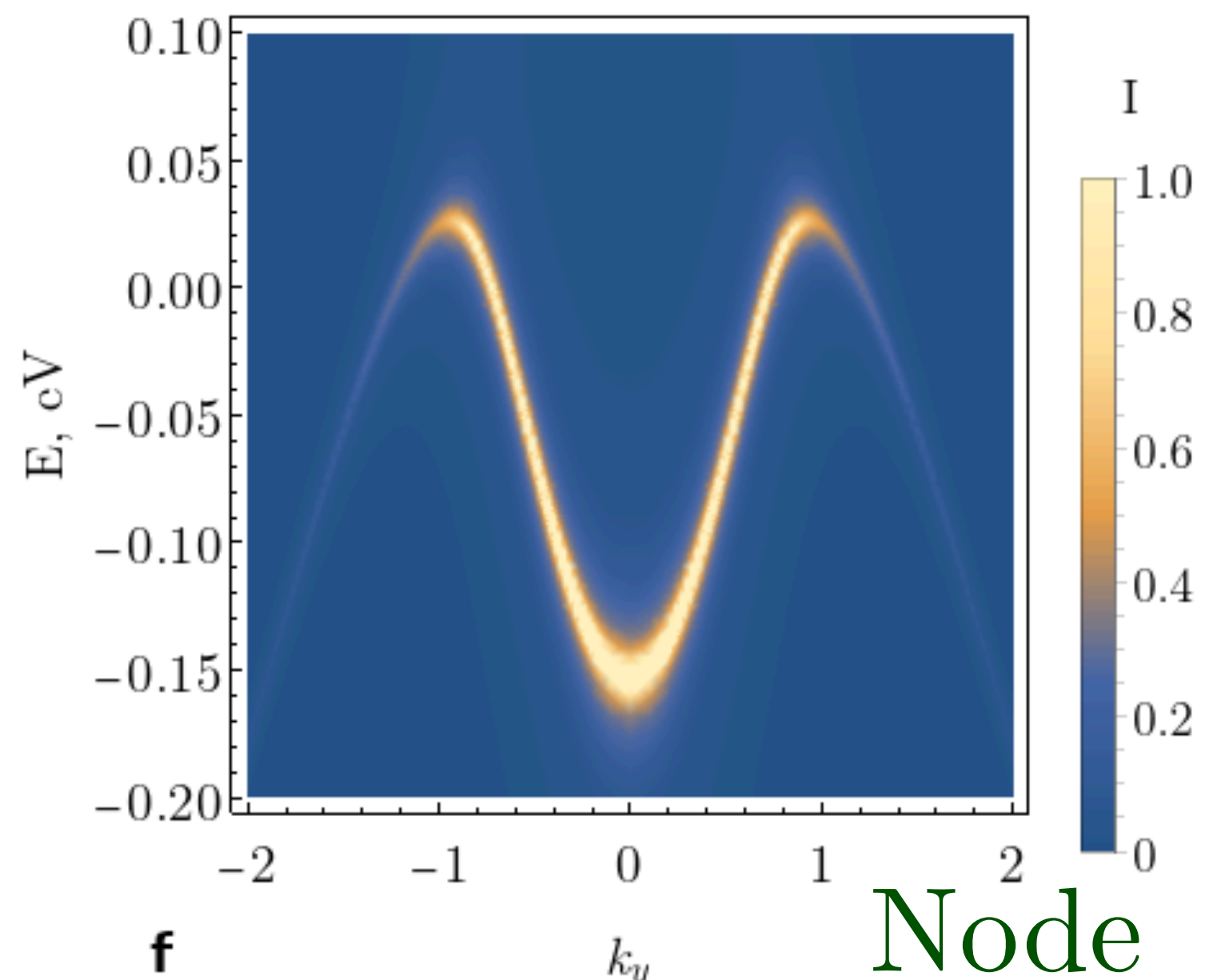
Similarities to theories,  
which do not have explicit  
reference to spin liquid on  
second ancilla layer

Kai-Yu Yang, T. M. Rice, Fu-Chun Zhang,  
PRB **73**, 174501 (2006)  
S. Sakai, Y. Motome, M. Imada,  
PRL **102**, 056404 (2009)

Kyle M. Shen, F. Ronning, D. H. Lu, F. Baumberger, N. J. C. Ingle, W. S. Lee, W. Meevasana,  
Y. Kohsaka, M. Azuma, M. Takano, H. Takagi, Z.-X. Shen, Science **307**, 901 (2005)



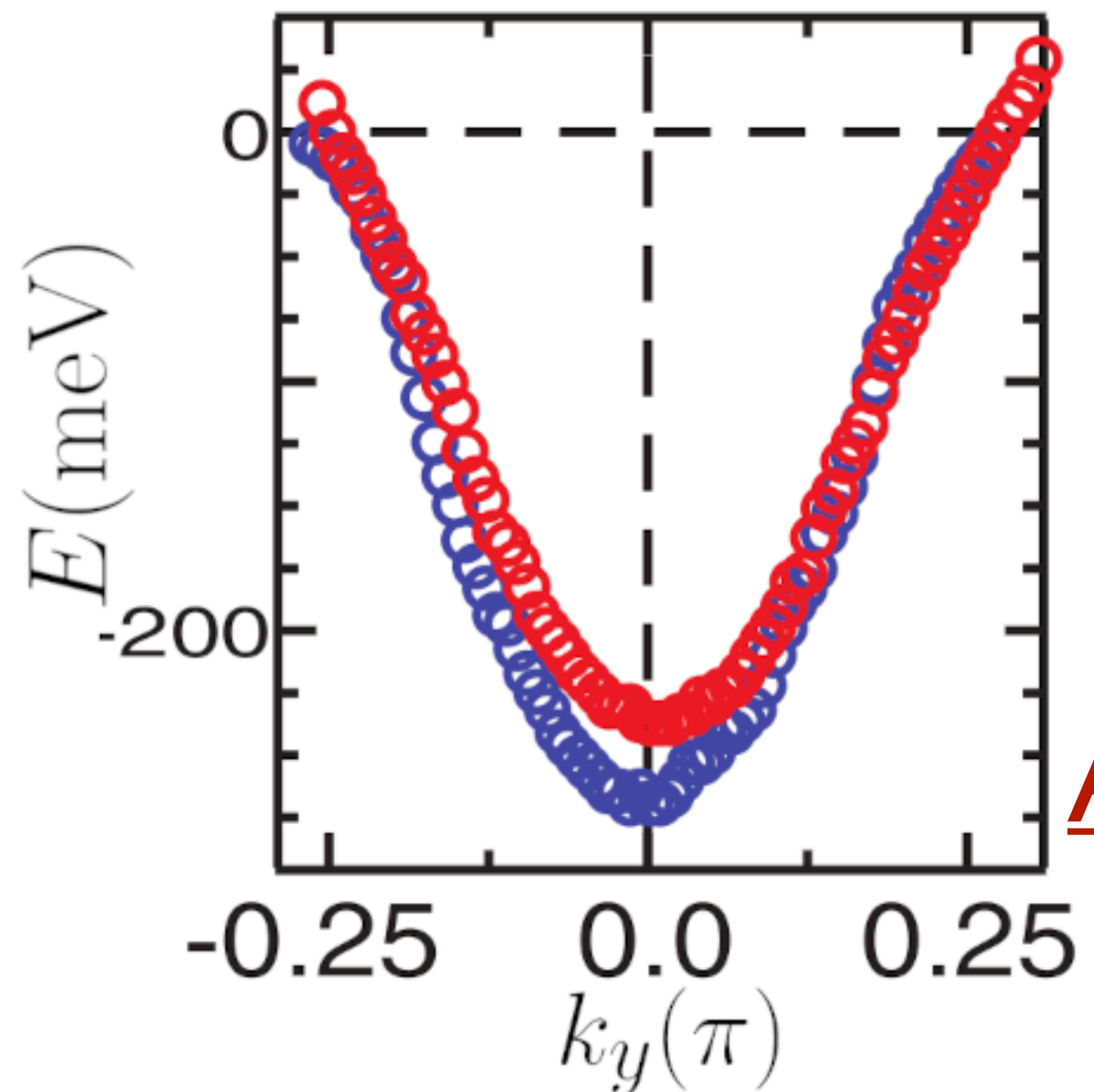
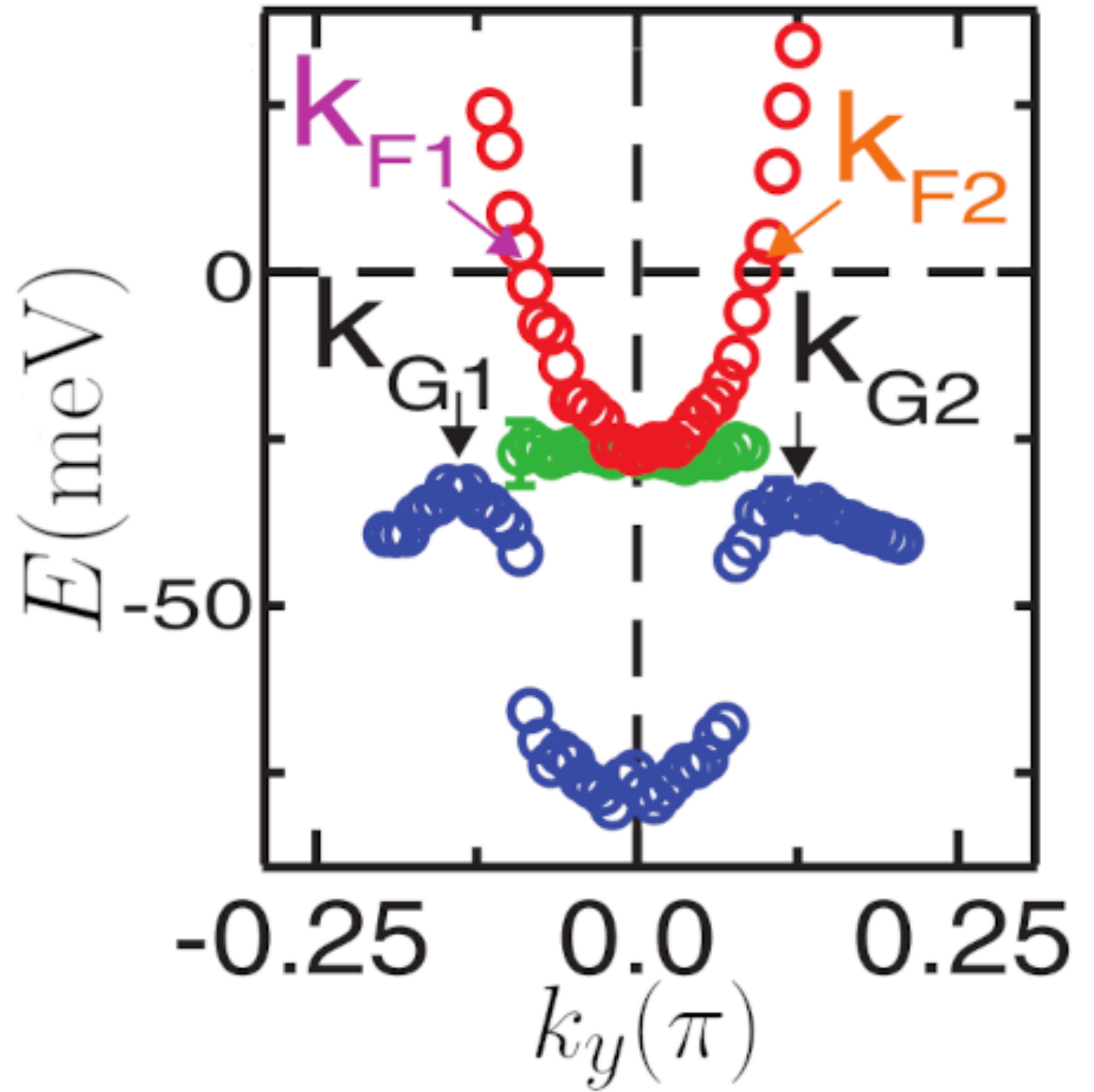
Anti-node



Node

FL\* in a **one-band** model

Second ancilla layer is needed to describe MDC and EDC



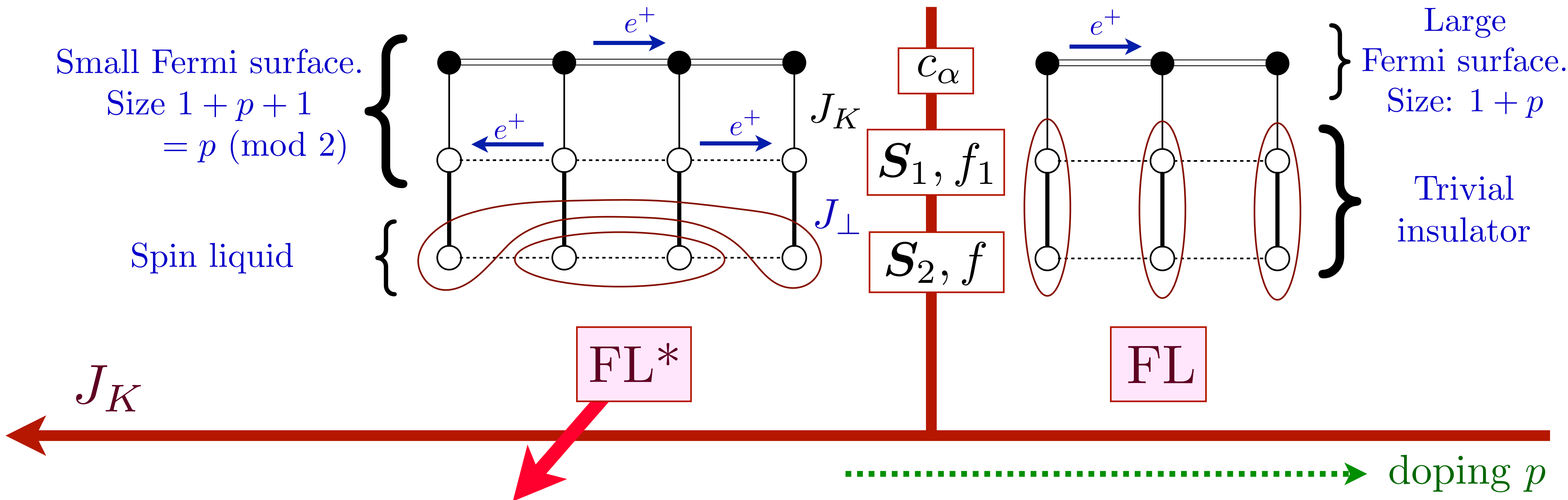
R.-H. He, M. Hashimoto, H. Karapetyan, J. D. Koralek, J. P. Hinton, J. P. Testaud, V. Nathan, Y. Yoshida, H. Yao, K. Tanaka, W. Meevasana, R. G. Moore, D. H. Lu, S. K. Mo, M. Ishikado, H. Eisaki, Z. Hussain, T. P. Devereaux, S. A. Kivelson, J. Orenstein, A. Kapitulnik, and Z.-X. Shen, *Science* **331**, 1579 (2011)

ARPES on  
Bi2201

Similarities to theories, which do not have explicit reference to spin liquid on second ancilla layer

Kai-Yu Yang, T. M. Rice, Fu-Chun Zhang, *PRB* **73**, 174501 (2006)  
S. Sakai, Y. Motome, M. Imada, *PRL* **102**, 056404 (2009)

# Ancilla theory of the Hubbard model



Pseudogap metal:  $\langle c_\alpha^\dagger f_{1\alpha} \rangle \neq 0$

$f_\alpha$  form  $\pi$ -flux spin liquid with  $SU(2)_N$  gauge field

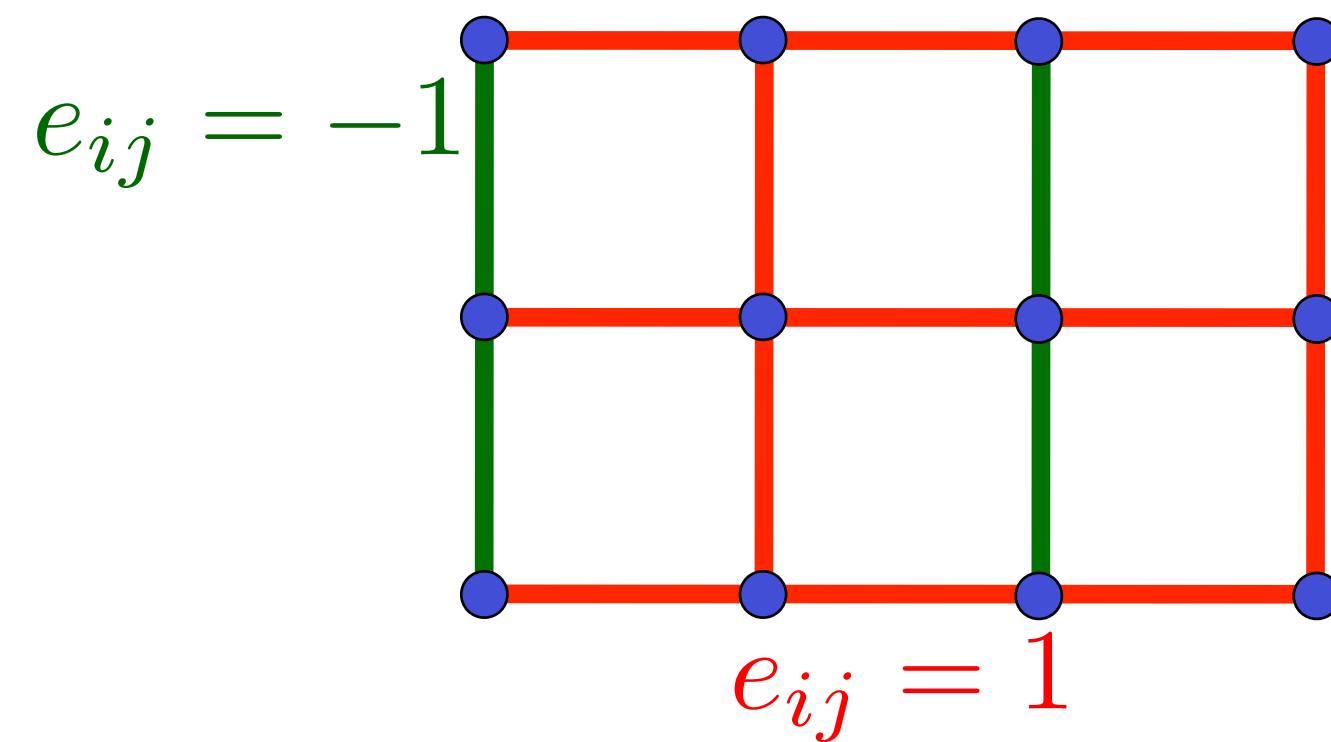
Charge  $e$ ,  $SU(2)_N$  fundamental, Higgs boson  $B \sim \begin{pmatrix} f_{1\alpha}^\dagger f_\alpha \\ \varepsilon_{\alpha\beta} f_{1\alpha}^\dagger f_\beta^\dagger \end{pmatrix}$

Boson with same quantum numbers in X.-G. Wen and P.A. Lee, PRL **76**, 503 (1996)

# Confinement of $SU(2)_N$ gauge theory by charge fluctuations

- Begin with the  $\pi$ -flux spin liquid in the fermionic spinon description.

$$H_f = iJ \sum_{\langle ij \rangle} e_{ij} \left( f_{i\alpha}^\dagger f_{j\alpha} - f_{j\alpha}^\dagger f_{i\alpha} \right)$$

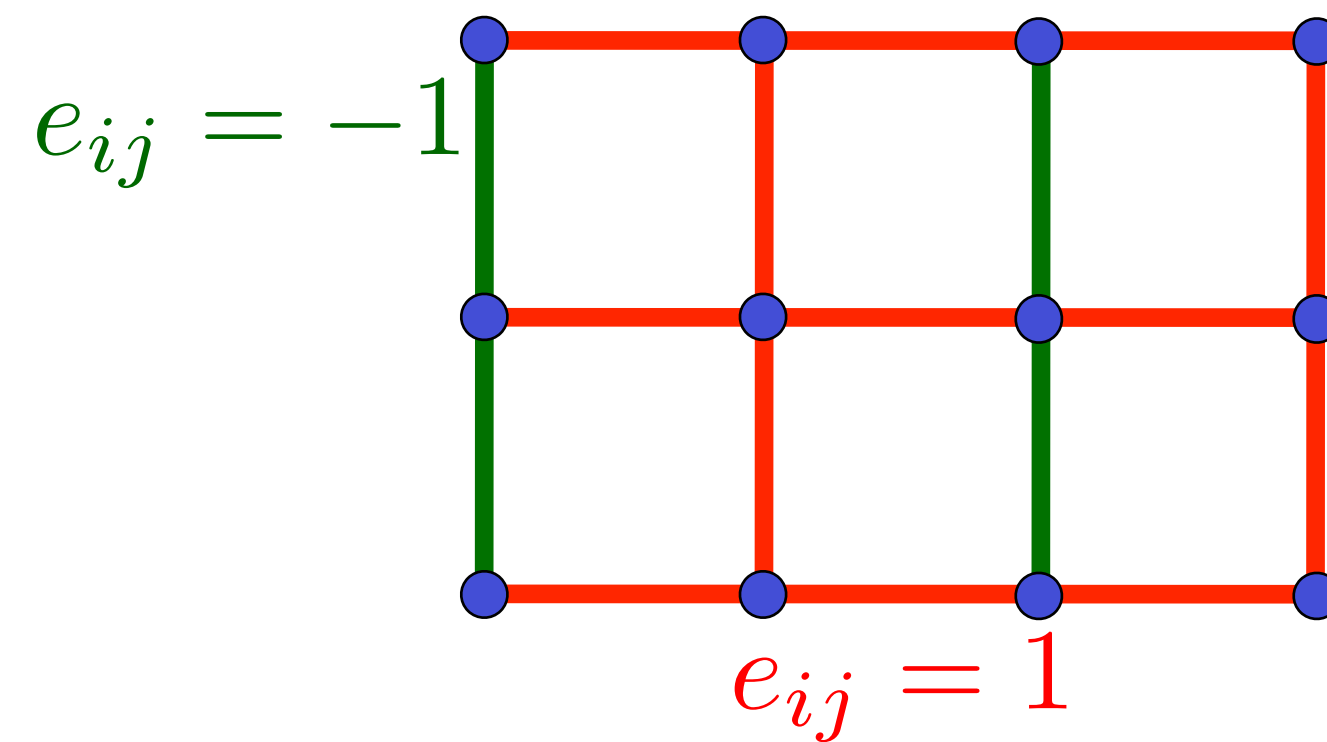


# Confinement of $SU(2)_N$ gauge theory by charge fluctuations

- Begin with the  $\pi$ -flux spin liquid in the fermionic spinon description.

$$H_f = iJ \sum_{\langle ij \rangle} e_{ij} \left( f_{i\alpha}^\dagger f_{j\alpha} - f_{j\alpha}^\dagger f_{i\alpha} \right) = iJ \sum_{\langle ij \rangle} e_{ij} \left( \Psi_i^\dagger U_{ij} \Psi_j - \Psi_j^\dagger U_{ji} \Psi_i \right); \quad \Psi_i = \begin{pmatrix} f_{i\uparrow}^\dagger \\ f_{i\downarrow}^\dagger \end{pmatrix}$$

$H_f$  is invariant under  $SU(2)$  rotations in spin and  $SU(2)_N$  rotations in Nambu space;  $U_{ij}$  is the  $SU(2)_N$  gauge field.





# Confinement of $SU(2)_N$ gauge theory by charge fluctuations

$$\mathcal{L}(B) = H_B + \frac{u}{2} \sum_i \rho_i^2 + V_1 \sum_i \rho_i (\rho_{i+\hat{x}} + \rho_{i+\hat{y}}) + g \sum_{\langle ij \rangle} |\Delta_{ij}|^2$$

$$+ J_1 \sum_{\langle ij \rangle} Q_{ij}^2 + K_1 \sum_{\langle ij \rangle} J_{ij}^2.$$

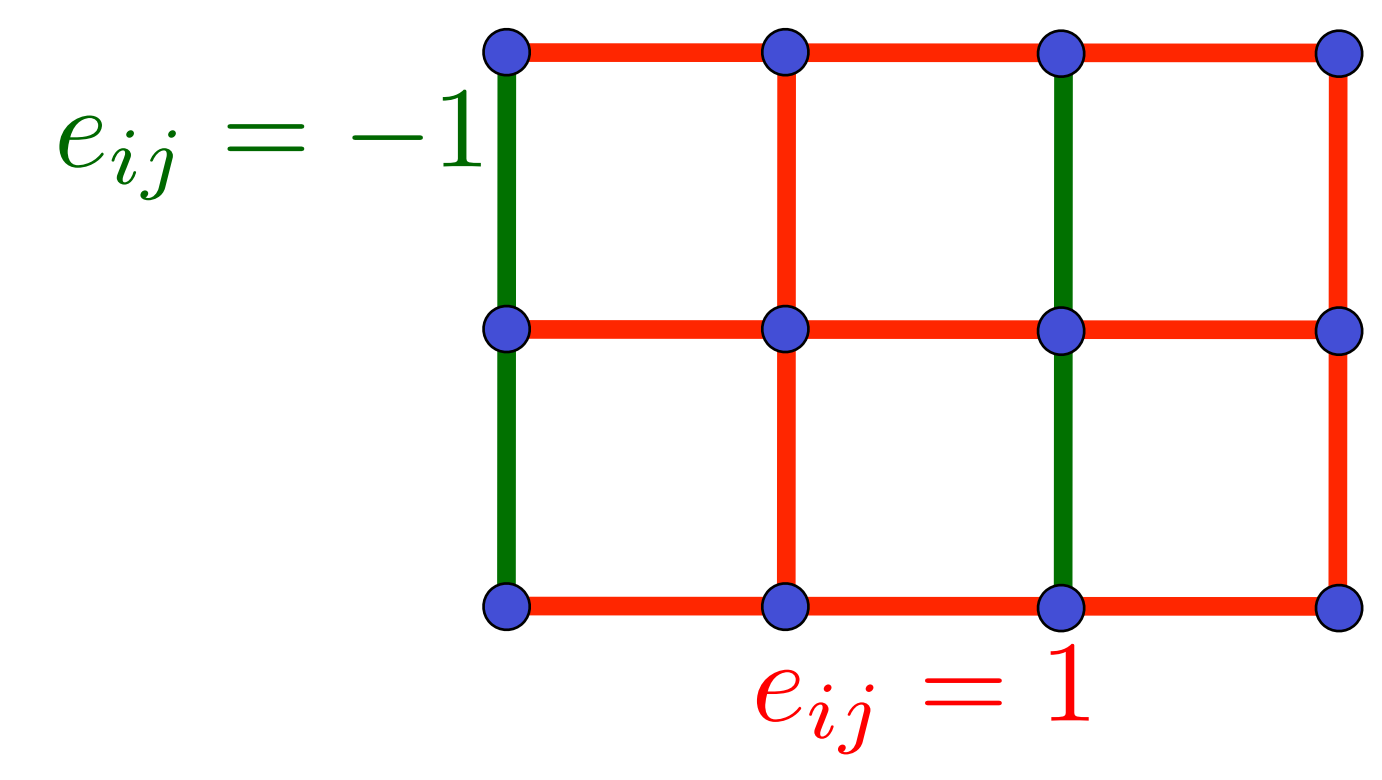
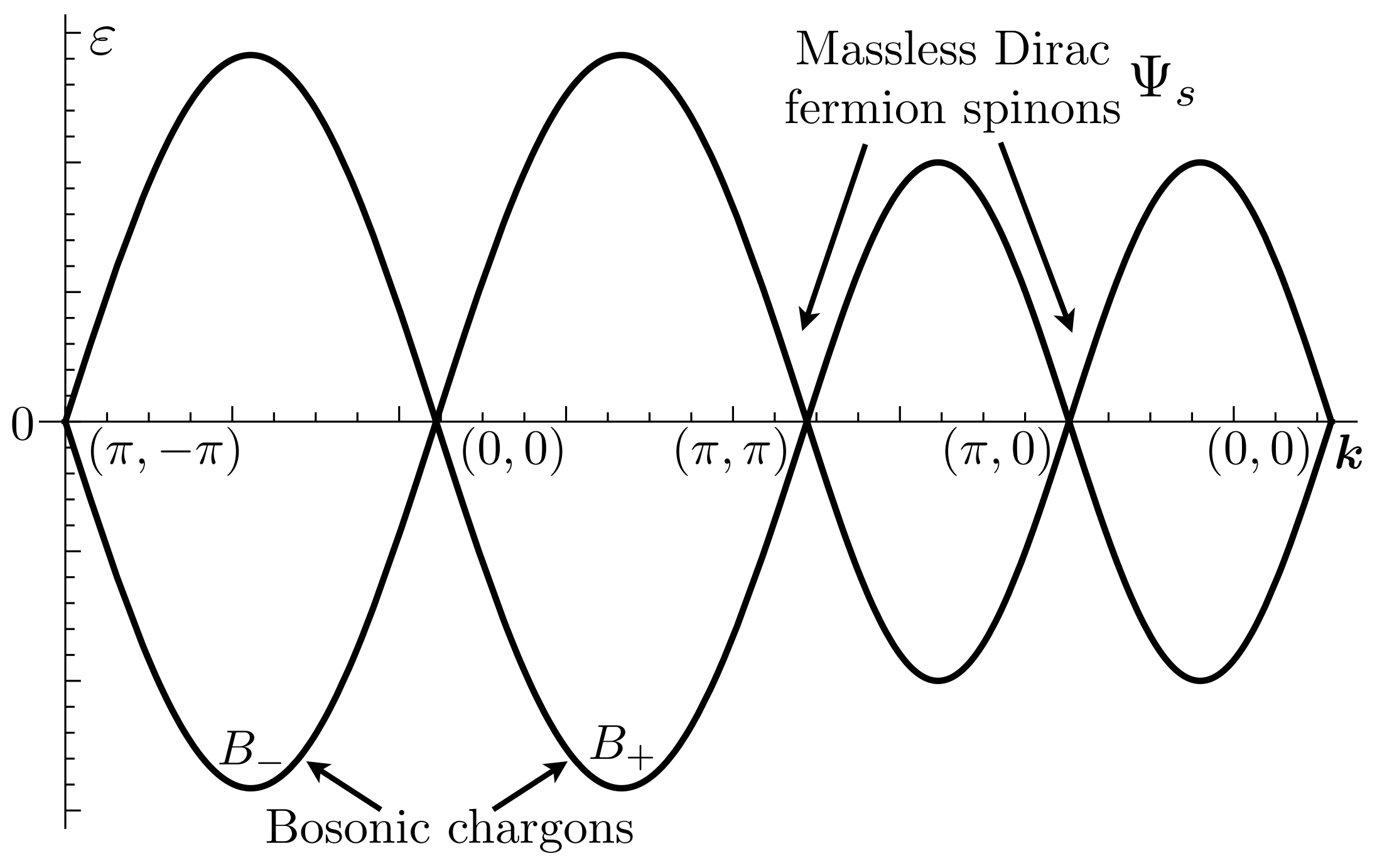
site charge density:  $\langle c_{i\alpha}^\dagger c_{i\alpha} \rangle \sim \rho_i = B_i^\dagger B_i$

bond density:  $\langle c_{i\alpha}^\dagger c_{j\alpha} + c_{j\alpha}^\dagger c_{i\alpha} \rangle \sim Q_{ij} = Q_{ji} = \text{Im} \left( B_i^\dagger e_{ij} U_{ij} B_j \right)$

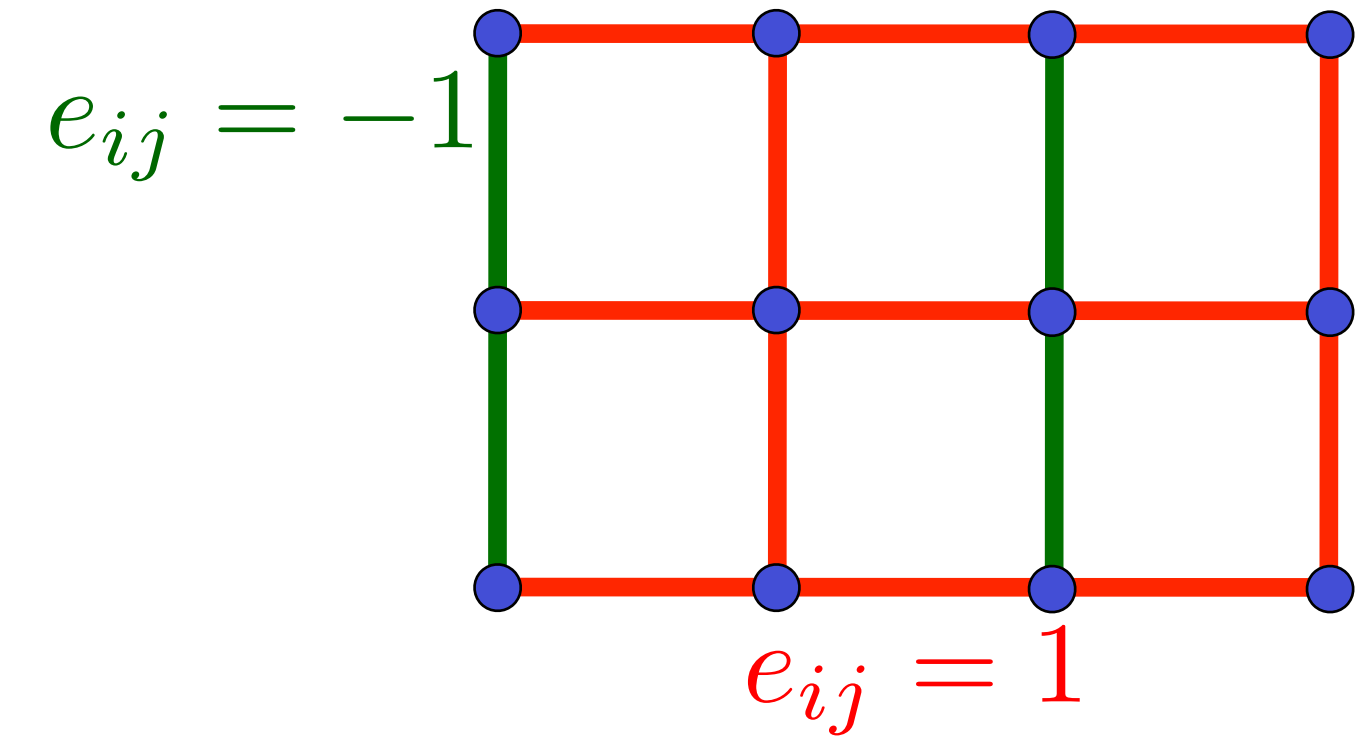
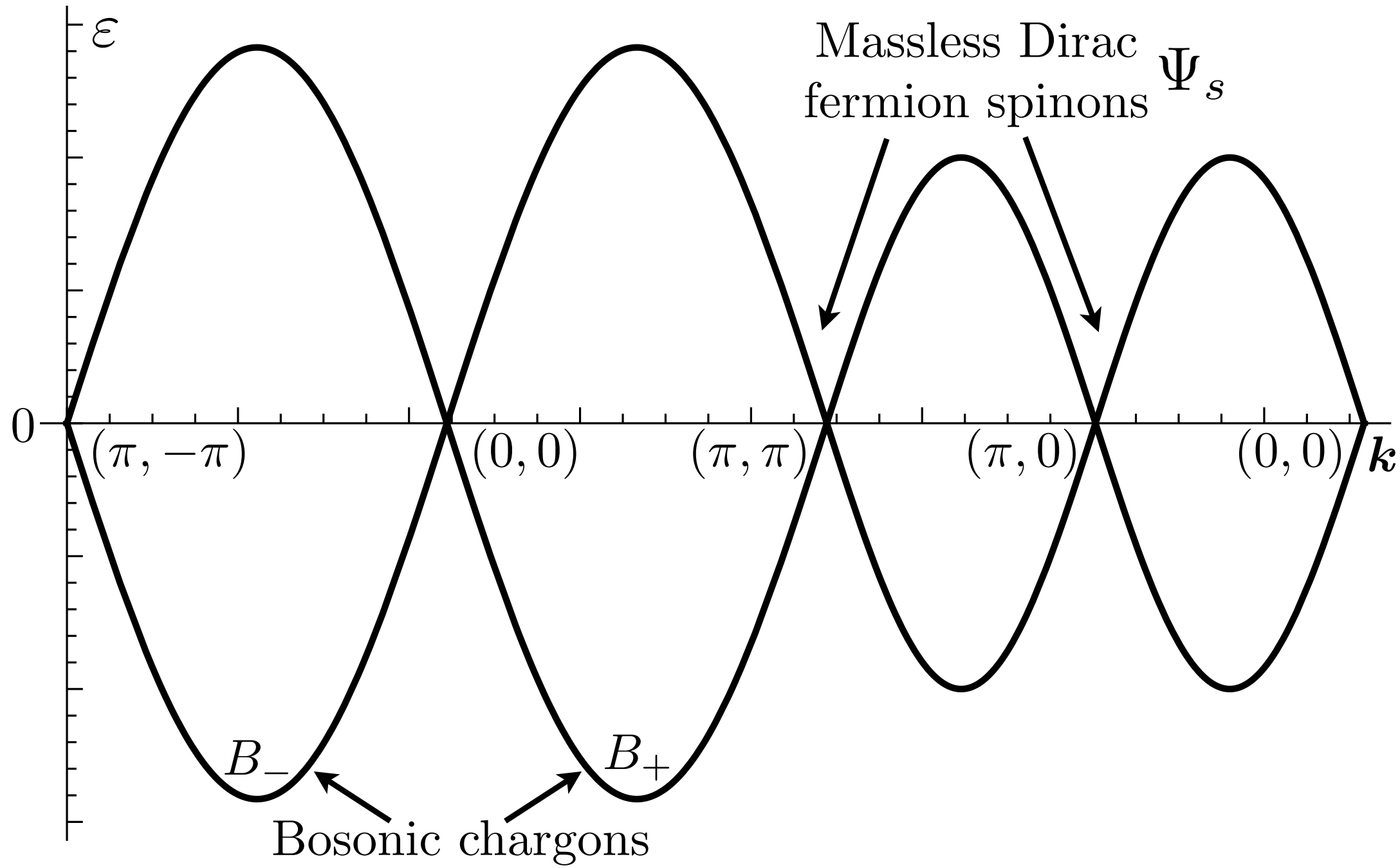
bond current:  $i \langle c_{i\alpha}^\dagger c_{j\alpha} - c_{j\alpha}^\dagger c_{i\alpha} \rangle \sim J_{ij} = -J_{ji} = \text{Re} \left( B_i^\dagger e_{ij} U_{ij} B_j \right)$

Pairing:  $\langle \varepsilon_{\alpha\beta} c_{i\alpha} c_{j\beta} \rangle \sim \Delta_{ij} = \Delta_{ji} = \varepsilon_{ab} B_{ai} e_{ij} U_{ij} B_{bj}.$

# Confinement of $SU(2)_N$ gauge theory by charge fluctuations



# Confinement of $SU(2)_N$ gauge theory by charge fluctuations



$SU(2)_N$  gauge-invariant and  $SU(2)$  spin invariant order parameters of Higgs phases:

$$x\text{-CDW} : \rho_{(\pi,0)} = B_{a+}^* B_{a+} - B_{a-}^* B_{a-}$$

$$y\text{-CDW} : \rho_{(0,\pi)} = B_{a+}^* B_{a-} + B_{a-}^* B_{a+}$$

$$d\text{-density wave} : D = i (B_{a+}^* B_{a-} - B_{a-}^* B_{a+})$$

$$d\text{-wave superconductor} : \Delta = \varepsilon_{ab} B_{a+} B_{b-}$$

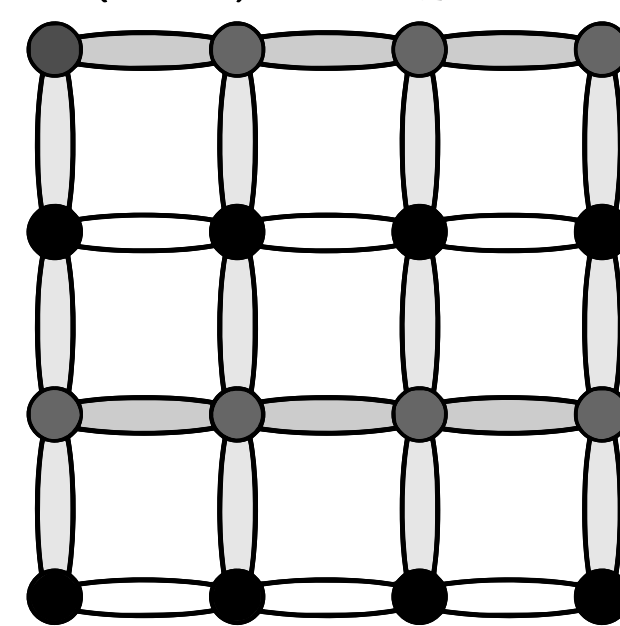
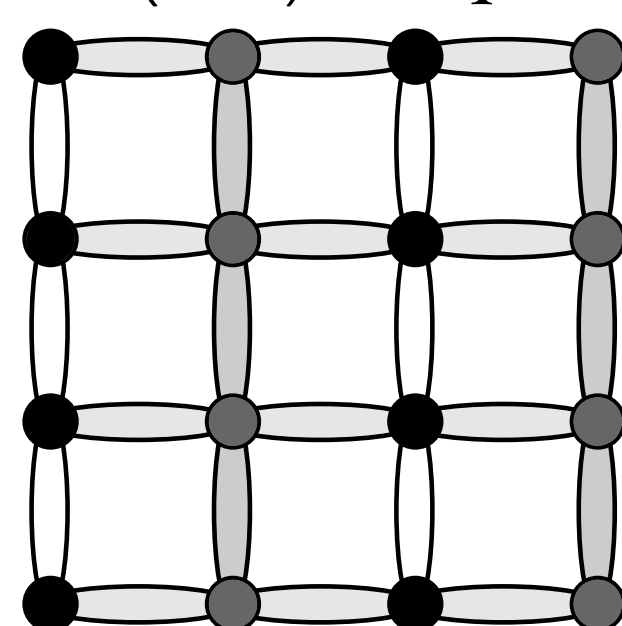
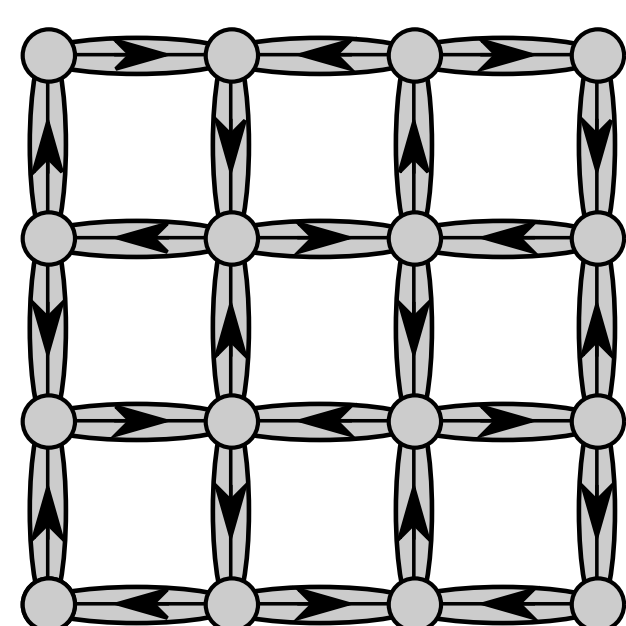
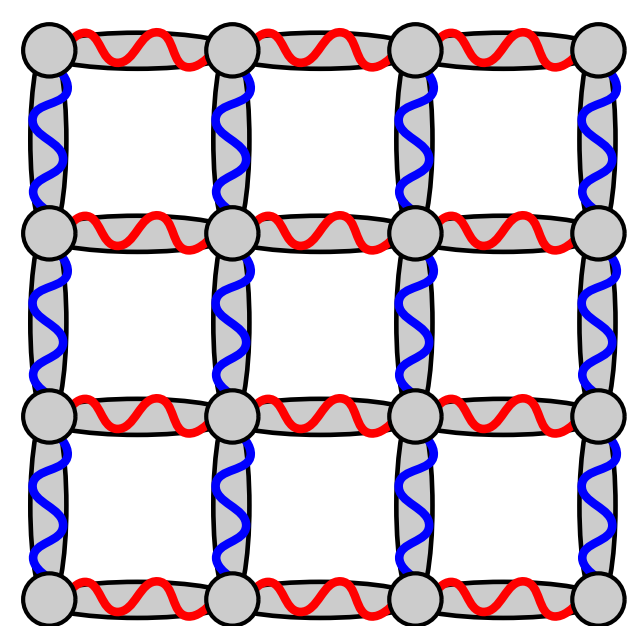
The  $\mathcal{O}(B_{a\pm}^2)$  terms in the energy have a  $SO(5)_b$  rotation symmetry between these orders.

$d$ -wave SC

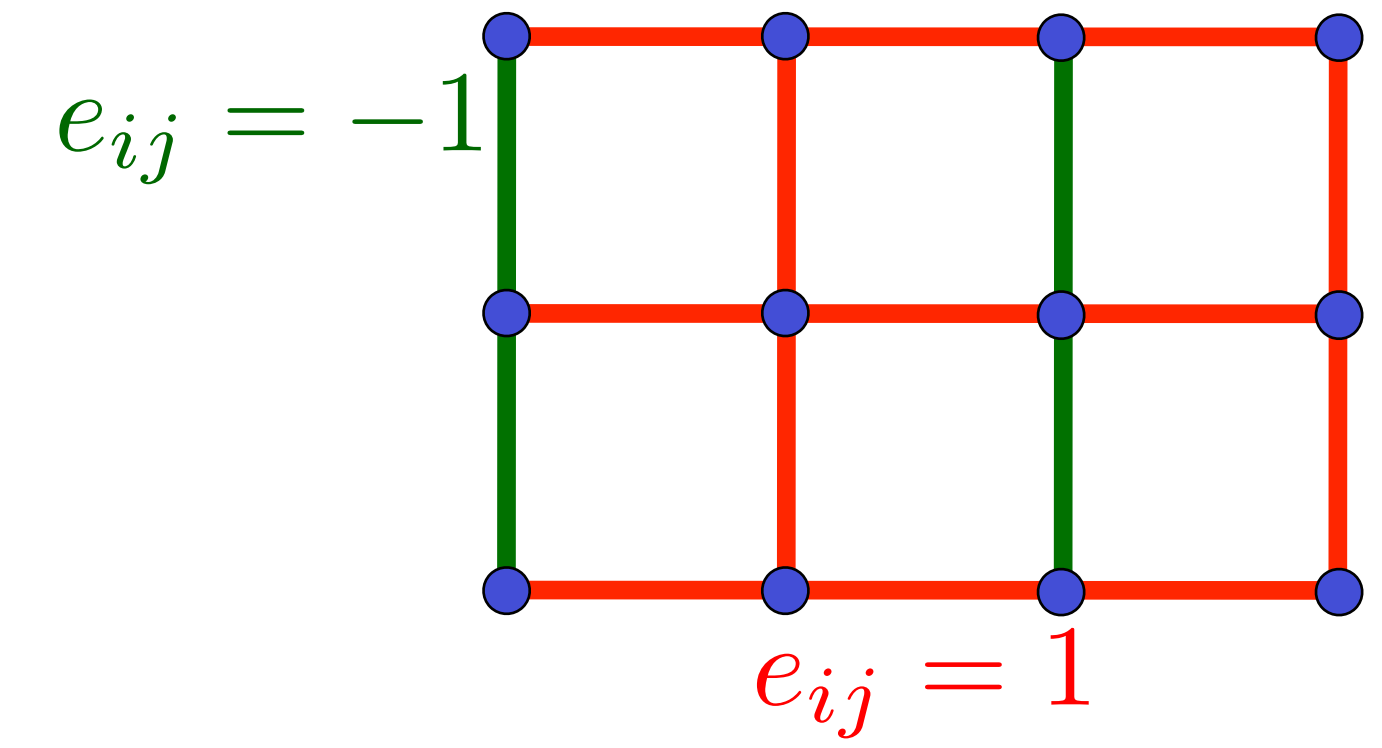
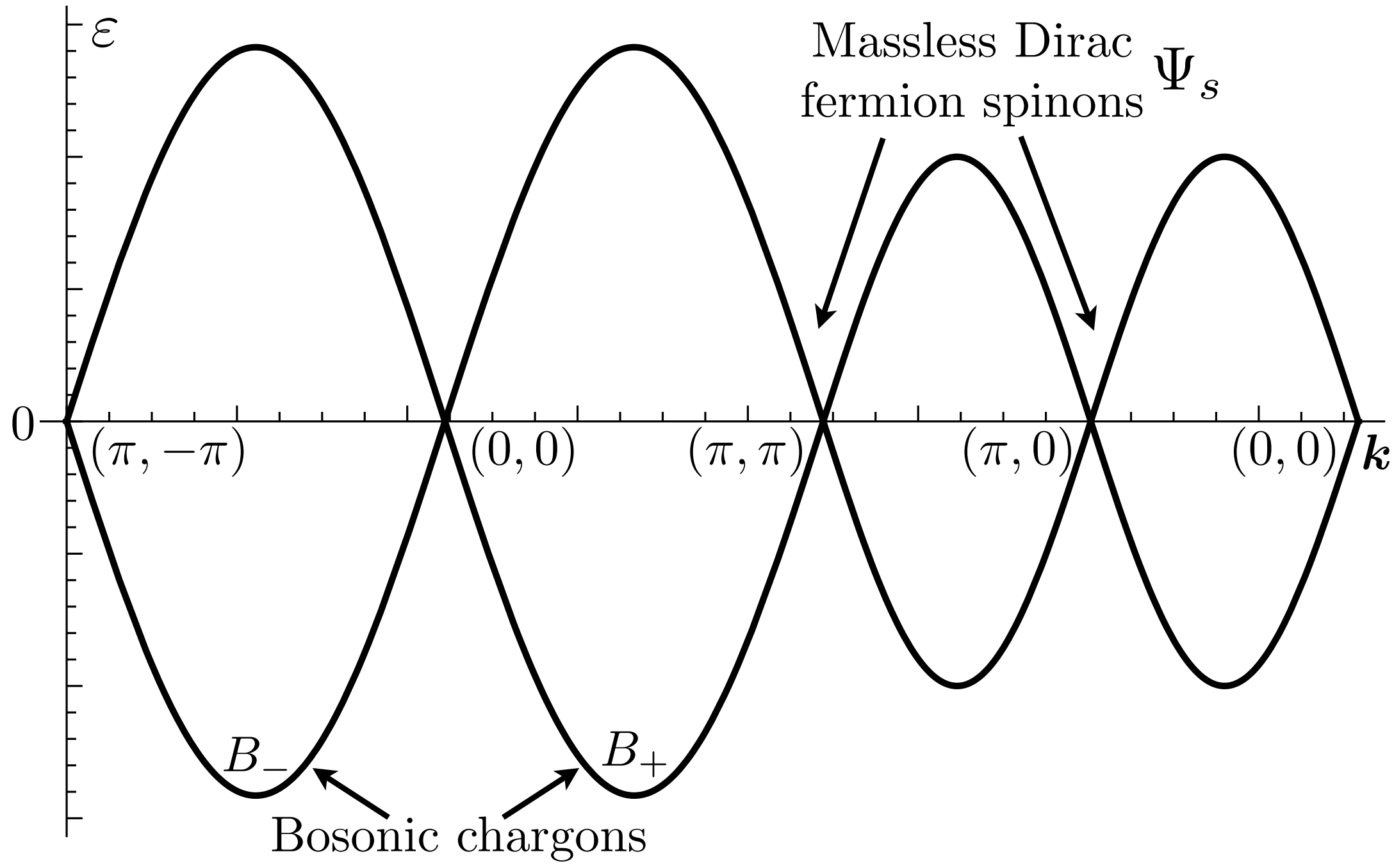
$d$ -density

$(\pi,0)$  stripe

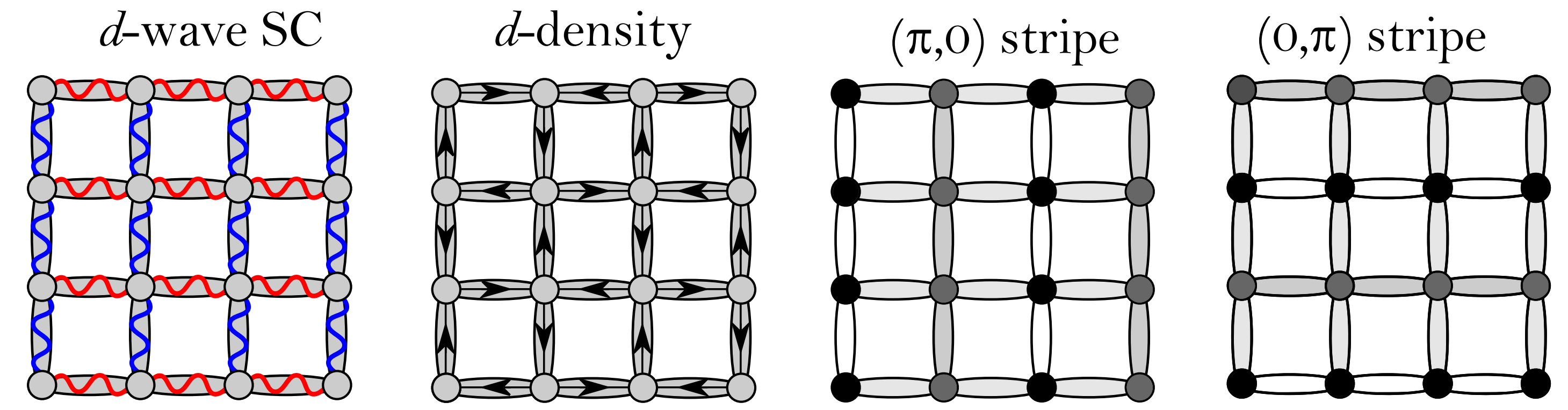
$(0,\pi)$  stripe



# Confinement of $SU(2)_N$ gauge theory by charge fluctuations

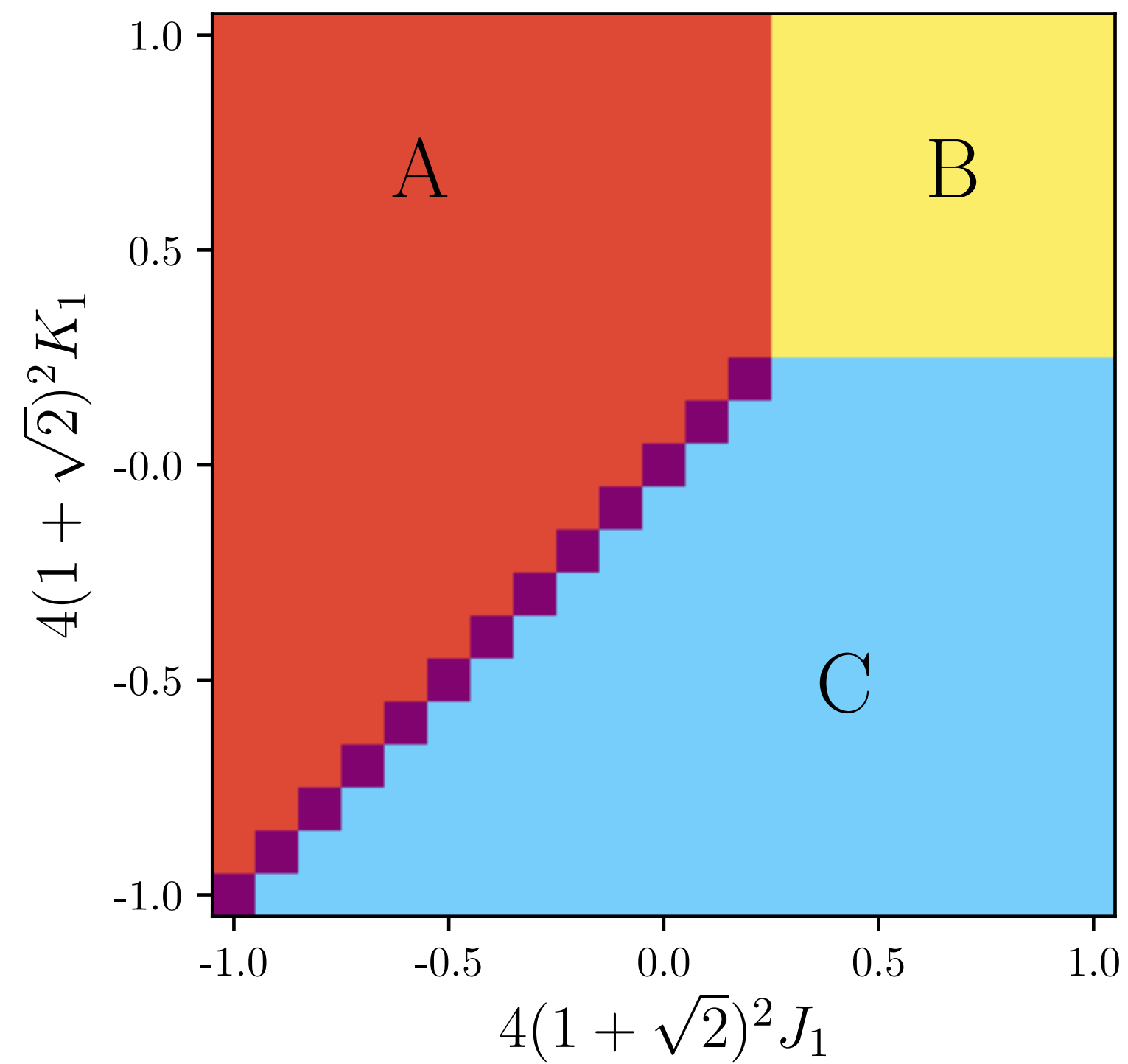


The  $B_{av}$  ( $a \rightarrow SU(2)_N$  gauge,  $v \rightarrow$  valley) are the “square roots” of conventional *d*-wave superconductor, charge density wave, pair density wave  
...



# Confinement of $SU(2)_N$ gauge theory by charge fluctuations

$$\langle B \rangle \neq 0$$

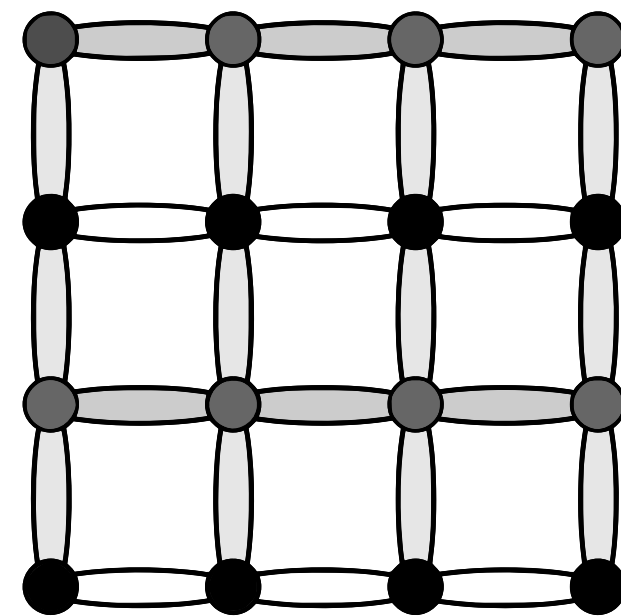
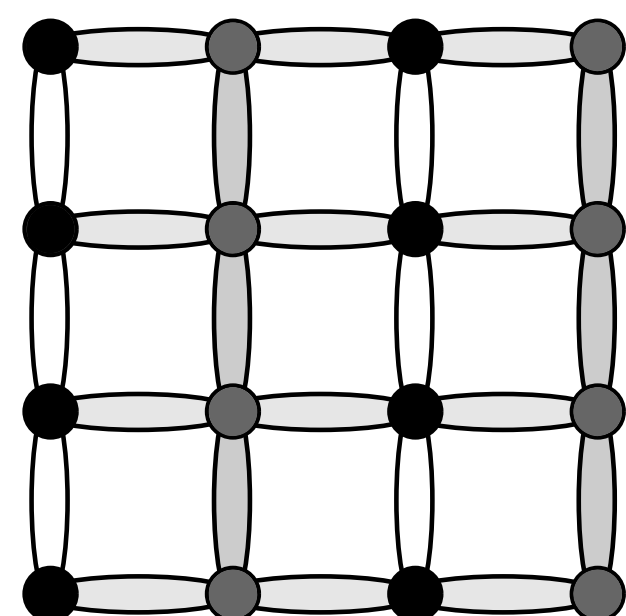
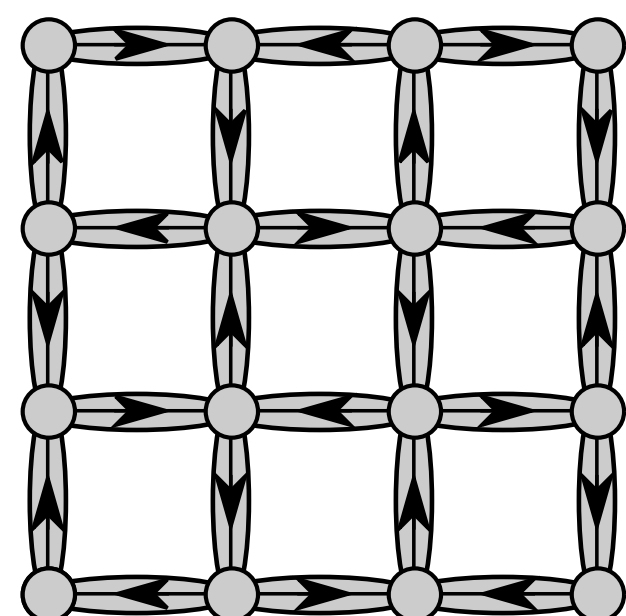
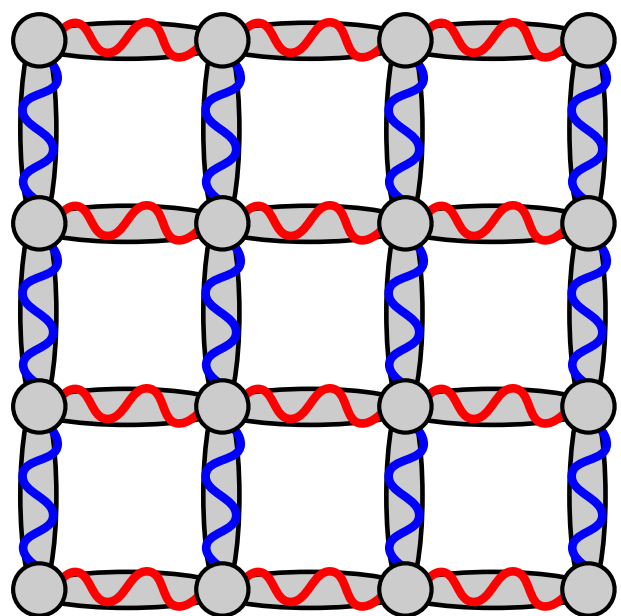


**Phase B**  
*d*-wave SC

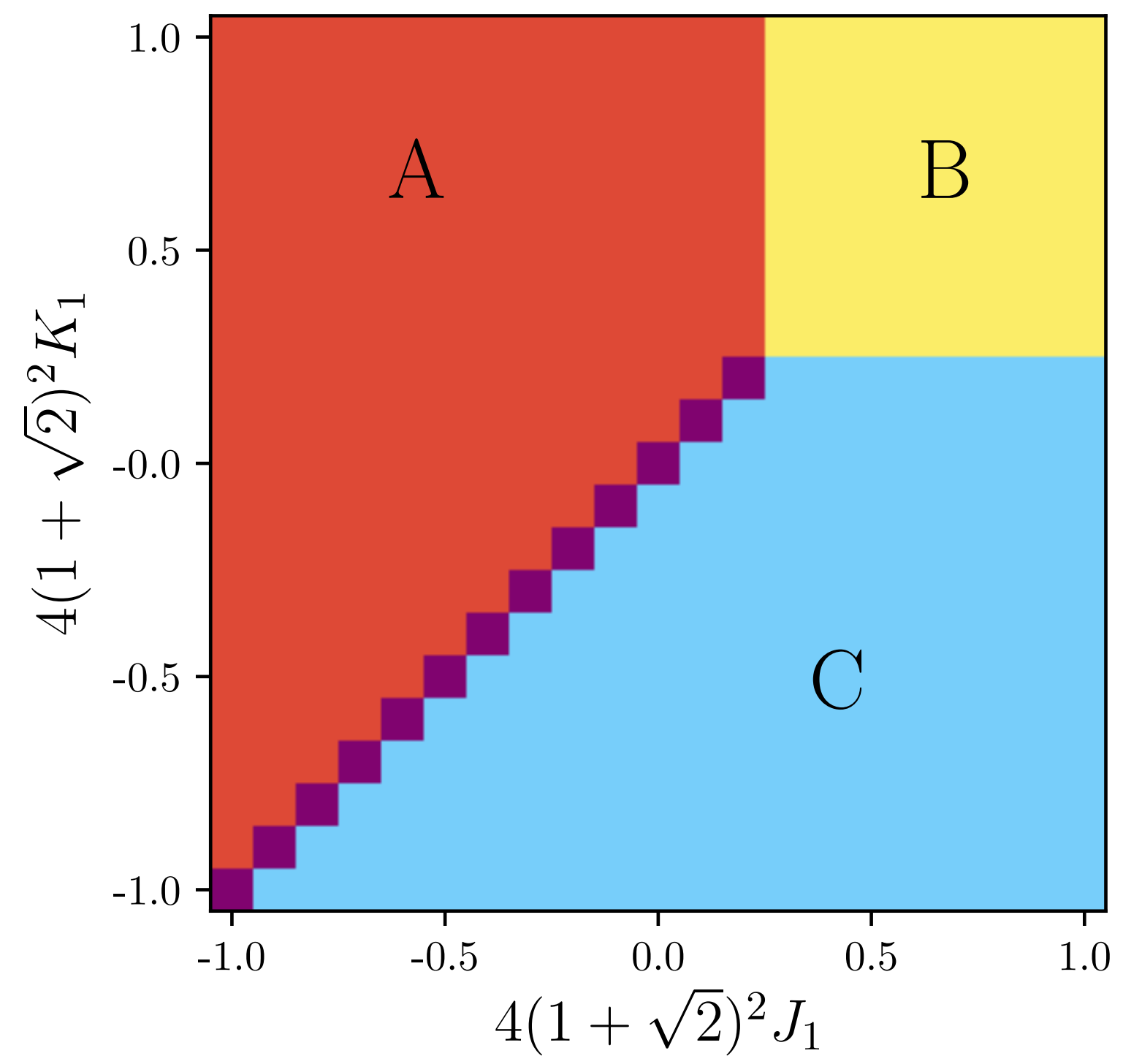
**Phase C**  
*d*-density

**Phase A**  
 $(\pi, 0)$  stripe

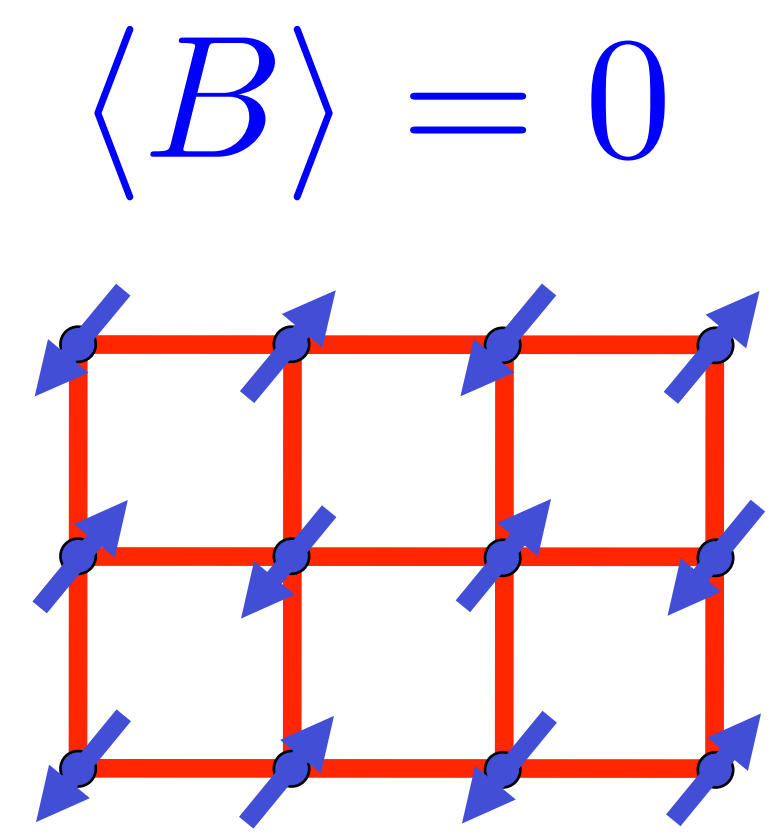
**Phase A**  
 $(0, \pi)$  stripe



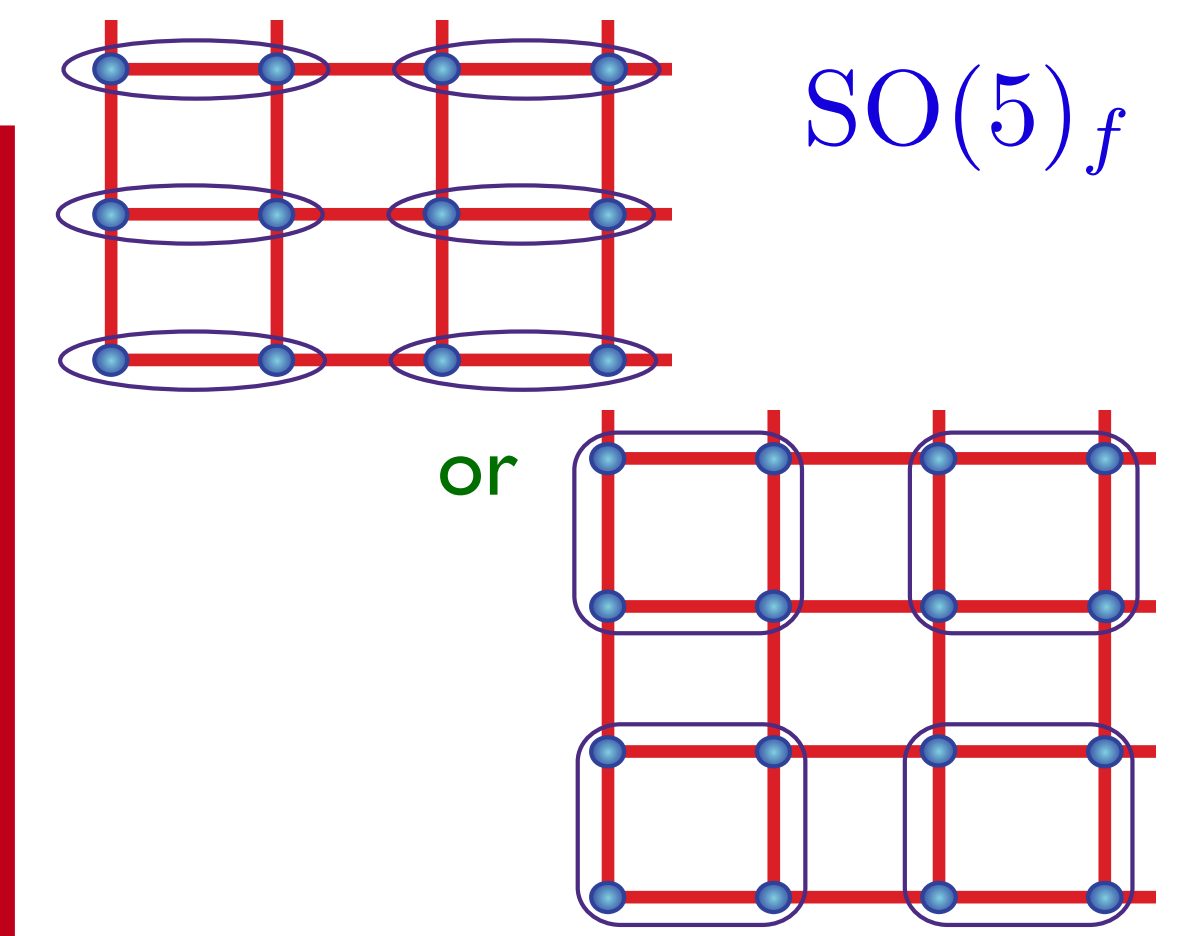
# Global phase diagram of $SU(2)_N$ gauge theory



$\langle B \rangle \neq 0$   
 $SO(5)_b$



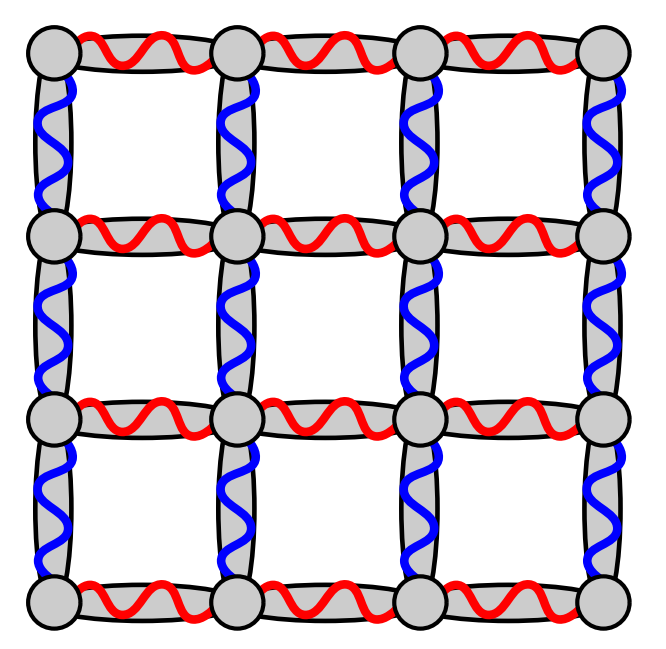
Confining phase:  
Néel order



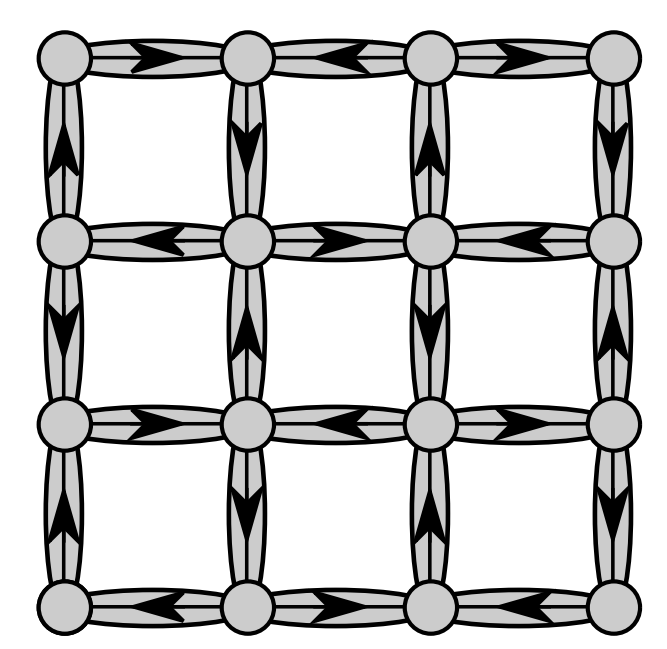
Confining phase:  
VBS order



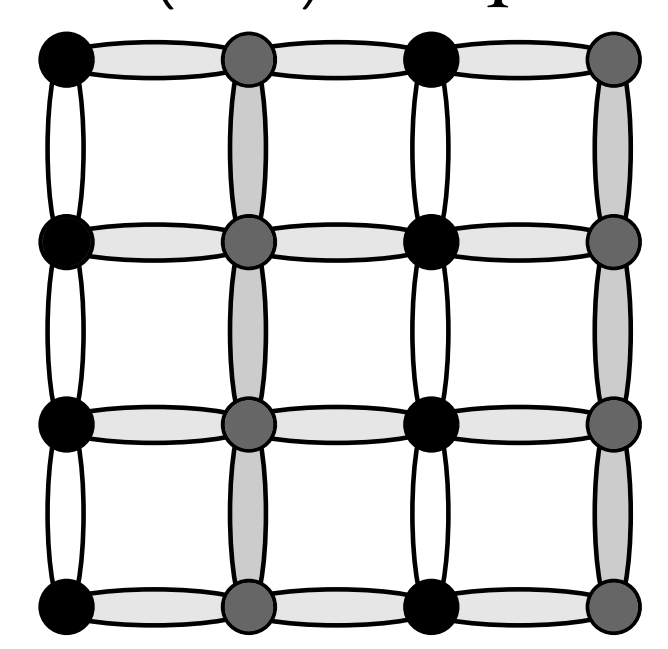
**Phase B**  
 $d$ -wave SC



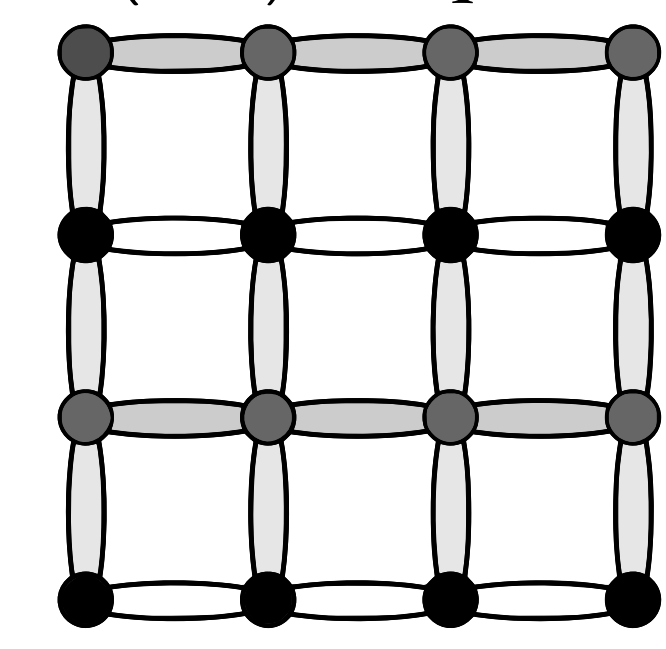
**Phase C**  
 $d$ -density



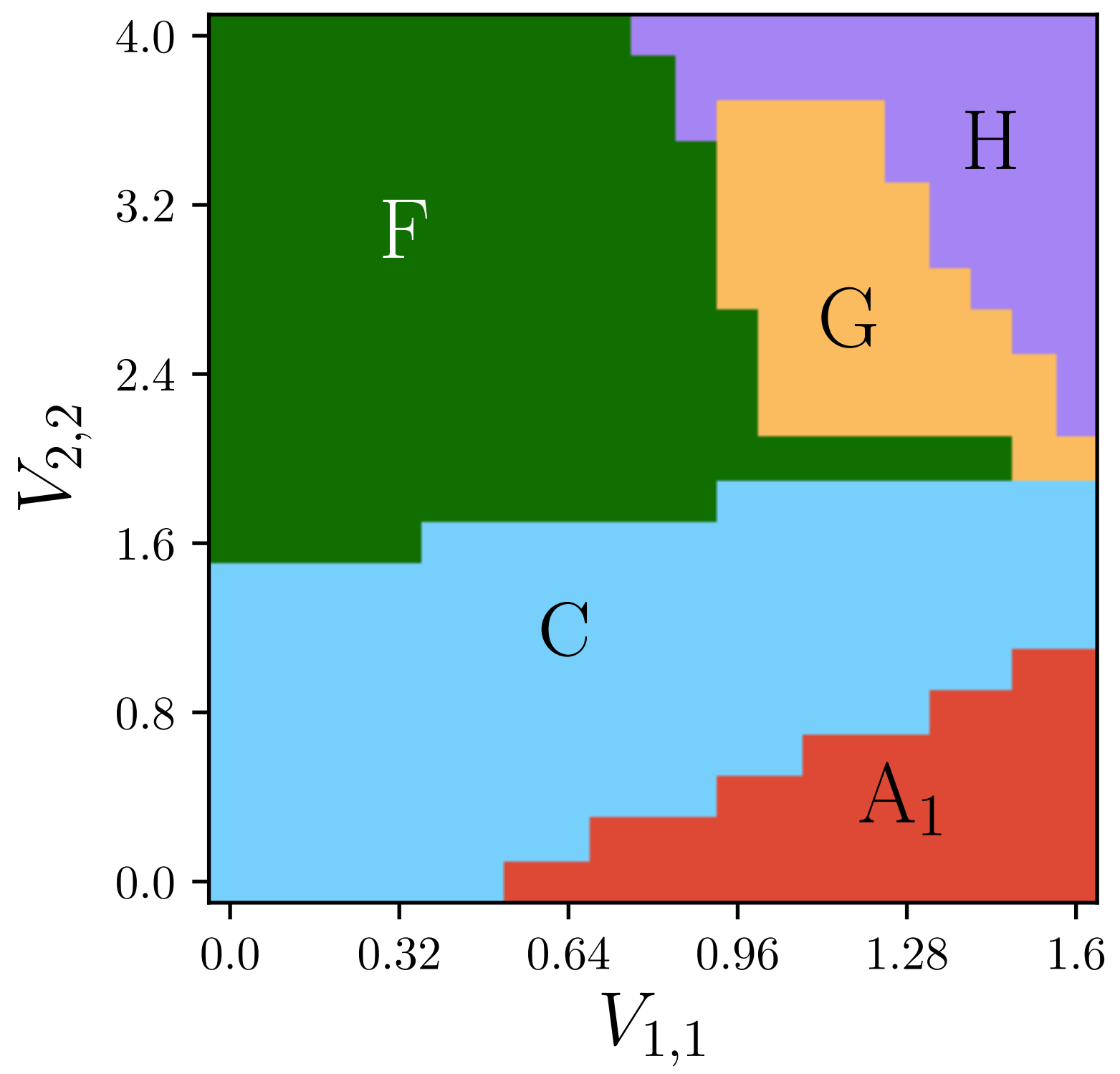
**Phase A**  
 $(\pi, 0)$  stripe



**Phase A**  
 $(0, \pi)$  stripe

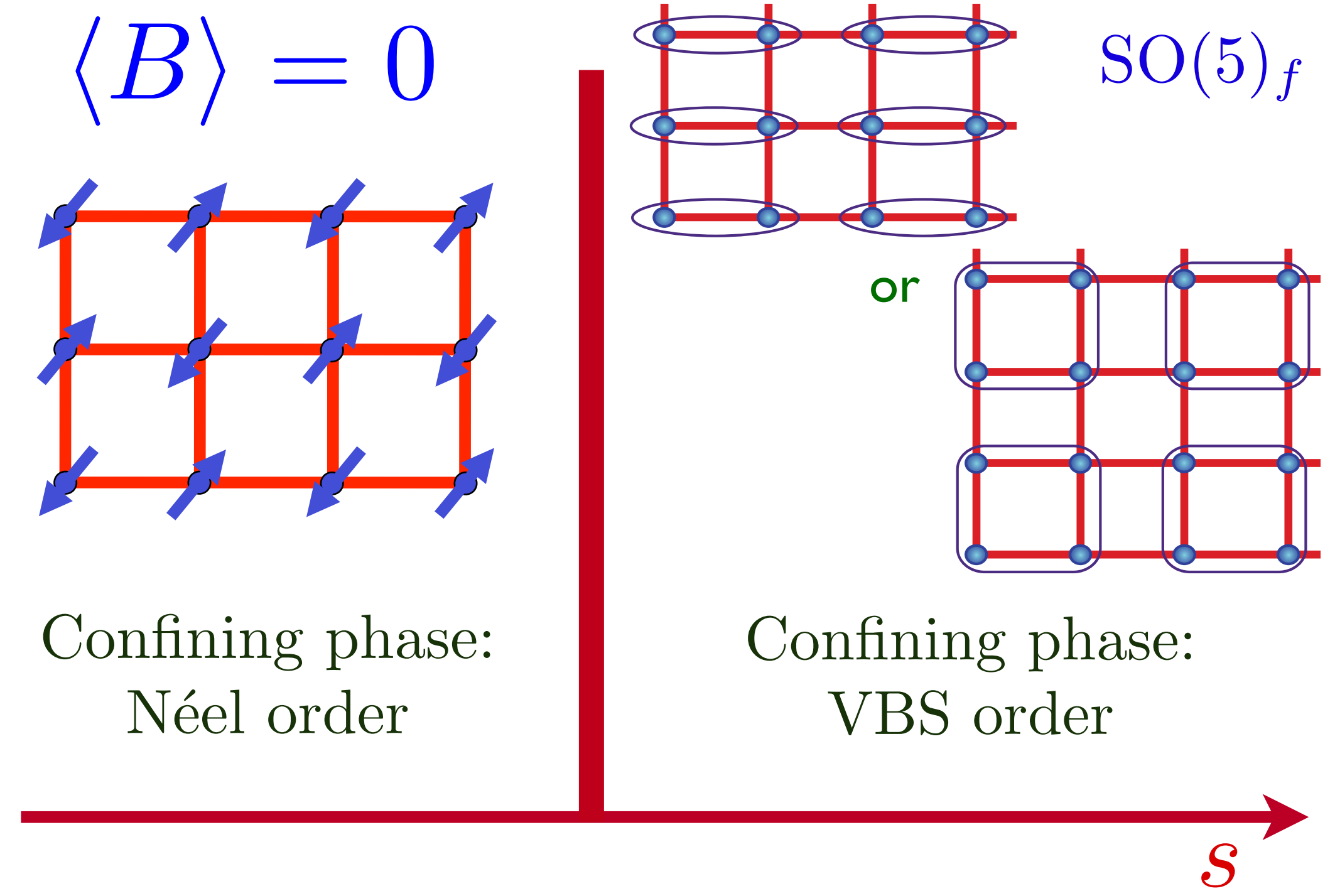


# Global phase diagram of $SU(2)_N$ gauge theory



$\langle B \rangle \neq 0$

Including further-neighbor couplings in  $B$



$r$

