

Fermi surfaces and topological order in lattice fermion systems

BEC 2019

Frontiers in Quantum Gases

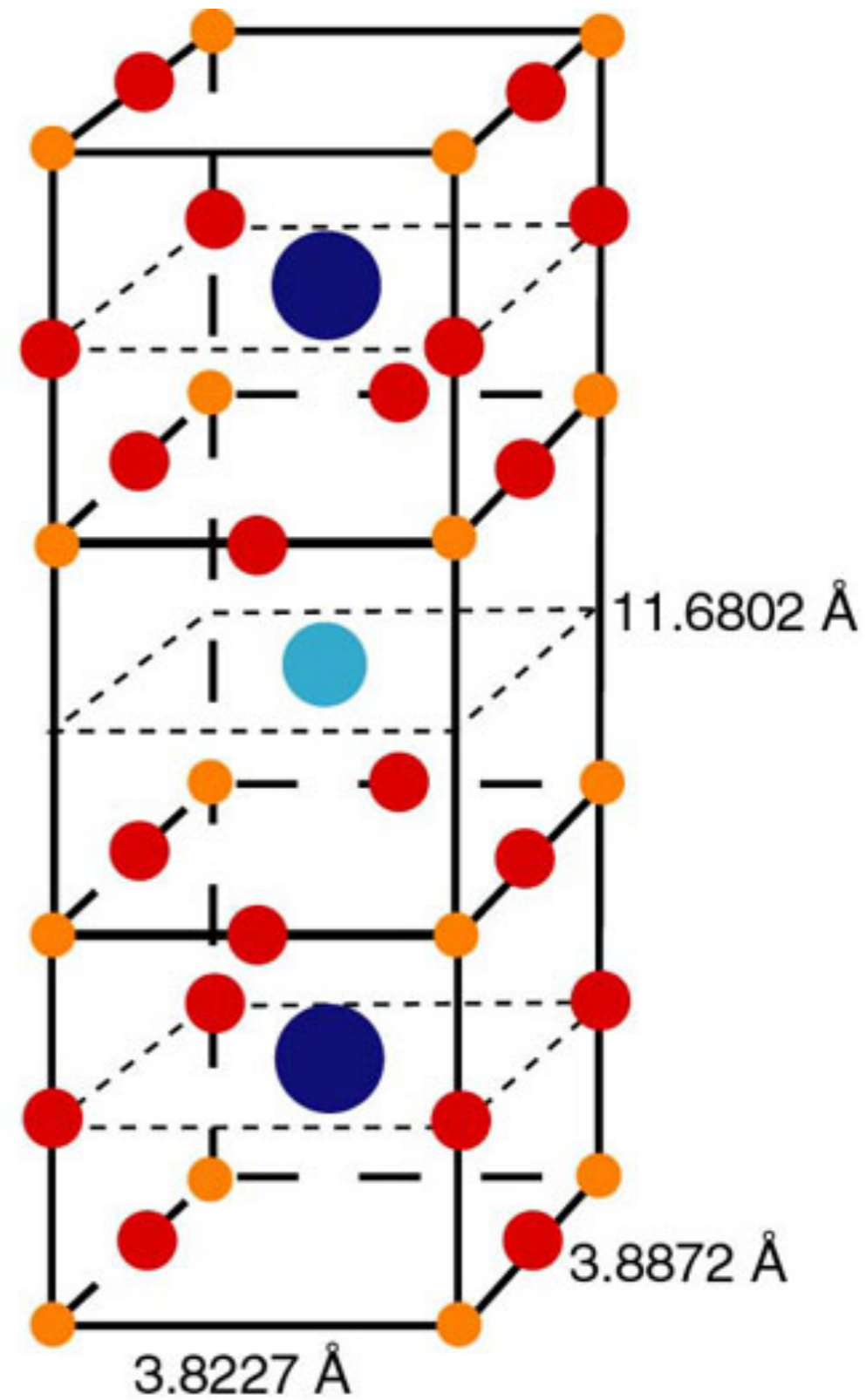
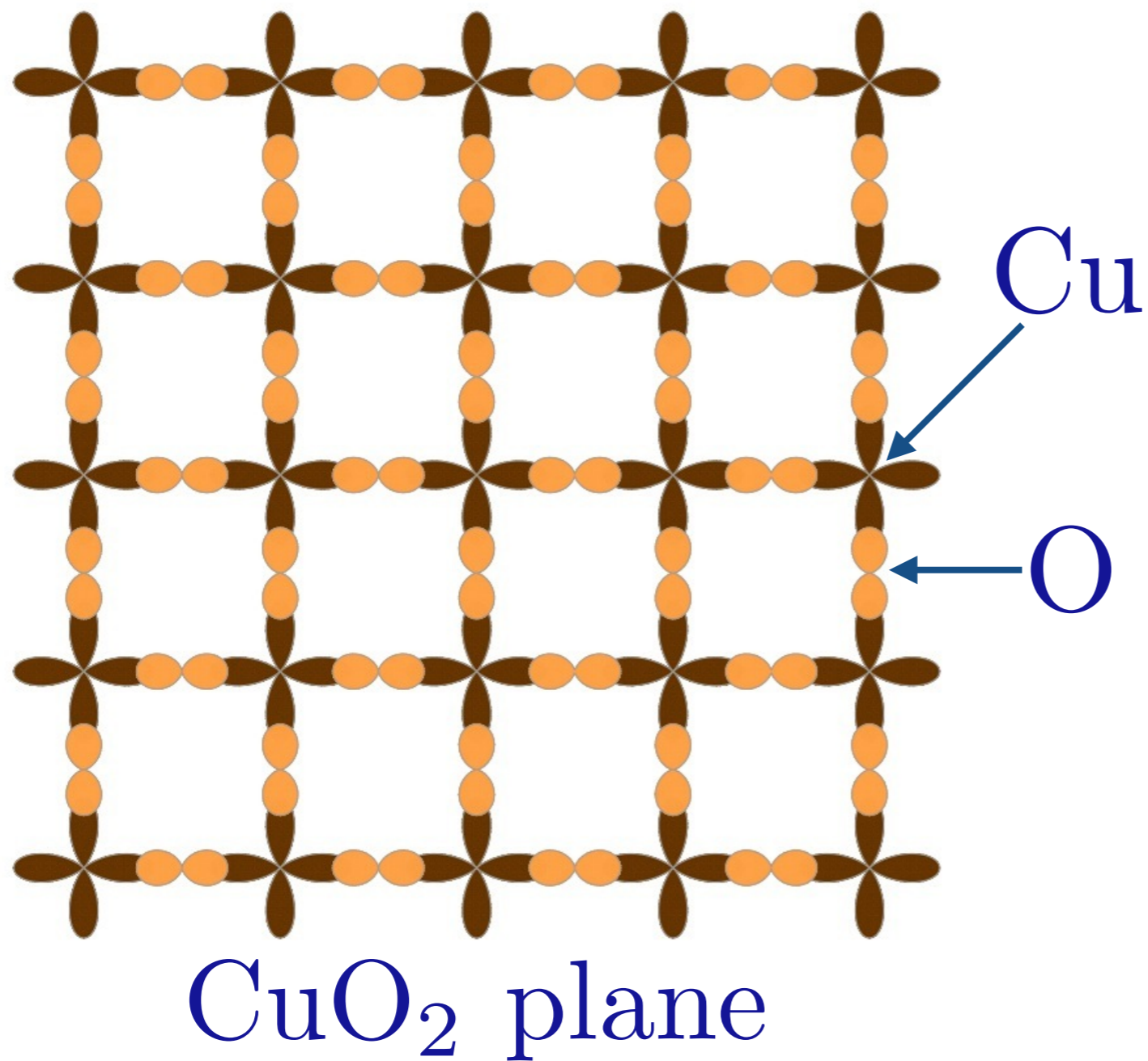
Sant Feliu de Guixols, September 8, 2019

Subir Sachdev

Talk online: sachdev.physics.harvard.edu



High temperature superconductors



The Hubbard Model

$$H = - \sum_{i < j} t_{ij} c_{i\alpha}^\dagger c_{j\alpha} + U \sum_i \left(n_{i\uparrow} - \frac{1}{2} \right) \left(n_{i\downarrow} - \frac{1}{2} \right) - \mu \sum_i c_{i\alpha}^\dagger c_{i\alpha}$$

$t_{ij} \rightarrow$ “hopping”. $U \rightarrow$ local repulsion, $\mu \rightarrow$ chemical potential

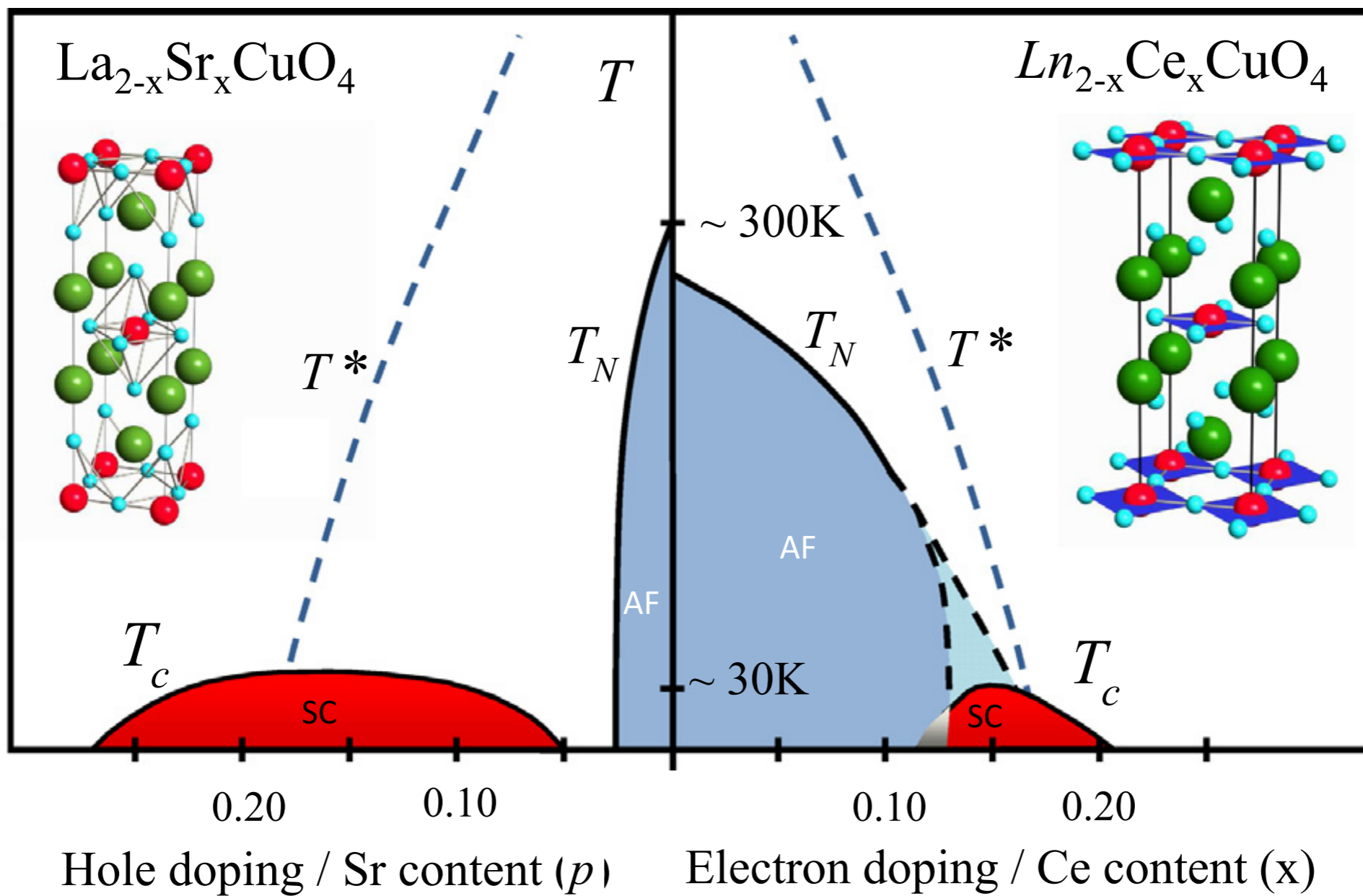
Spin index $\alpha = \uparrow, \downarrow$

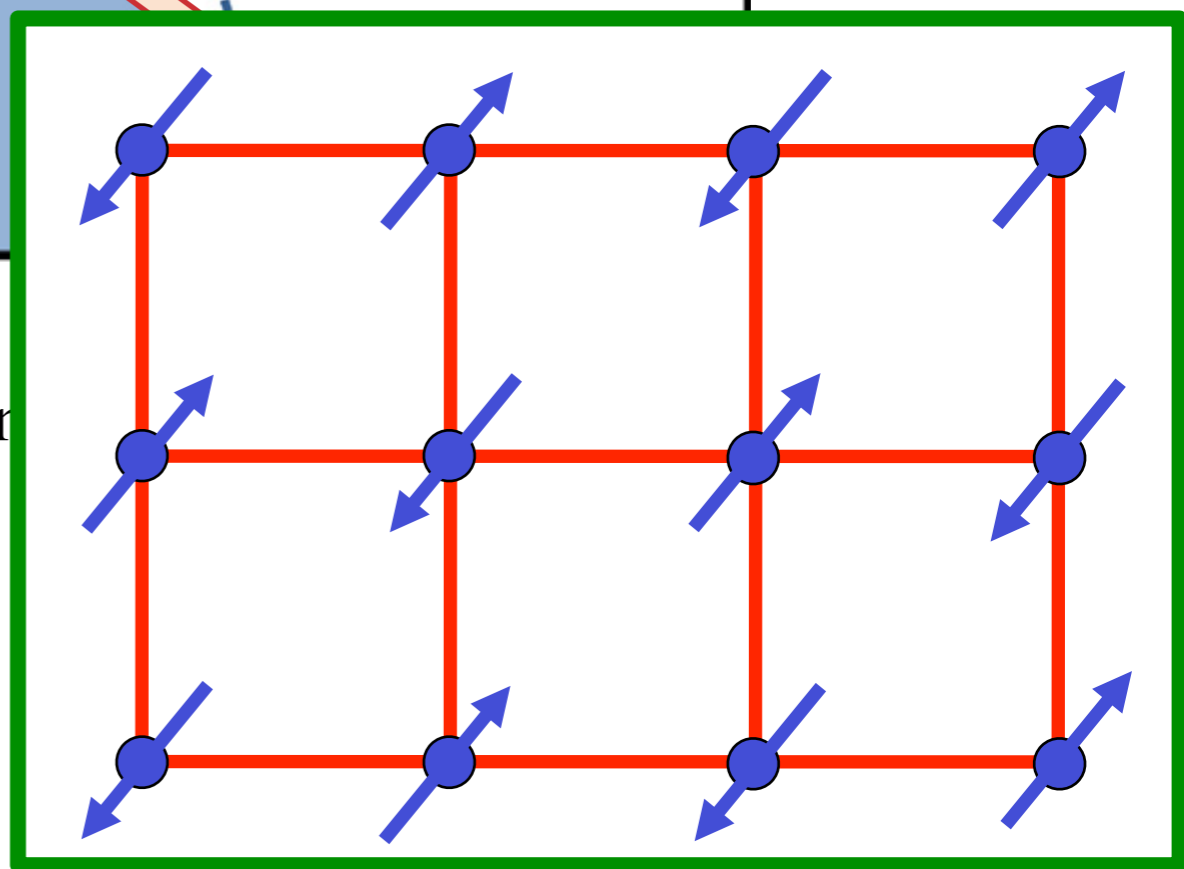
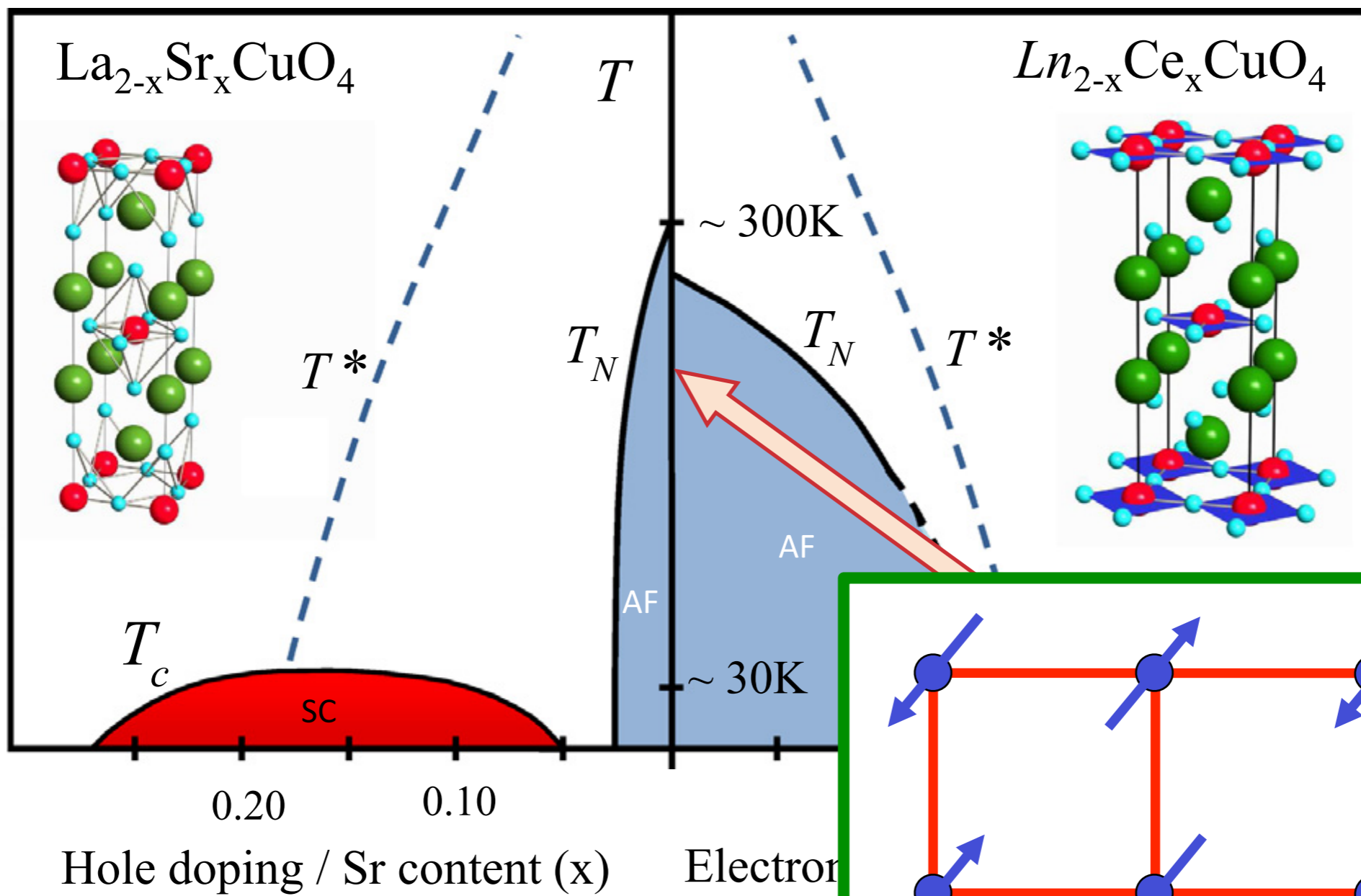
$$n_{i\alpha} = c_{i\alpha}^\dagger c_{i\alpha}$$

$$c_{i\alpha}^\dagger c_{j\beta} + c_{j\beta} c_{i\alpha}^\dagger = \delta_{ij} \delta_{\alpha\beta}$$

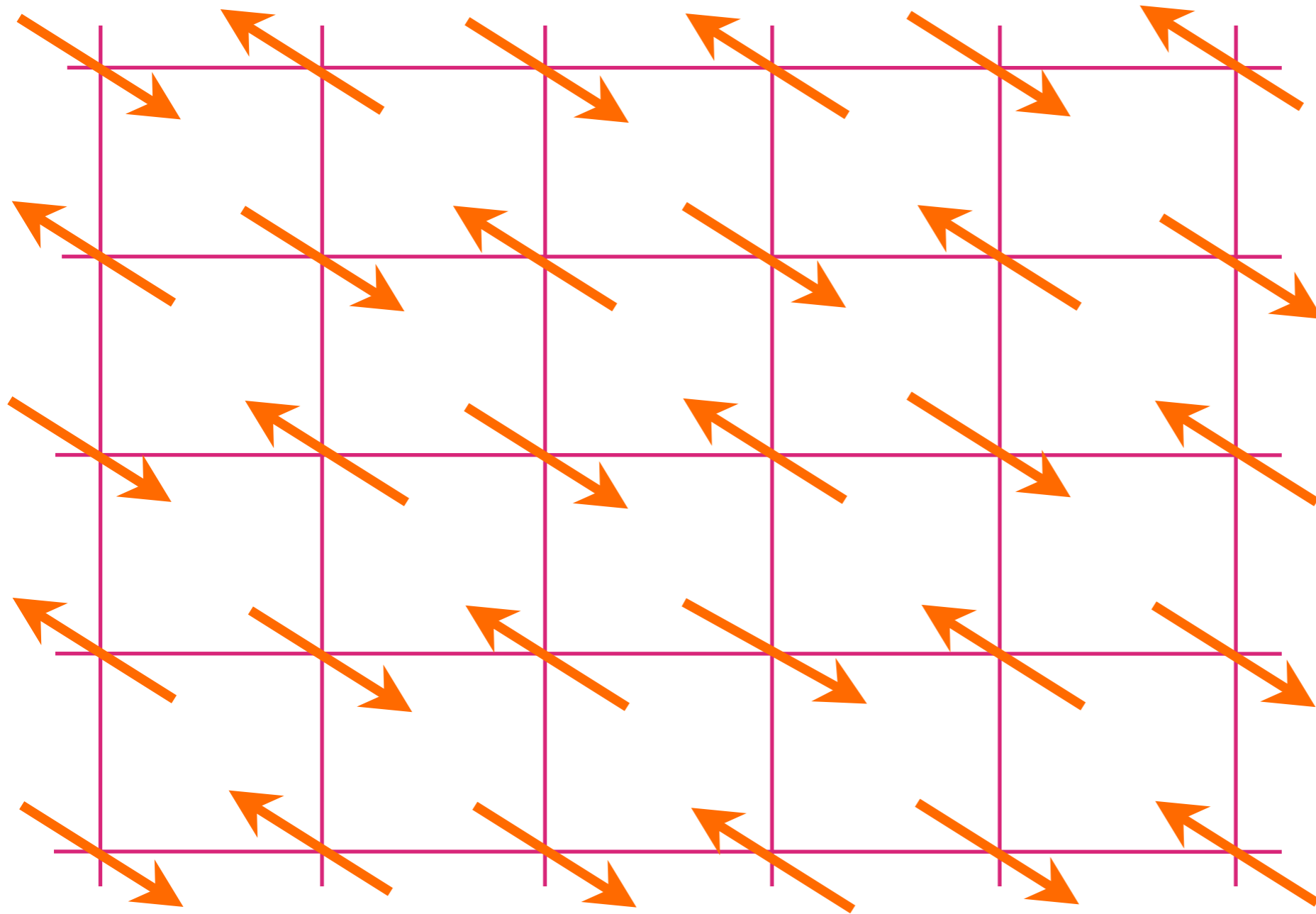
$$c_{i\alpha} c_{j\beta} + c_{j\beta} c_{i\alpha} = 0$$

Will study on the square lattice

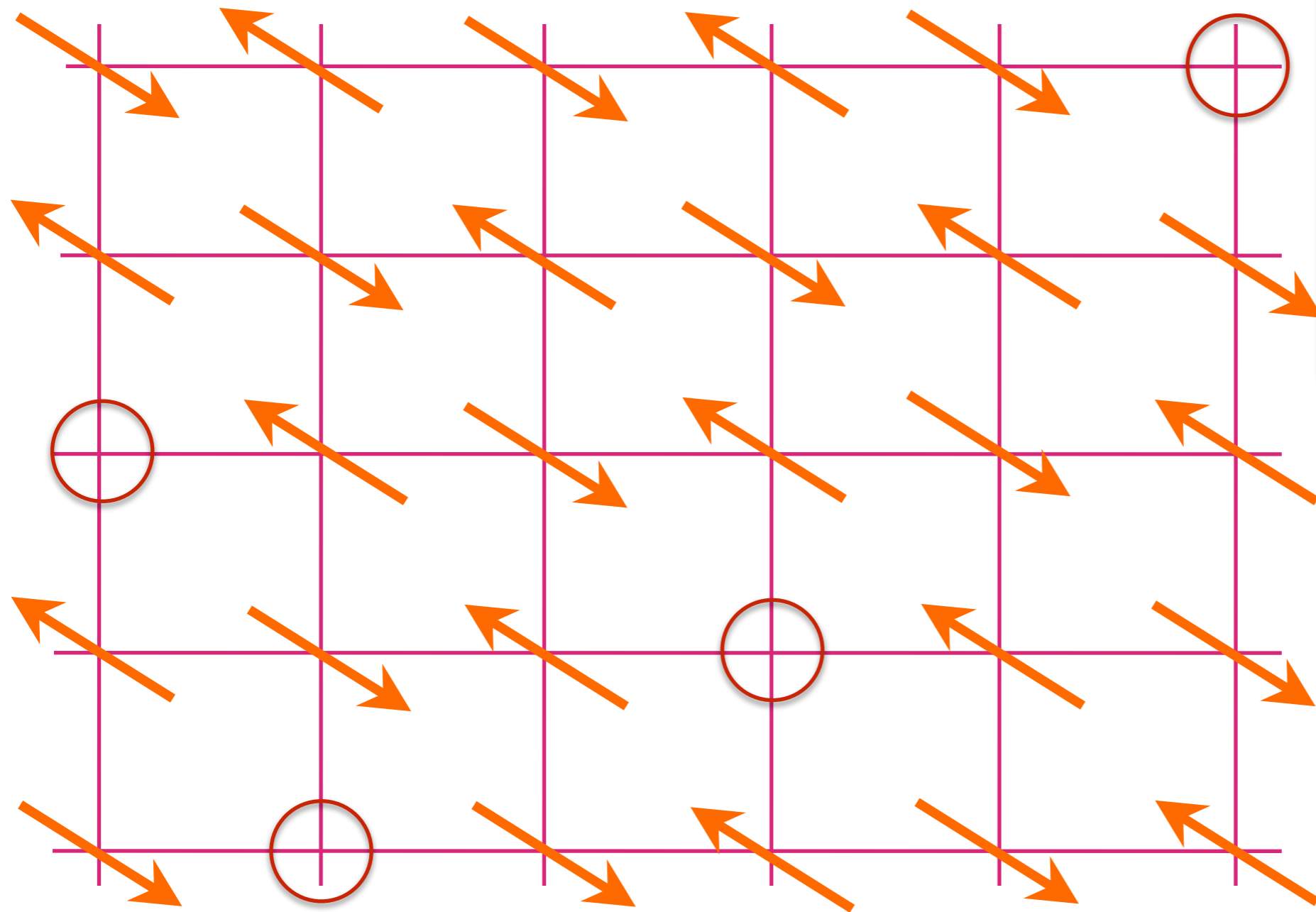




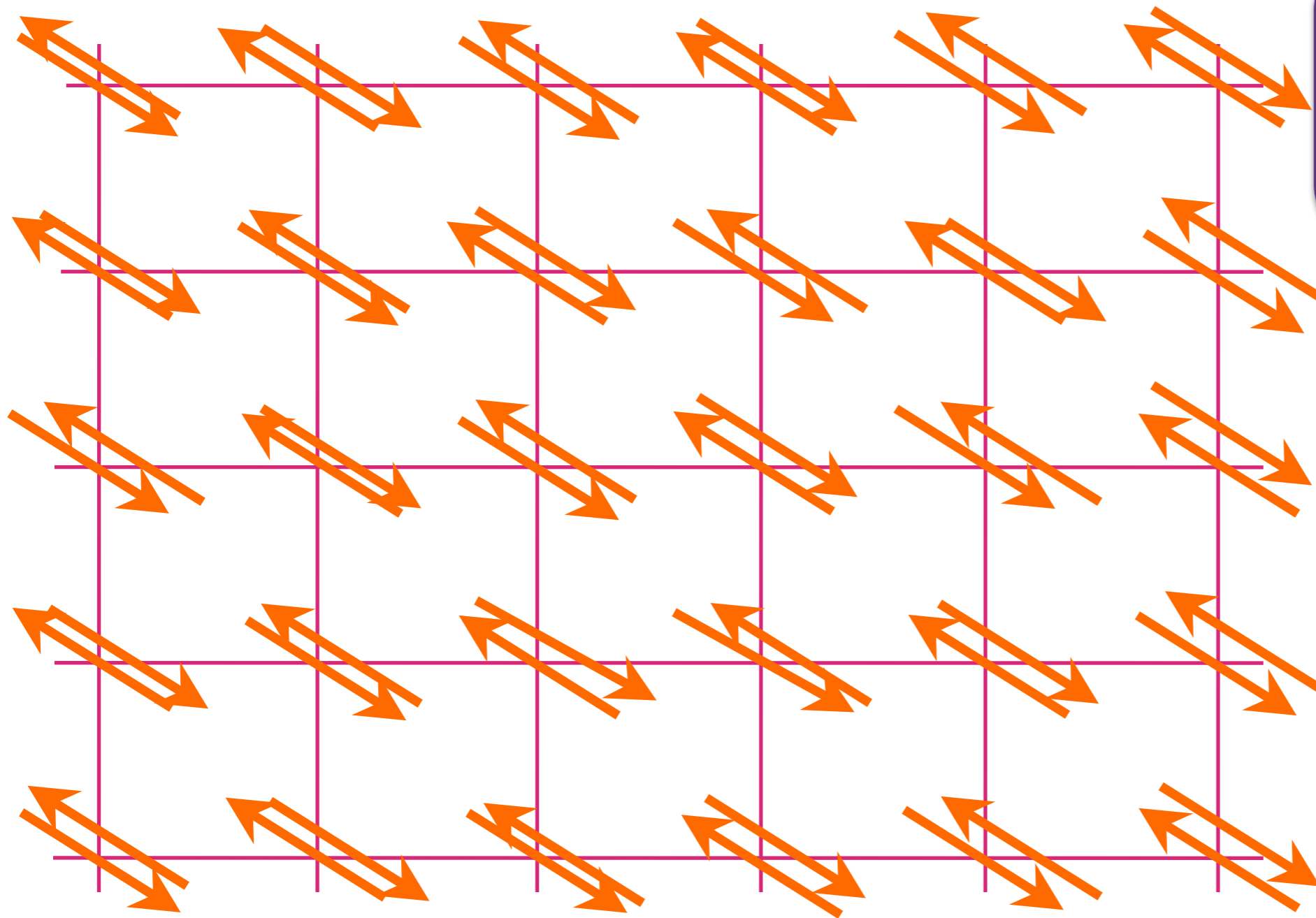
Insulating Antiferromagnet



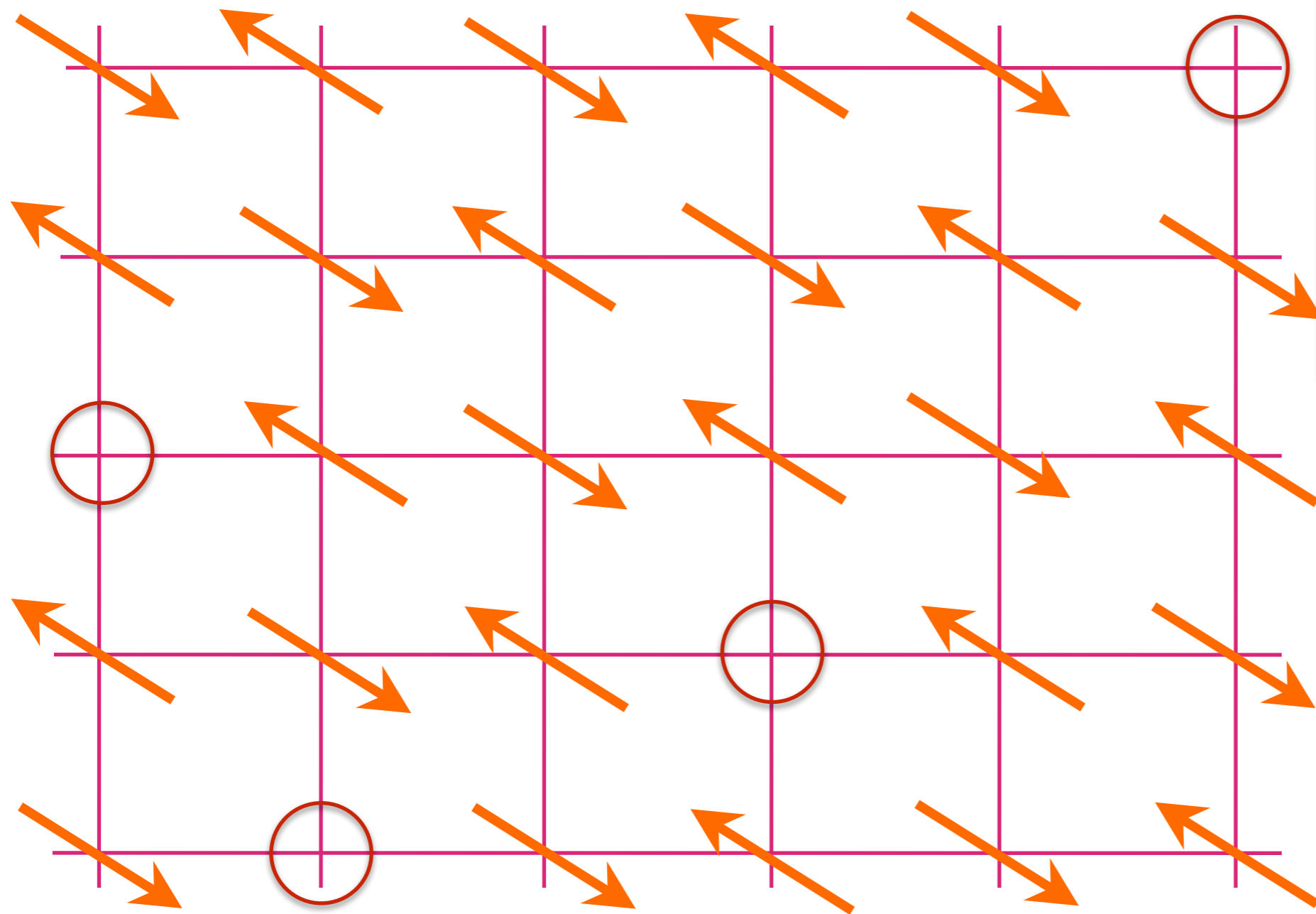
“Undoped”
insulating
anti-
ferromagnet



Anti-ferromagnet
with p mobile
holes
per square

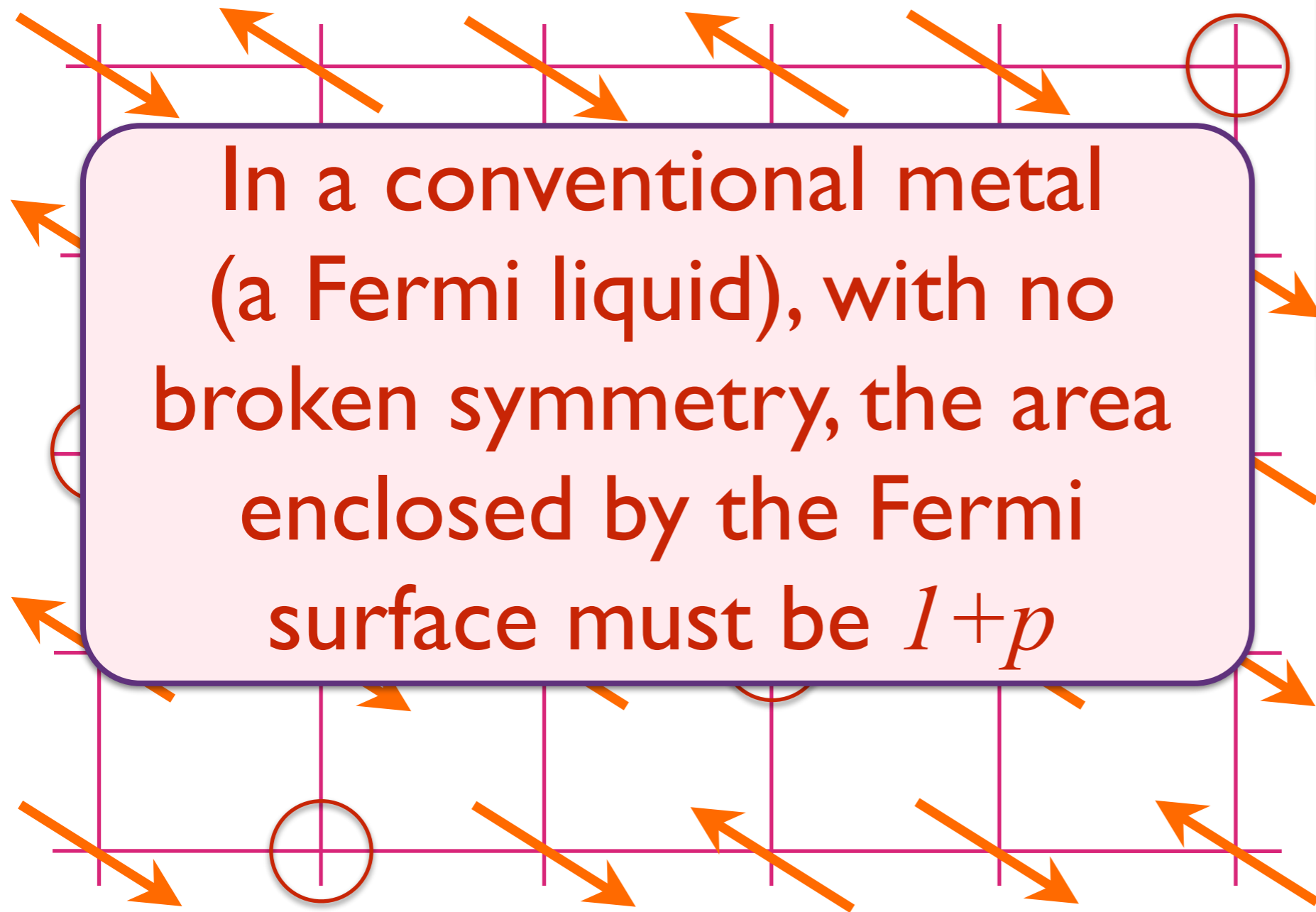


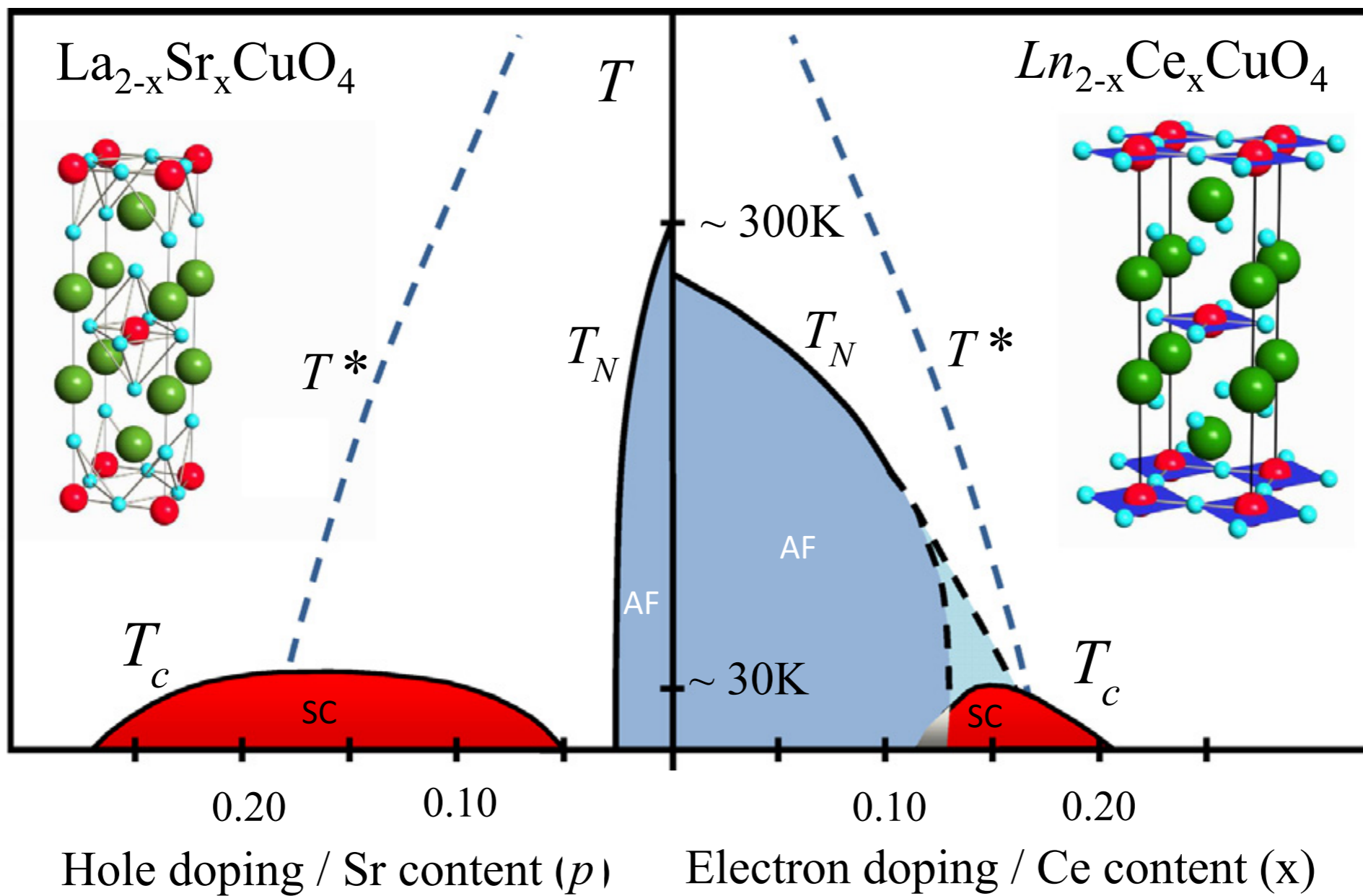
Filled
Band

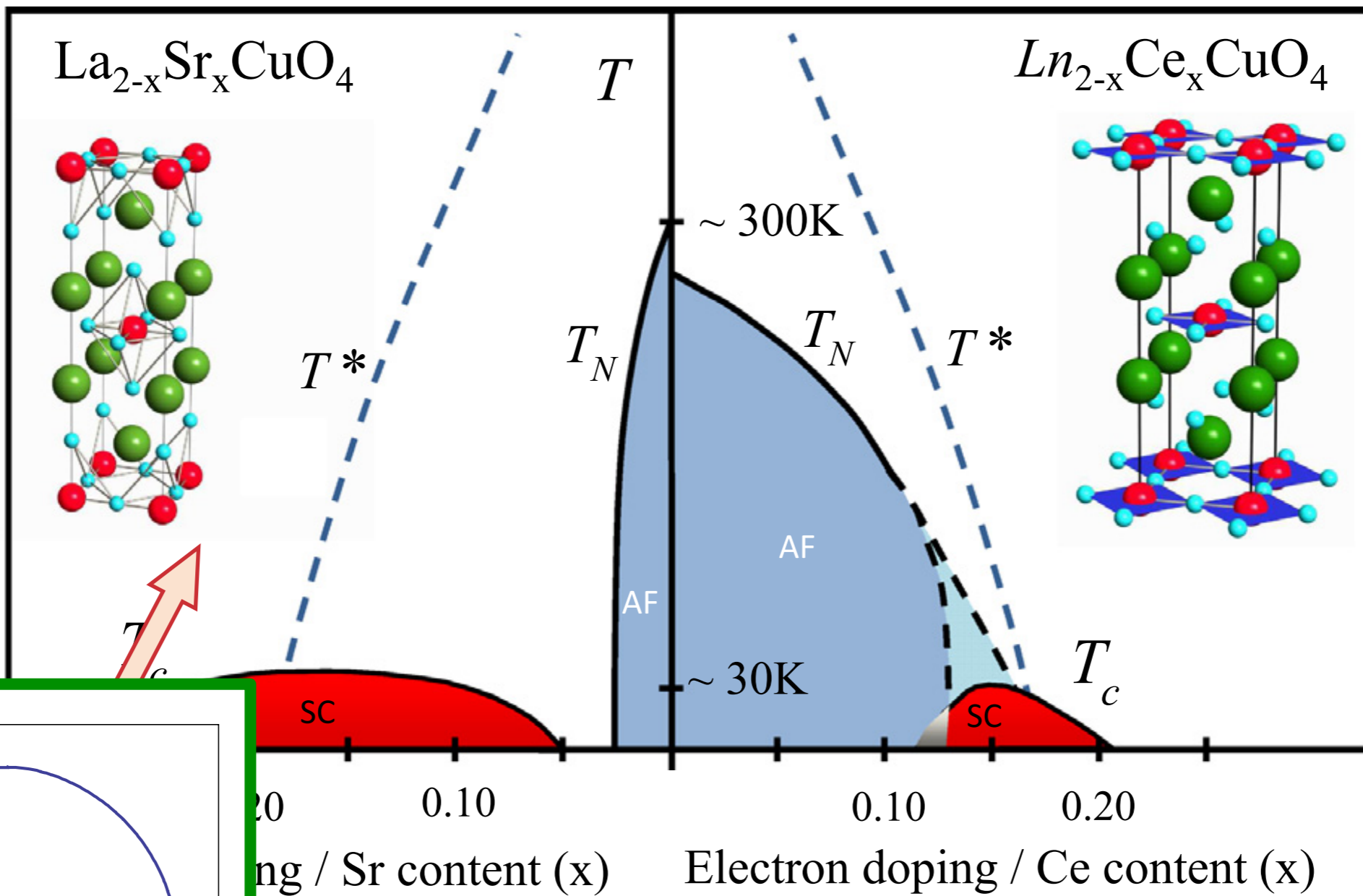


Anti-ferromagnet with p mobile holes per square

But relative to the band insulator, there are $1 + p$ holes per square



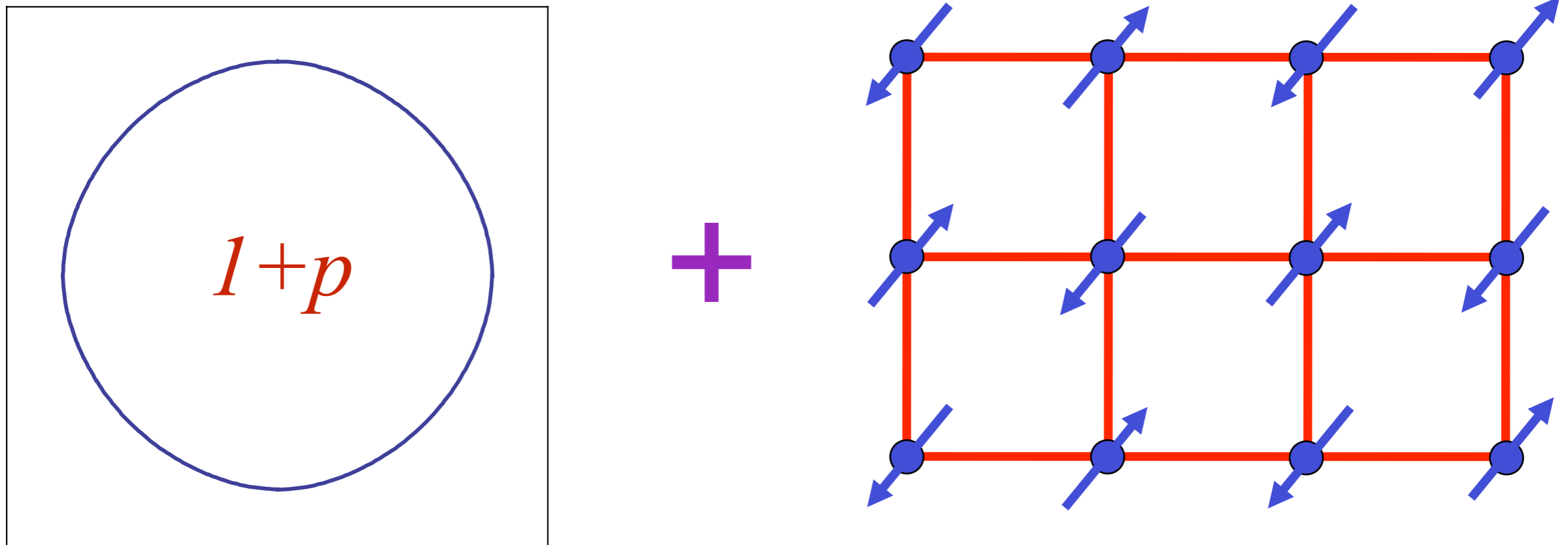




$1+p$

Conventional Fermi liquid

Fermi surface+antiferromagnetism

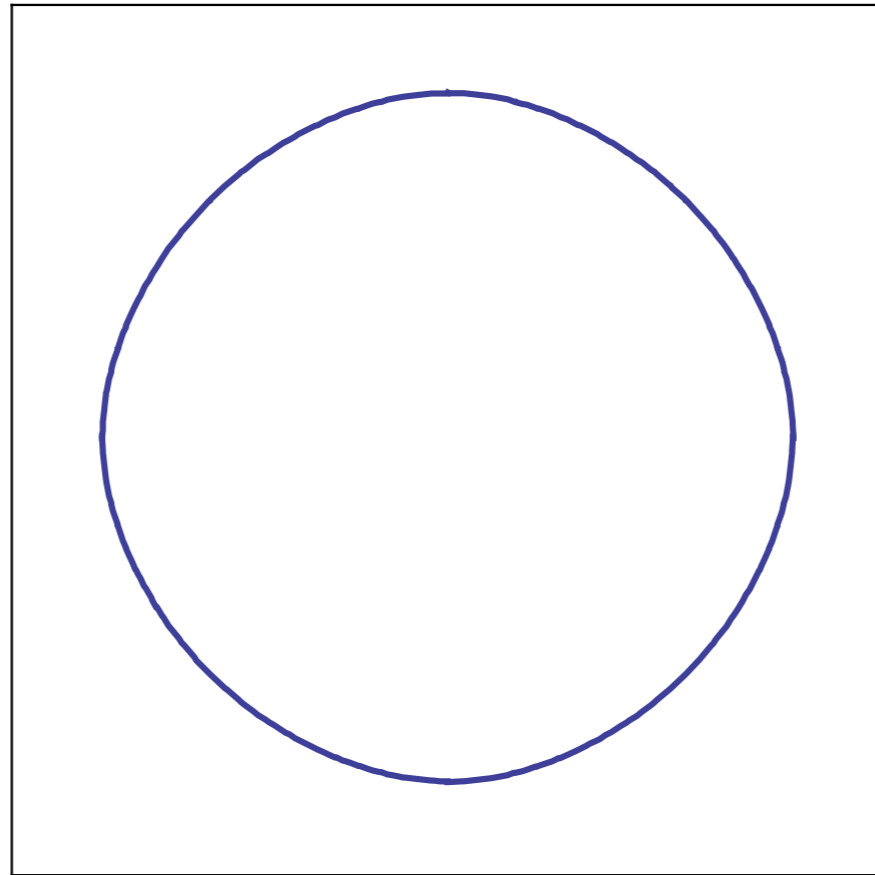


The electron spin polarization obeys

$$\langle \vec{S}(\mathbf{r}, \tau) \rangle = \vec{\Phi}(\mathbf{r}, \tau) e^{i\mathbf{K} \cdot \mathbf{r}}$$

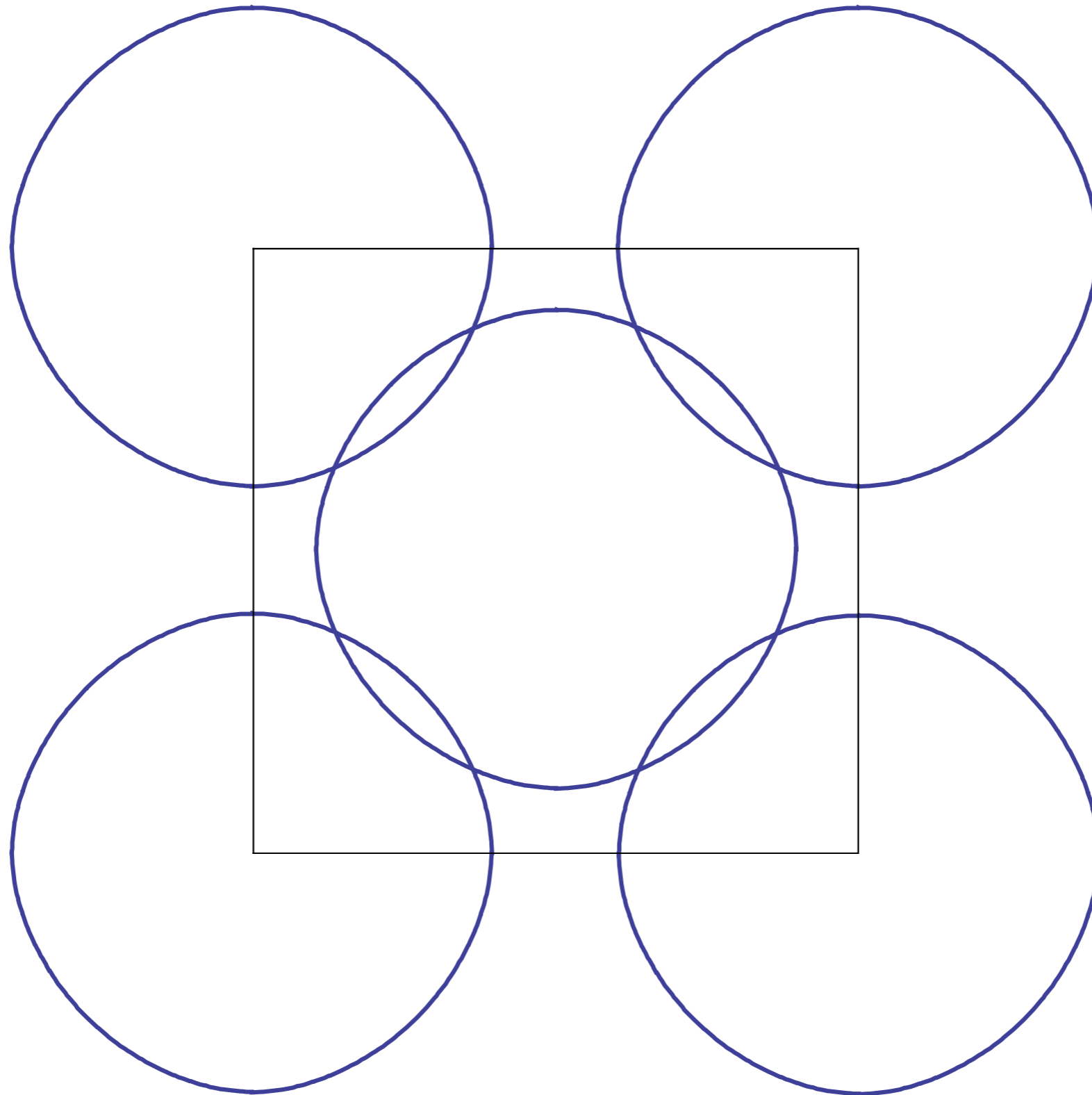
where \mathbf{K} is the ordering wavevector.

Fermi surface+antiferromagnetism



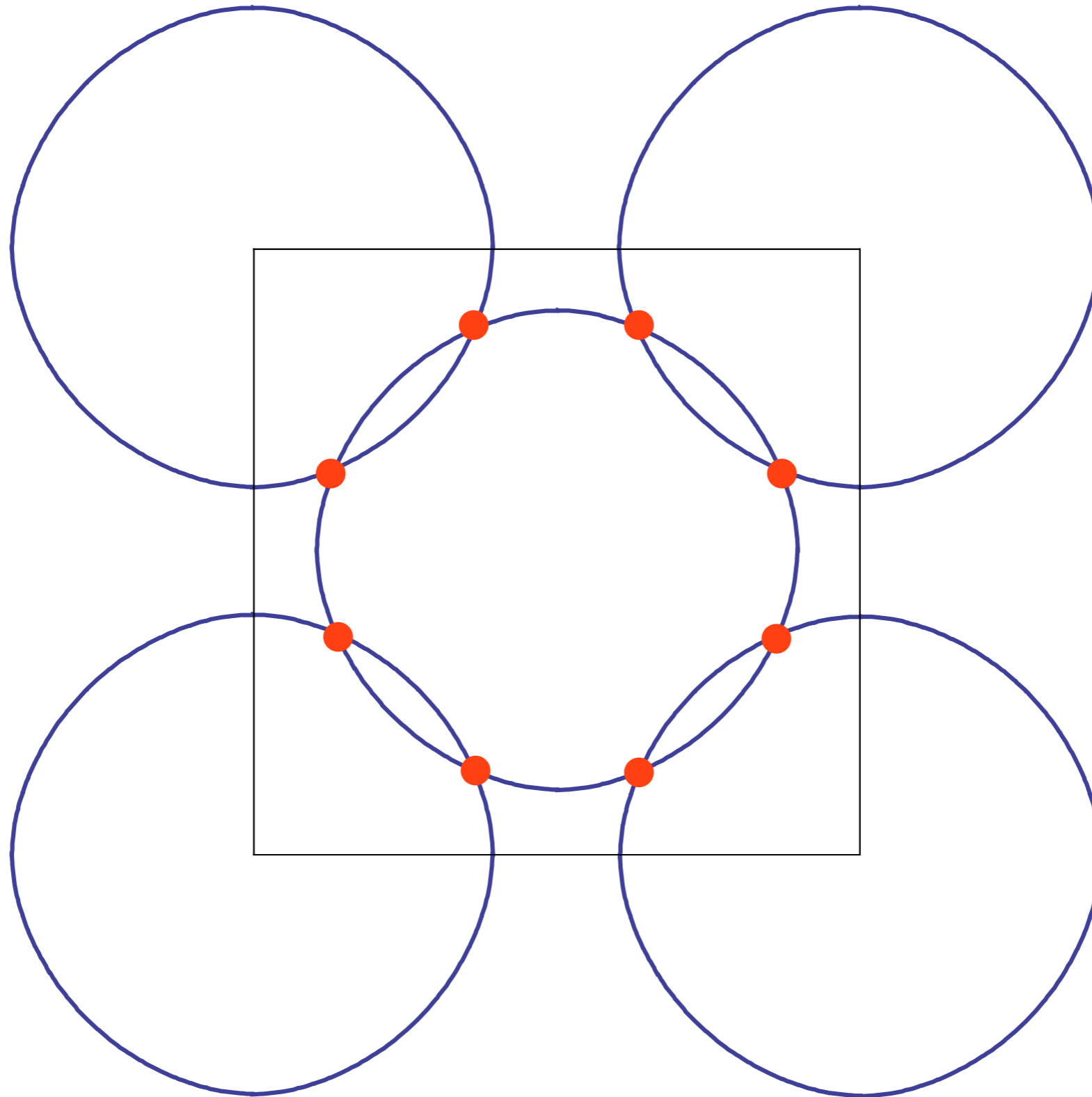
Metal with "large" Fermi surface

Fermi surface+antiferromagnetism



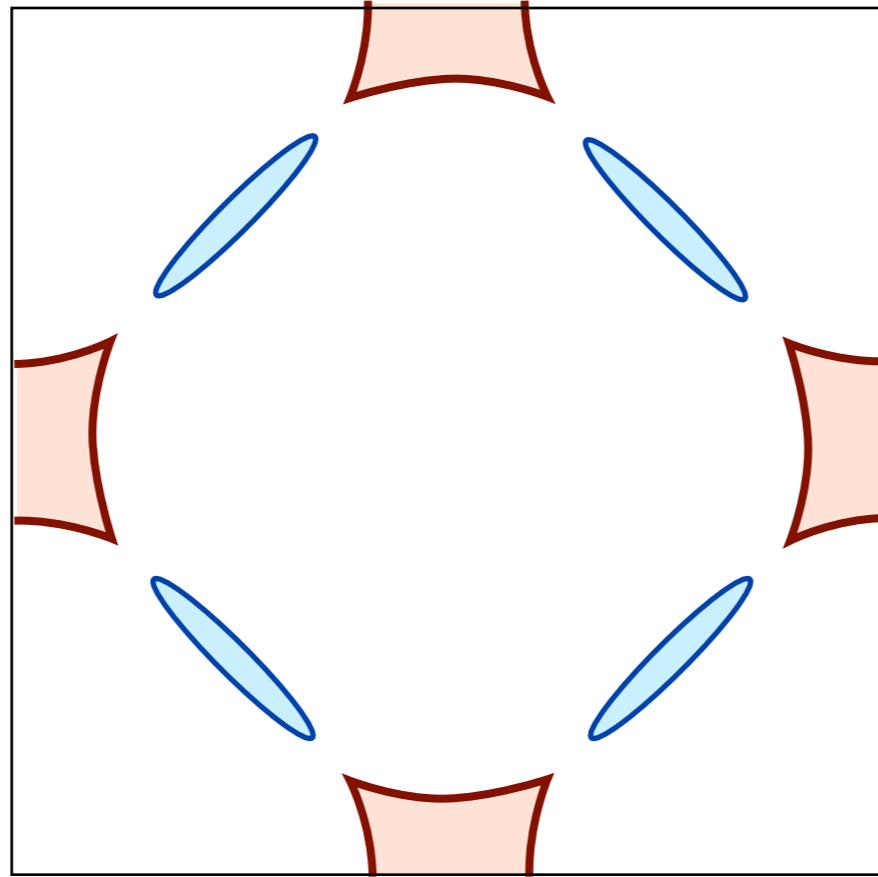
Fermi surfaces translated by $\mathbf{K} = (\pi, \pi)$.

Fermi surface+antiferromagnetism



“Hot” spots

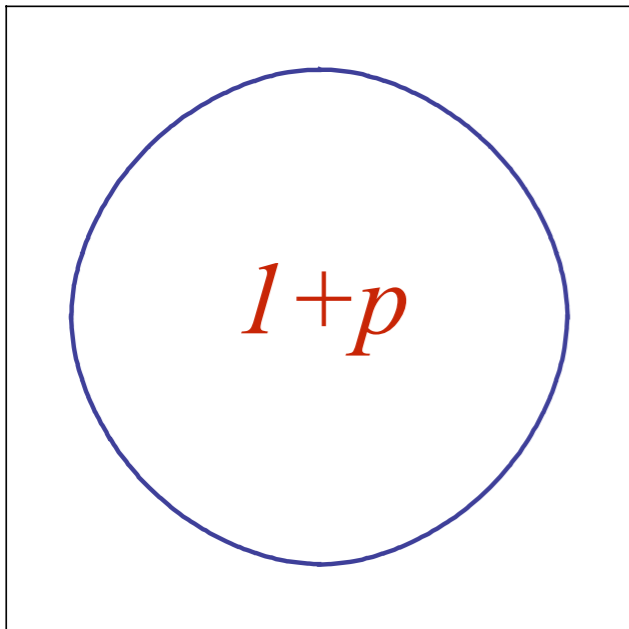
Fermi surface+antiferromagnetism



Electron and hole pockets in
antiferromagnetic phase with $\langle \vec{\Phi} \rangle \neq 0$

Square lattice Hubbard model with hole doping

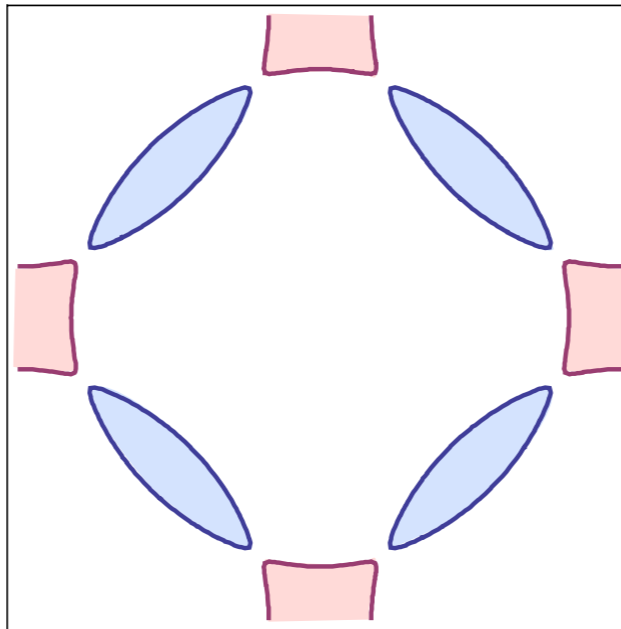
$$\langle \vec{\Phi} \rangle = 0$$



Metal with
“large” Fermi
surface

$$\langle \vec{\Phi} \rangle \neq 0$$

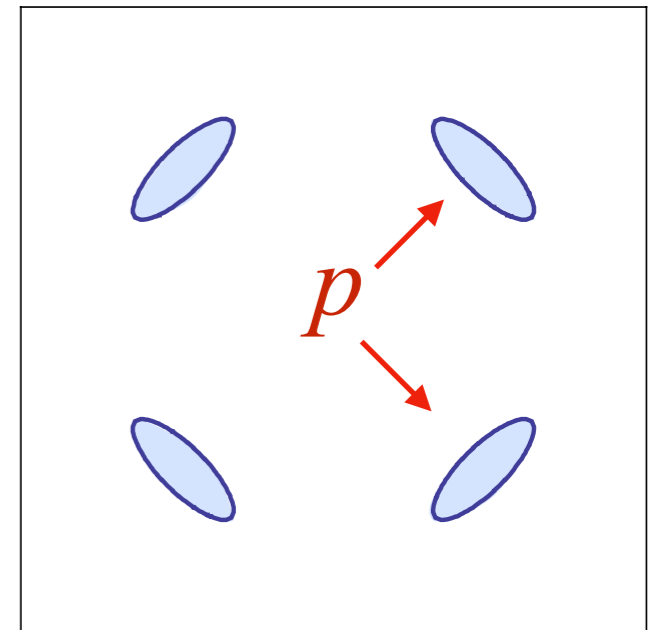
and small



Metal with
electron and
hole pockets

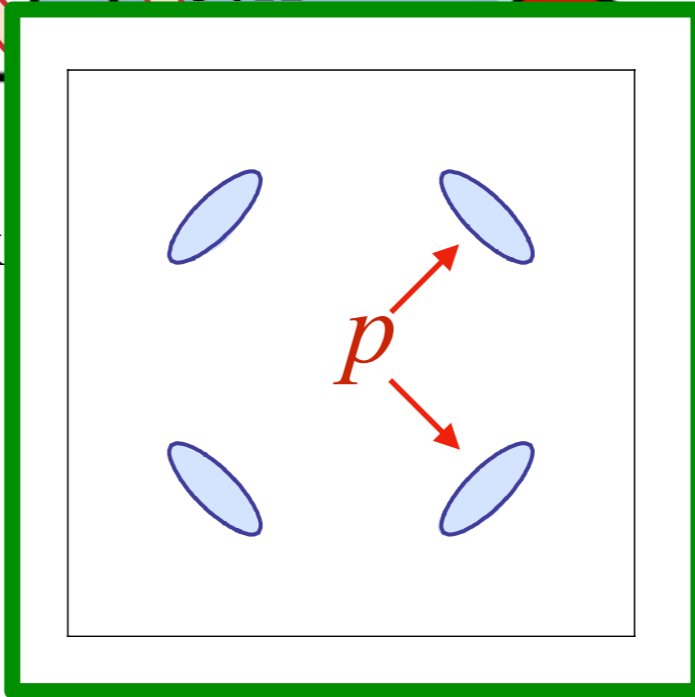
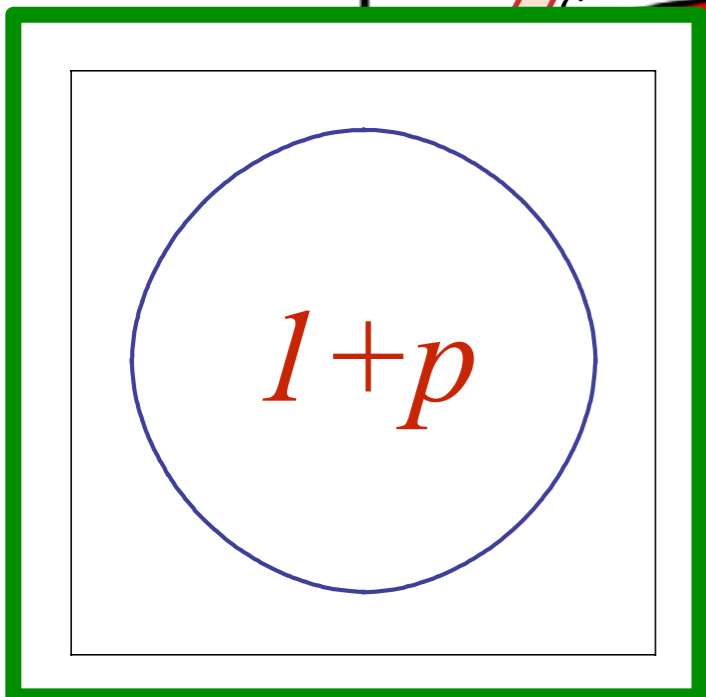
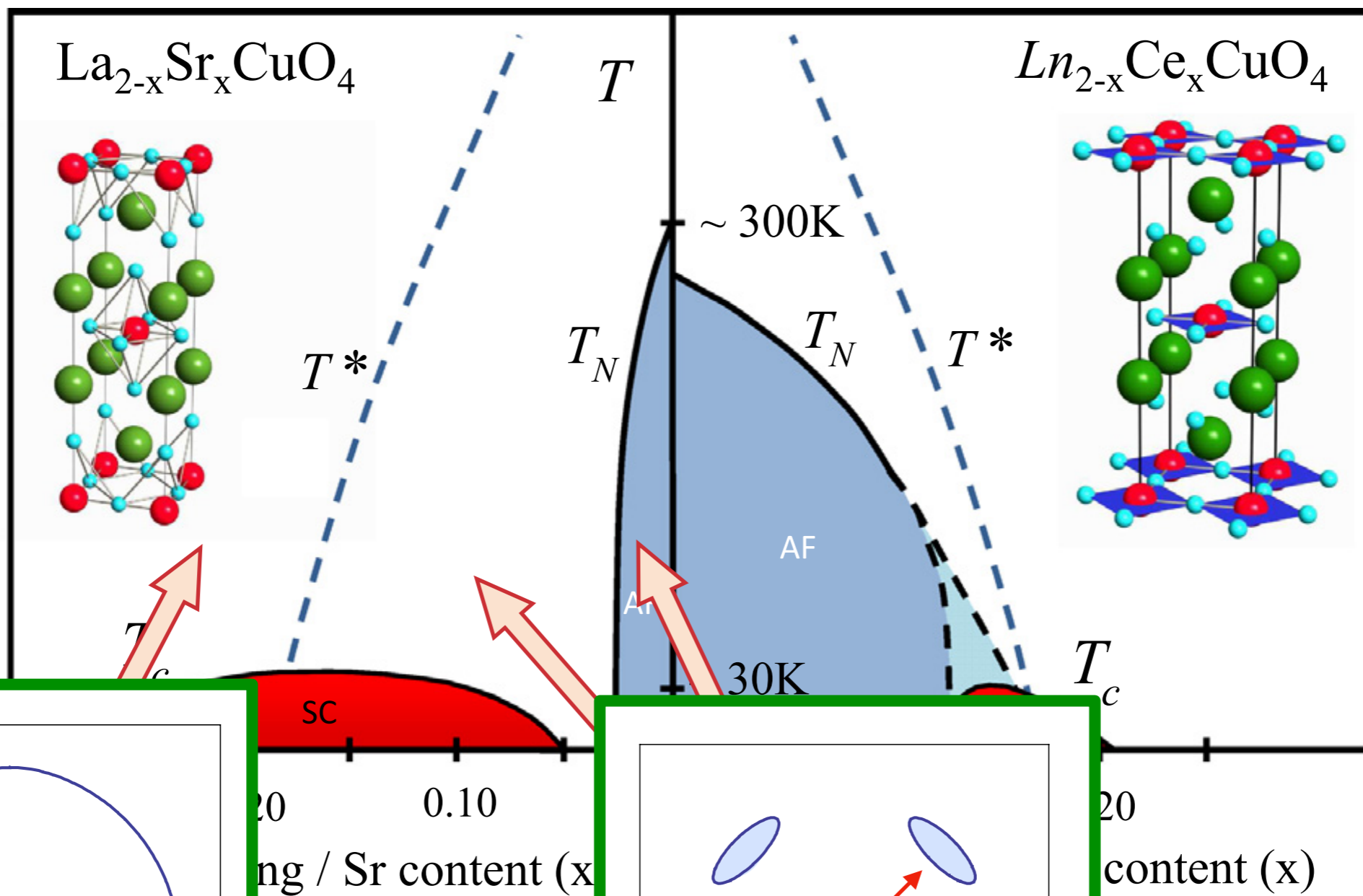
$$\langle \vec{\Phi} \rangle \neq 0$$

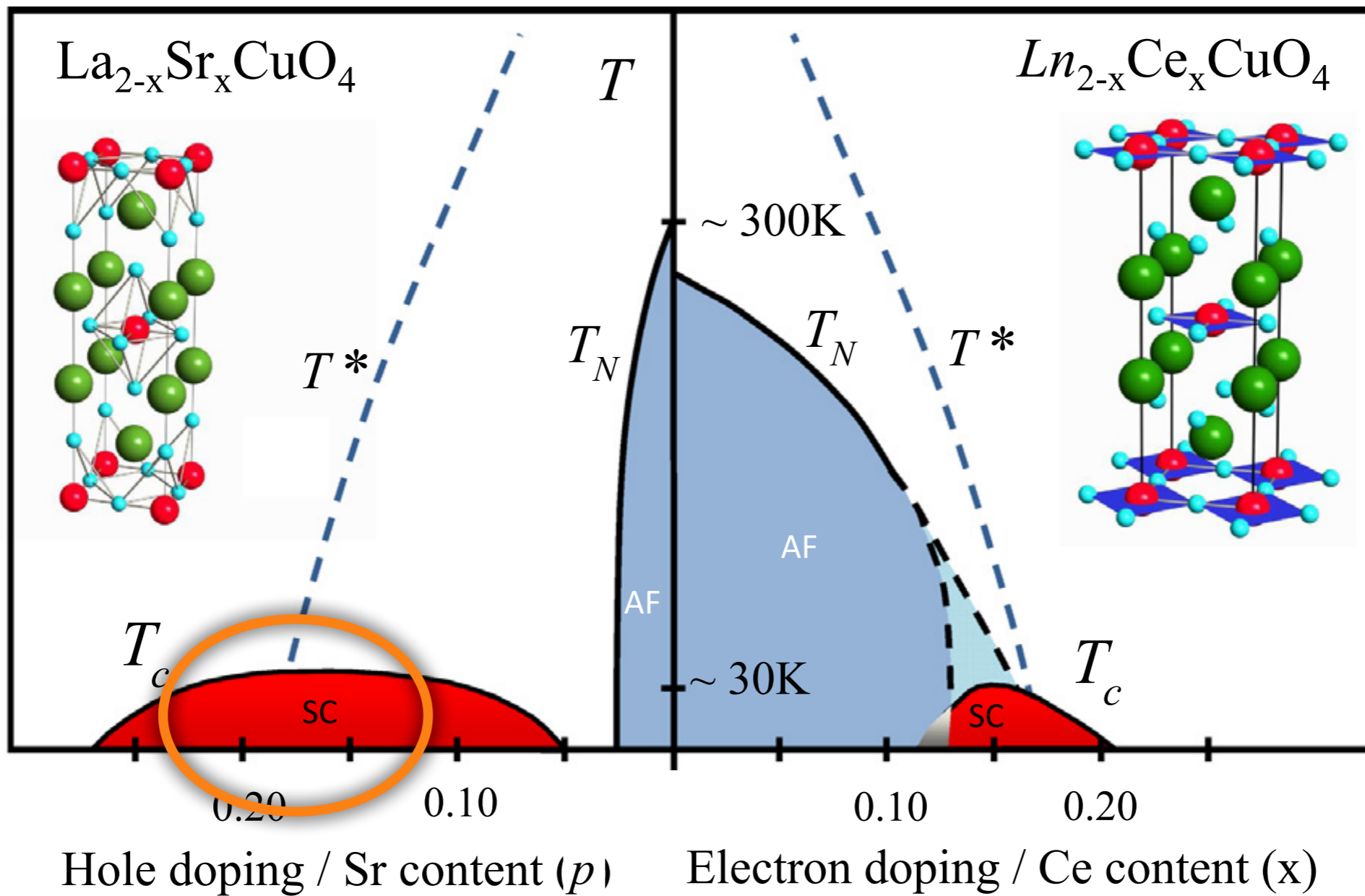
and large



Metal with
hole pockets

p

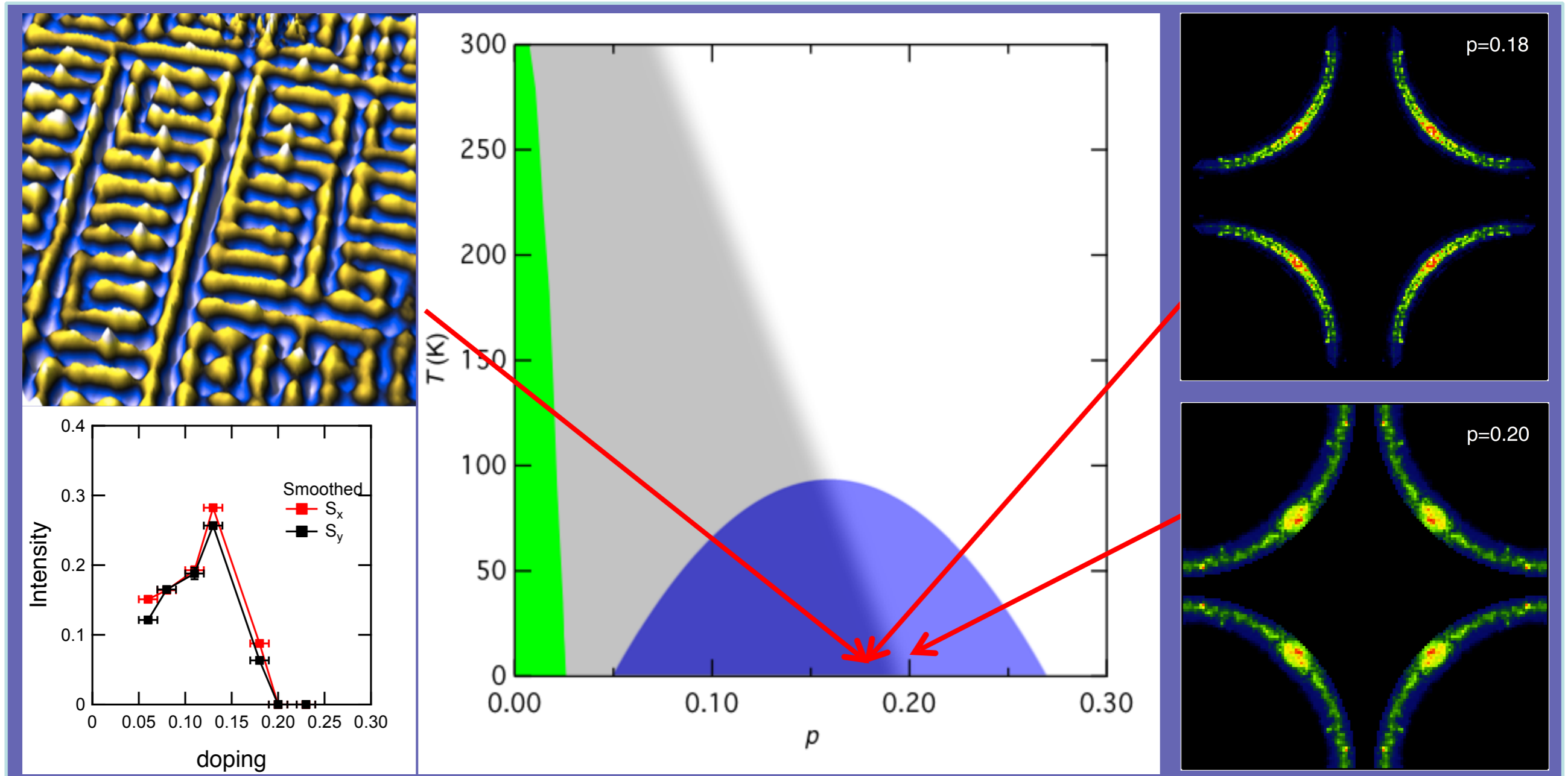




Hole doped cuprates

Yang He, Yi Yin, M. Zech, A. Soumyanarayanan, I. Zeljkovic, M. M. Yee, M. C. Boyer, K. Chatterjee, W. D. Wise, Takeshi Kondo, T. Takeuchi, H. Ikuta, P. Mistark, R. S. Markiewicz, A. Bansil, S. Sachdev, E. W. Hudson, and J. E. Hoffman, *Science* **344**, 608 (2014)

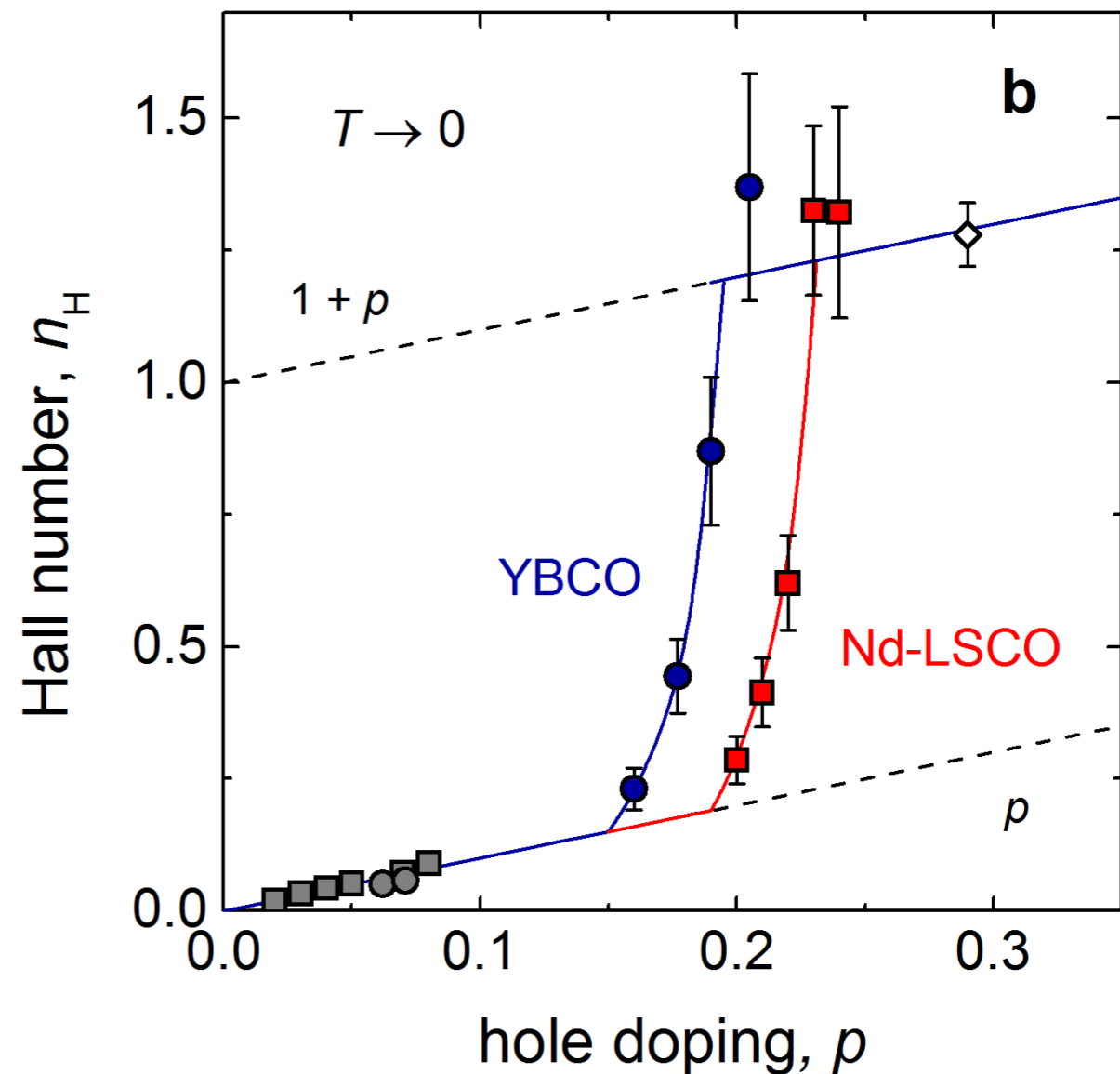
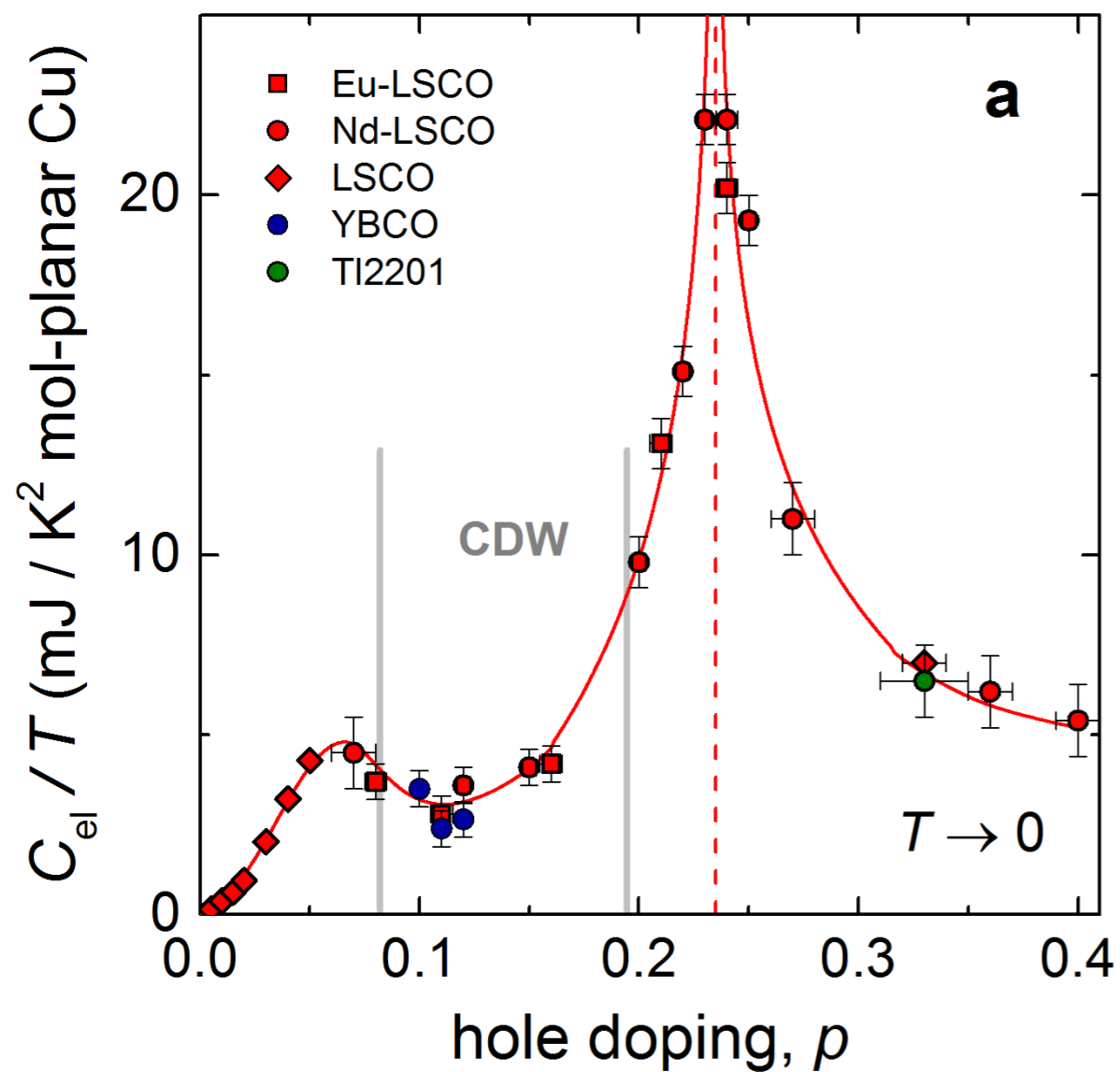
K. Fujita, Chung Koo Kim, Inhee Lee, Jinho Lee, M. H. Hamidian, I. A. Firmo, S. Mukhopadhyay, H. Eisaki, S. Uchida, M. J. Lawler, E.-A. Kim, J. C. Davis, *Science* **344**, 612 (2014)

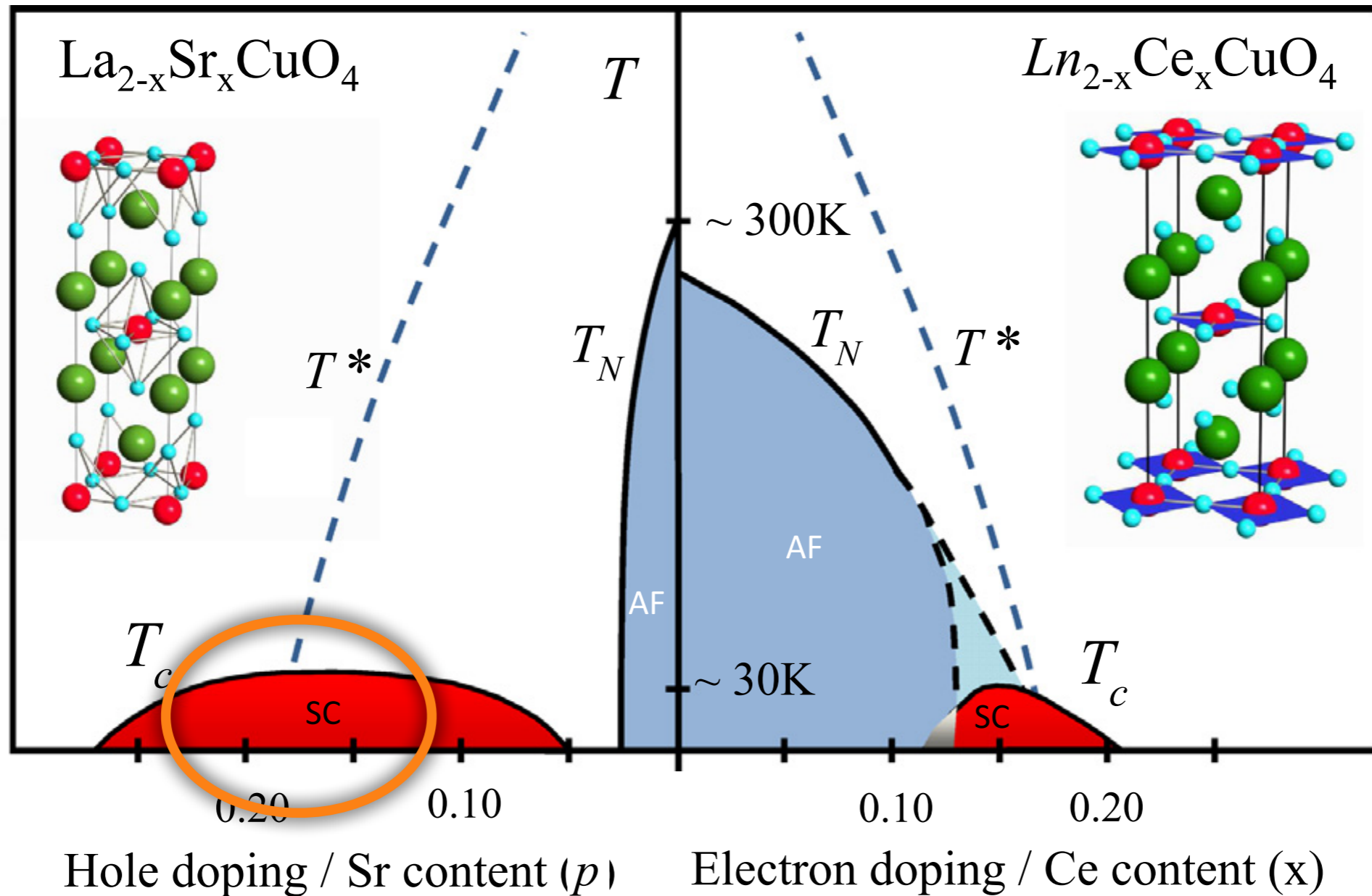


Hole doped cuprates

The remarkable underlying ground states of cuprate superconductors

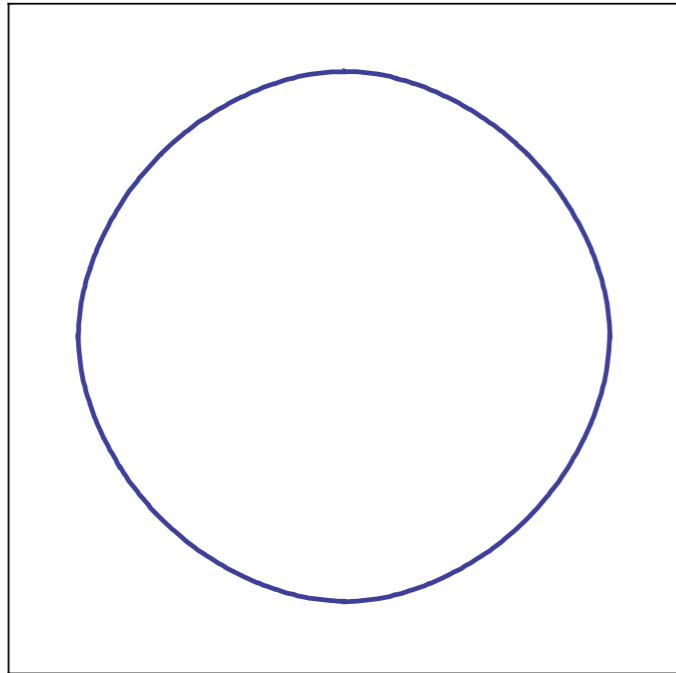
Cyril Proust and Louis Taillefer, arXiv:1807.0507





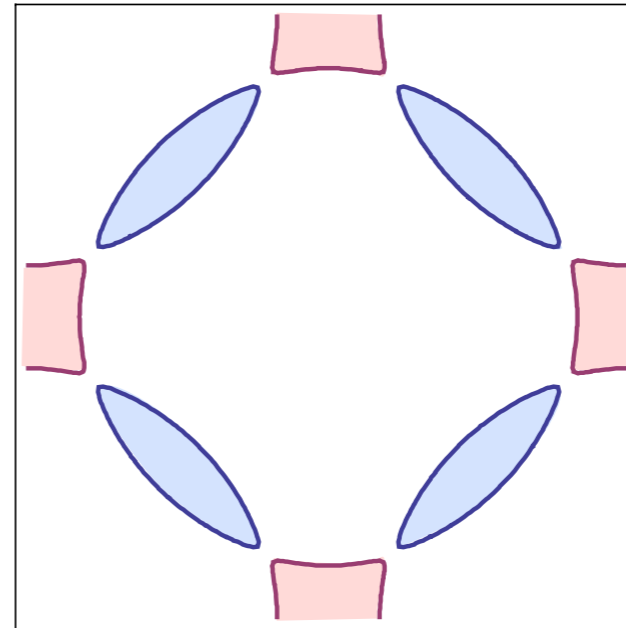
Is there a quantum critical point near optimal doping, not associated with the onset of antiferromagnetism?

Onset of antiferromagnetism and Fermi surface reconstruction coincide



$$\langle \vec{\Phi} \rangle = 0$$

Metal with “large”
Fermi surface
of size $l+p$

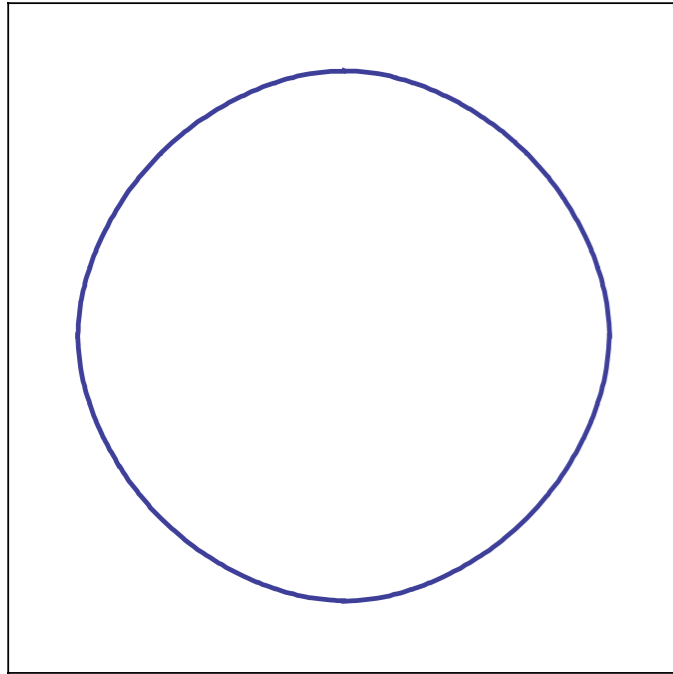


$$\langle \vec{\Phi} \rangle \neq 0$$

Metal with electron
and hole pockets
of size p

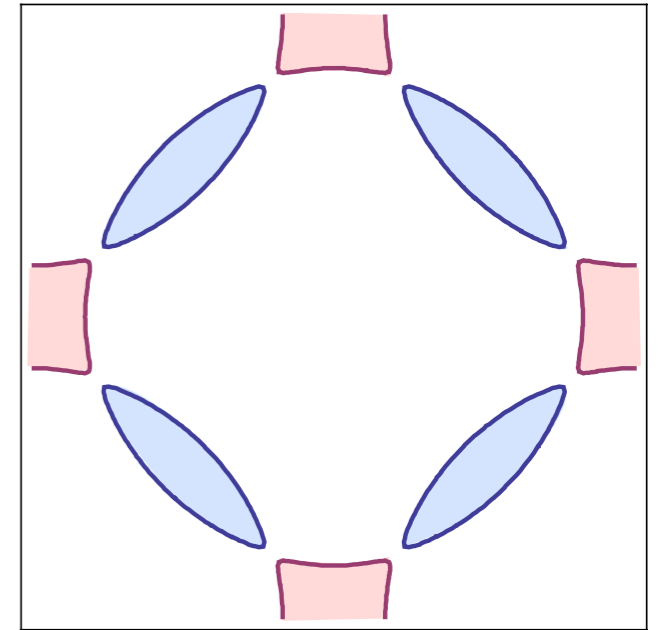
p

Separating onset of antiferromagnetism and Fermi surface reconstruction



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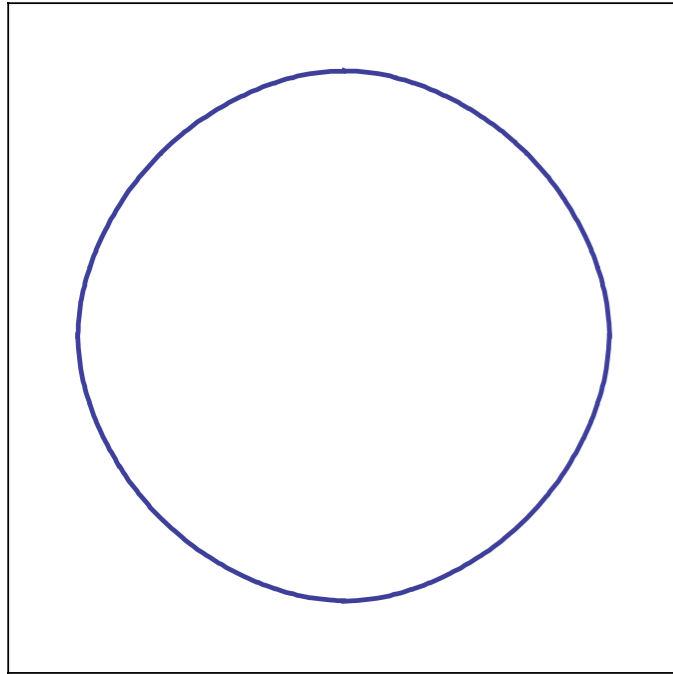


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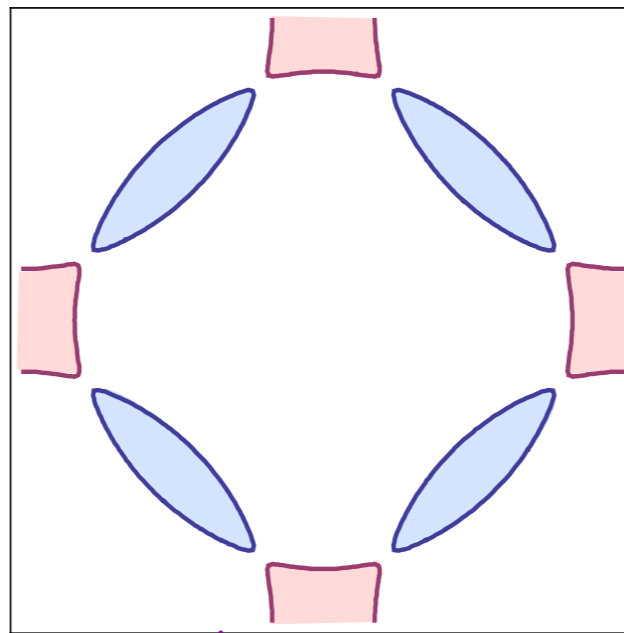
p

Separating onset of antiferromagnetism and Fermi surface reconstruction



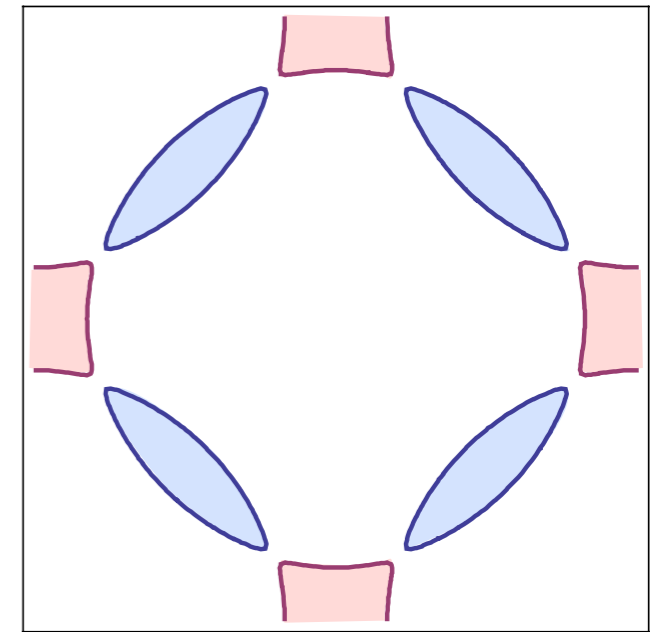
$$\langle \vec{\Phi} \rangle = 0$$

Metal with “large”
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of size $l+p$



$$\langle \vec{\Phi} \rangle = 0$$

Metal with electron
and/or hole pockets
but no antiferromagnetism

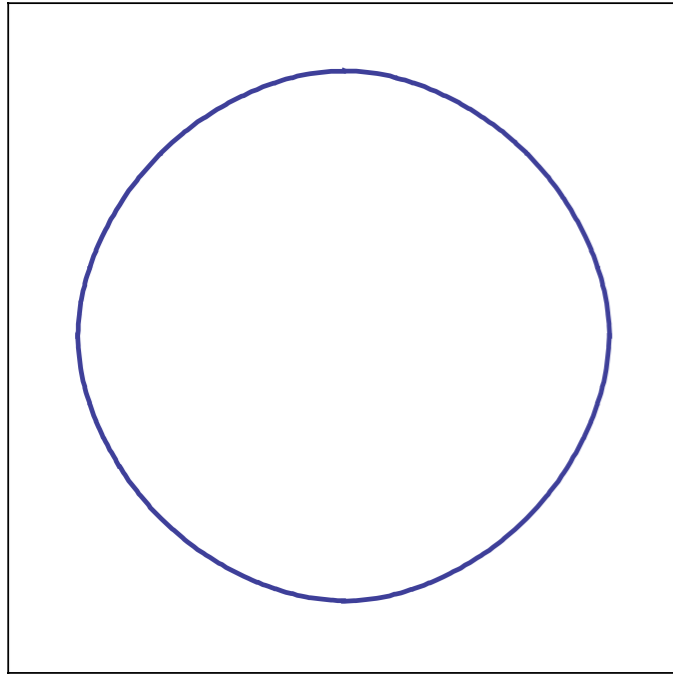


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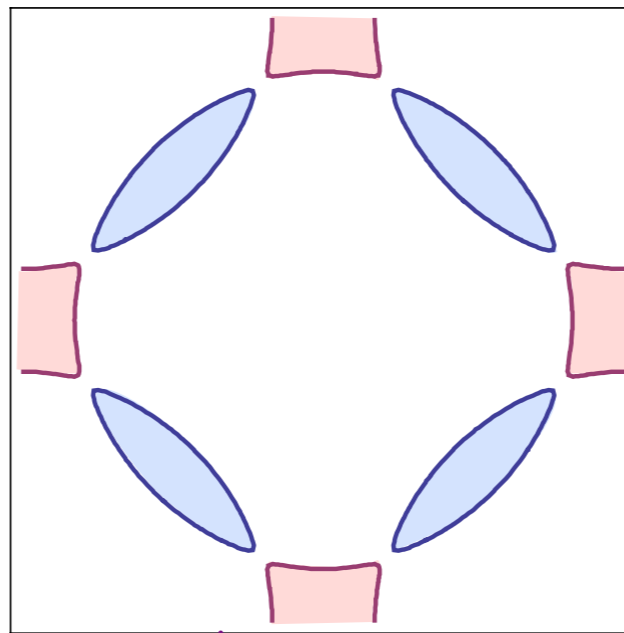
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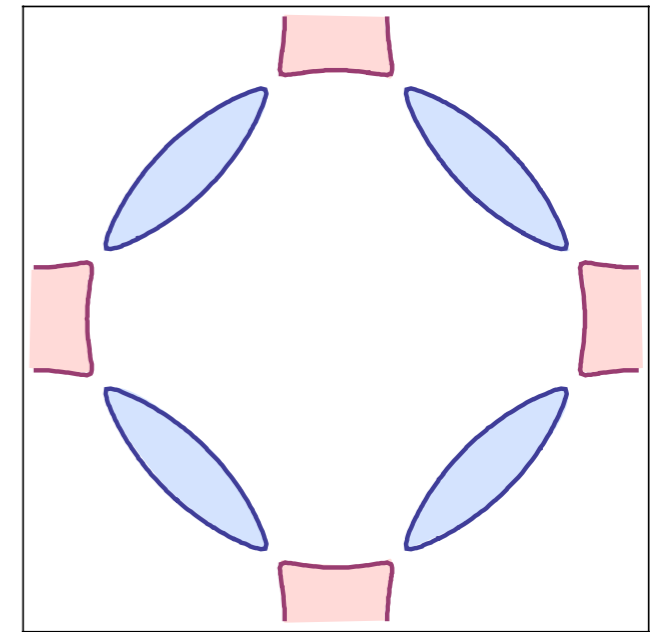
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**Such a state must
have “topological”
order**

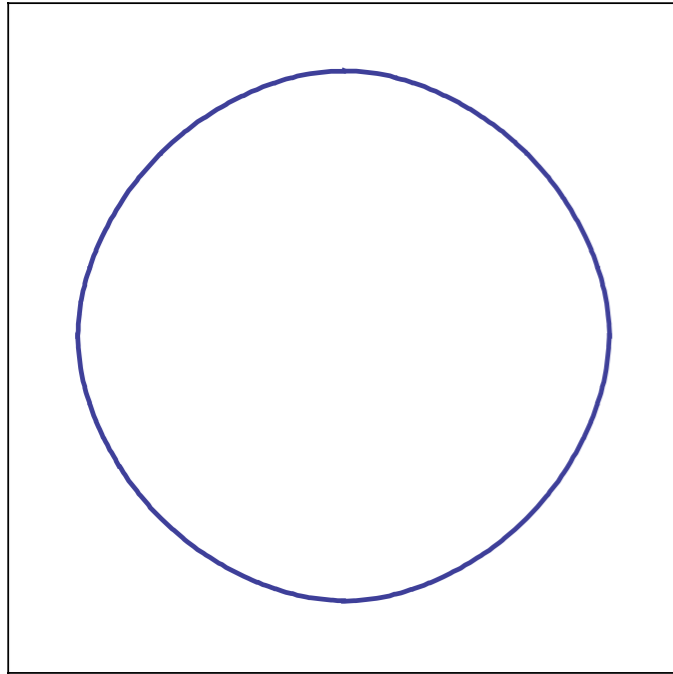


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Metal with electron
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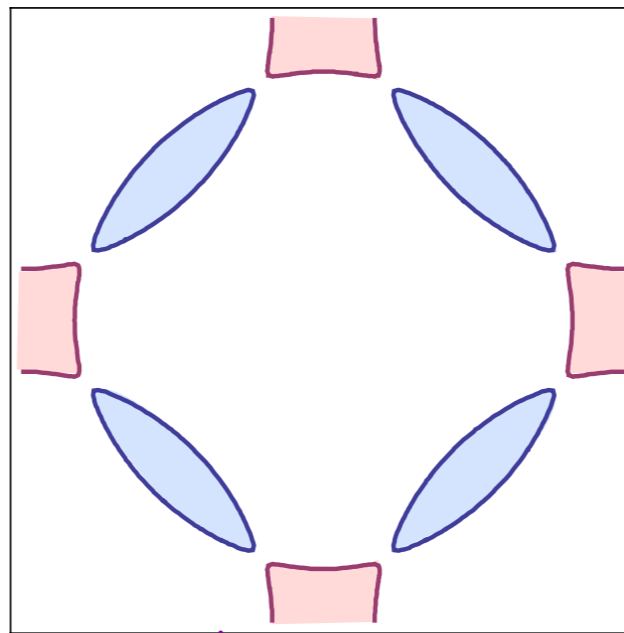
p

Separating onset of antiferromagnetism and Fermi surface reconstruction



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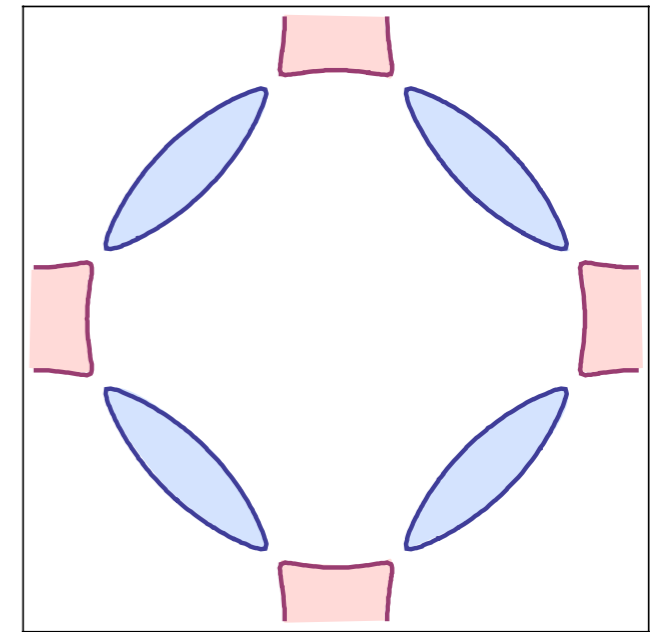
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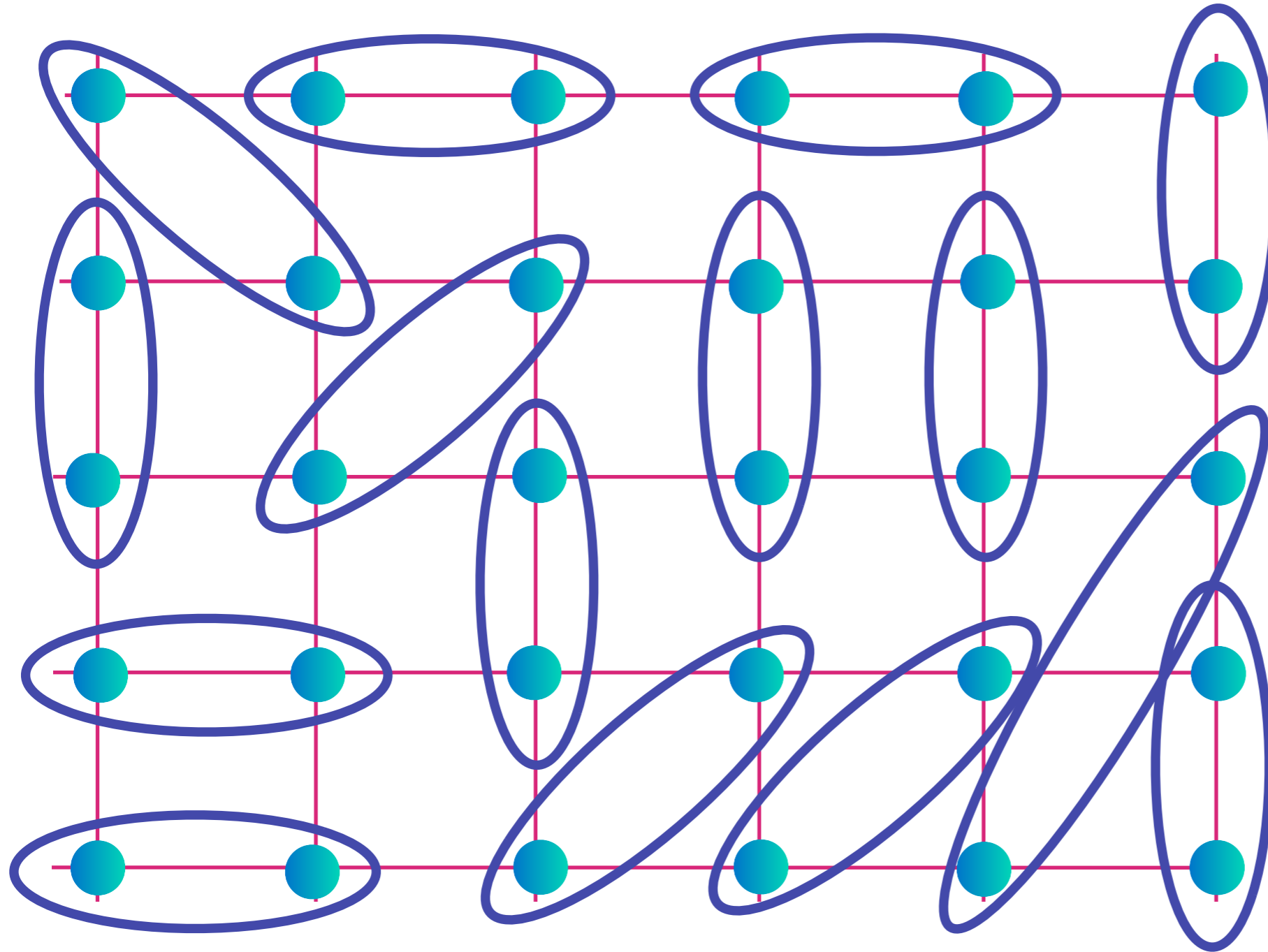
Metal with electron
and hole pockets
of size p

**Topological
quantum
phase transition**

p

Topological order in a spin liquid



$$= (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

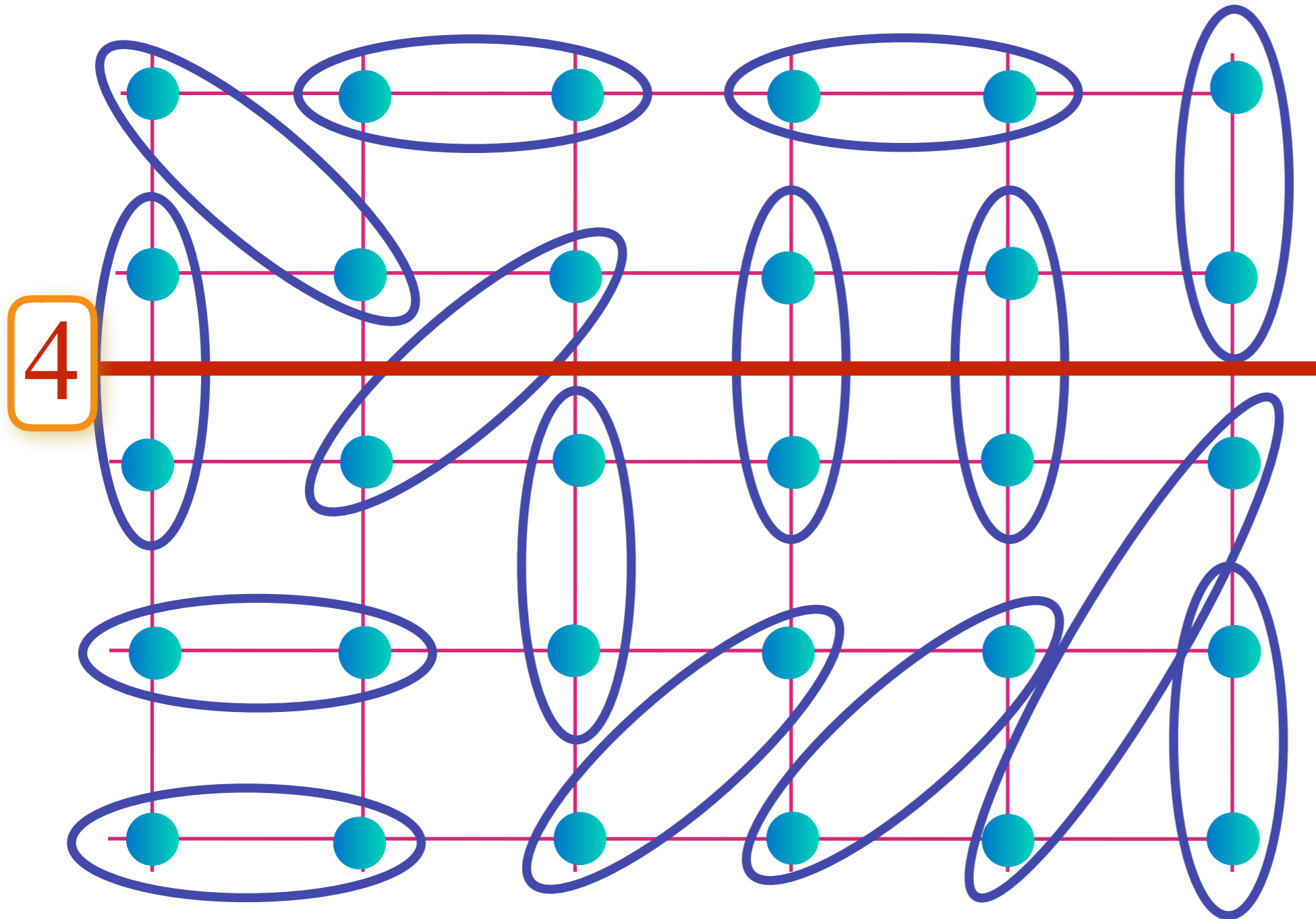


D.J. Thouless, PRB **36**, 7187 (1987)

S.A. Kivelson, D.S. Rokhsar and J.P. Sethna, Europhys. Lett. **6**, 353 (1988)

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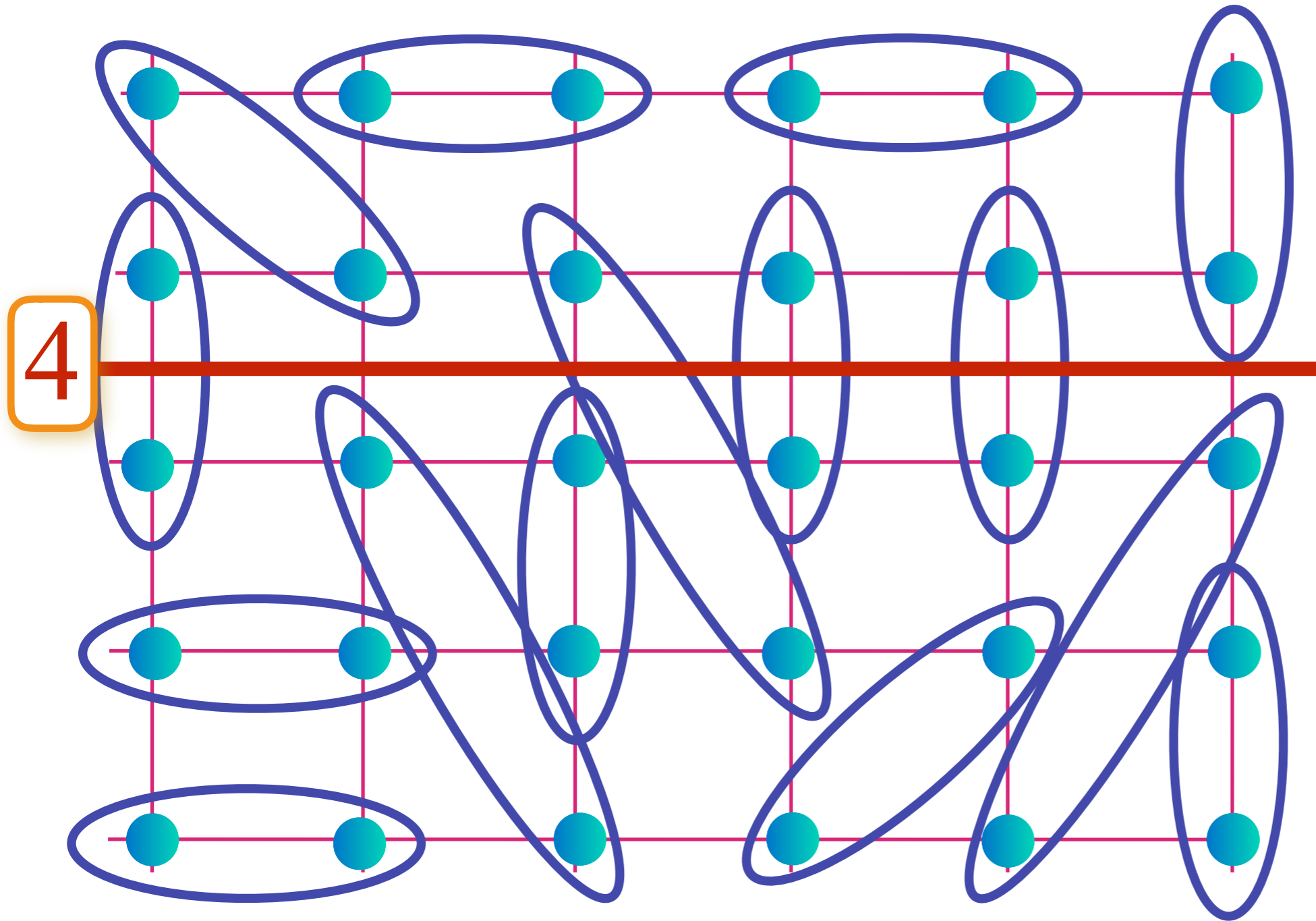
Topological invariant:
Number of singlet bonds crossing
“branch-cut” is conserved modulo 2

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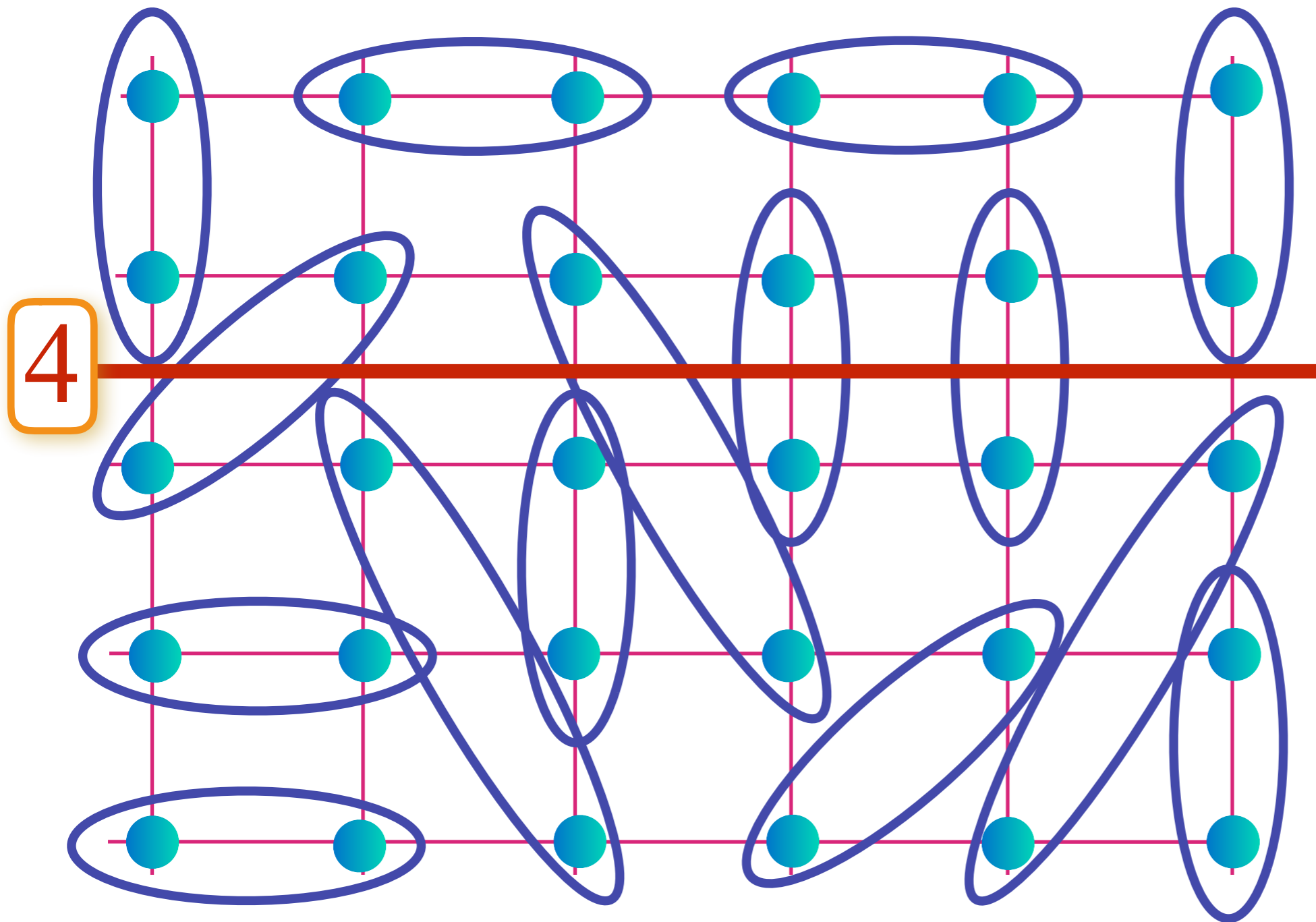
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Topological order in a spin liquid

$$\text{[Two teal dots in a blue oval]} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$



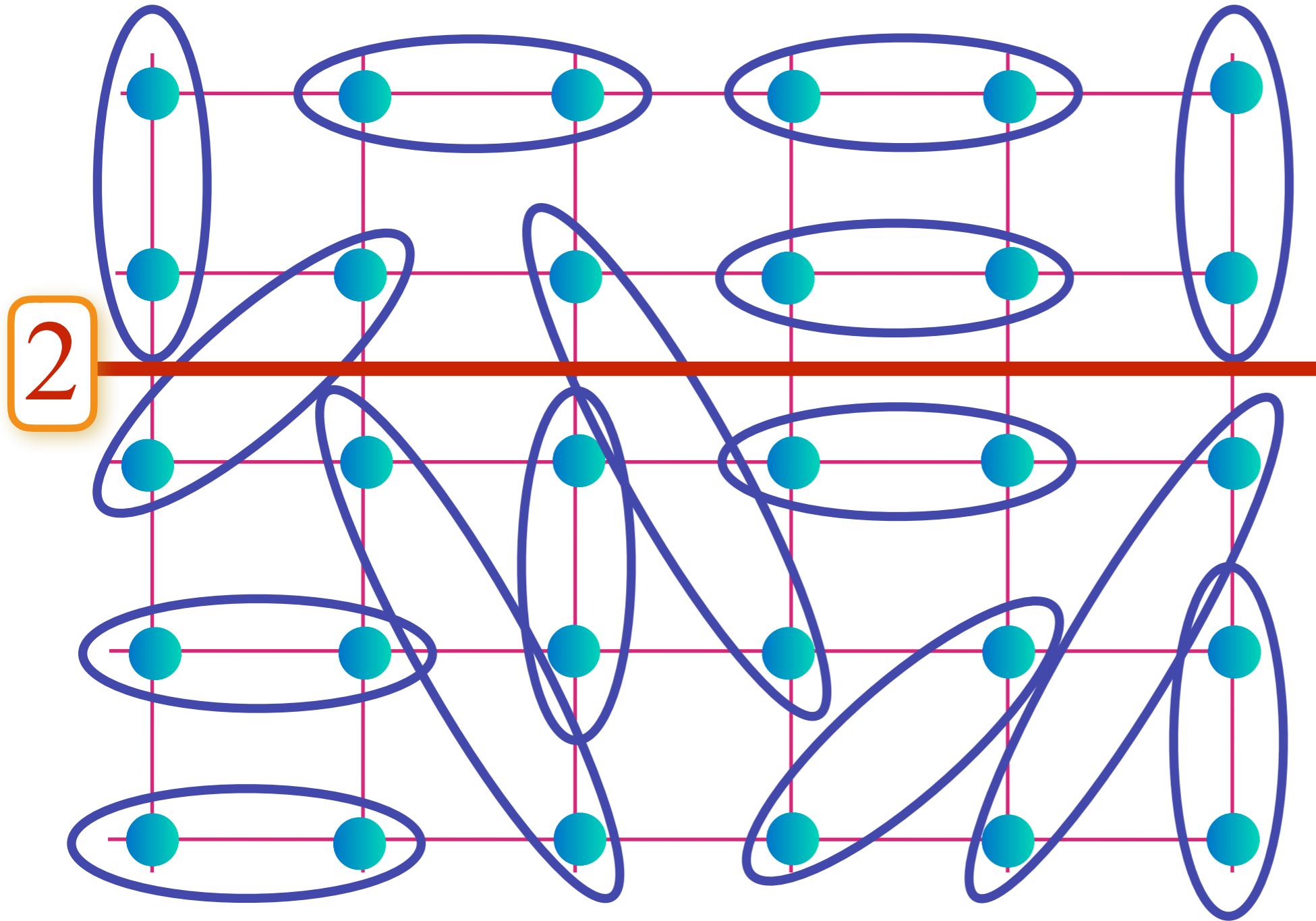
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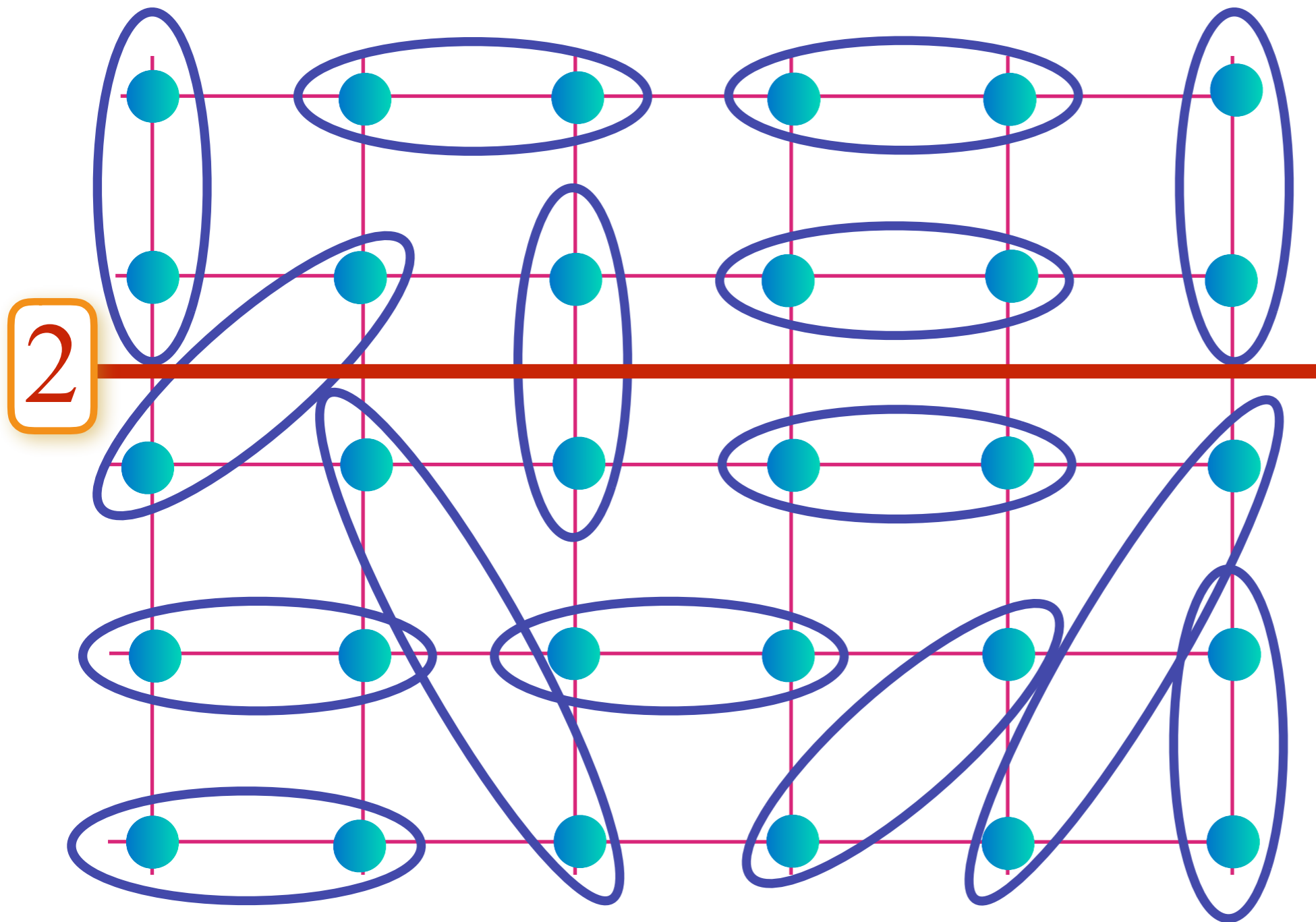
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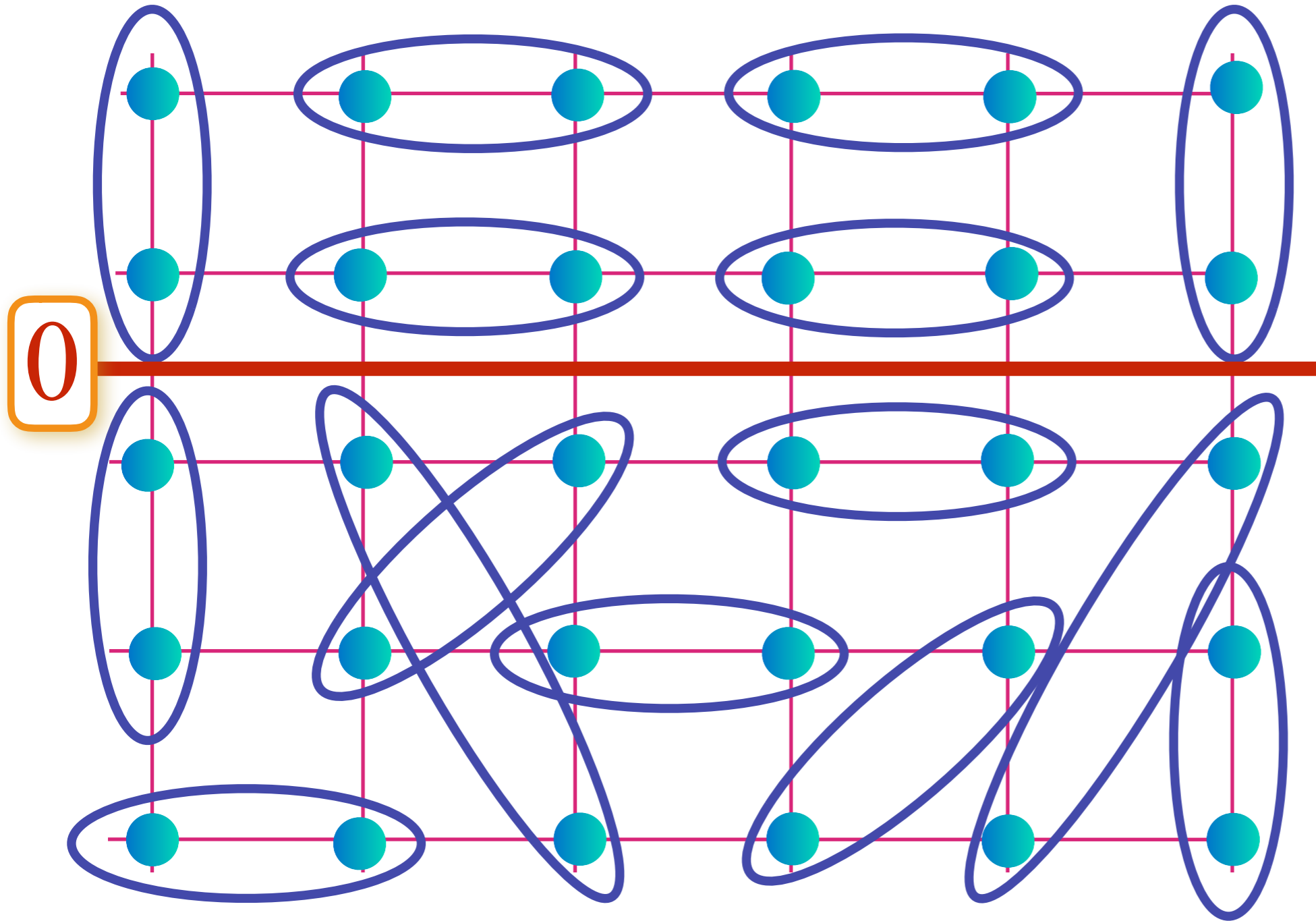
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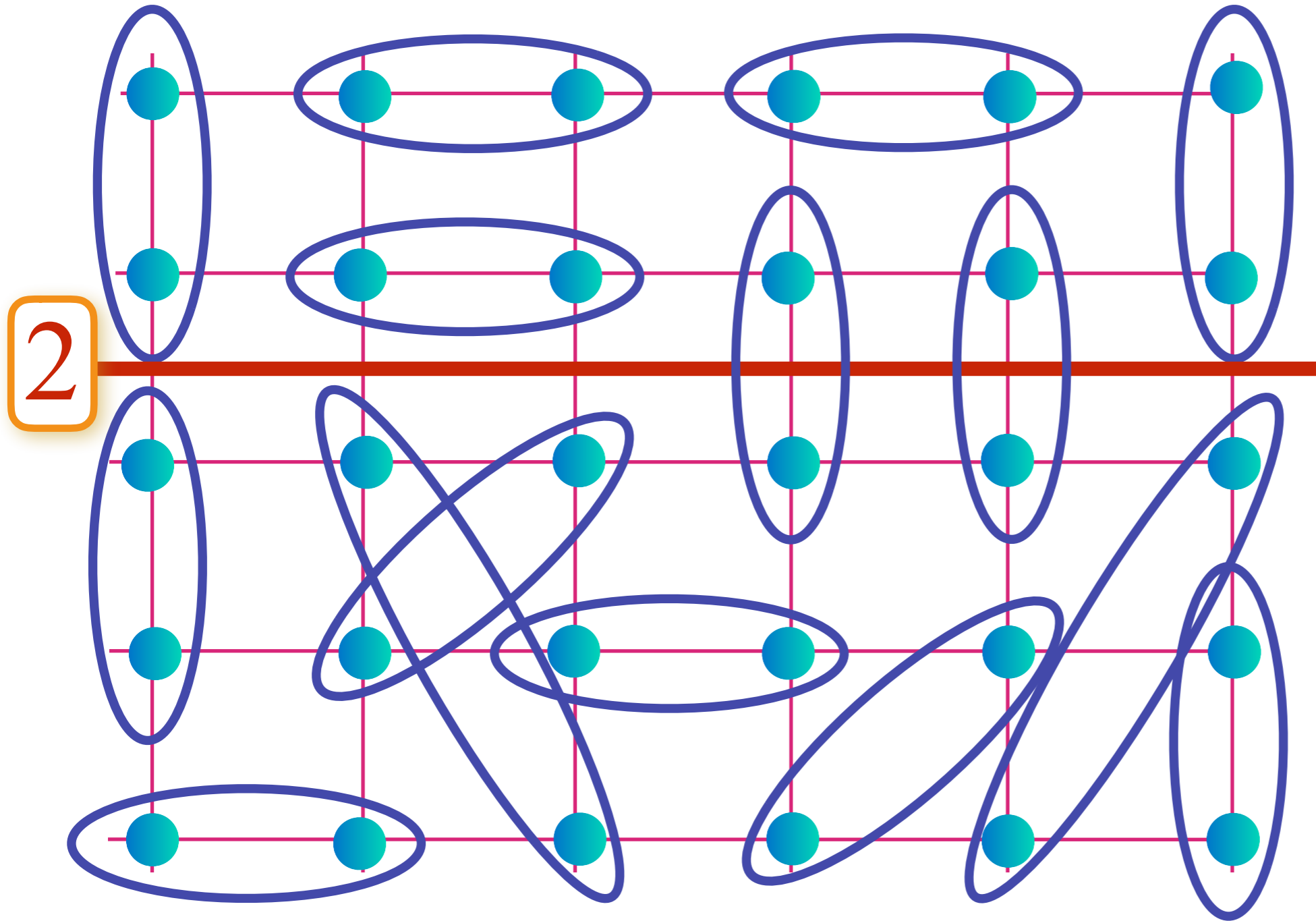
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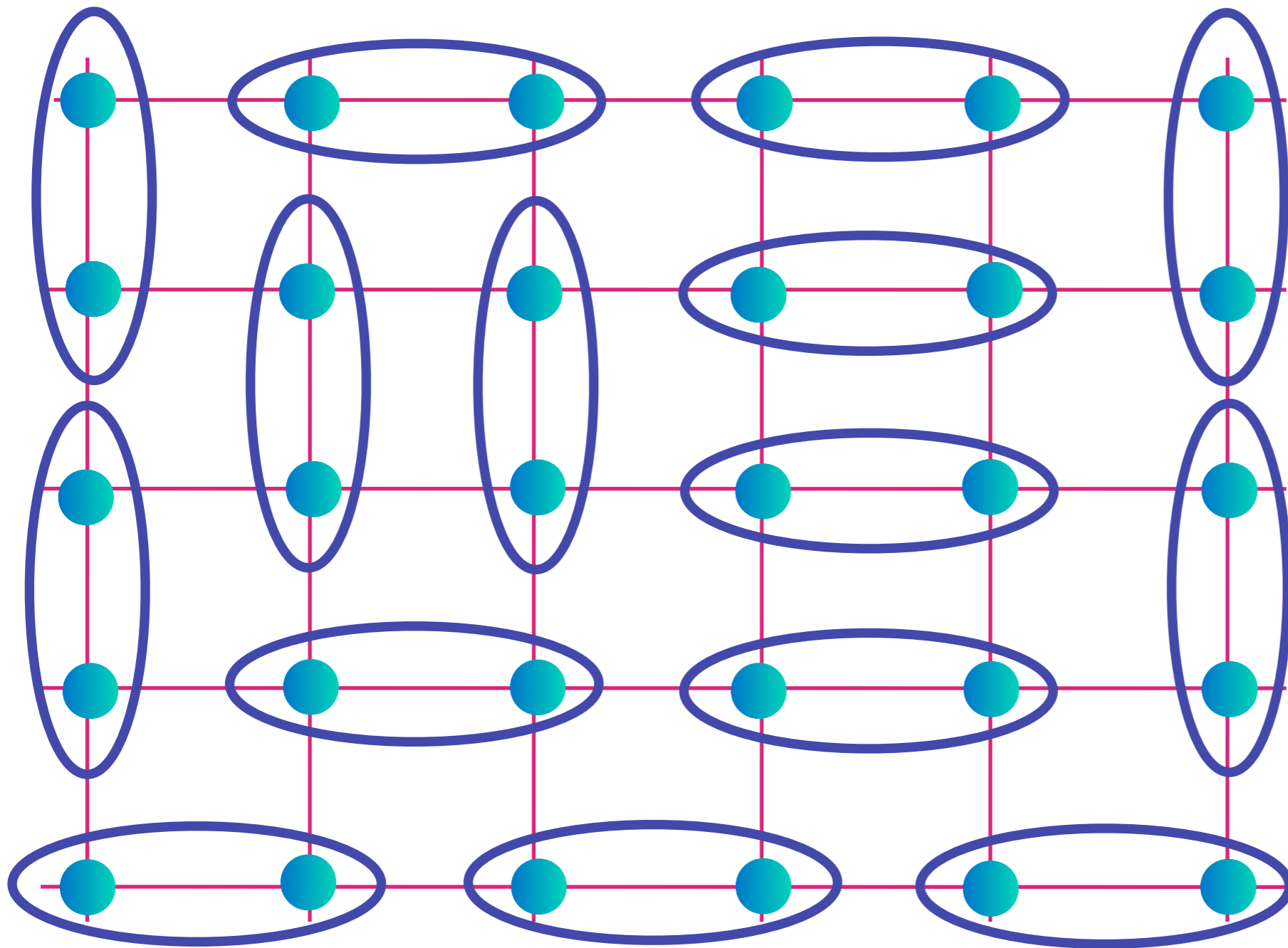


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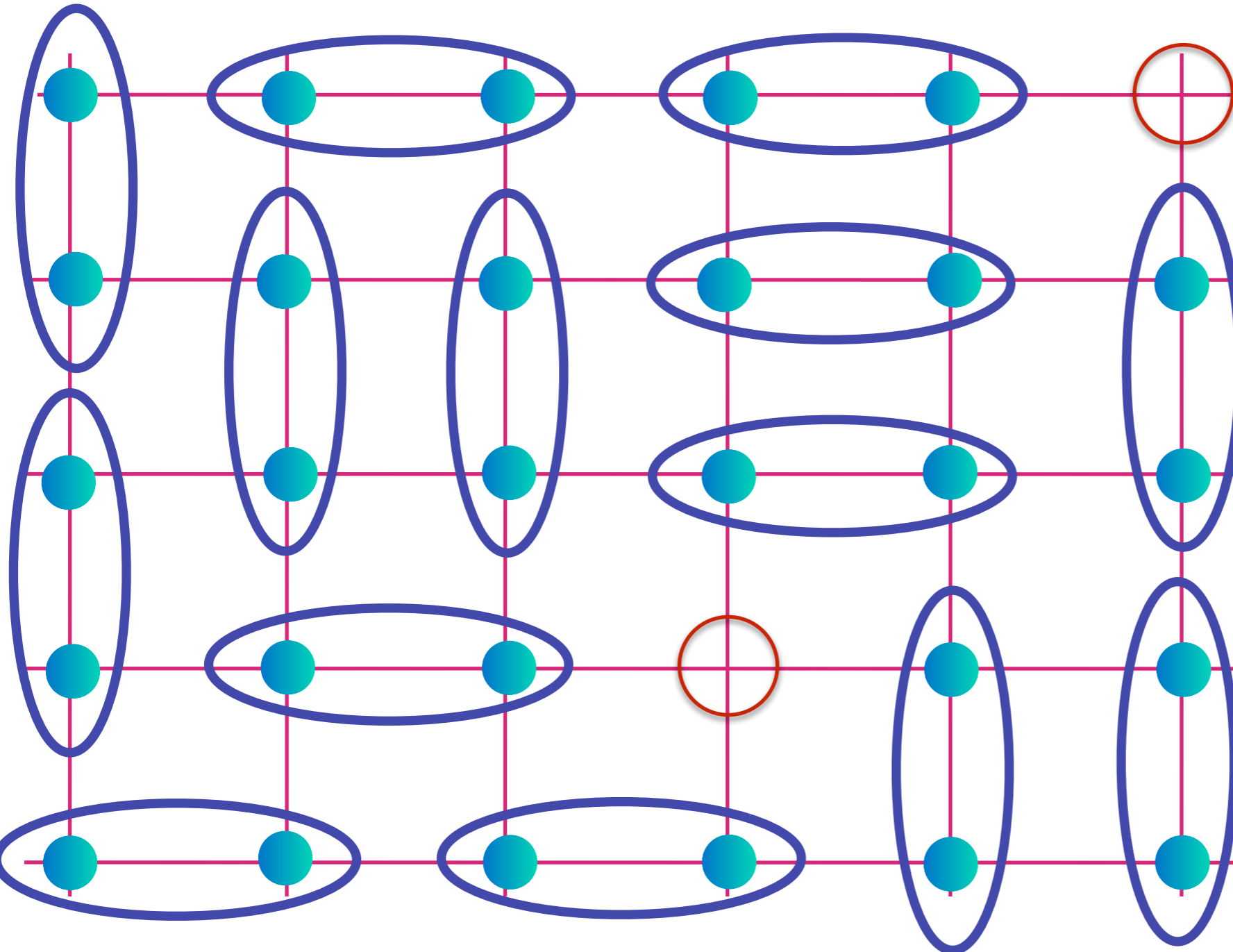
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Adding holes to a spin liquid



 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

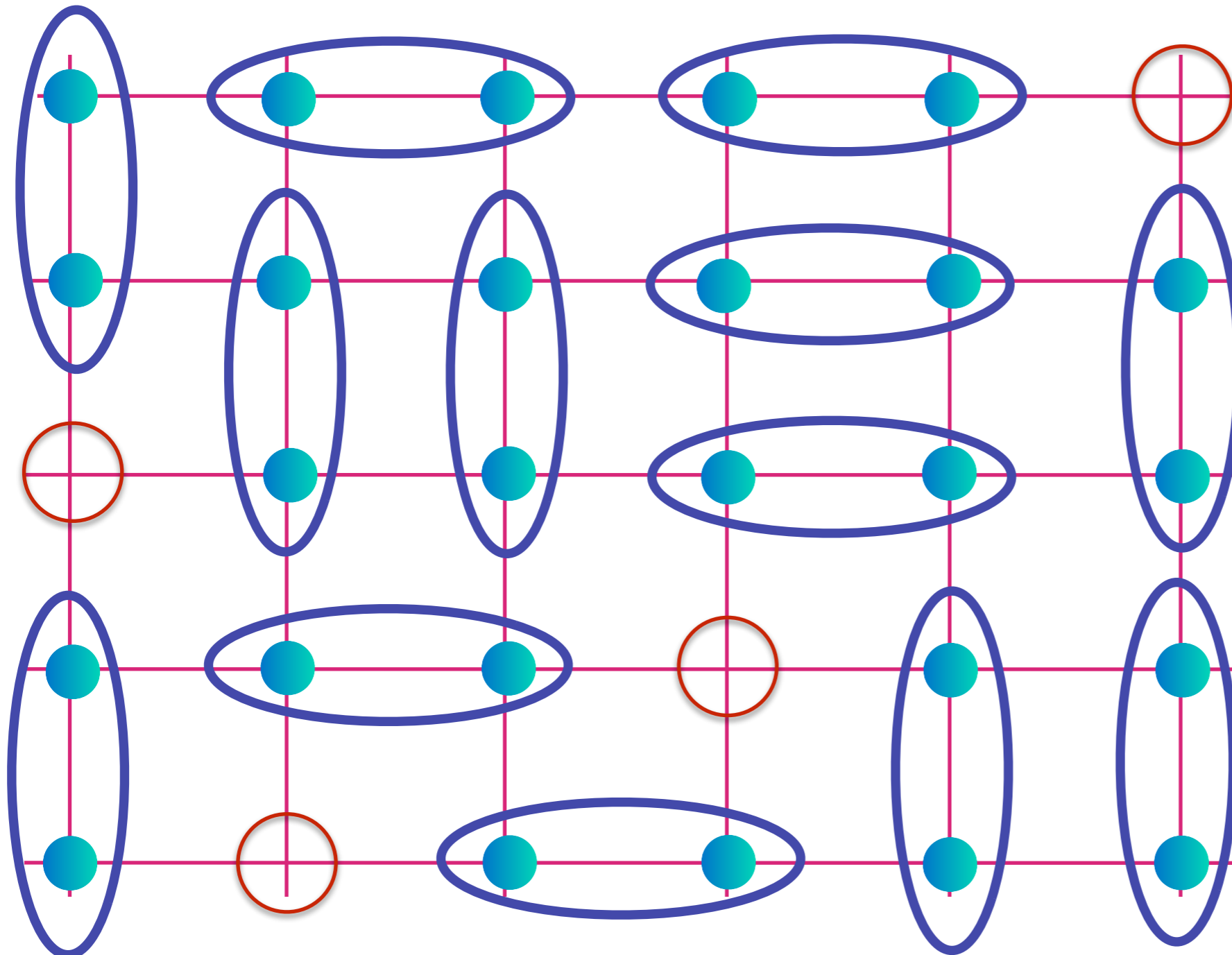
Adding holes to a spin liquid



Start with a spin liquid and then remove electrons

$$\text{[Two teal circles in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

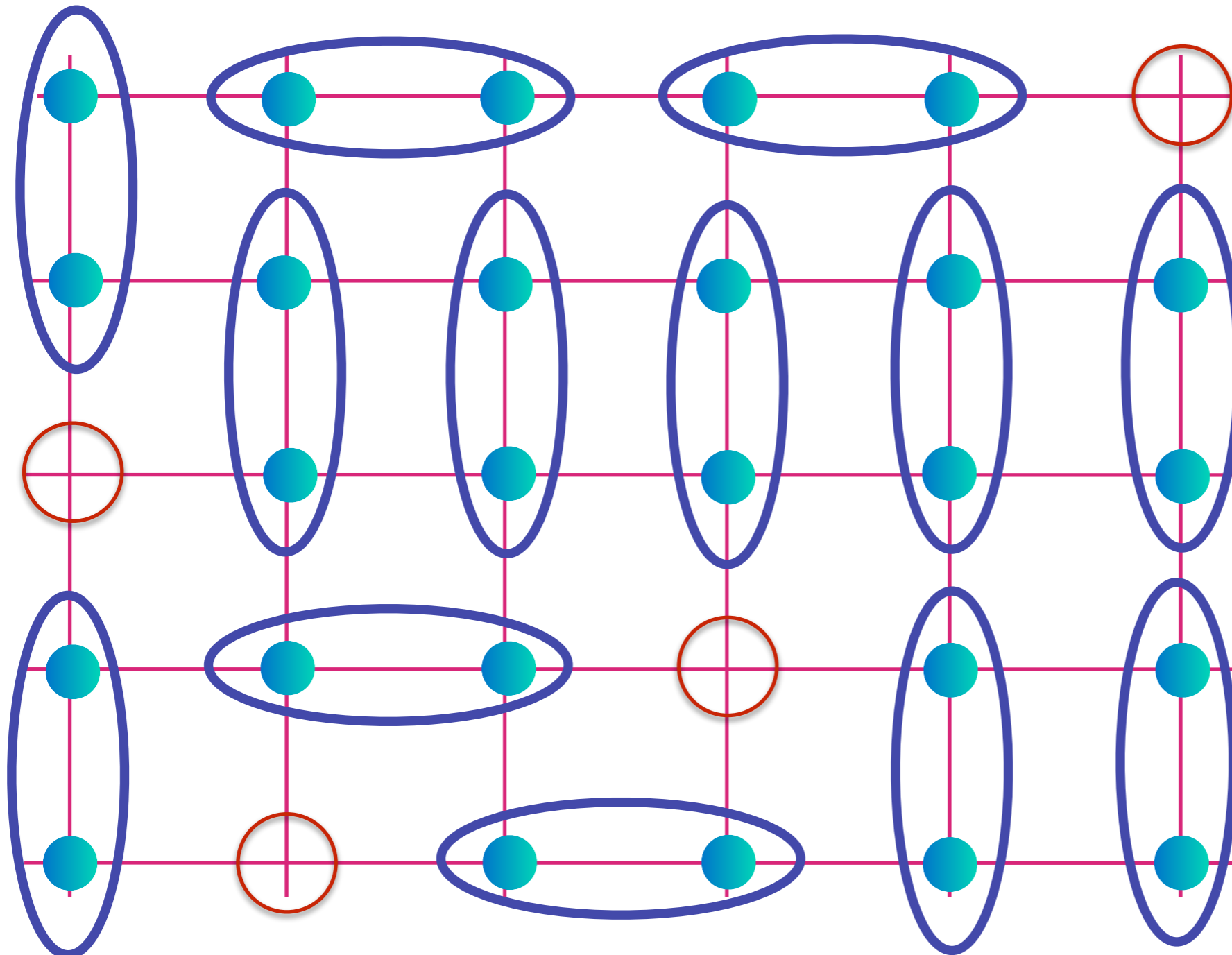
Adding holes to a spin liquid



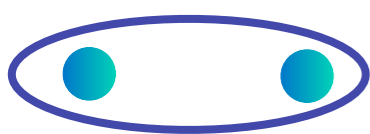
Start with a spin liquid and then remove electrons

 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

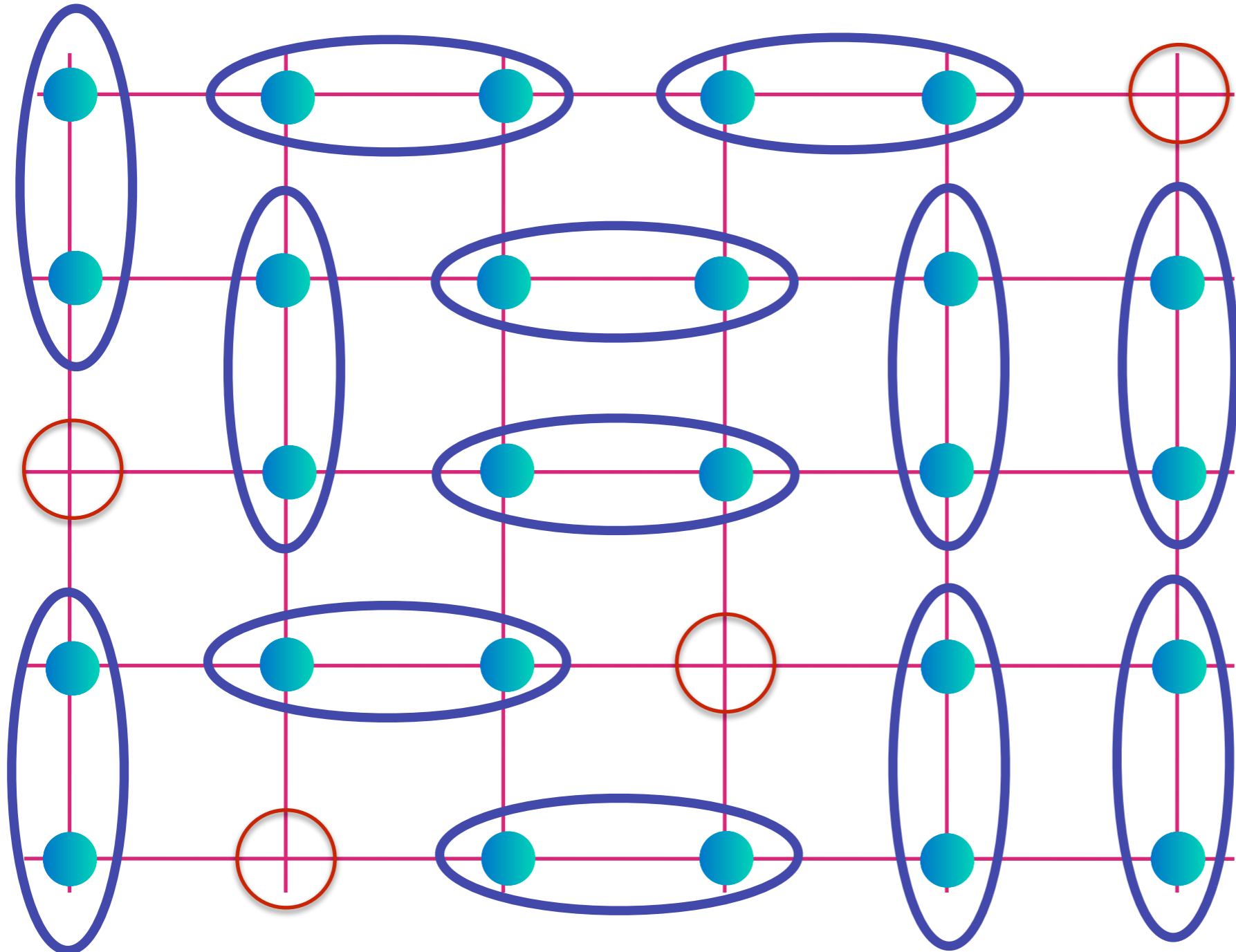
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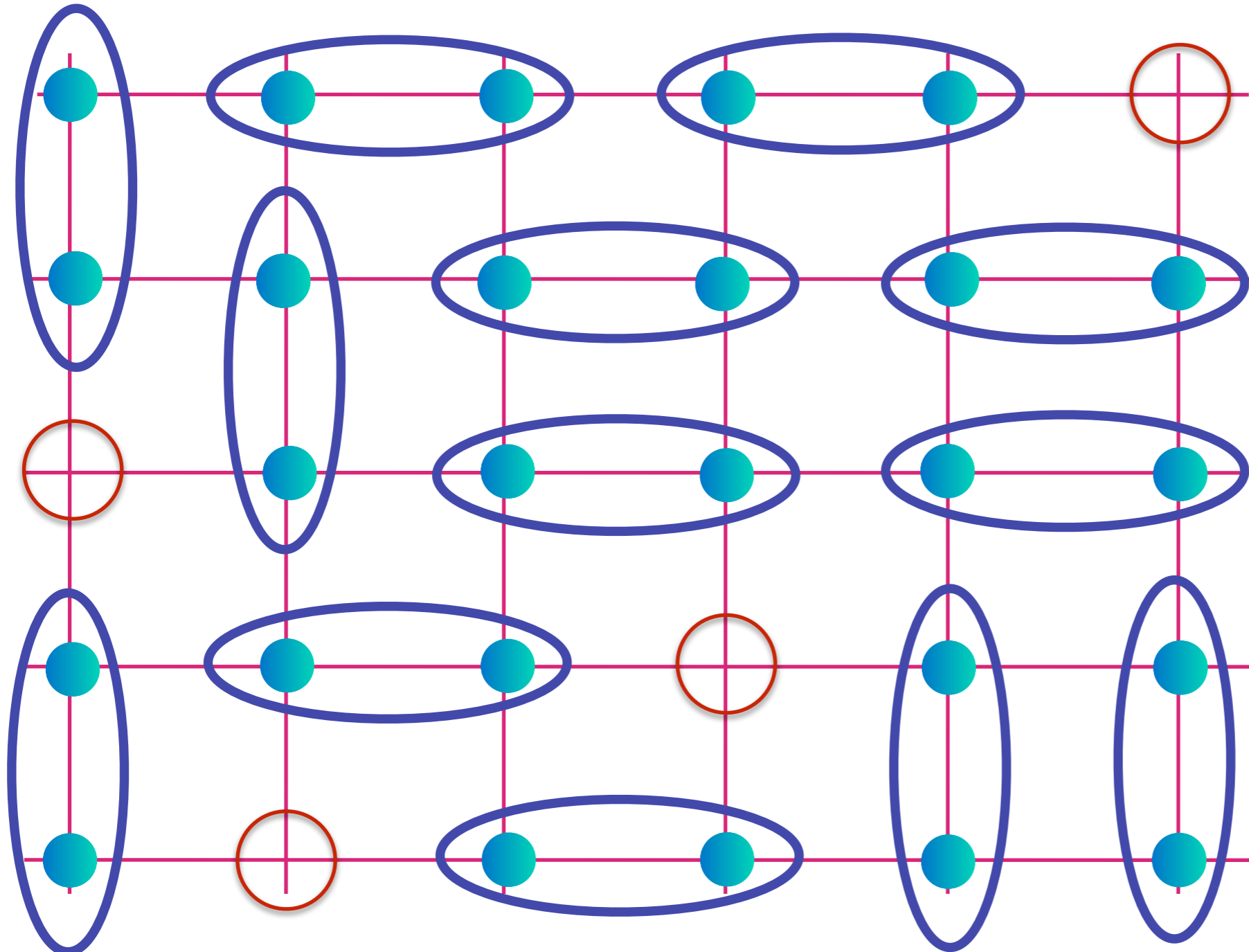
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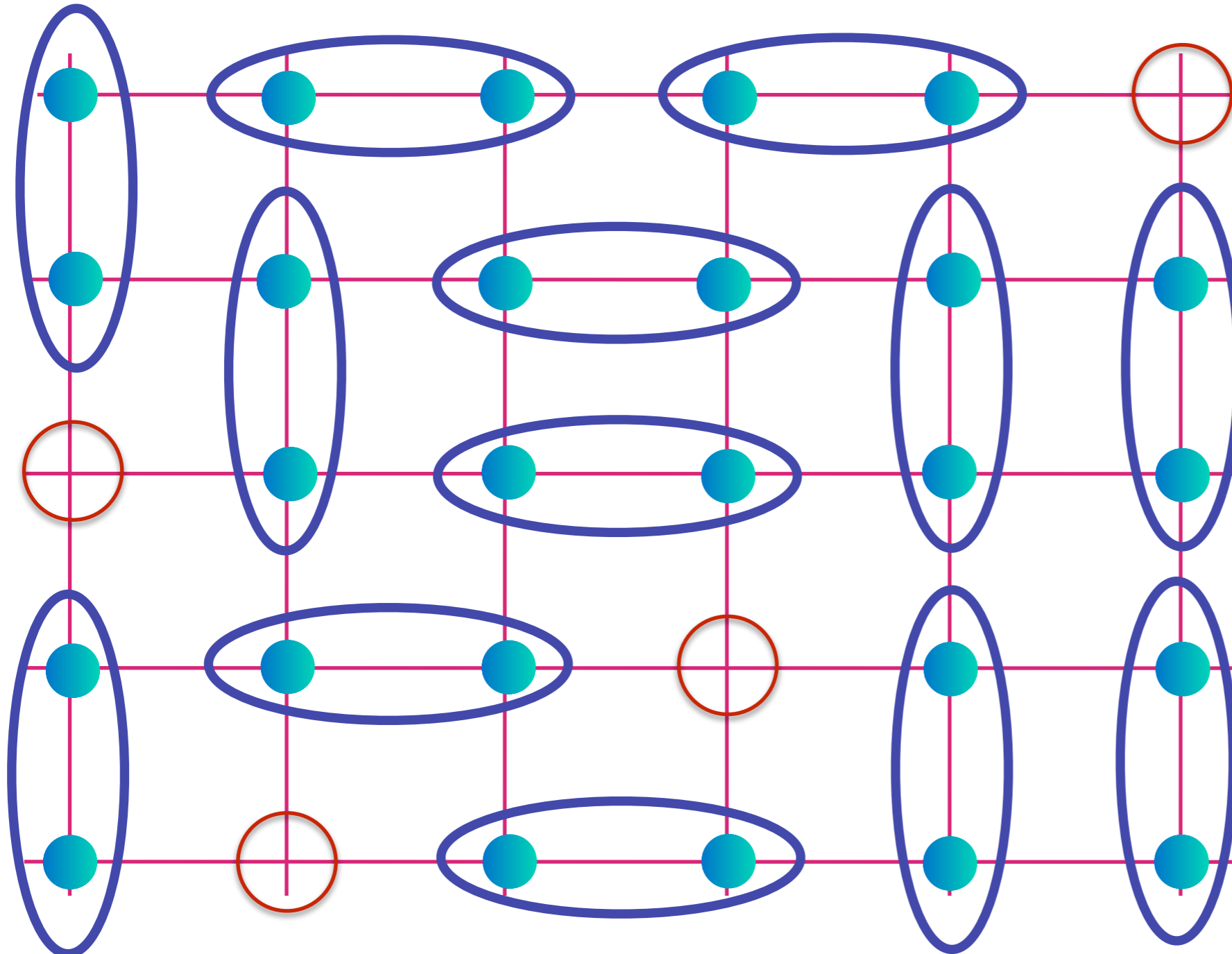
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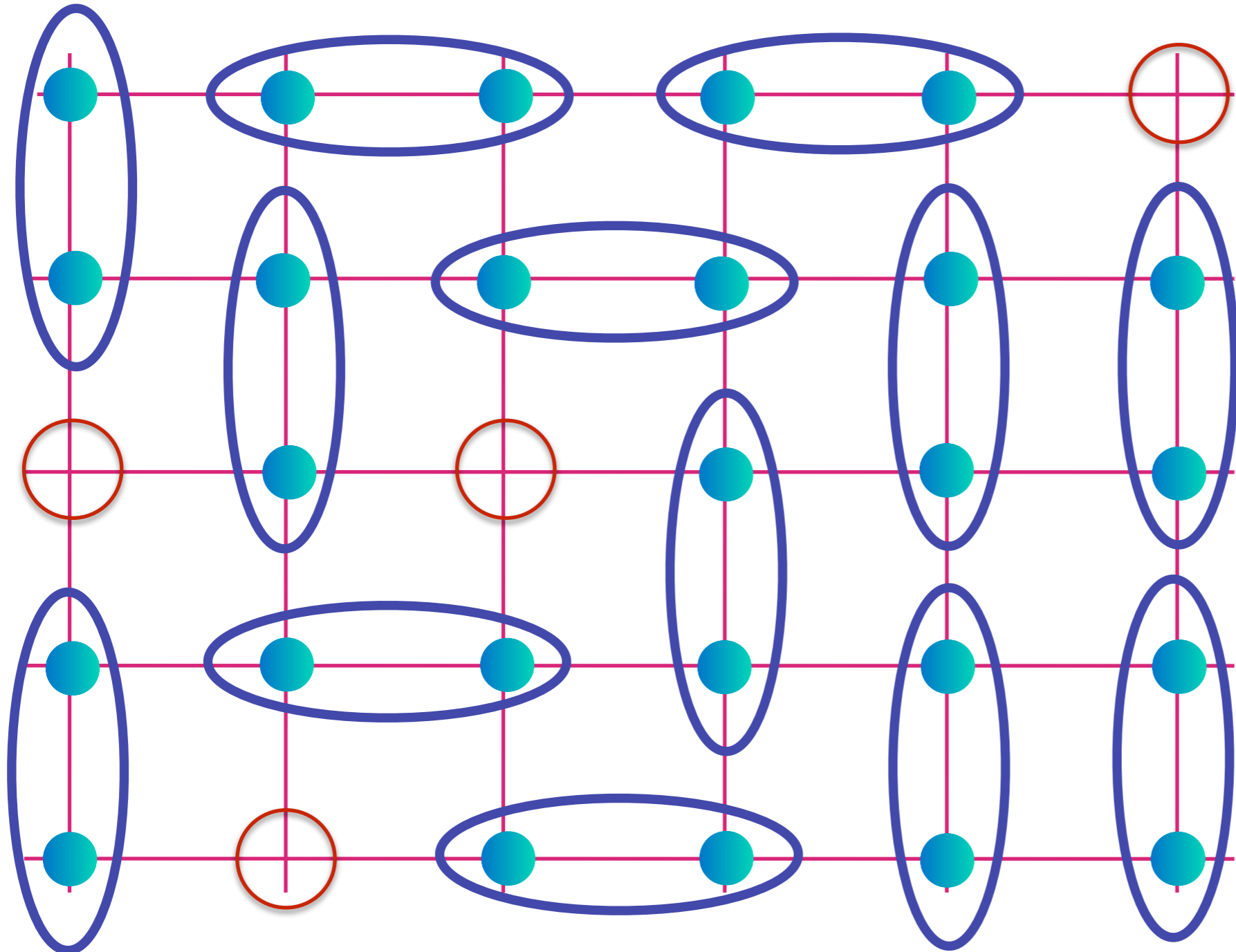
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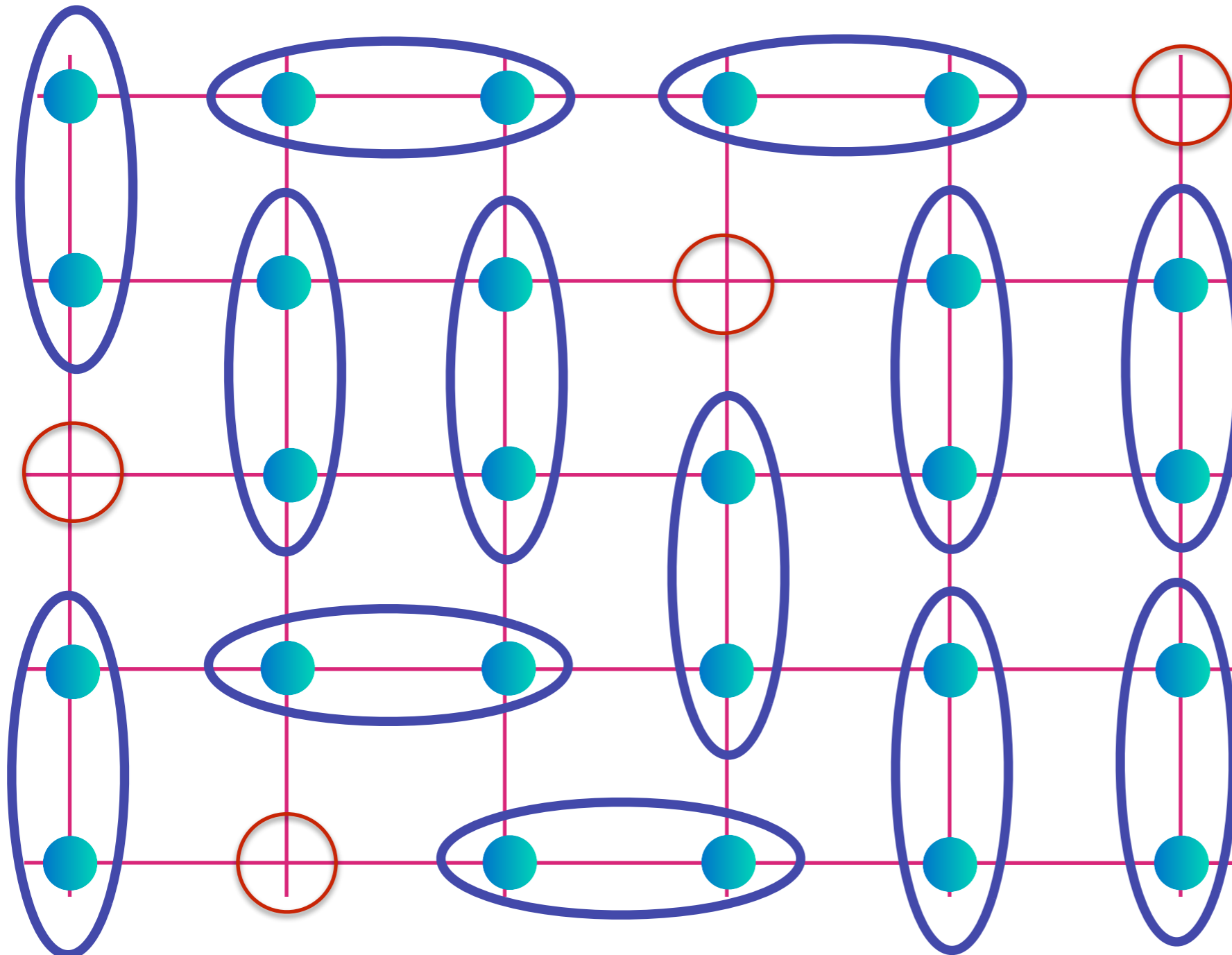
Adding holes to a spin liquid



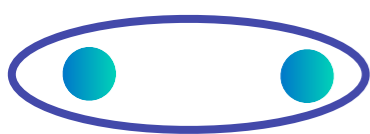
A mobile
charge $+e$, but
carrying no
spin

$$\text{[Diagram of two teal spheres in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

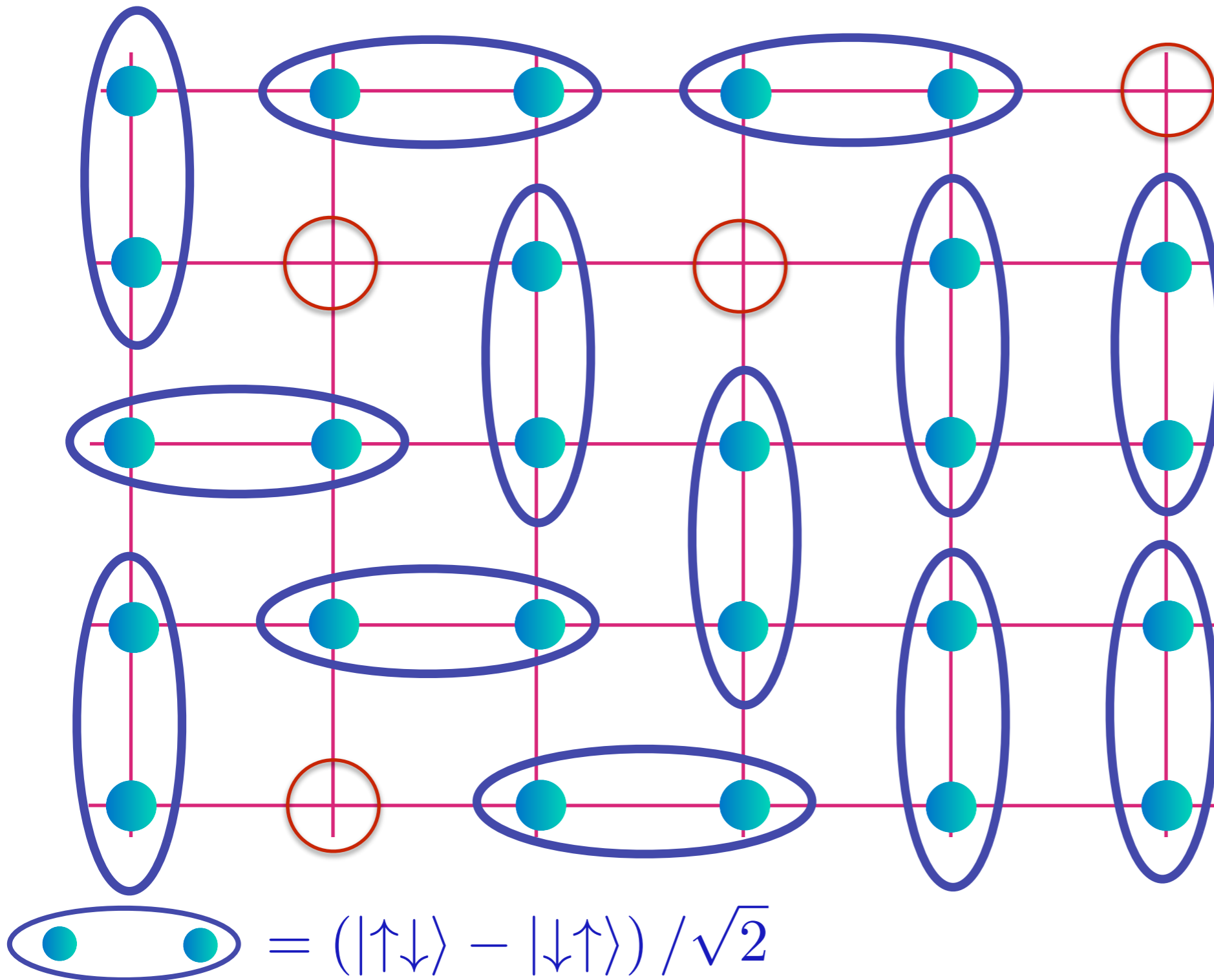
Adding holes to a spin liquid



A mobile charge $+e$, but carrying no spin

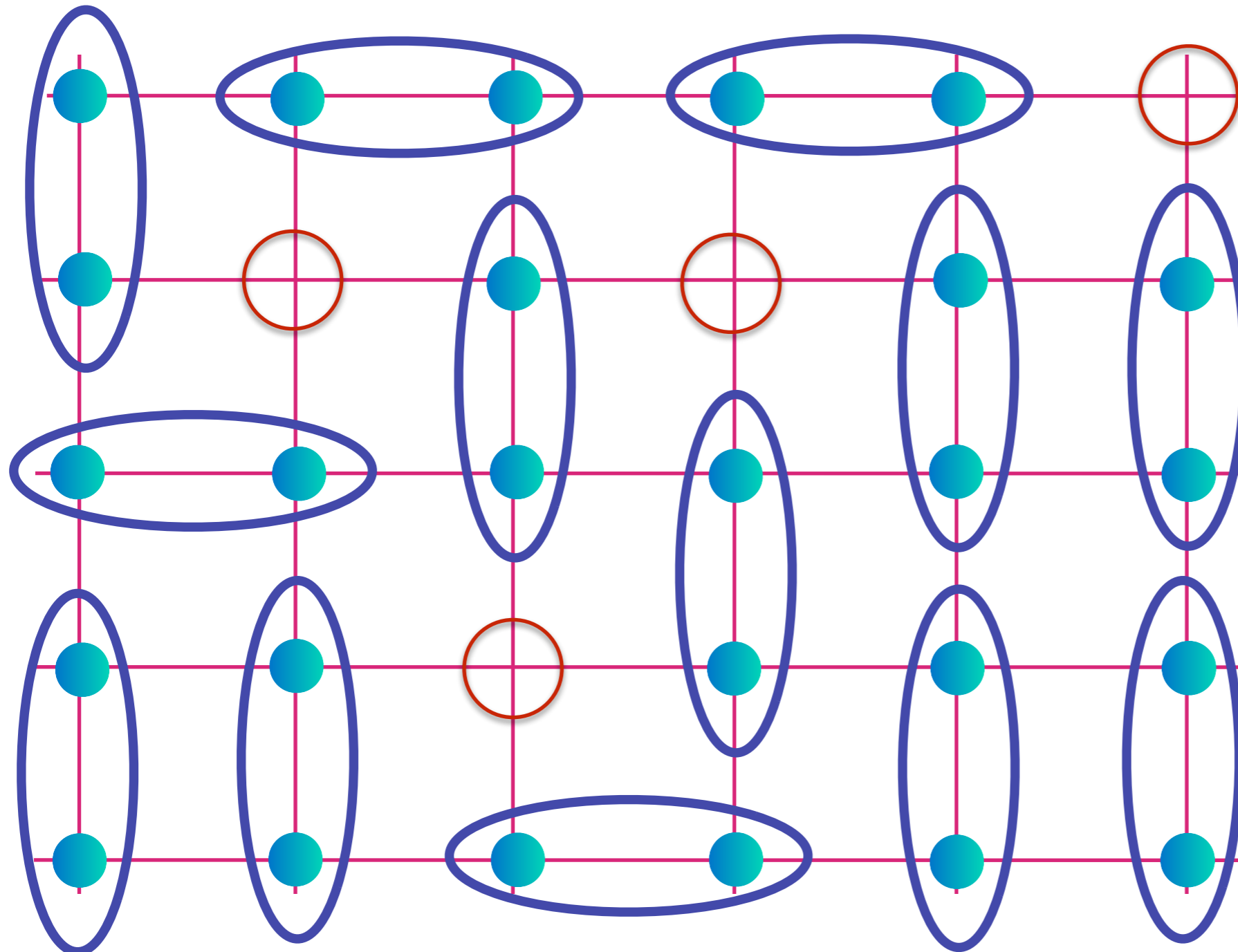
 = $(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$

Adding holes to a spin liquid



Spin liquid with density p of spinless, charge $+e$ “holons”. These can form a Fermi surface of size p , but not of electrons

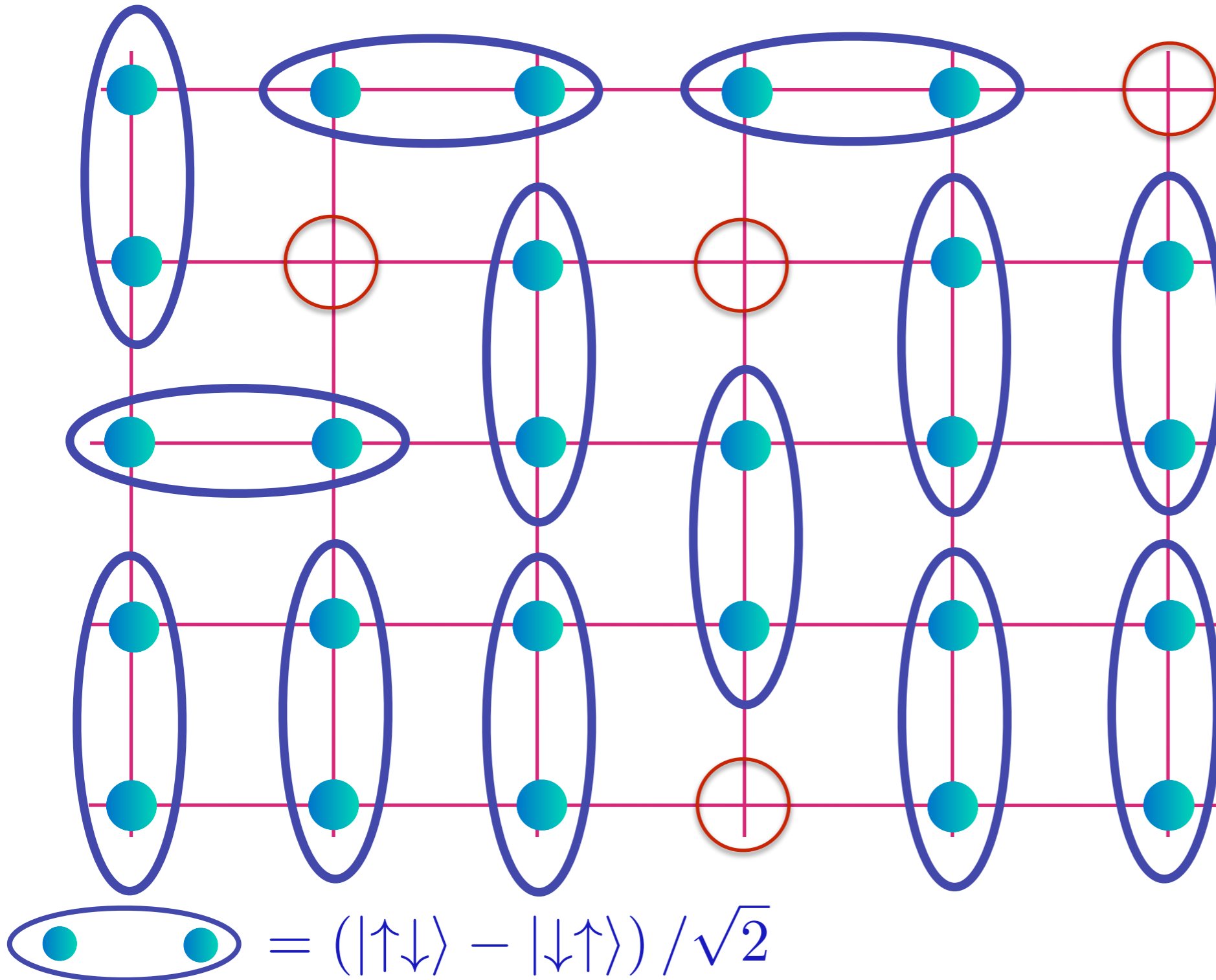
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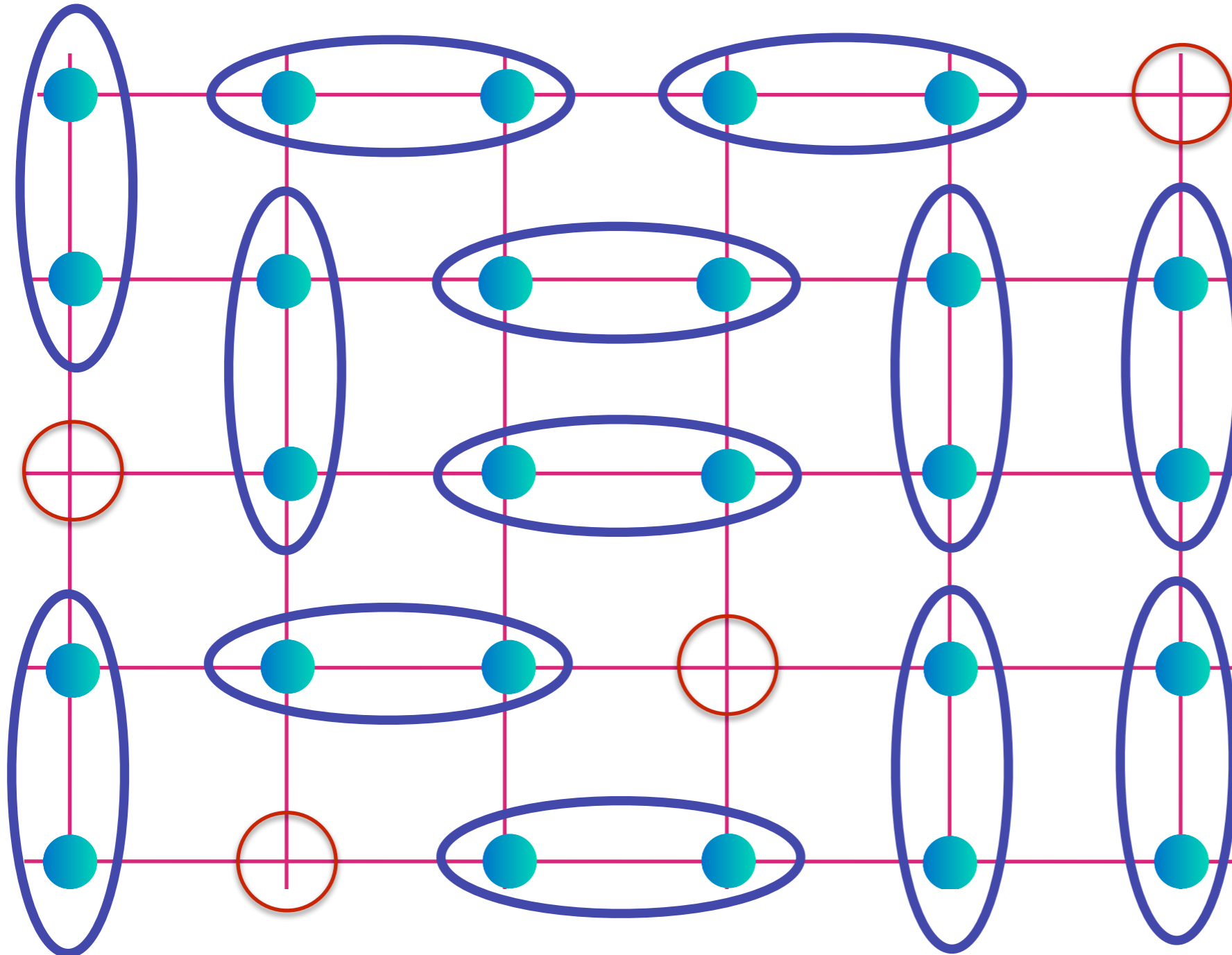
$$\text{[Diagram of two sites in a blue oval]} = \frac{(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)}{\sqrt{2}}$$

Adding holes to a spin liquid



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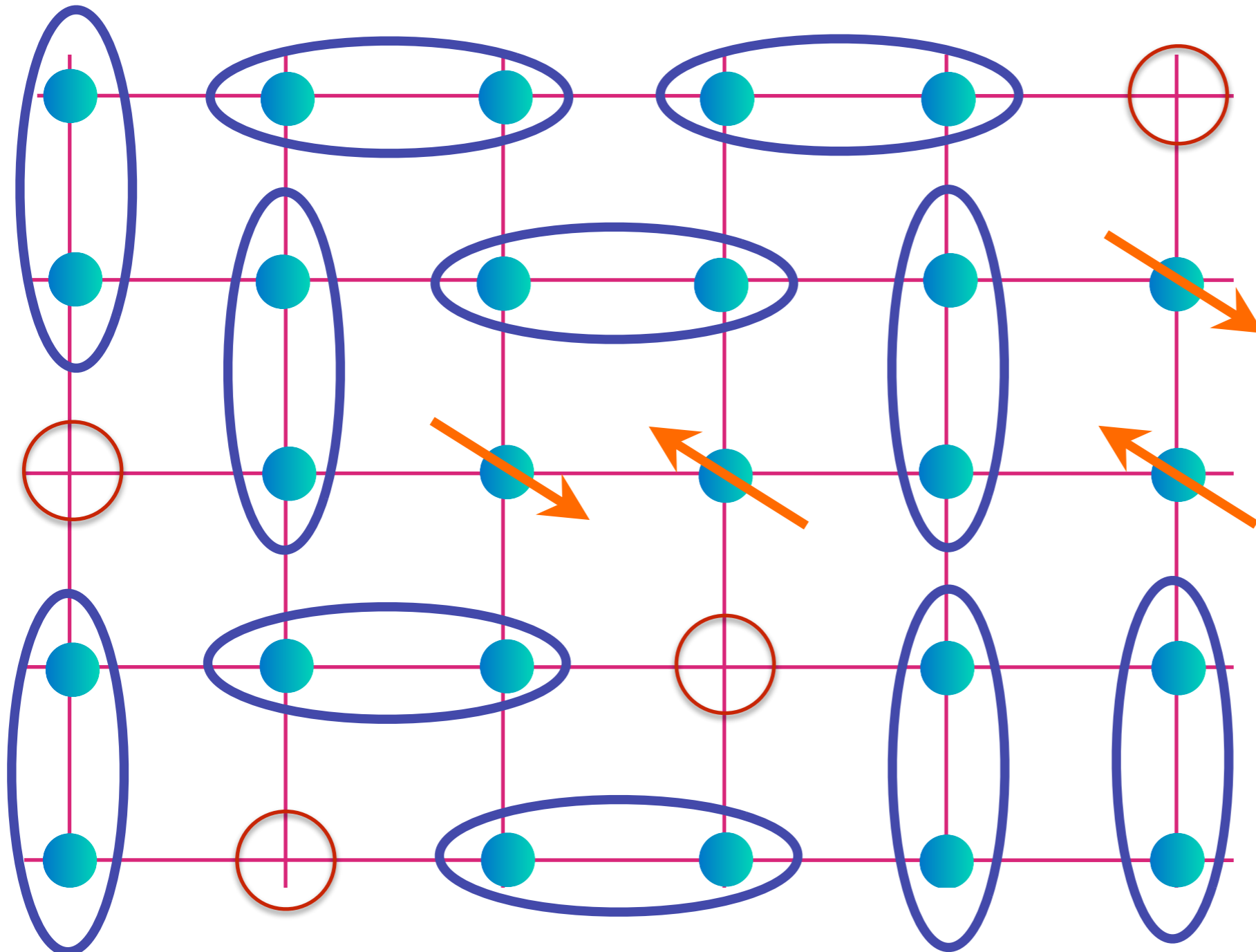
Adding holes to a spin liquid



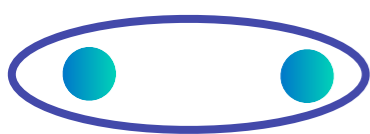
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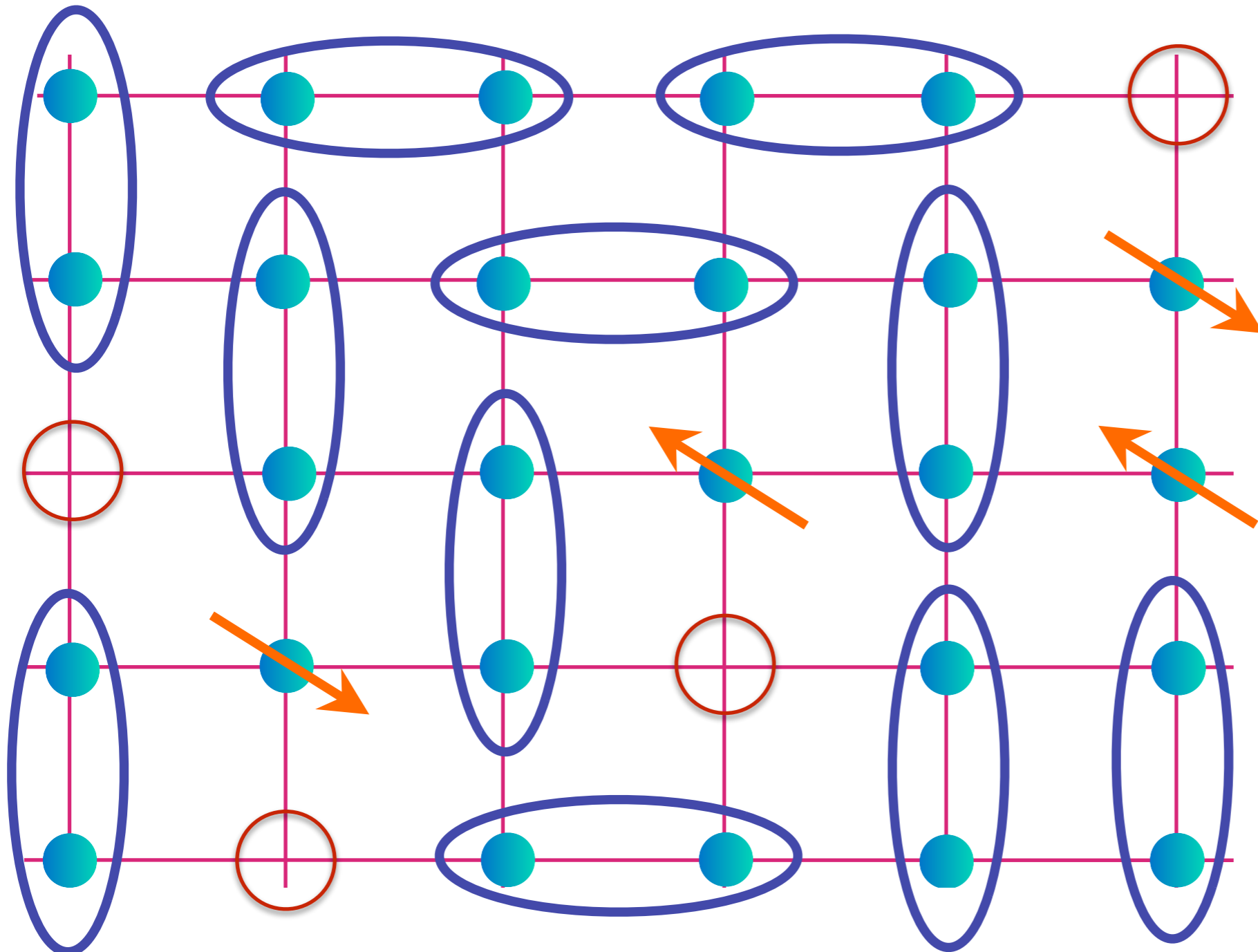
Adding holes to a spin liquid



A spin liquid also has mobile spin-1/2 excitations which are charge neutral excitations: “spinons”

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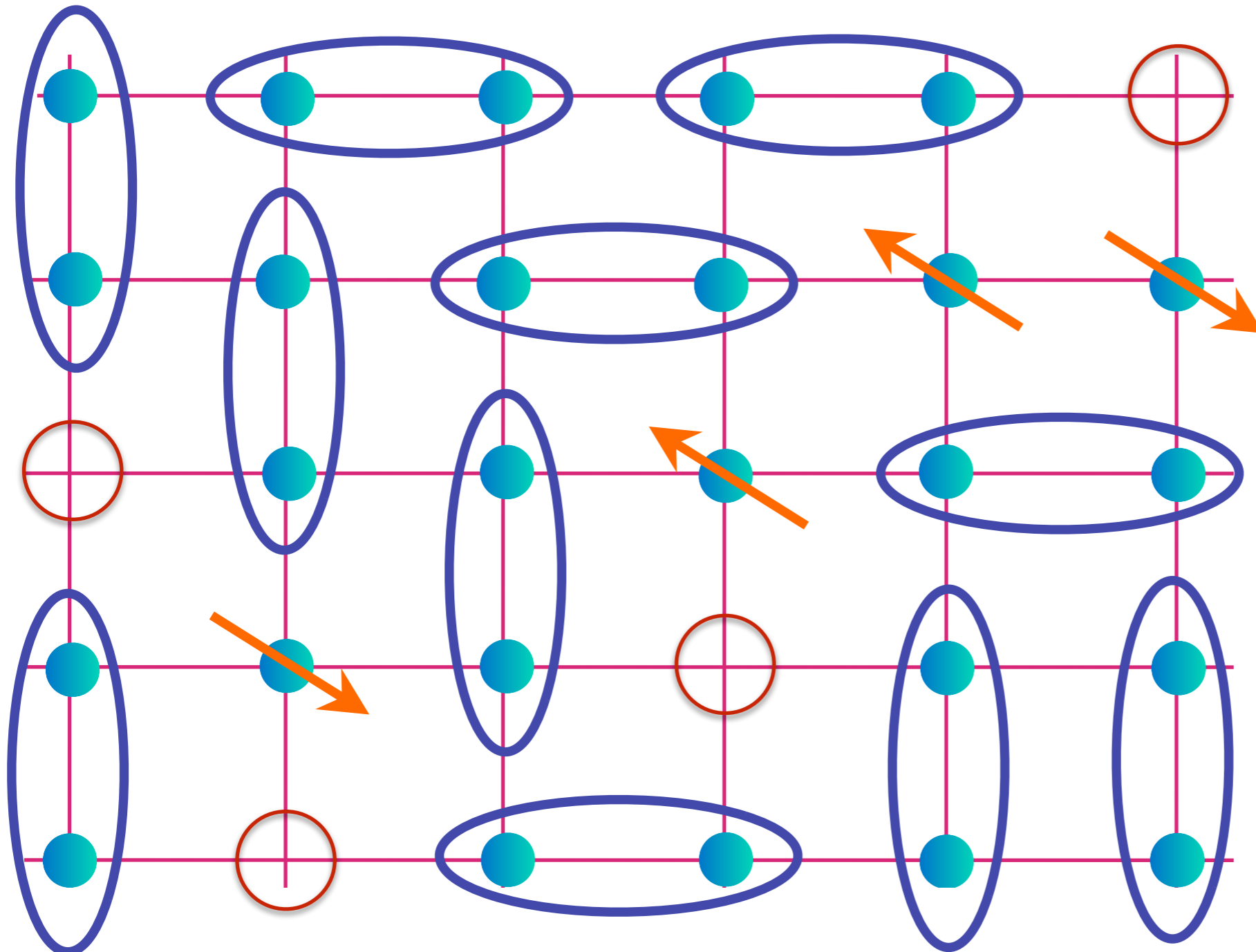
Holons and spinons in a spin liquid



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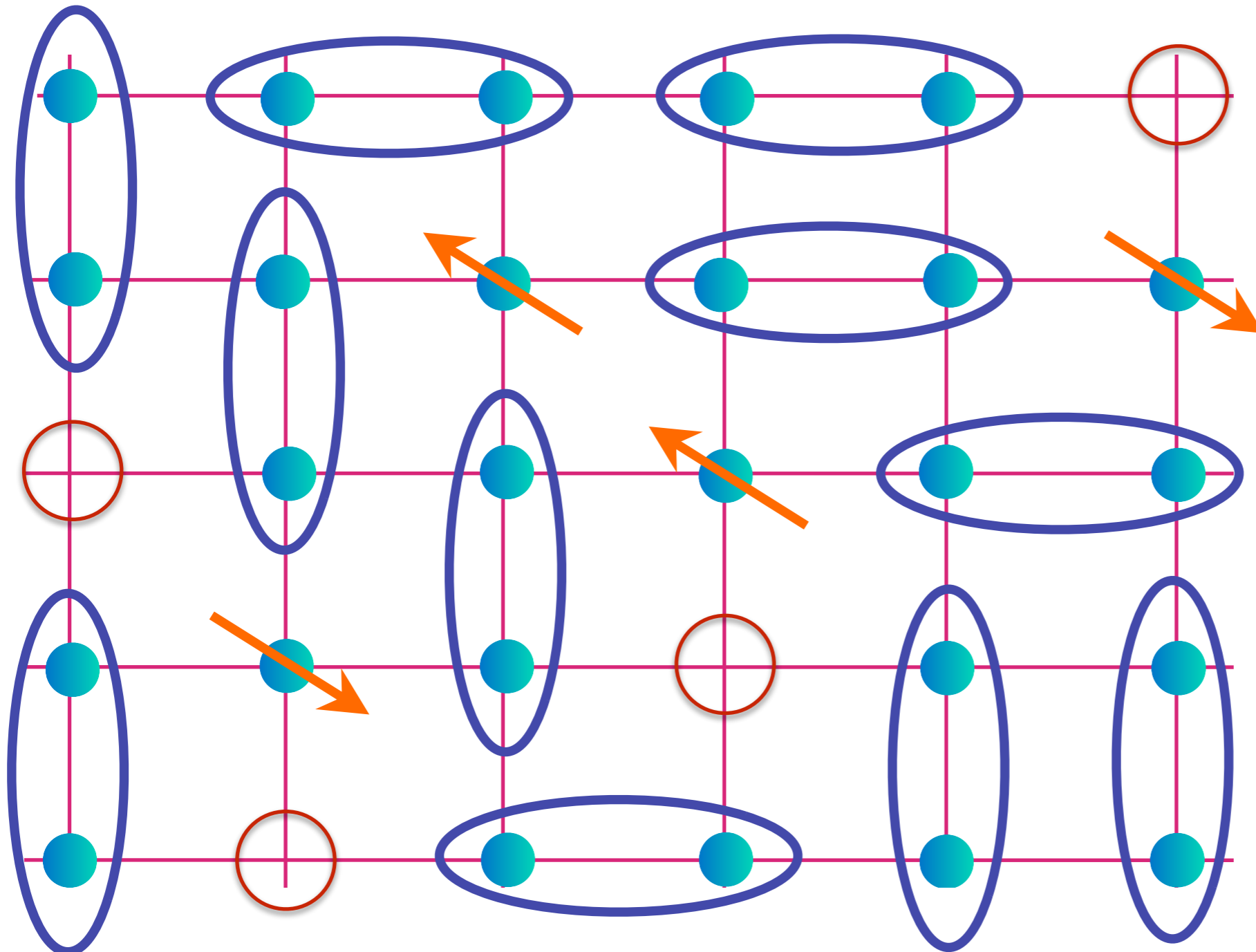
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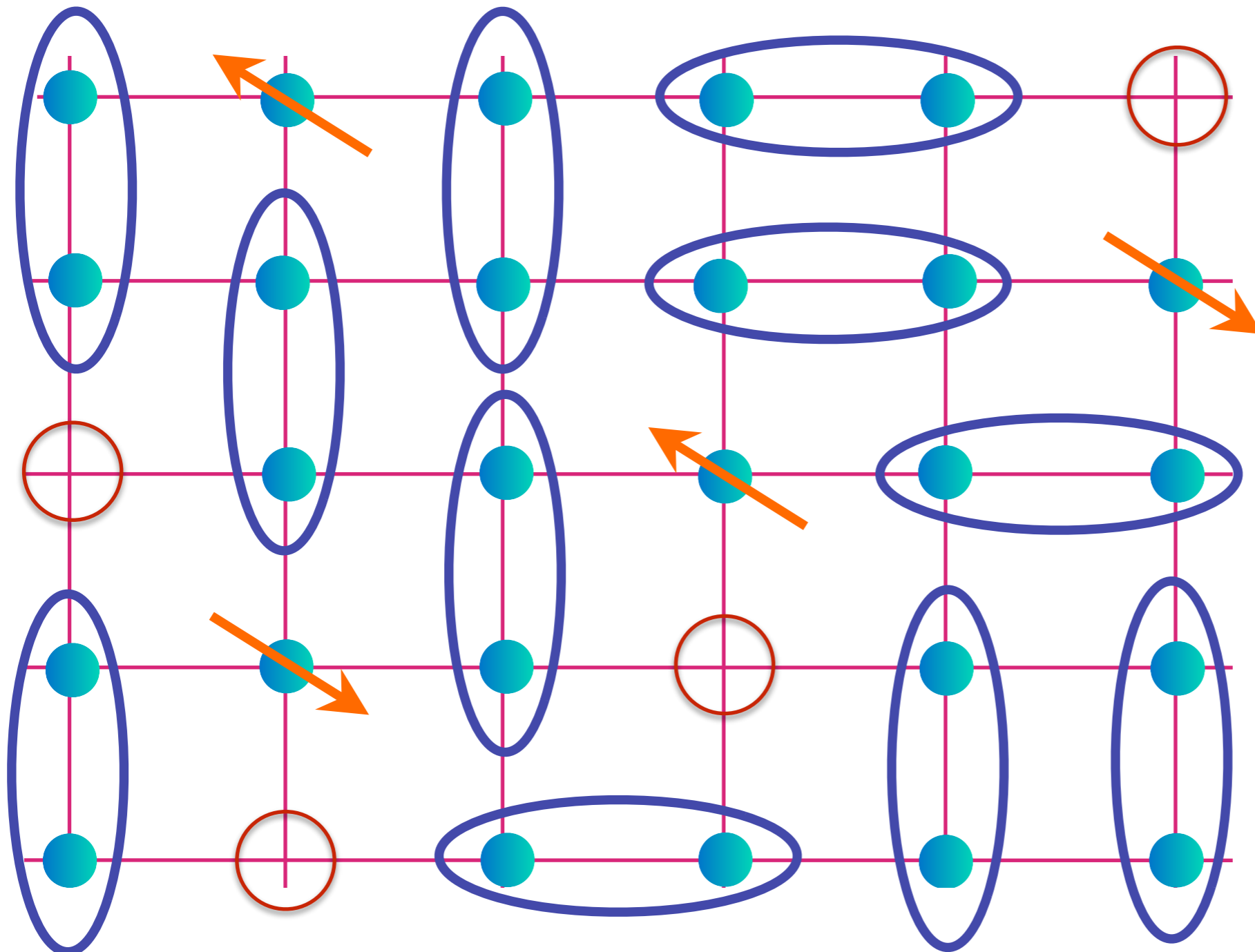
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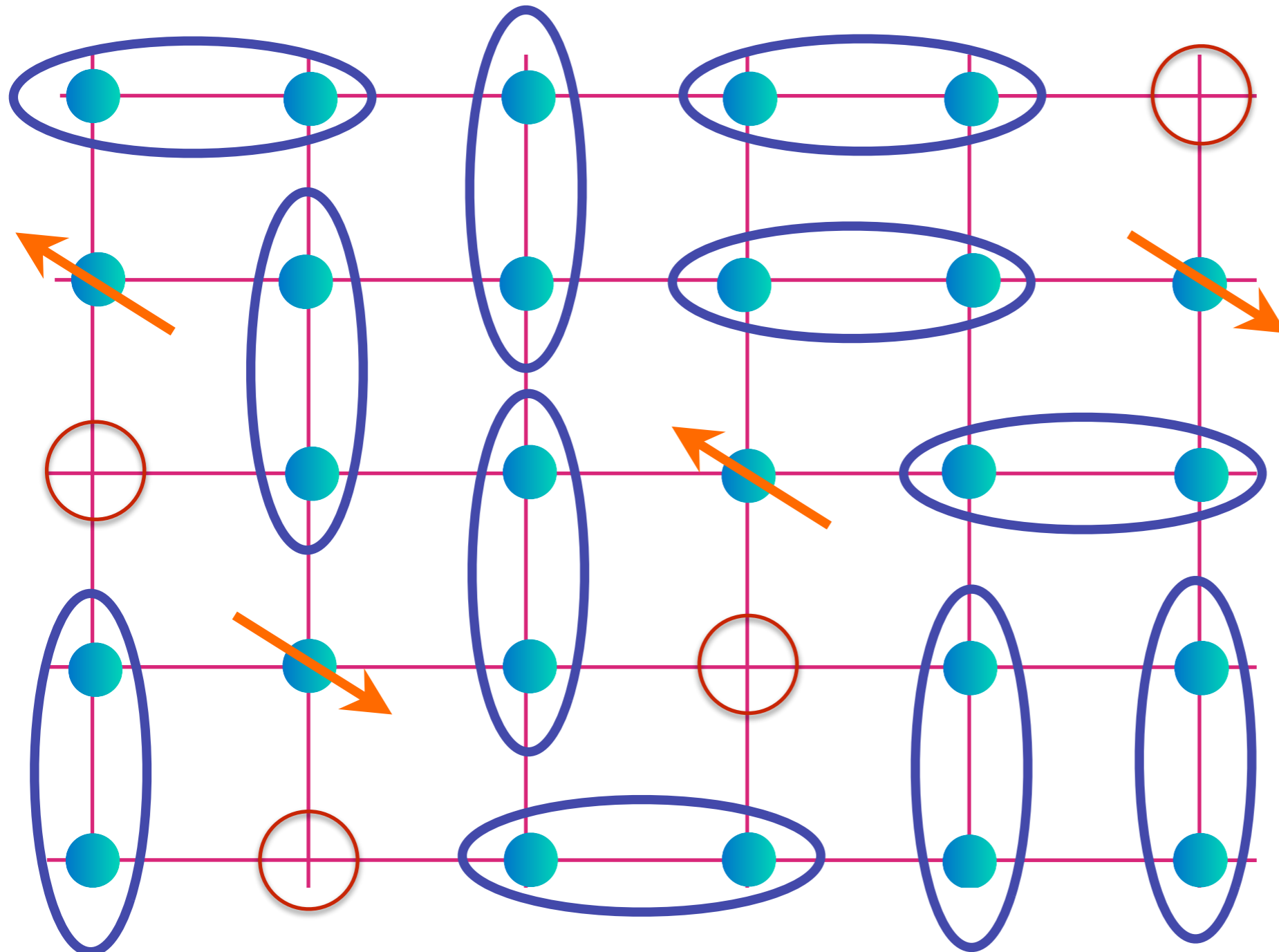
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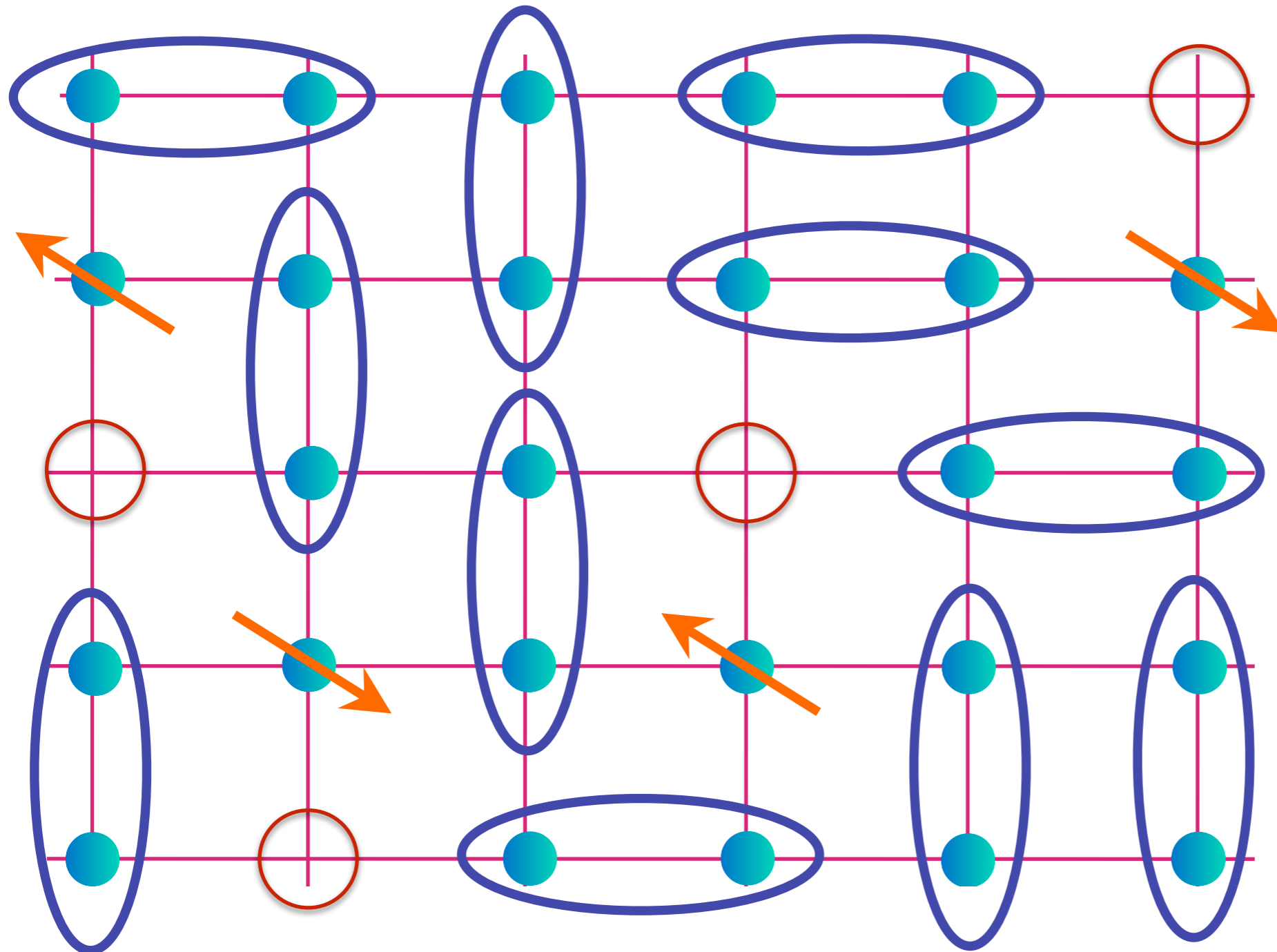
Holons and spinons in a spin liquid



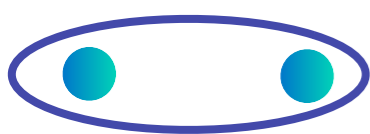
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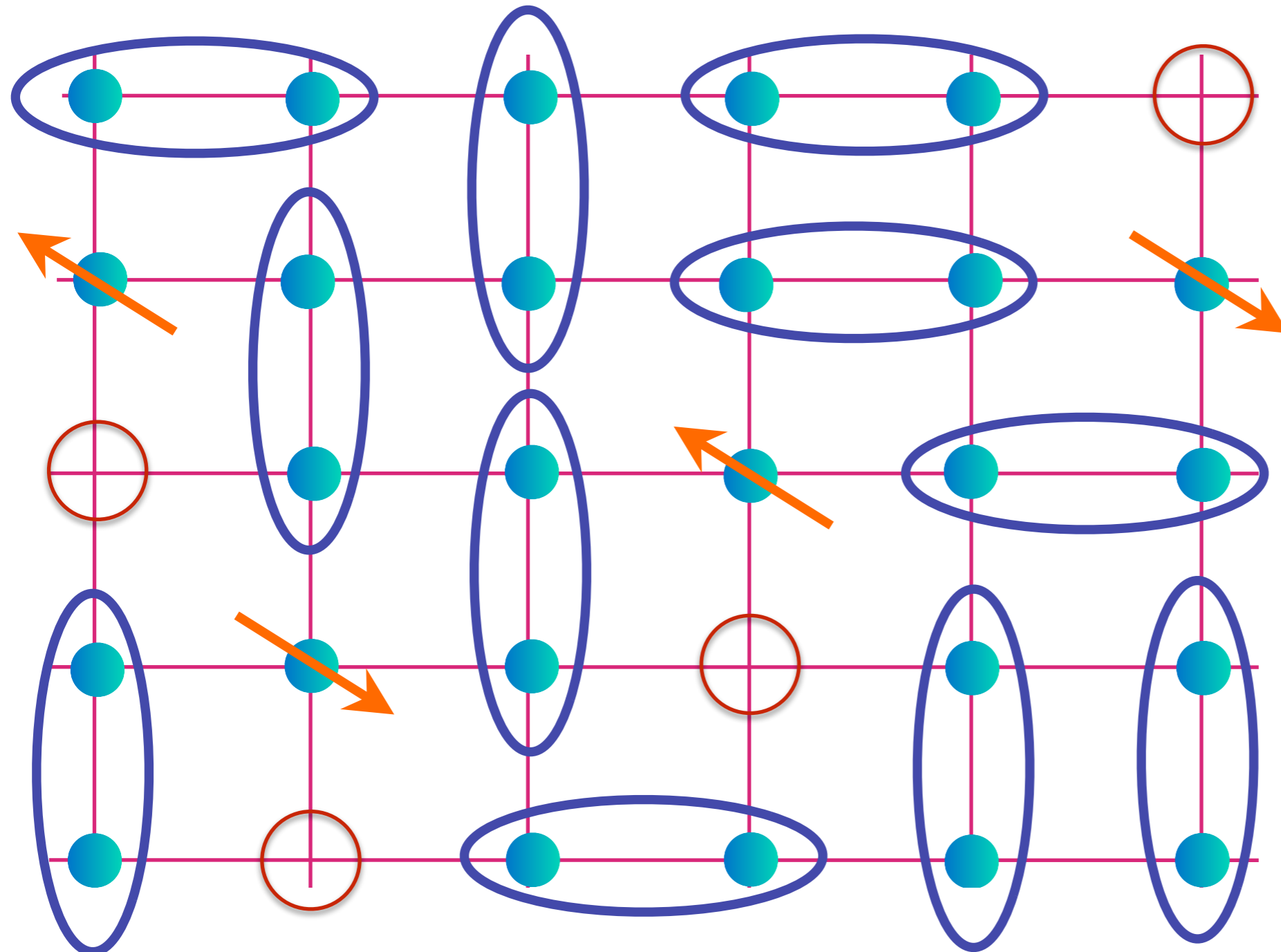
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Holons and spinons in a spin liquid



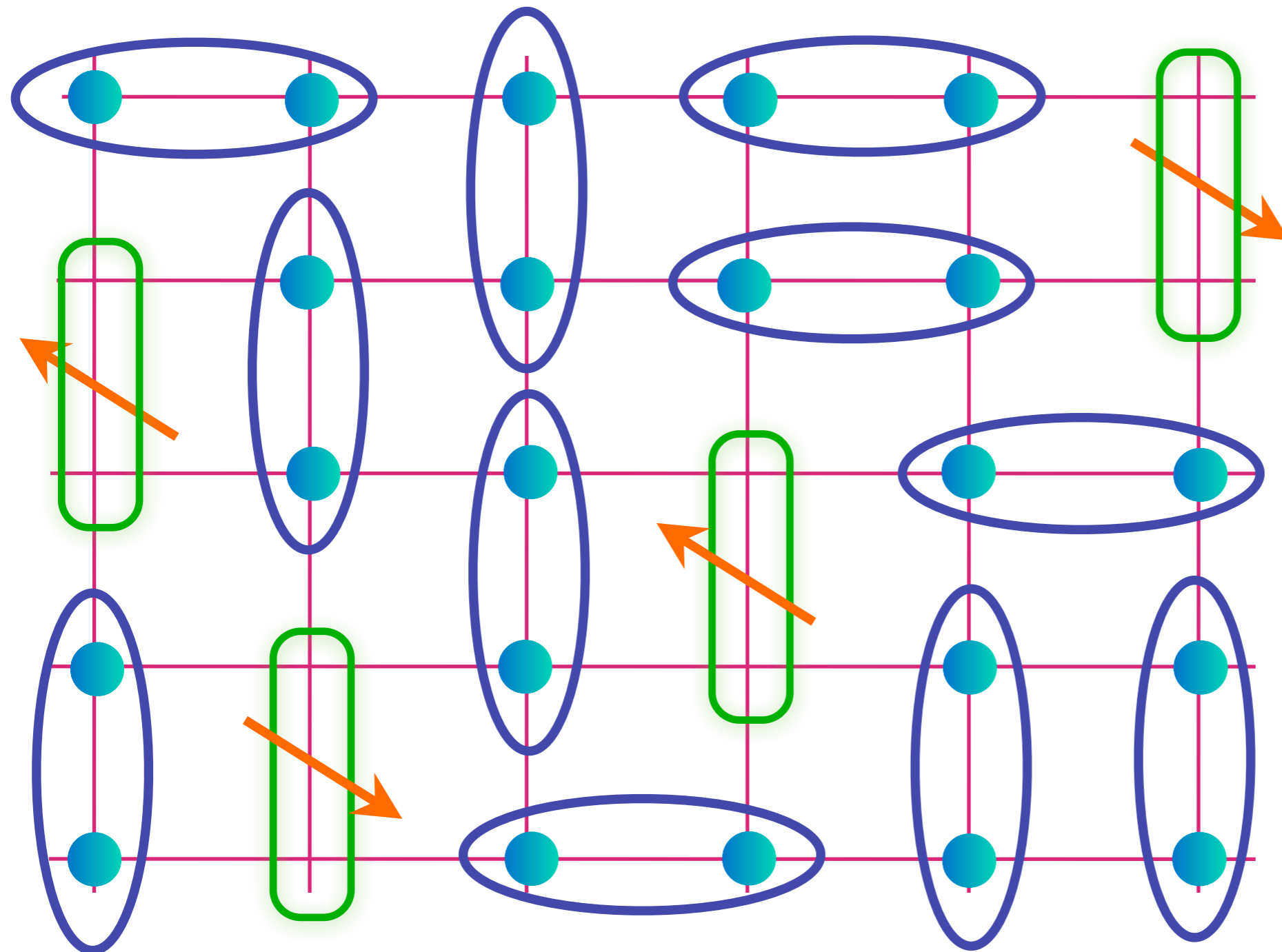
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FL*

S. Sachdev PRB **49**, 6770 (1994); X.-G. Wen and P.A. Lee PRL **76**, 503 (1996)

R. K. Kaul, A. Kolezhuk, M. Levin, S. Sachdev, and T. Senthil, PRB **75**, 235122 (2007)



Green dimers:
Mobile
 $S=1/2$,
charge $+e$
fermions:
form a Fermi surface of size p of electrons

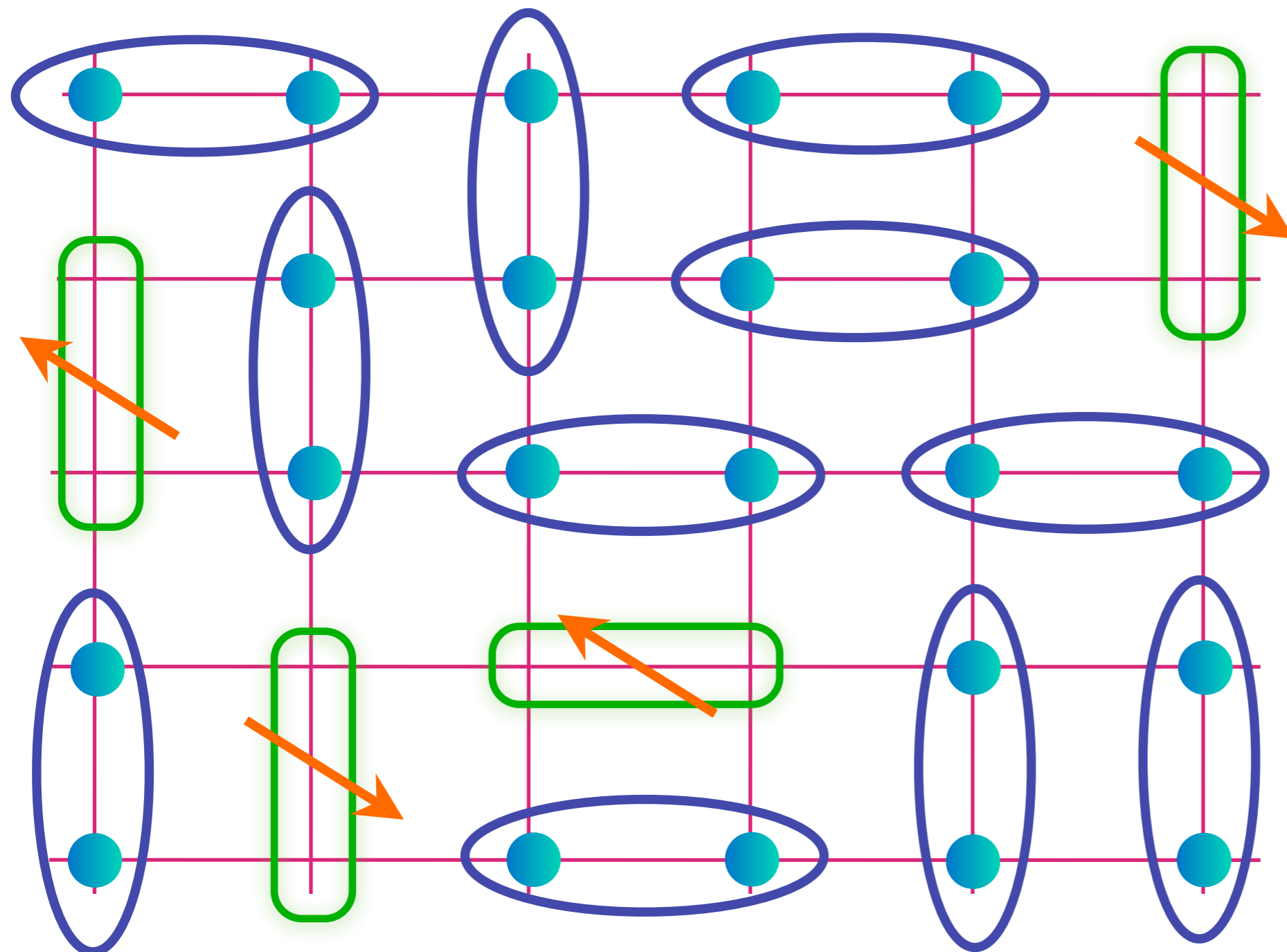
$$\text{Blue dimer} = (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle) / \sqrt{2}$$

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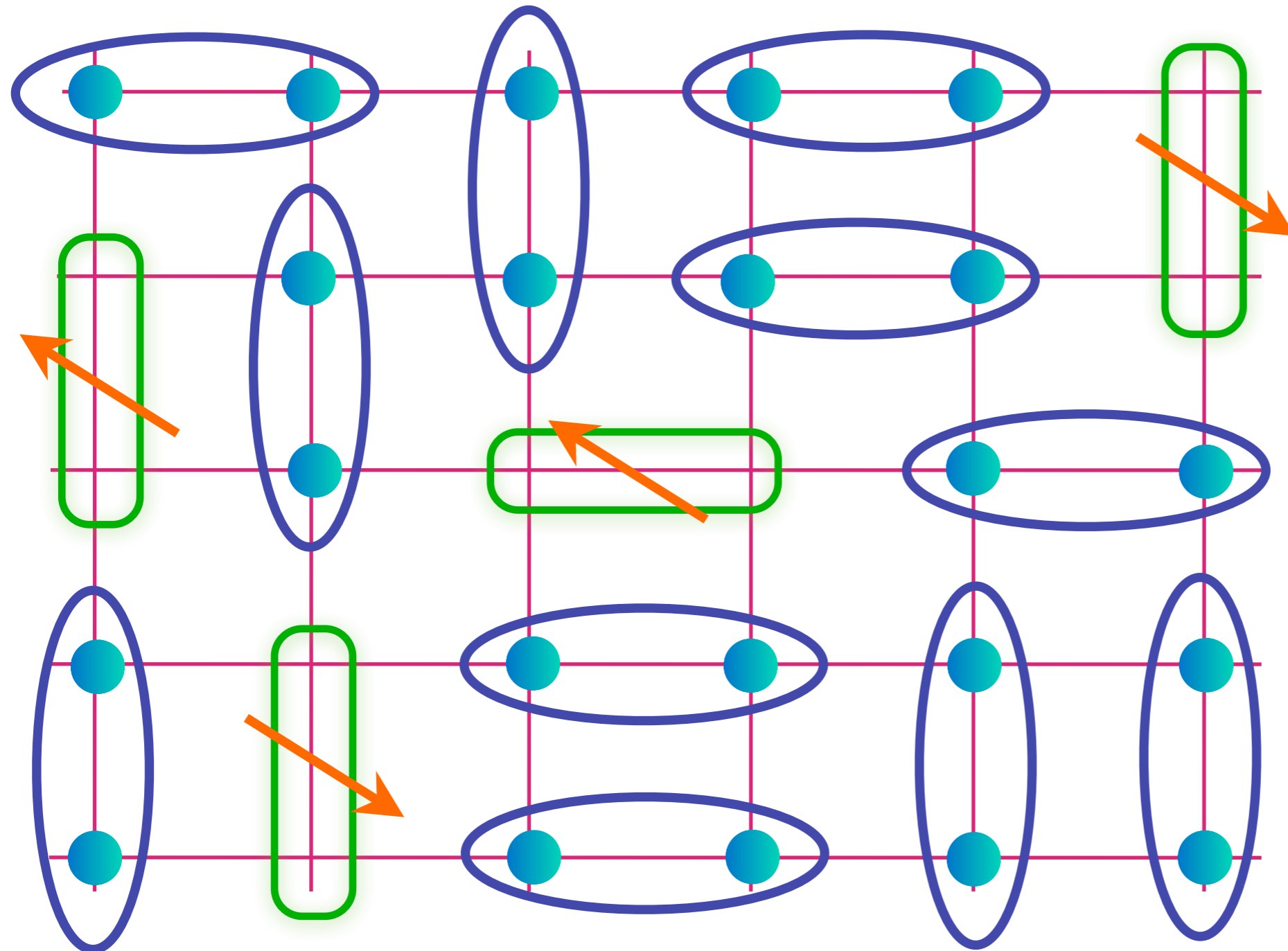
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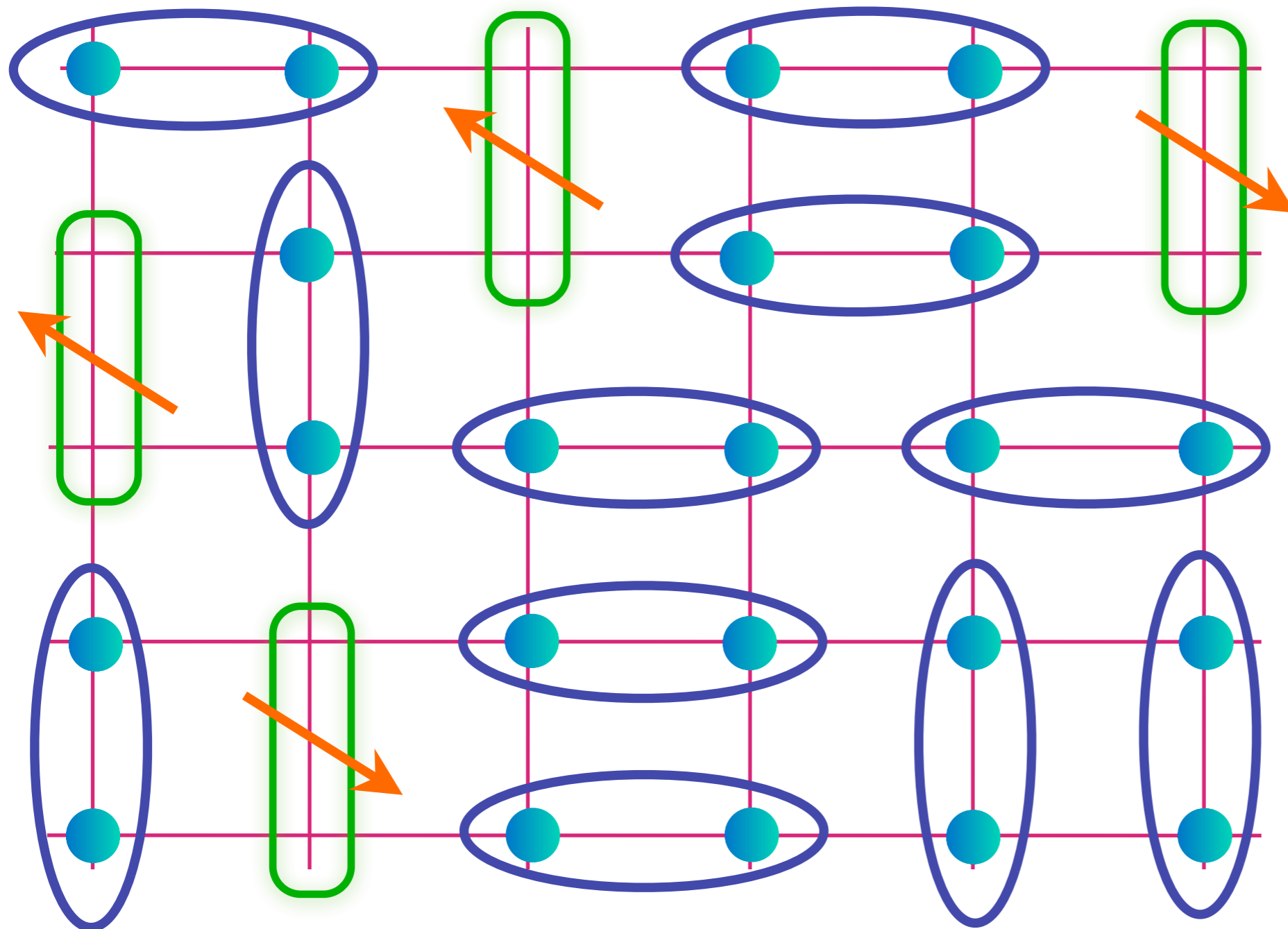
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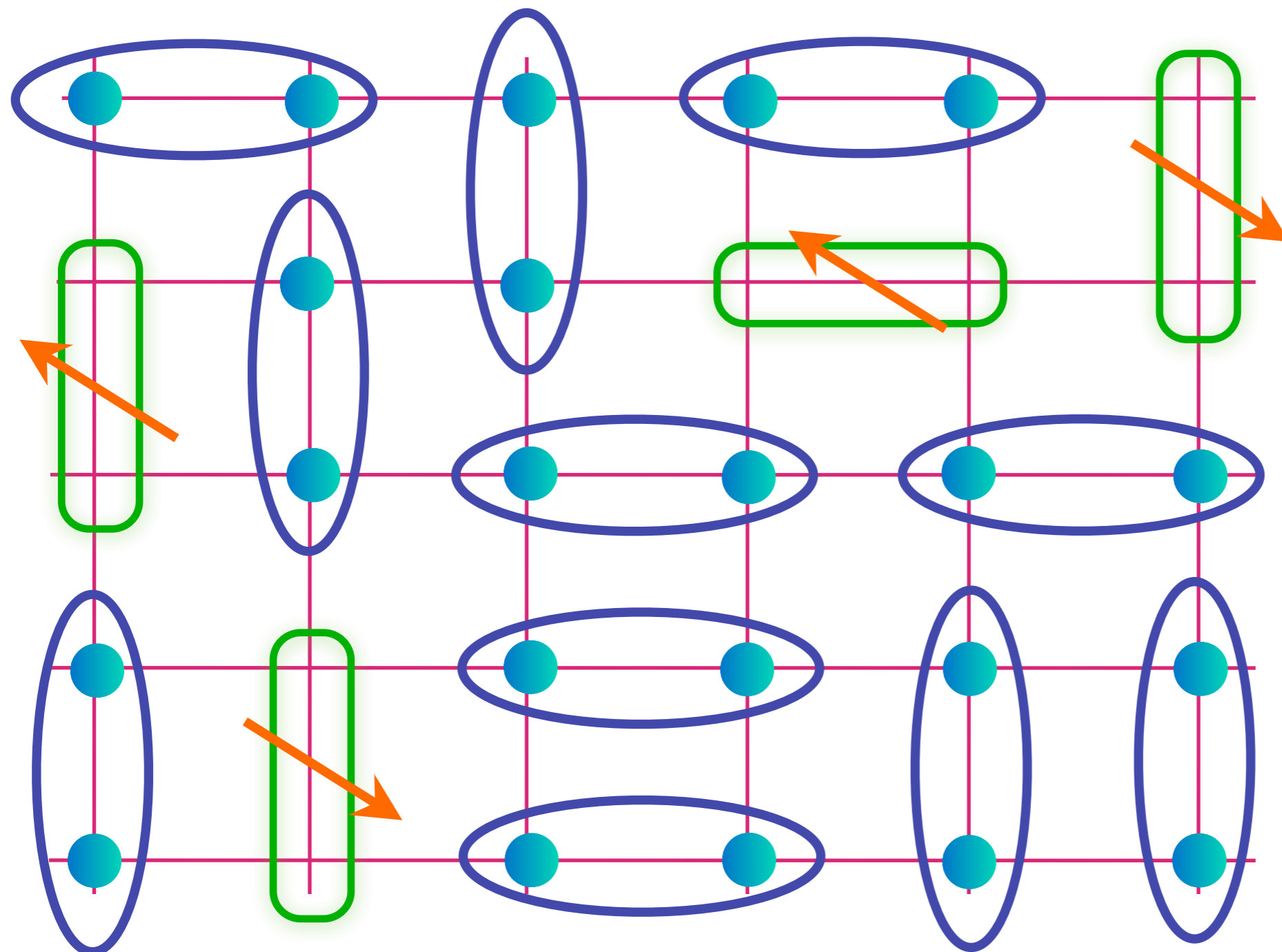
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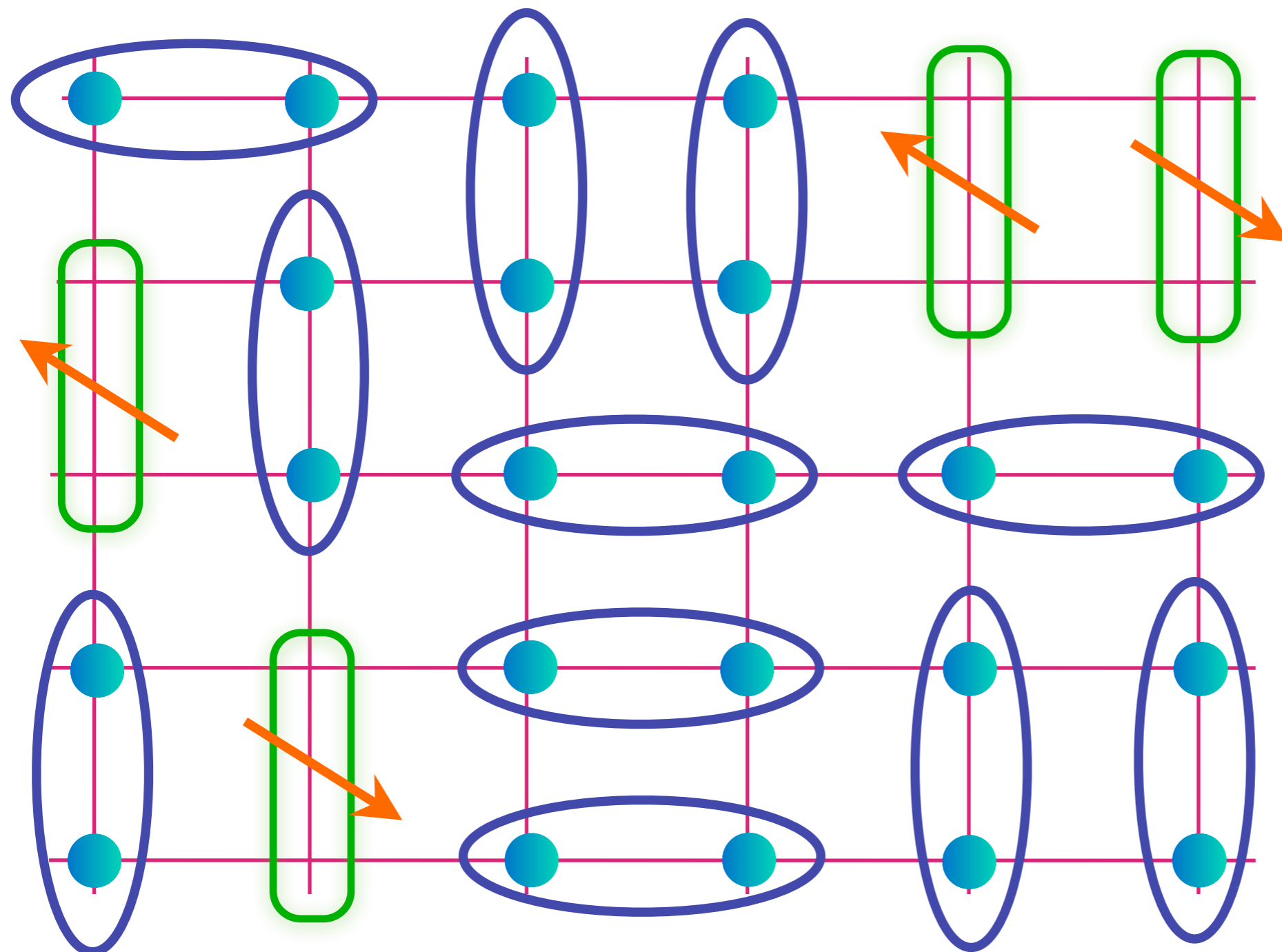
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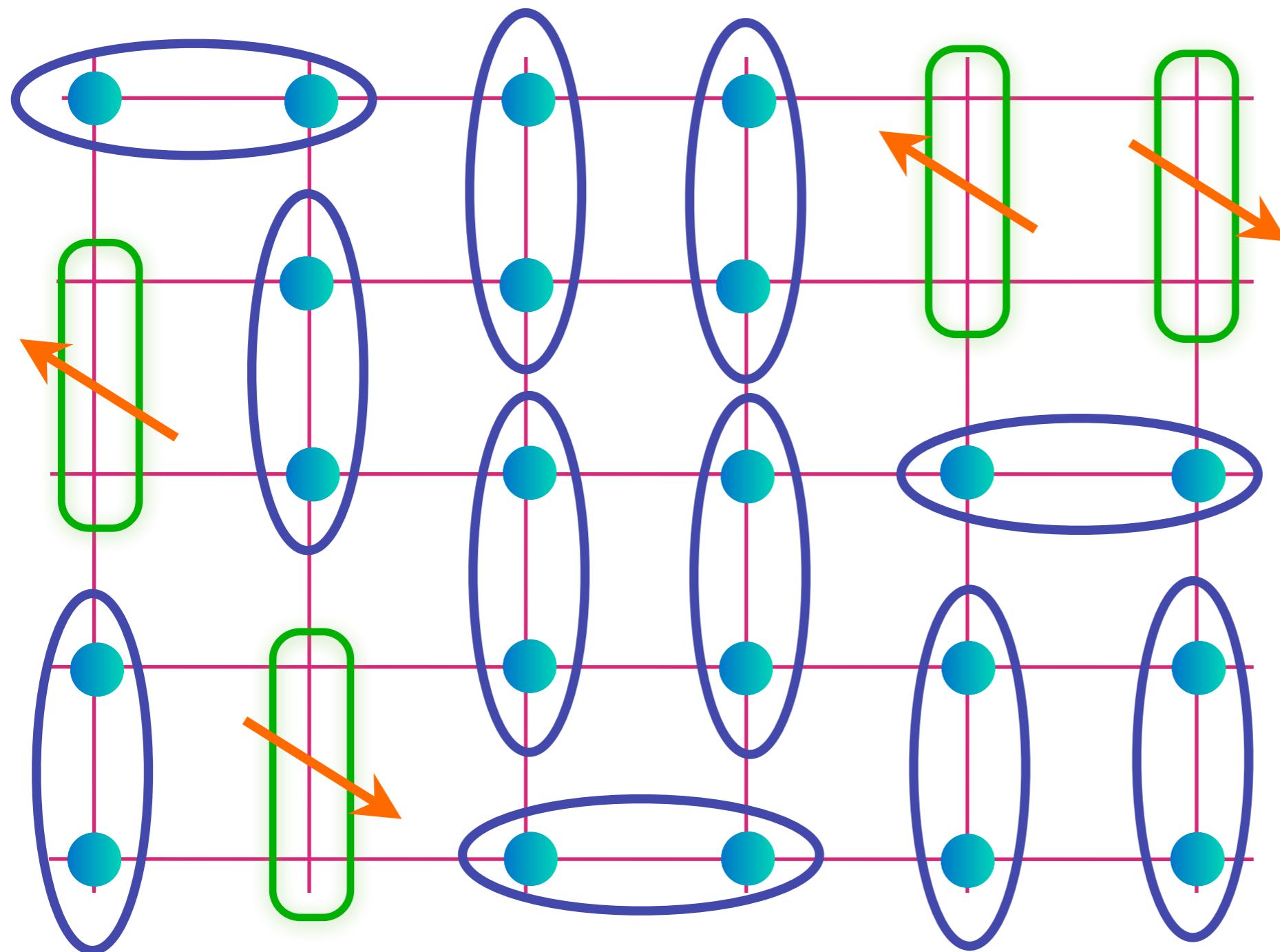
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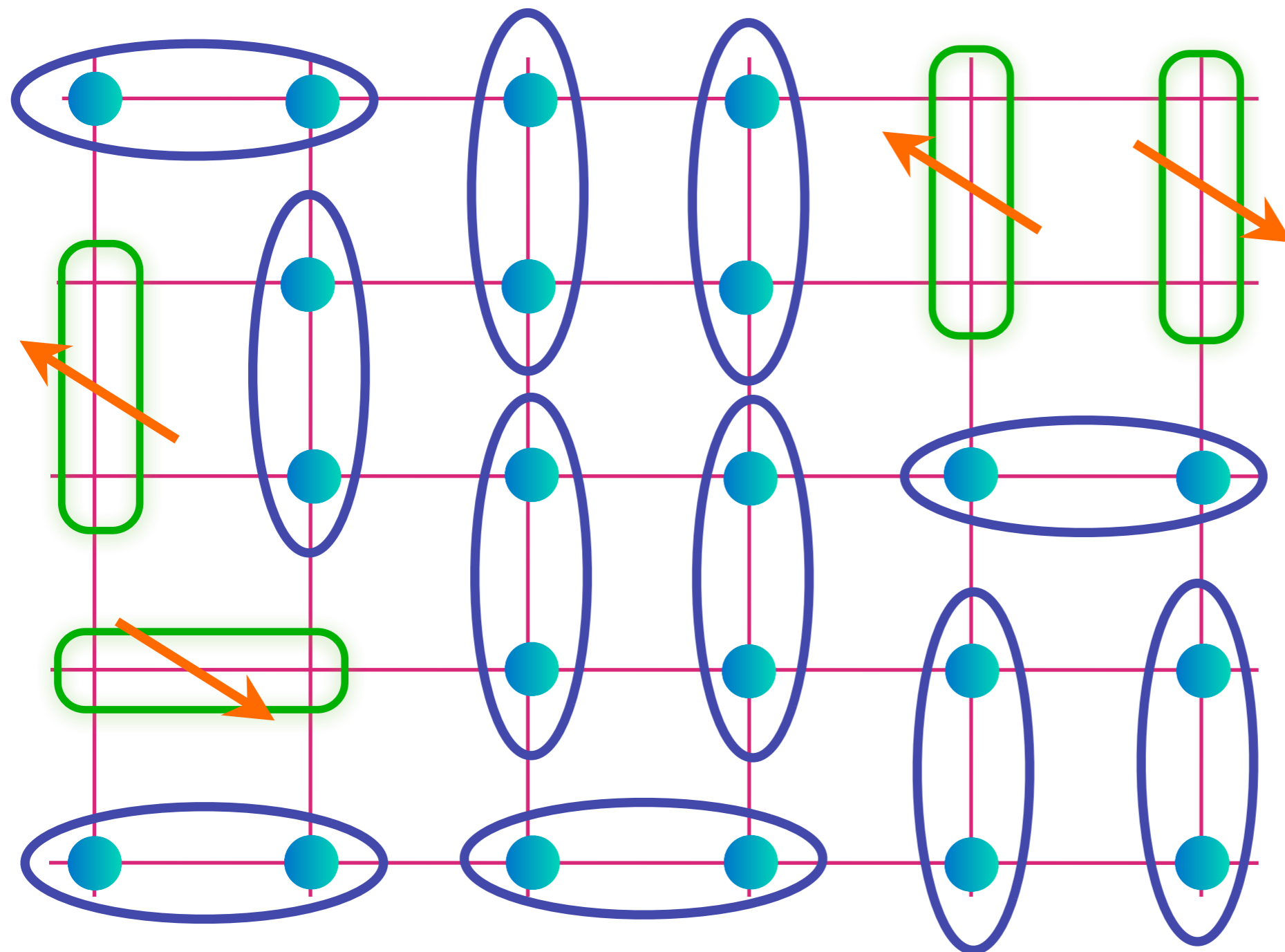
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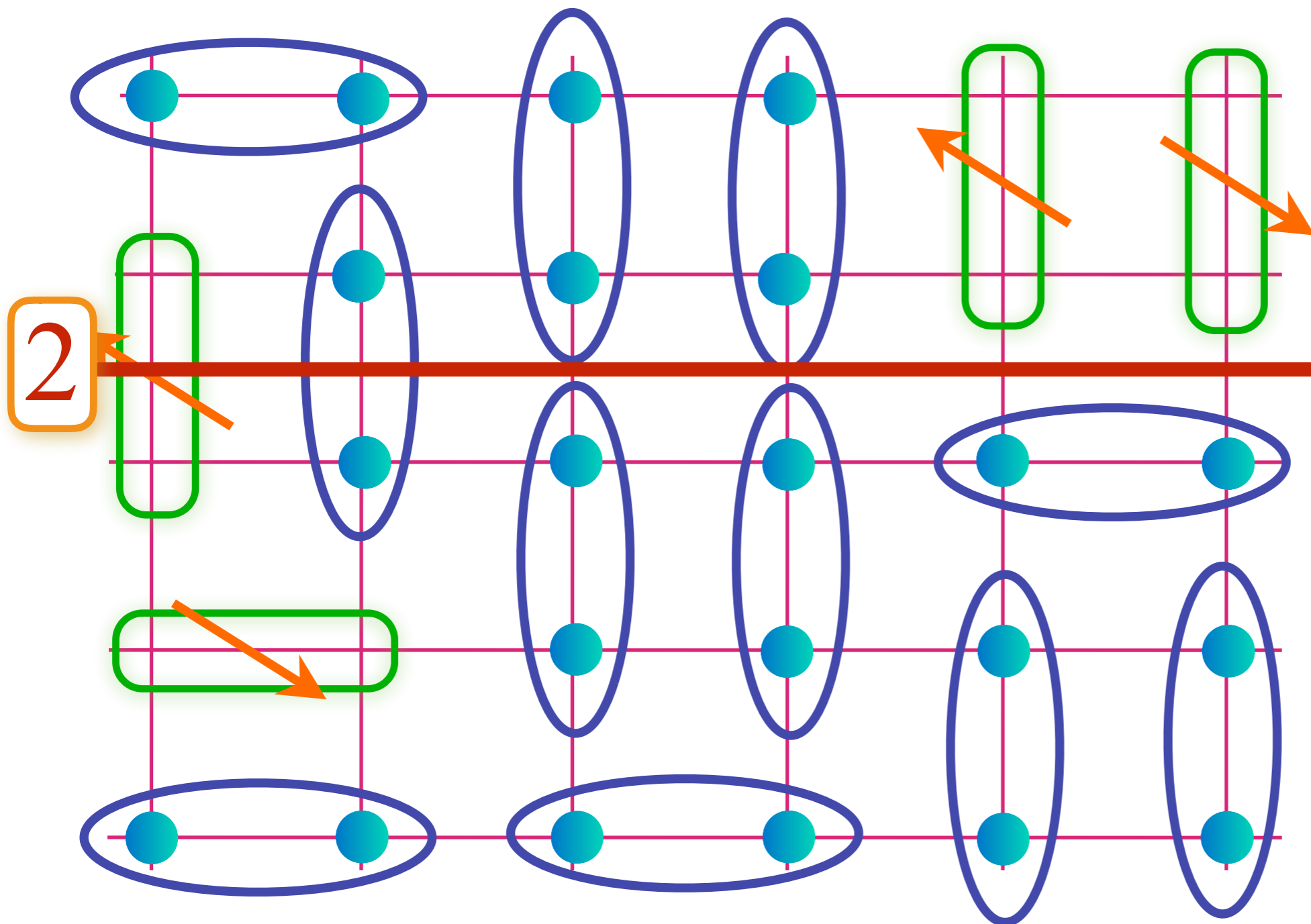


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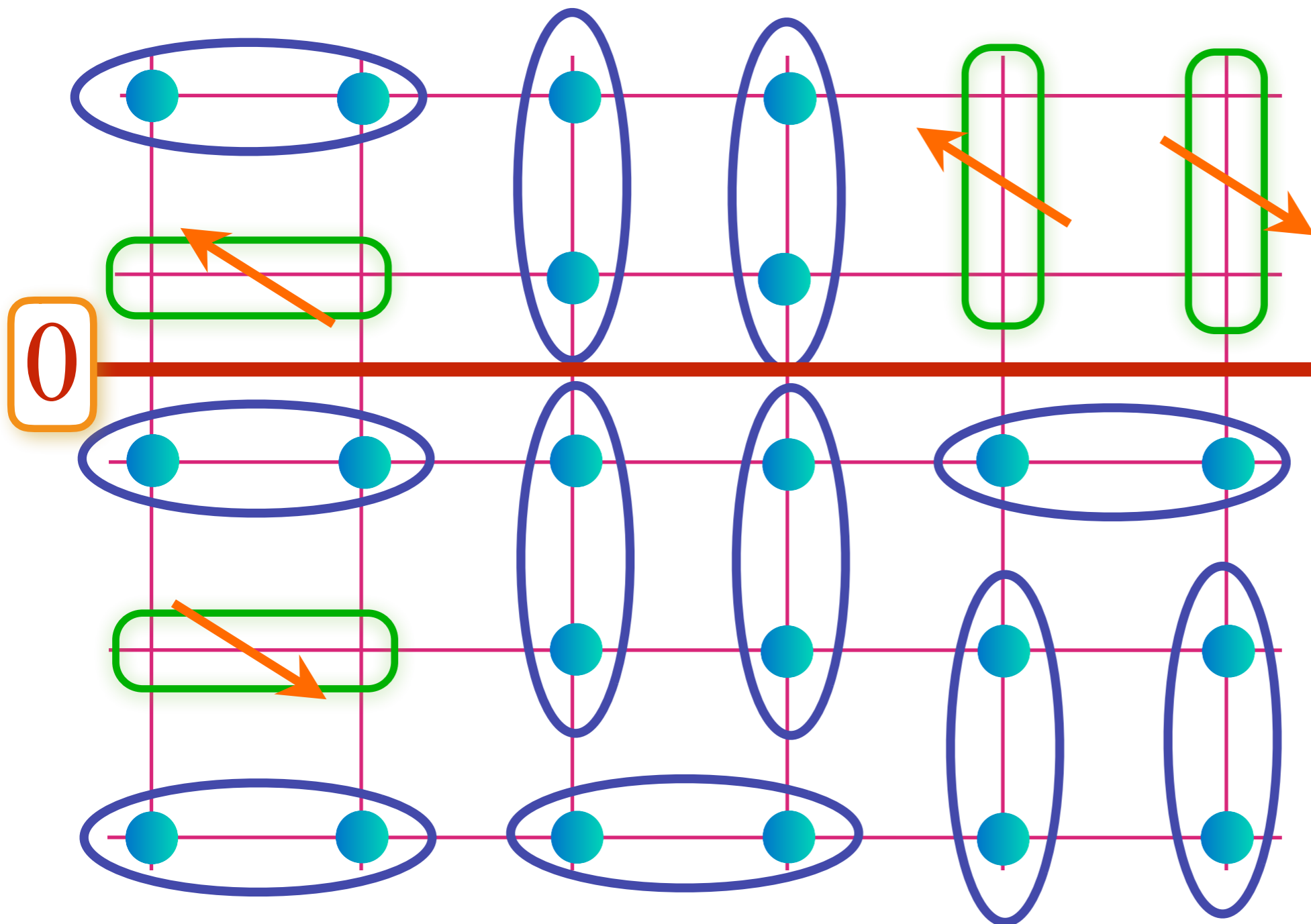


The FL* state also has topological order: the number of dimers crossing red line is conserved modulo 2

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FL*

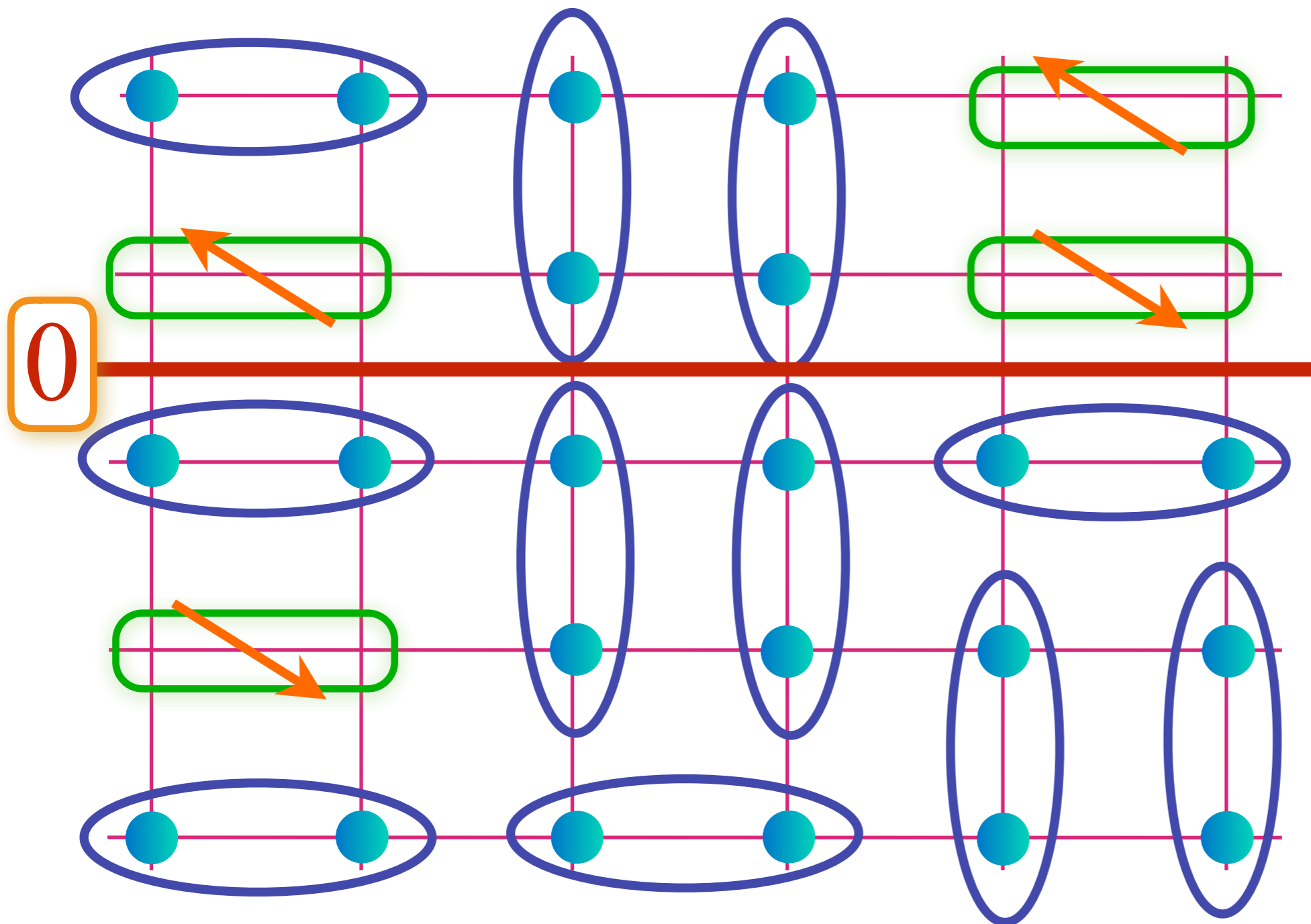


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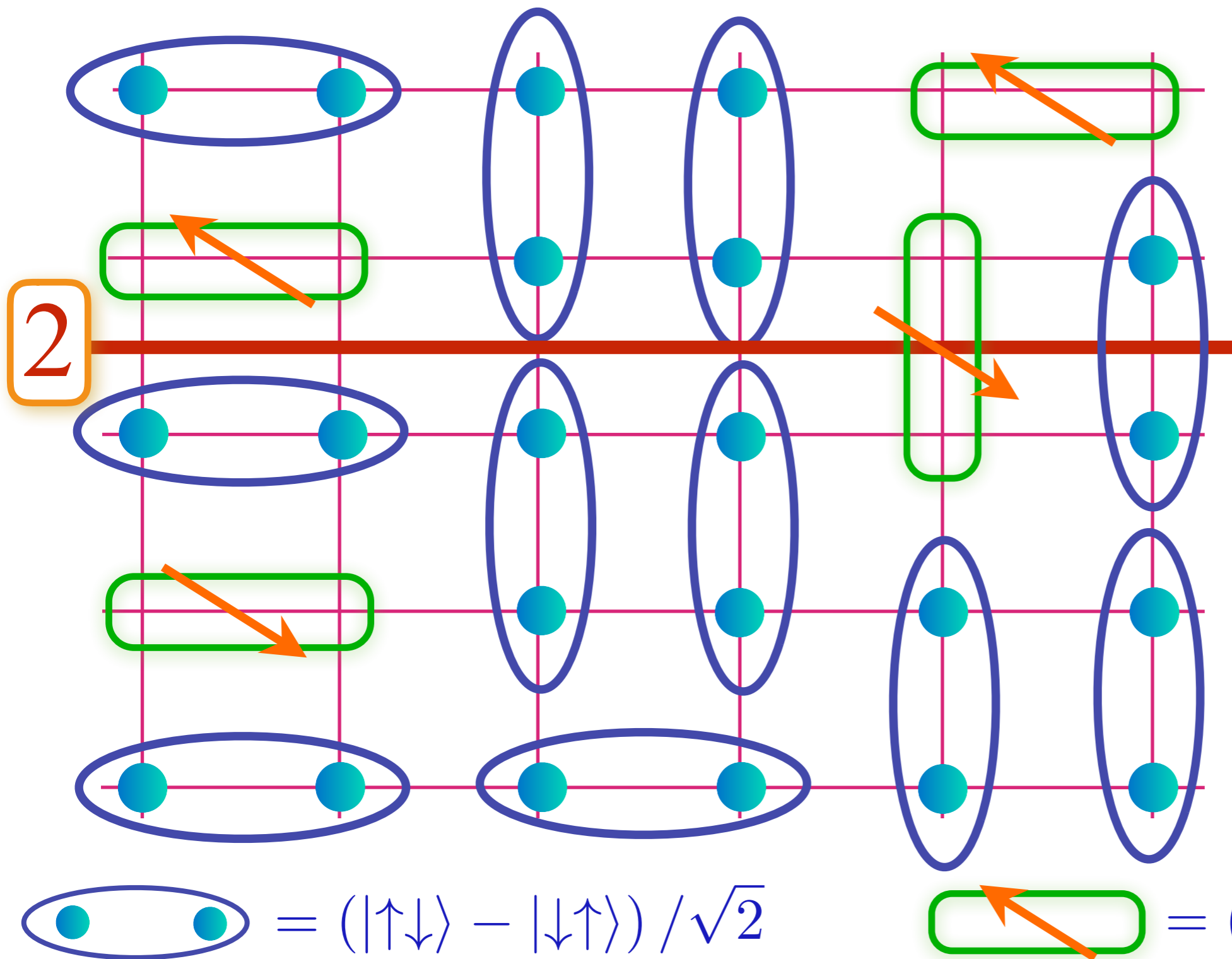


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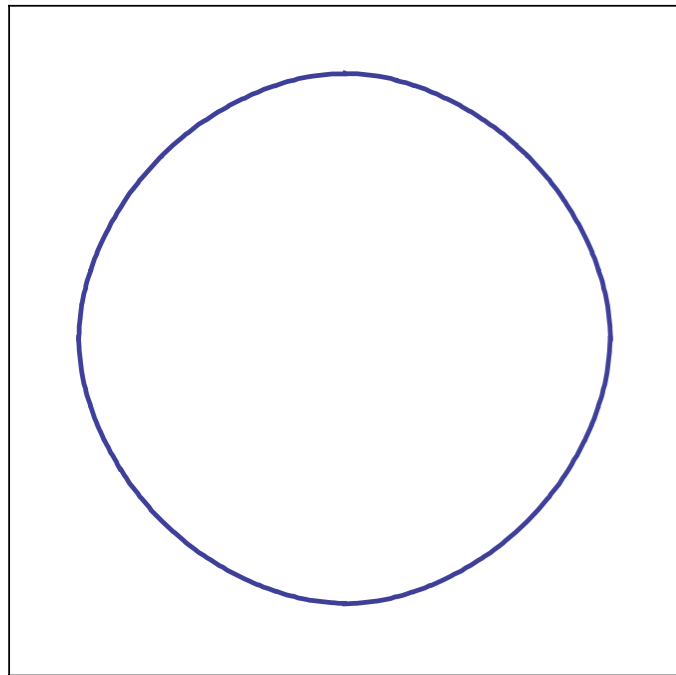
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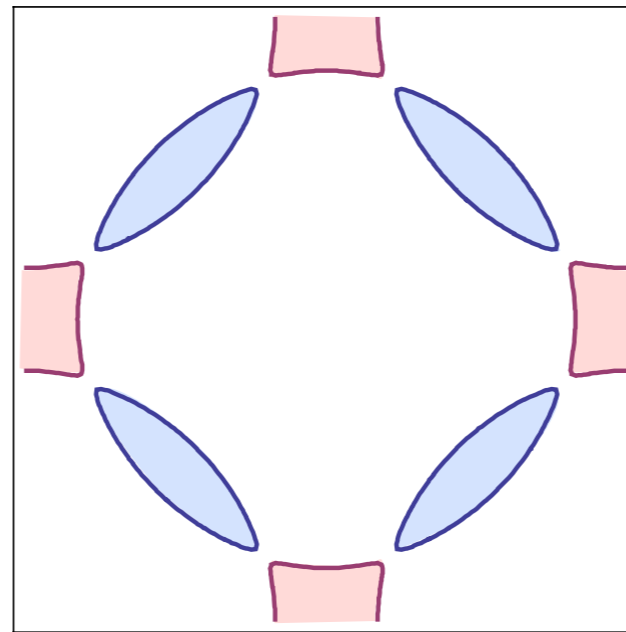
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Separating onset of antiferromagnetism and Fermi surface reconstruction



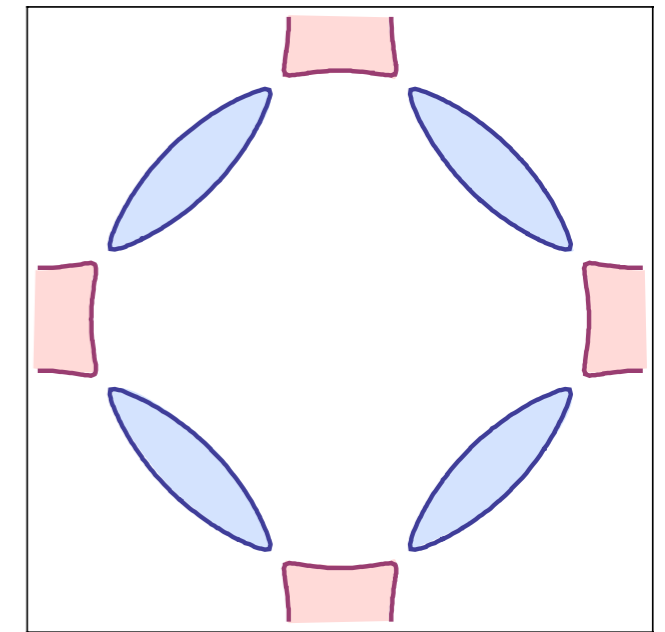
$$\langle \vec{\Phi} \rangle = 0$$

Metal with “large”
Fermi surface
of size $l+p$



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FL* Metal with
electron
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topological order
but no
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Metal with electron
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**Topological
quantum
phase transition**

p

1. $SU(2)$ gauge theory of fluctuating antiferromagnetism in metals

2. Electron-doped cuprates

Higgs phase with topological order:

Fermi surface reconstruction without translational symmetry breaking

Transforming to a rotating reference frame

We can (exactly) transform the Hubbard model to the “spin-fermion” model:

electrons $c_{i\alpha}$ on the square lattice with dispersion

$$\begin{aligned}\mathcal{H}_c &= - \sum_{i,\rho} t_\rho \left(c_{i,\alpha}^\dagger c_{i+\mathbf{v}_\rho,\alpha} + c_{i+\mathbf{v}_\rho,\alpha}^\dagger c_{i,\alpha} \right) \\ &\quad - \mu \sum_i c_{i,\alpha}^\dagger c_{i,\alpha} + \mathcal{H}_{\text{int}}\end{aligned}$$

are coupled to a magnetic moment order parameter $\Phi^a(i)$, $a = x, y, z$

$$\mathcal{H}_{\text{int}} = -\lambda \sum_i \Phi^a(i) c_{i,\alpha}^\dagger \sigma_{\alpha\beta}^a c_{i,\beta} + V(\Phi^a)$$

$$V(\Phi^a) = s\Phi^a\Phi^a + u\Phi^a\Phi^a\Phi^b\Phi^b$$

Transforming to a rotating reference frame

For fluctuating antiferromagnetism (spin density waves (SDW)), we transform to a **rotating reference frame** using the SU(2) rotation R_i

$$\begin{pmatrix} c_{i\uparrow} \\ c_{i\downarrow} \end{pmatrix} = R_i \begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix},$$

in terms of fermionic “chargons” ψ_s and a **Higgs field** $H^a(i)$

$$\sigma^a \Phi^a(i) = R_i \sigma^a H^a(i) R_i^\dagger$$

The Higgs field is the SDW order in the rotating reference frame.

Transforming to a rotating reference frame

The simplest effective Hamiltonian for the fermionic chargons is the same as that for the electrons, with the **SDW order replaced by the Higgs field**.

$$\mathcal{H}_\psi = - \sum_{i,\rho} t_\rho \left(\psi_{i,s}^\dagger \psi_{i+\mathbf{v}_\rho,s} + \psi_{i+\mathbf{v}_\rho,s}^\dagger \psi_{i,s} \right) - \mu \sum_i \psi_{i,s}^\dagger \psi_{i,s} + \mathcal{H}_{\text{int}}$$

$$\mathcal{H}_{\text{int}} = -\lambda \sum_i \eta_i H^a(i) \psi_{i,s}^\dagger \sigma_{ss'}^a \psi_{i,s'} + V(H^a)$$

IF we can transform to a rotating reference frame in which $H^a(i) =$ a constant independent of i and time, **THEN** the ψ fermions in the presence of (fluctuating) SDW SRO will inherit the small Fermi surfaces of the electrons in the presence of SDW LRO.

Gauge theory of fluctuating antiferromagnetism

Field	Symbol	Statistics	$SU(2)_{\text{gauge}}$	$SU(2)_{\text{spin}}$	$U(1)_{\text{e.m.charge}}$
Electron	c	fermion	1	2	-1
AF order	Φ	boson	1	3	0
Chargon	ψ	fermion	2	1	-1
Spinon	R or z	boson	$\bar{2}$	2	0
Higgs	H	boson	3	1	0

Note that the transformation to a rotating reference frame is ambiguous up to a **$SU(2)$ gauge transformation**, V_i

$$\begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix} \rightarrow V_i \begin{pmatrix} \psi_{i,+} \\ \psi_{i,-} \end{pmatrix}$$

$$R_i \rightarrow R_i V_i^\dagger$$

$$\sigma^a H^a(i) \rightarrow V_i \sigma^b H^b(i) V_i^\dagger.$$

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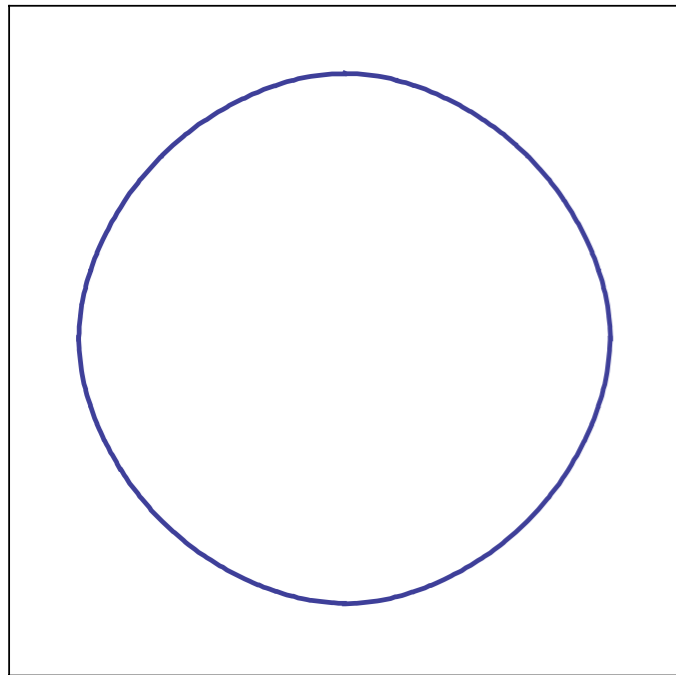
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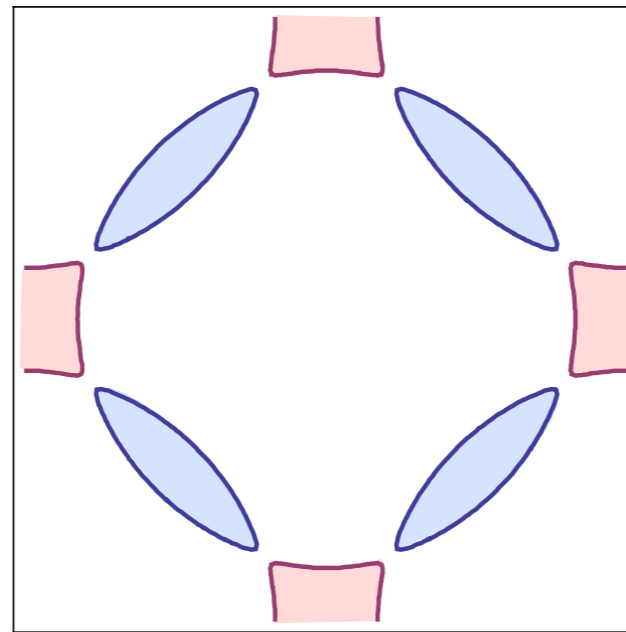
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Separating onset of antiferromagnetism and Fermi surface reconstruction



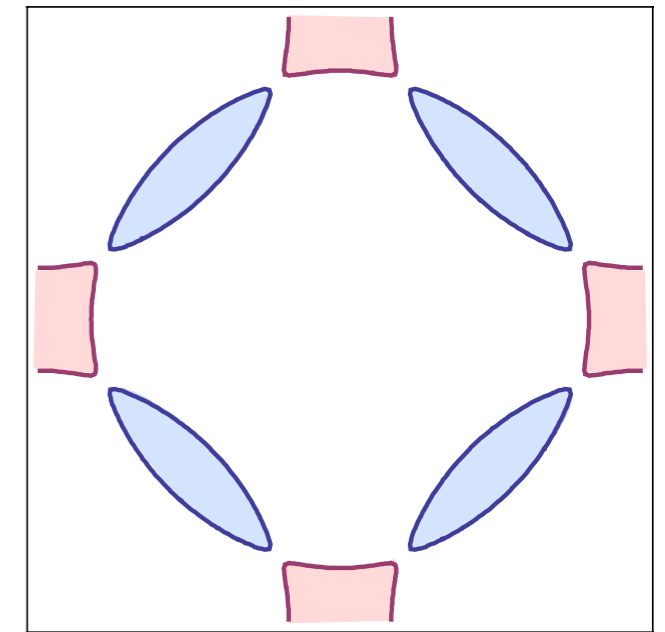
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Metal with “large”
Fermi surface
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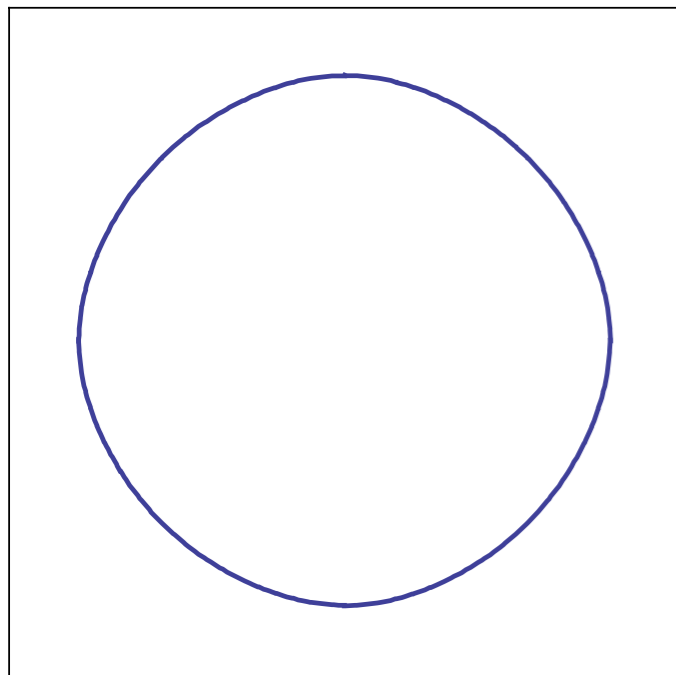
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**Topological
quantum
phase transition**

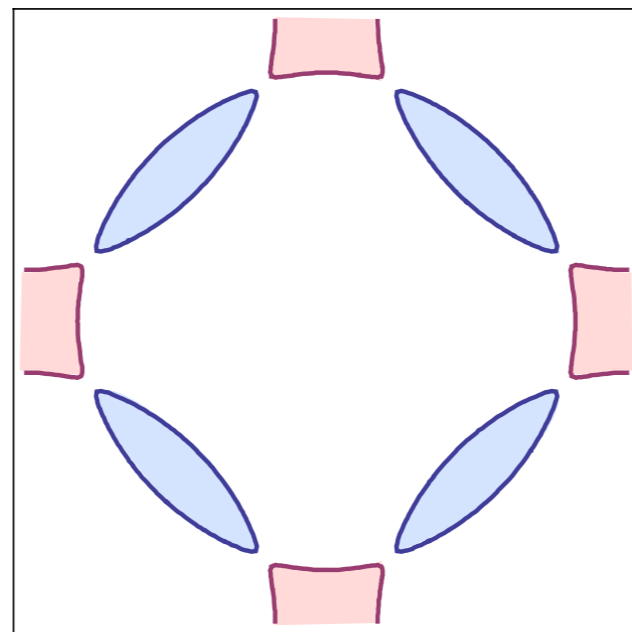
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SU(2) gauge theory of fluctuating antiferromagnetism



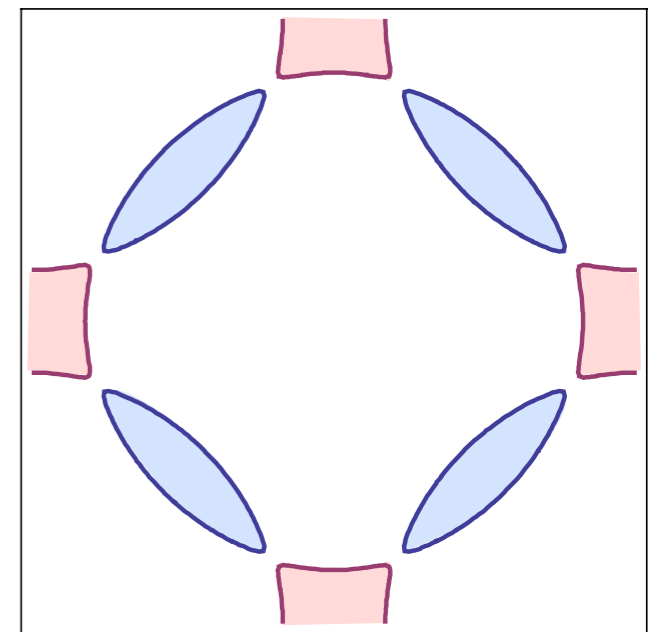
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Confinement.
Metal with “large”
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$$\langle H \rangle \neq 0; \langle R \rangle = 0$$

FL* Higgs phase
with electron
and/or hole pockets of
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$$\langle H \rangle \neq 0; \langle R \rangle \neq 0$$

Metal with electron
and hole pockets
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**Topological
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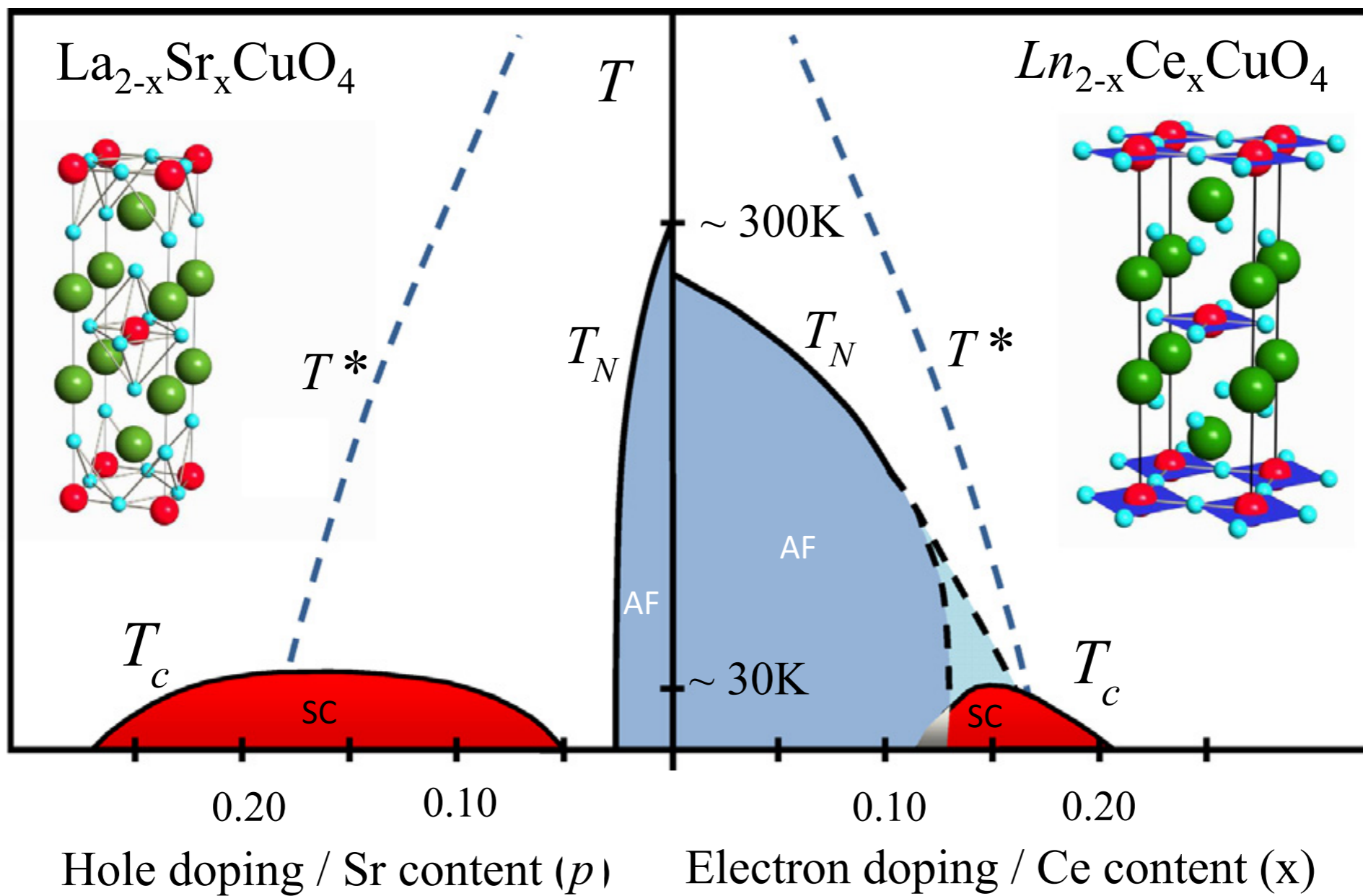
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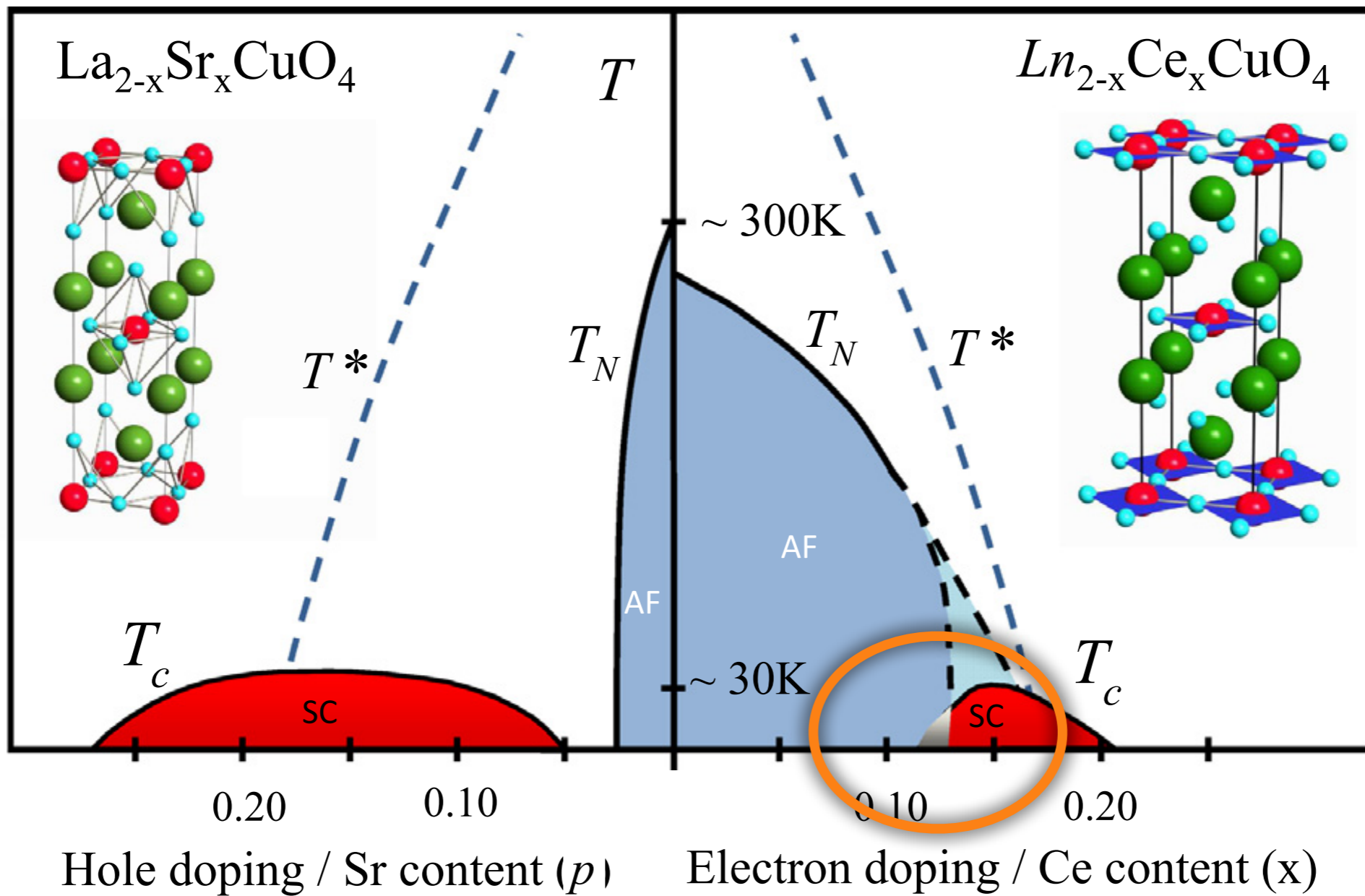
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Higgs phase with topological order:

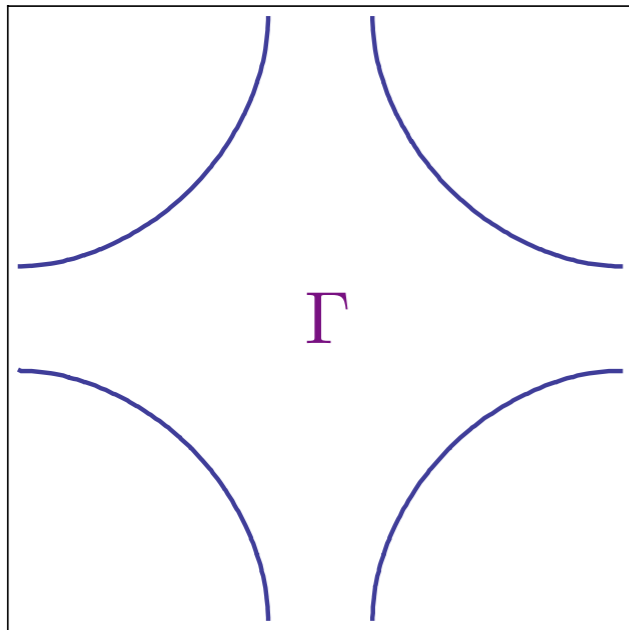
Fermi surface reconstruction without translational symmetry breaking





Square lattice Hubbard model with hole doping

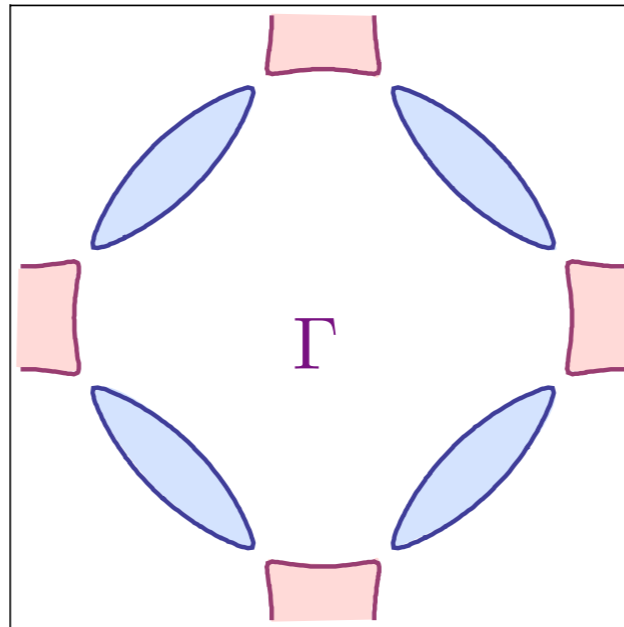
$$\langle \vec{\Phi} \rangle = 0$$



Metal with
“large” Fermi
surface

$$\langle \vec{\Phi} \rangle \neq 0$$

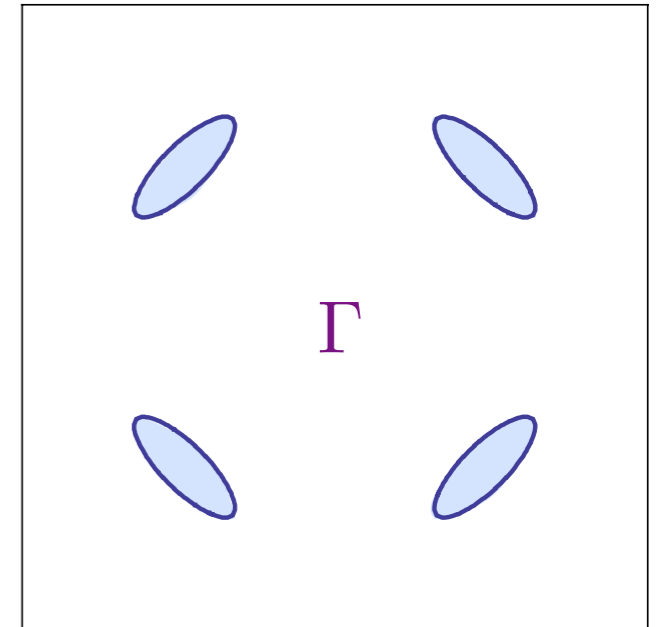
and small



Metal with
electron and
hole pockets

$$\langle \vec{\Phi} \rangle \neq 0$$

and large



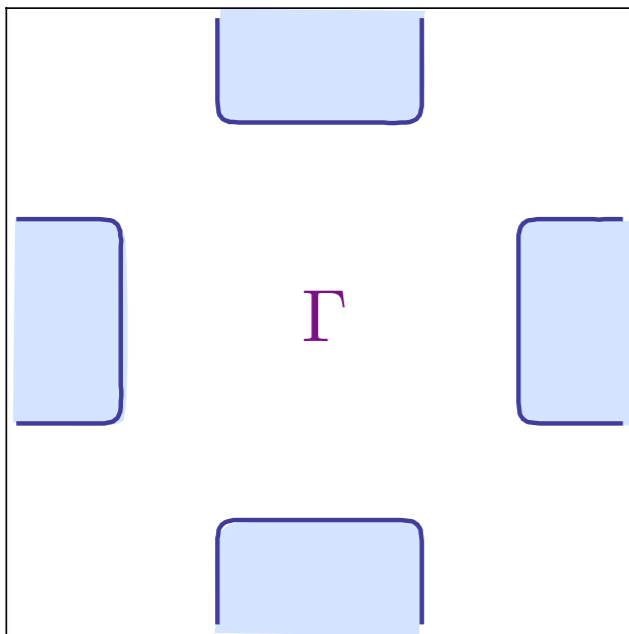
Metal with
hole pockets

p

Square lattice Hubbard model with electron doping

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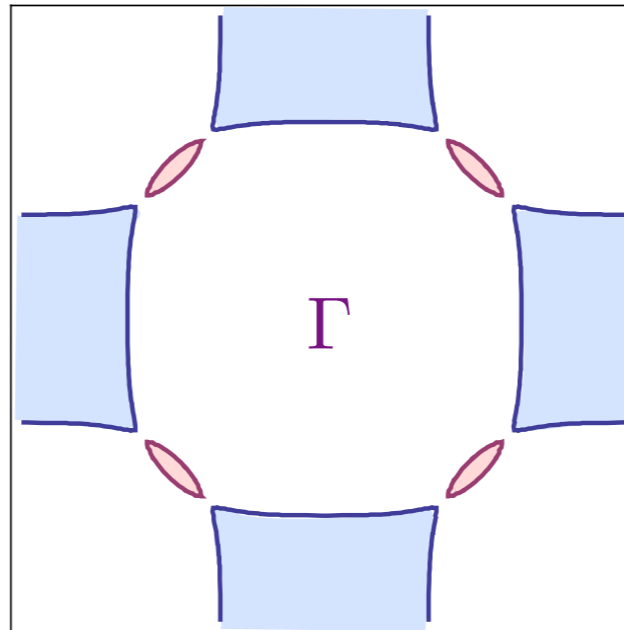
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Metal with
electron pockets

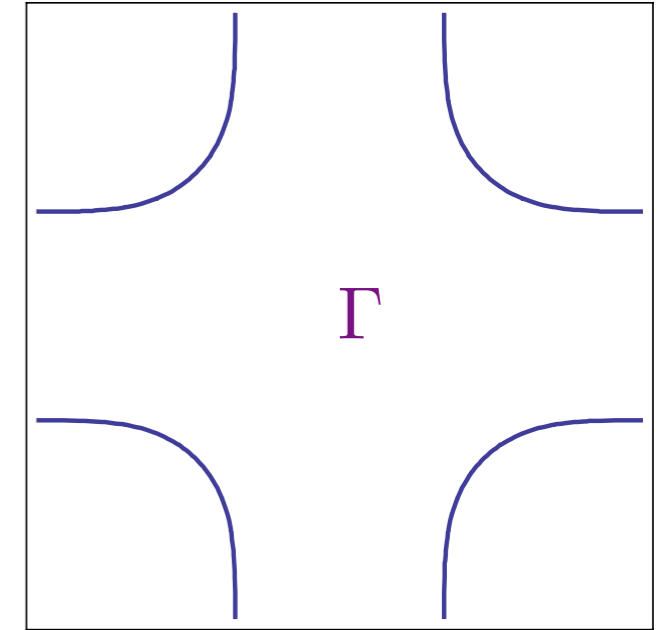
$$\langle \vec{\Phi} \rangle \neq 0$$

and small



Metal with
electron and
hole pockets

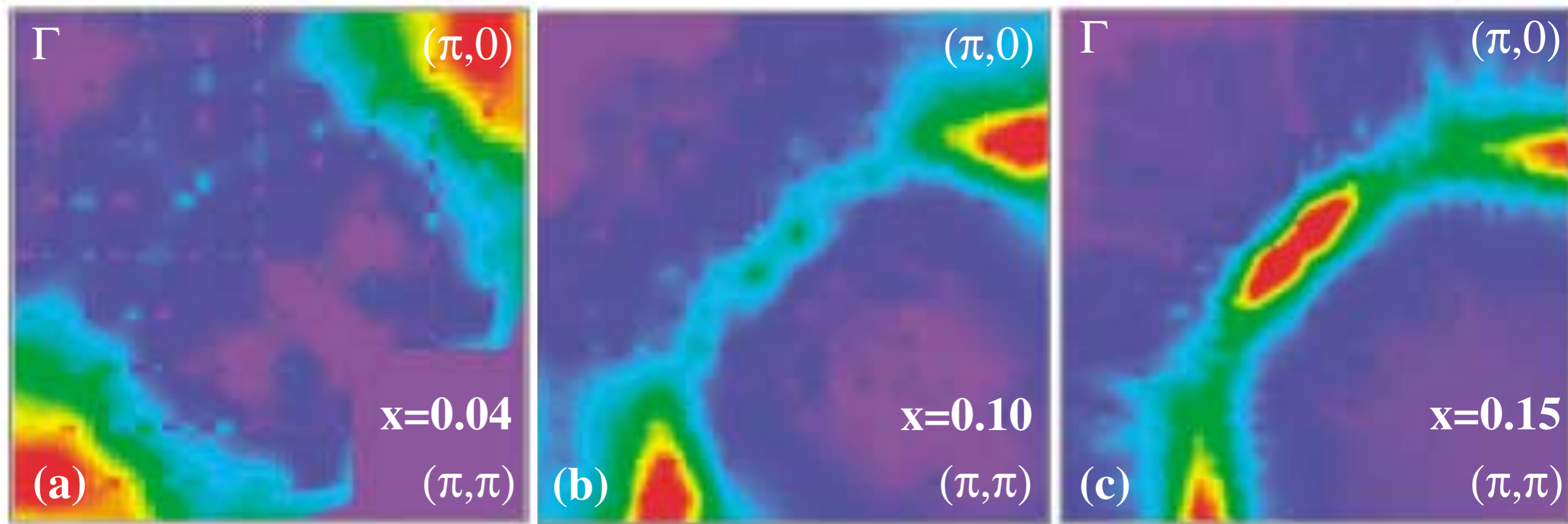
$$\langle \vec{\Phi} \rangle = 0$$



Metal with
“large” Fermi
surface

x

Electron doped cuprates



Doping Dependence of an n-Type Cuprate Superconductor Investigated by Angle-Resolved Photoemission Spectroscopy

N. P. Armitage, F. Ronning, D. H. Lu, C. Kim, A. Damascelli, K. M. Shen, D. L. Feng, H. Eisaki, Z.-X. Shen, P. K. Mang, N. Kaneko, M. Greven, Y. Onose, Y. Taguchi, and Y. Tokura
Phys. Rev. Lett. **88**, 257001 (2002)

PNAS 116, 3449 (2019)

Fermi surface reconstruction in electron-doped cuprates without antiferromagnetic long-range order

Junfeng He, C. R. Rotundu, M. S. Scheurer, Y. He, M. Hashimoto, K. Xu, Y. Wang, E. W. Huang, T. Jia, S.-D. Chen, B. Moritz, D.-H. Lu, Y. S. Lee, T. P. Devereaux and Z.-X. Shen

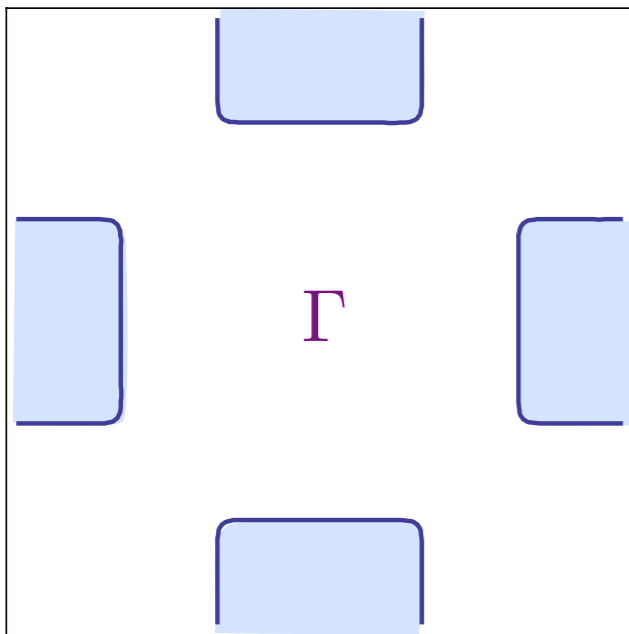
- New photoemission measurements at zero magnetic field show Fermi surfaces in quantitative agreement with quantum oscillation measurements.
- The energy gap between the electron and hole pockets collapses near $x = 0.17$ like an order parameter.
- “The totality of the data points to a mysterious order between $x = 0.14$ and $x = 0.17$, whose appearance favors the FS reconstruction and disappearance defines the quantum critical doping. A recent topological proposal provides an ansatz for its origin.”



Square lattice Hubbard model with electron doping

$$\langle \vec{\Phi} \rangle \neq 0$$

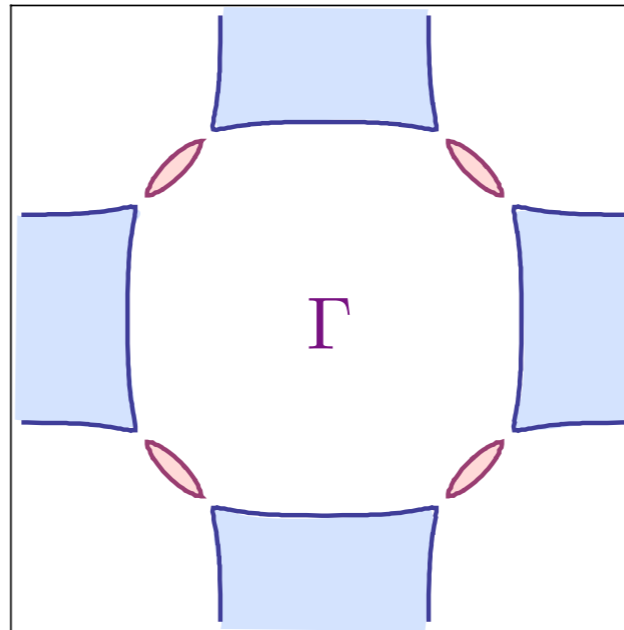
and large



Metal with
electron pockets

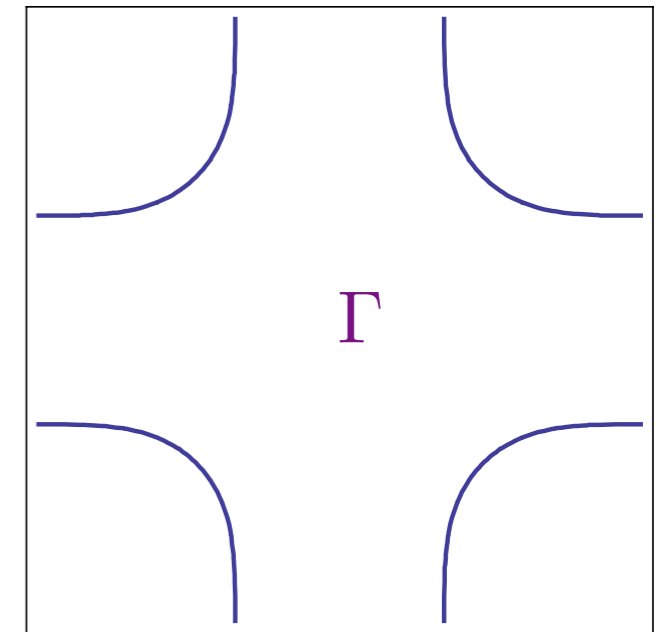
$$\langle \vec{\Phi} \rangle \neq 0$$

and small



Metal with
electron and
hole pockets

$$\langle \vec{\Phi} \rangle = 0$$



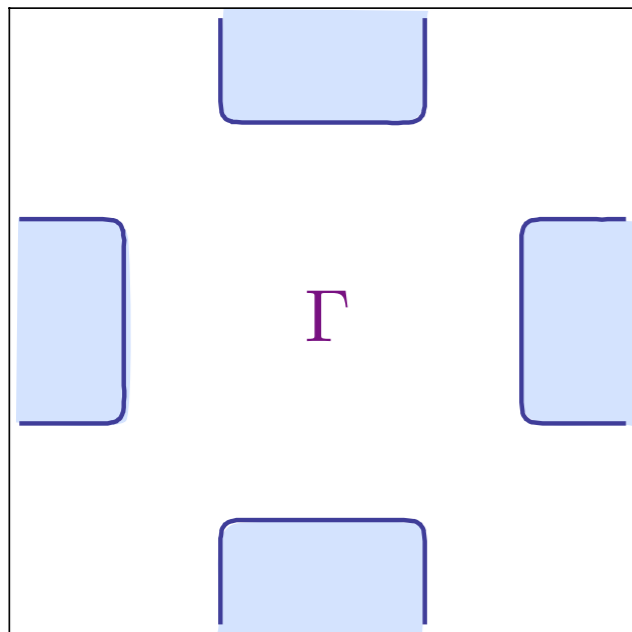
Metal with
“large” Fermi
surface

x

Square lattice Hubbard model with electron doping

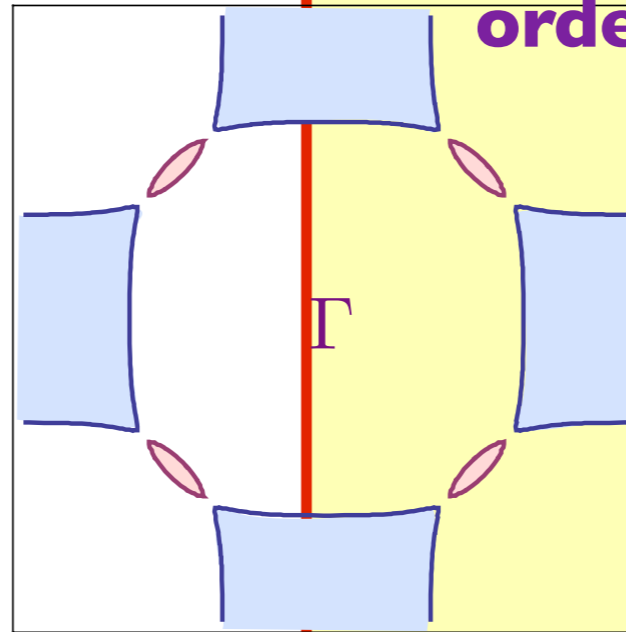
$$\langle \vec{\Phi} \rangle \neq 0$$

and large



Metal with
electron pockets

$$\langle \vec{\Phi} \rangle \neq 0$$



Metal with
electron and
hole pockets

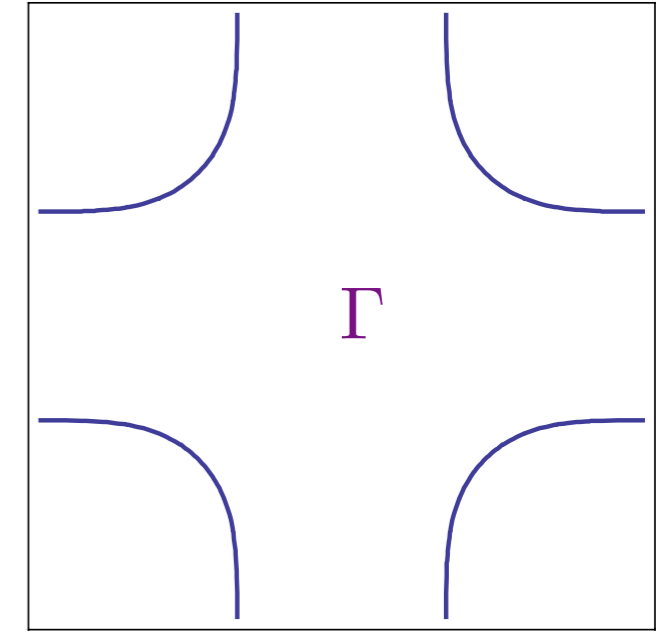
$$x = 0.14$$

$$\langle \vec{\Phi} \rangle = 0$$

**Higgs phase
with
Topological
order?**

$$x = 0.175$$

$$\langle \vec{\Phi} \rangle = 0$$



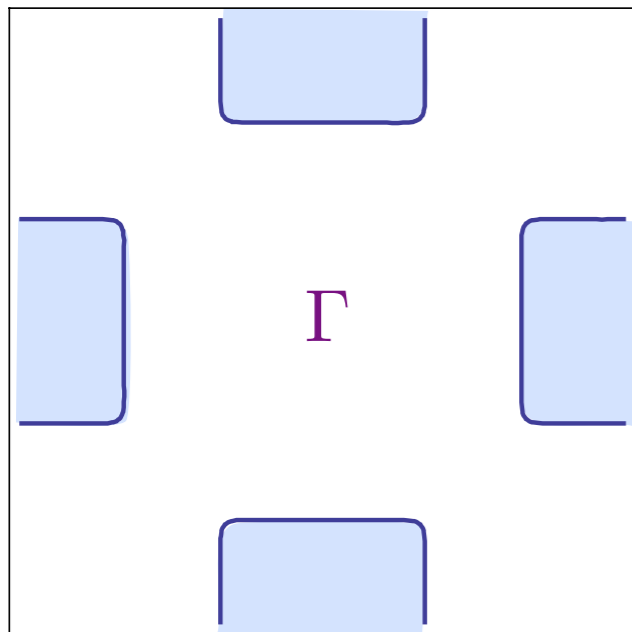
Metal with
"large" Fermi
surface

x

Square lattice Hubbard model with electron doping

$$\langle \vec{\Phi} \rangle \neq 0$$

and large



Metal with
electron pockets

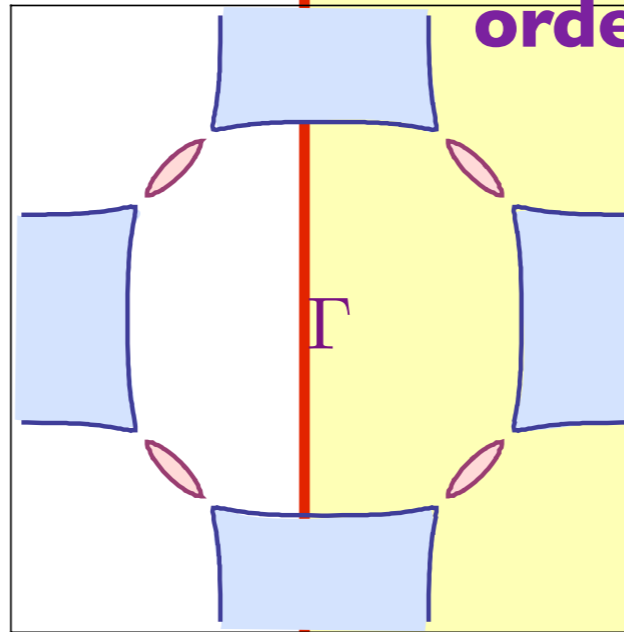
$$\langle H \rangle \neq 0$$

$$\langle R \rangle \neq 0$$

$$\langle H \rangle \neq 0$$

$$\langle R \rangle = 0$$

**Higgs phase
with
Topological
order?**



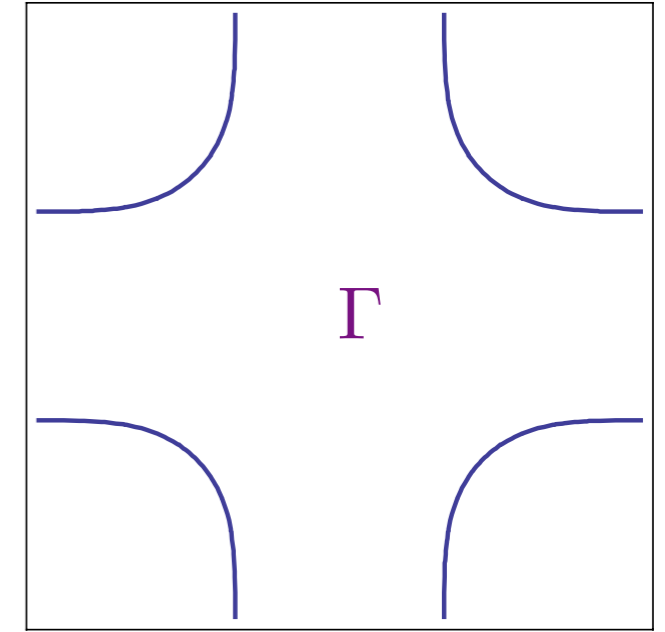
$$x = 0.14$$

$$x = 0.175$$

Metal with
electron and
hole pockets

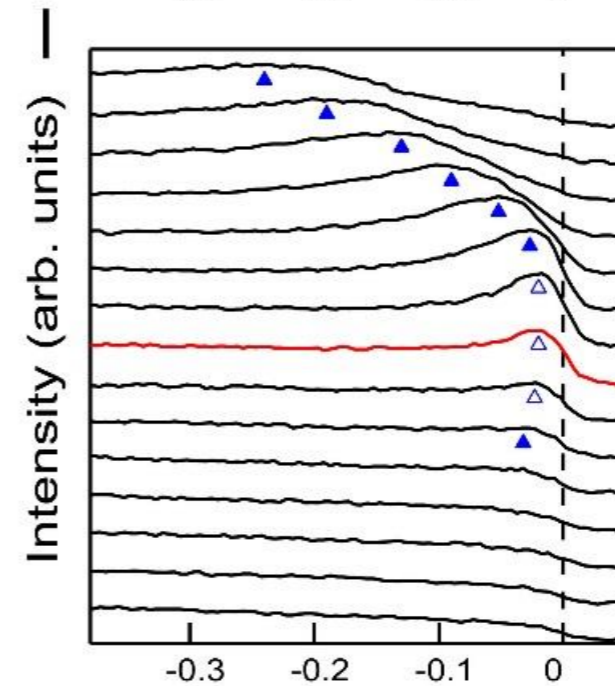
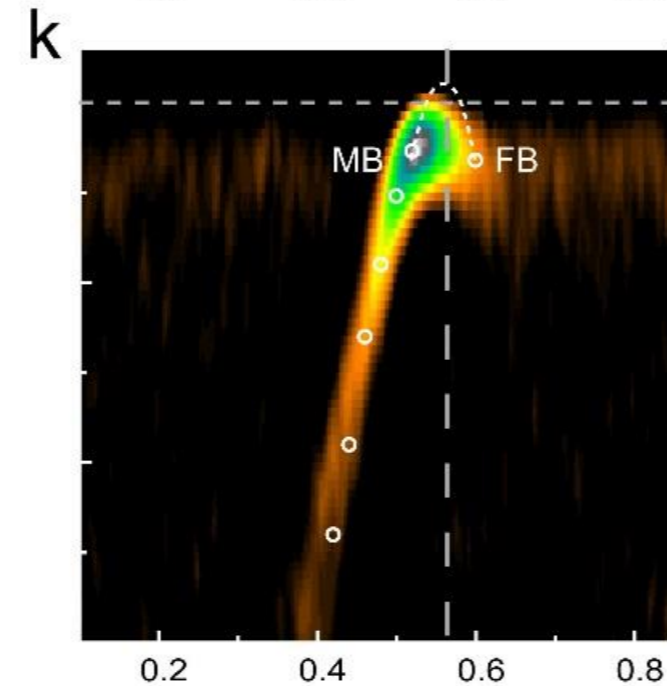
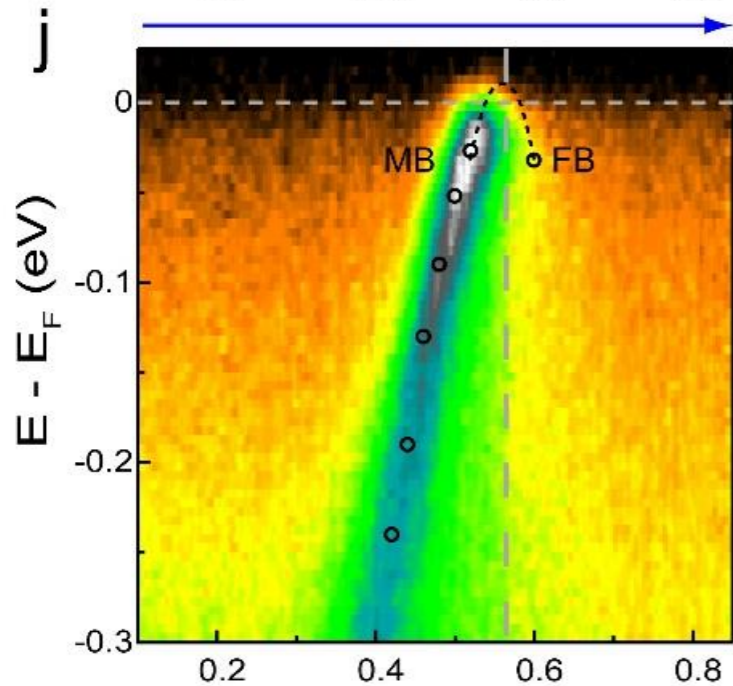
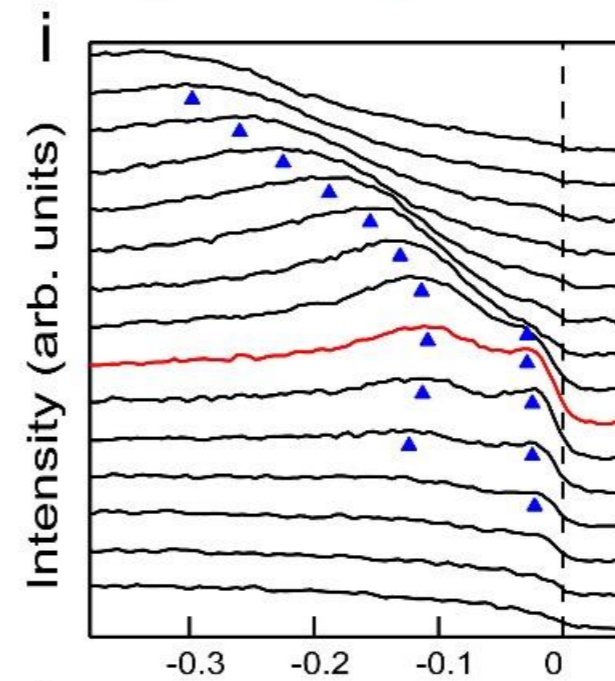
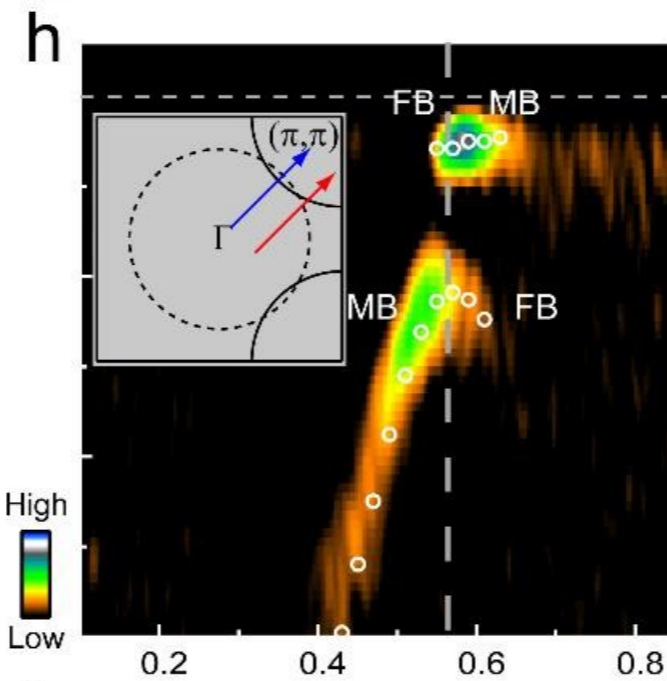
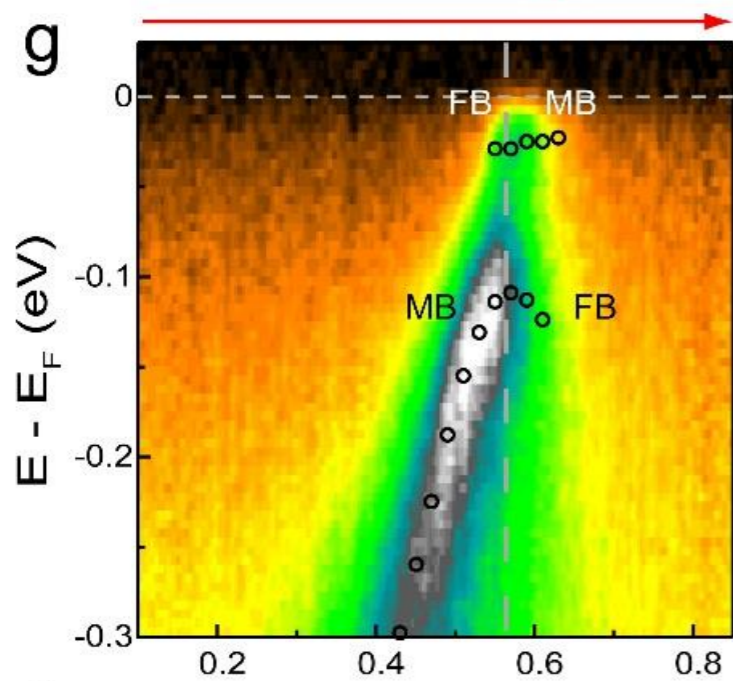
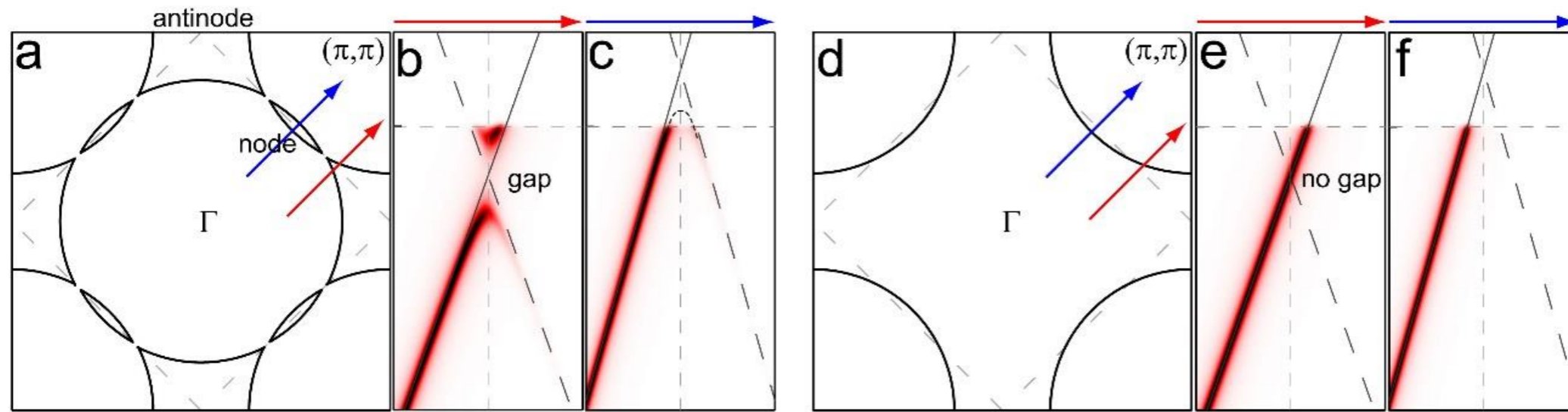
$$\langle \vec{\Phi} \rangle = 0$$

Confining phase



Metal with
“large” Fermi
surface

x



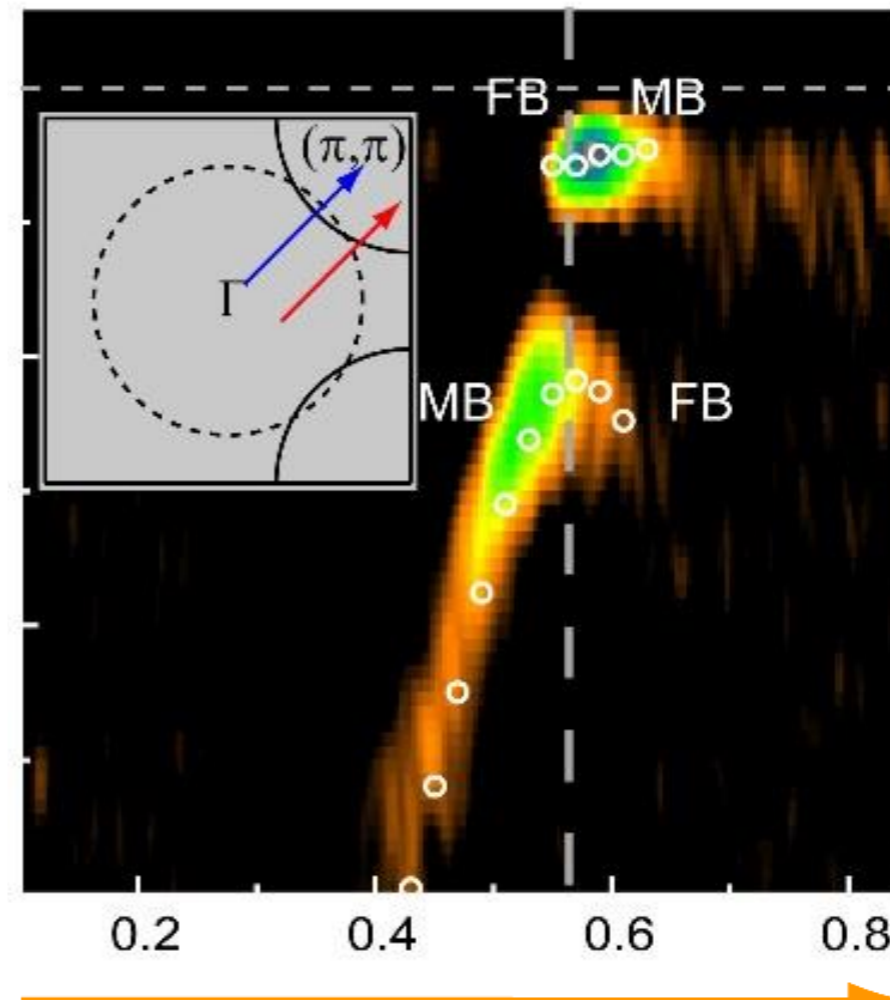
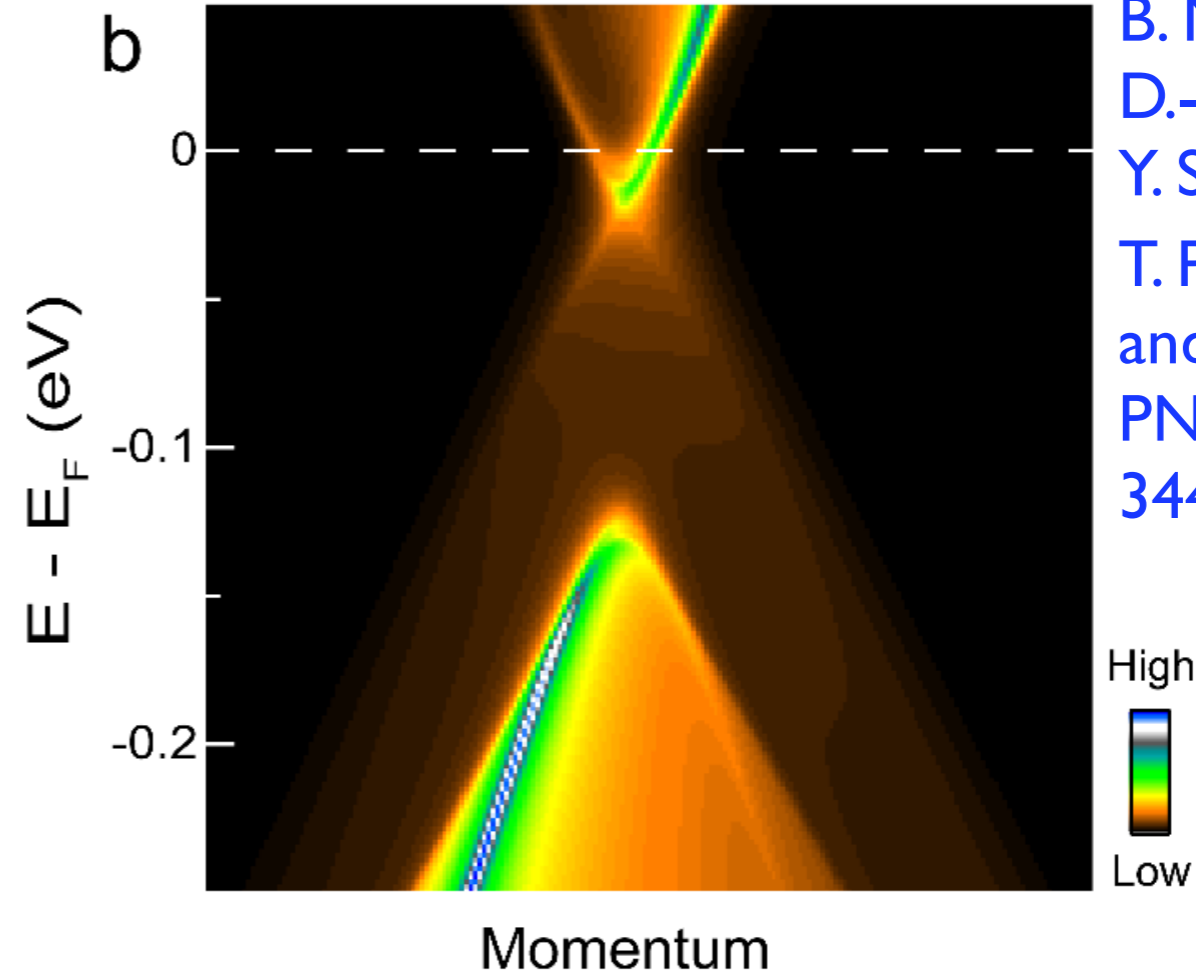
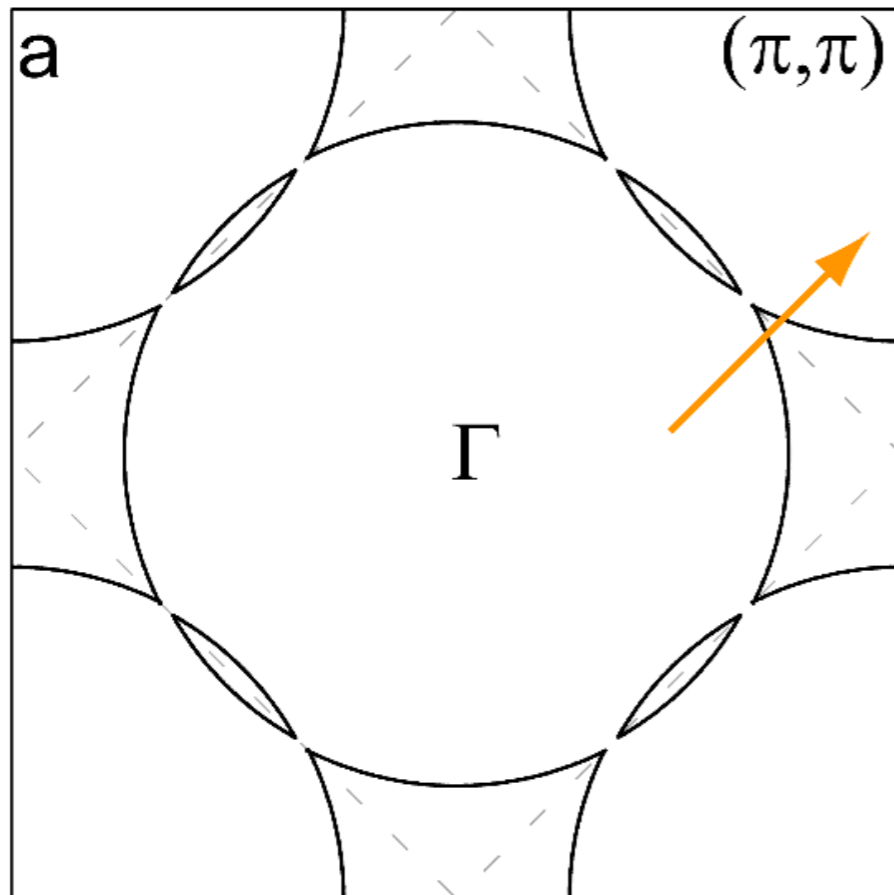
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S. Sachdev, Topological order and Fermi surface reconstruction,
Reports on Progress in Physics **82**, 014001 (2019)

M. S. Scheurer, S. Chatterjee, Wei Wu,
M. Ferrero, A. Georges, and S. Sachdev, Proceedings of
the National Academy of Sciences **115**, E3665 (2018)

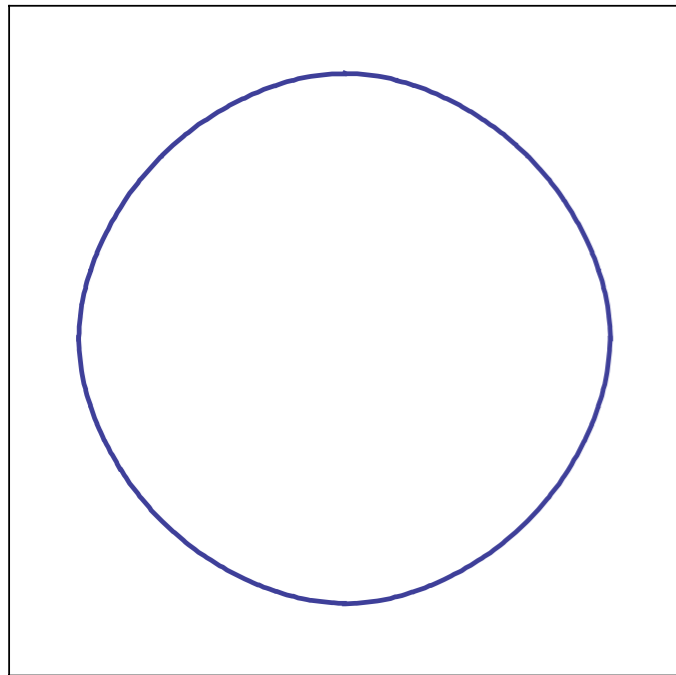


Mathias Scheurer



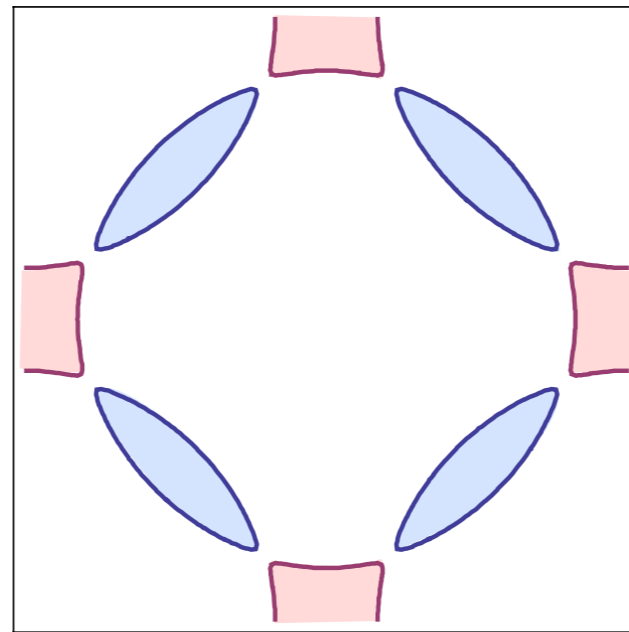
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PNAS **116**,
3449 (2019)

SU(2) gauge theory of fluctuating antiferromagnetism



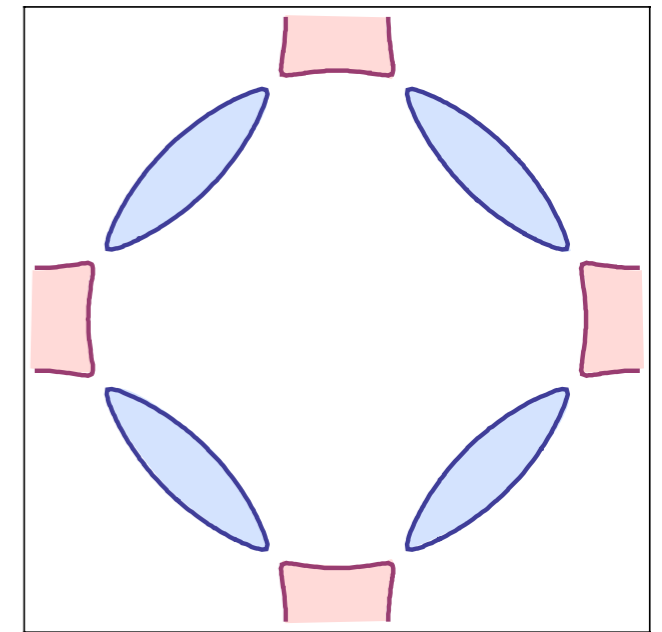
$$\langle \vec{\Phi} \rangle = 0$$

Confinement.
Metal with “large”
Fermi surface
of size $l+p$



$$\langle H \rangle \neq 0; \langle R \rangle = 0$$

FL* Higgs phase
with electron
and/or hole pockets of
size p ,
topological order
but no
antiferromagnetism



$$\langle H \rangle \neq 0; \langle R \rangle \neq 0$$

Metal with electron
and hole pockets
of size p

**Topological
quantum
phase transition**

p

Metallic quantum matter

- A fundamental property of any metallic state is Luttinger rule: the volume enclosed by the Fermi surface must equal the electron density (mod 2).
- Metals with non-Luttinger volume Fermi surfaces are also possible: they must have “topological order” and “emergent gauge fields”.

Topological quantum matter

- 🌐 Emergent gauge fields are obtained by transformations to a “rotating reference frame”.

Topological quantum matter

- Emergent gauge fields are obtained by transformations to a “rotating reference frame”.
- SU(2) gauge theory Higgsed down to Z_2 or U(1) yields quantum phases with Z_2 or U(1) topological order

Topological quantum matter

- Emergent gauge fields are obtained by transformations to a “rotating reference frame”.
- SU(2) gauge theory Higgsed down to Z_2 or U(1) yields quantum phases with Z_2 or U(1) topological order
- Theory of fluctuating antiferromagnetism in the electron-doped cuprates. Found a metallic state with topological order, reconstructed Fermi surfaces, and violation of the Luttinger theorem. This phase can explain recent photoemission experiments near optimal doping.