

# Quantum matter without quasiparticles

New Perspectives on Thermalization

Aspen Center for Physics

March 17, 2014

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Talk online: [sachdev.physics.harvard.edu](http://sachdev.physics.harvard.edu)

PHYSICS



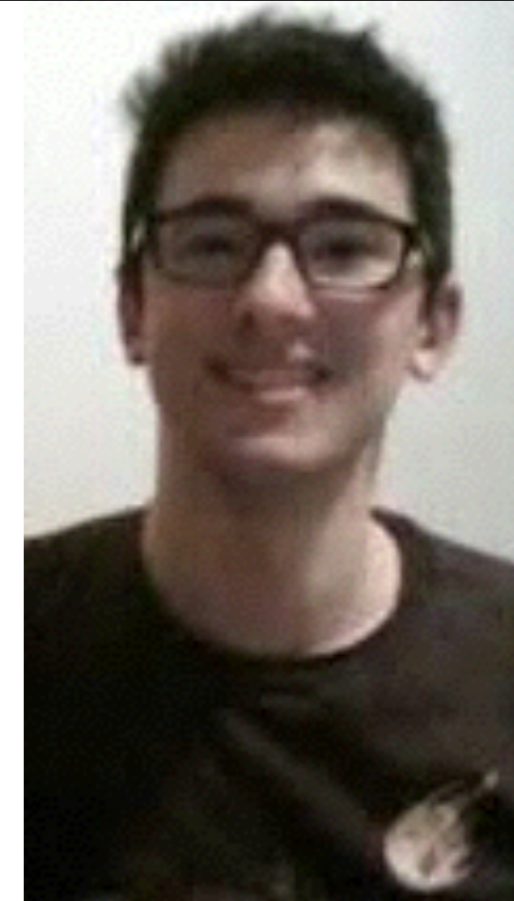
HARVARD



**William Witczak-Krempa**  
**Perimeter**



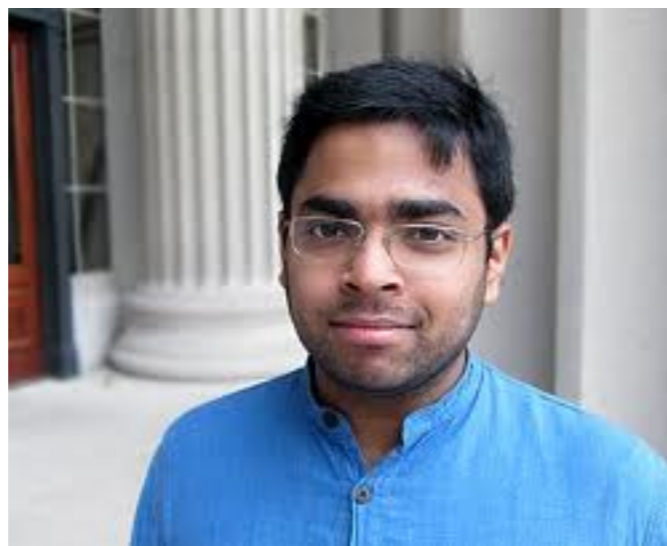
**Erik Sorensen**  
**McMaster**



**Andrew Lucas**  
**Harvard**



**Sean Hartnoll**  
**Stanford**



**Raghu Mahajan**  
**Stanford**



**Matthias Punk**  
**Innsbruck**



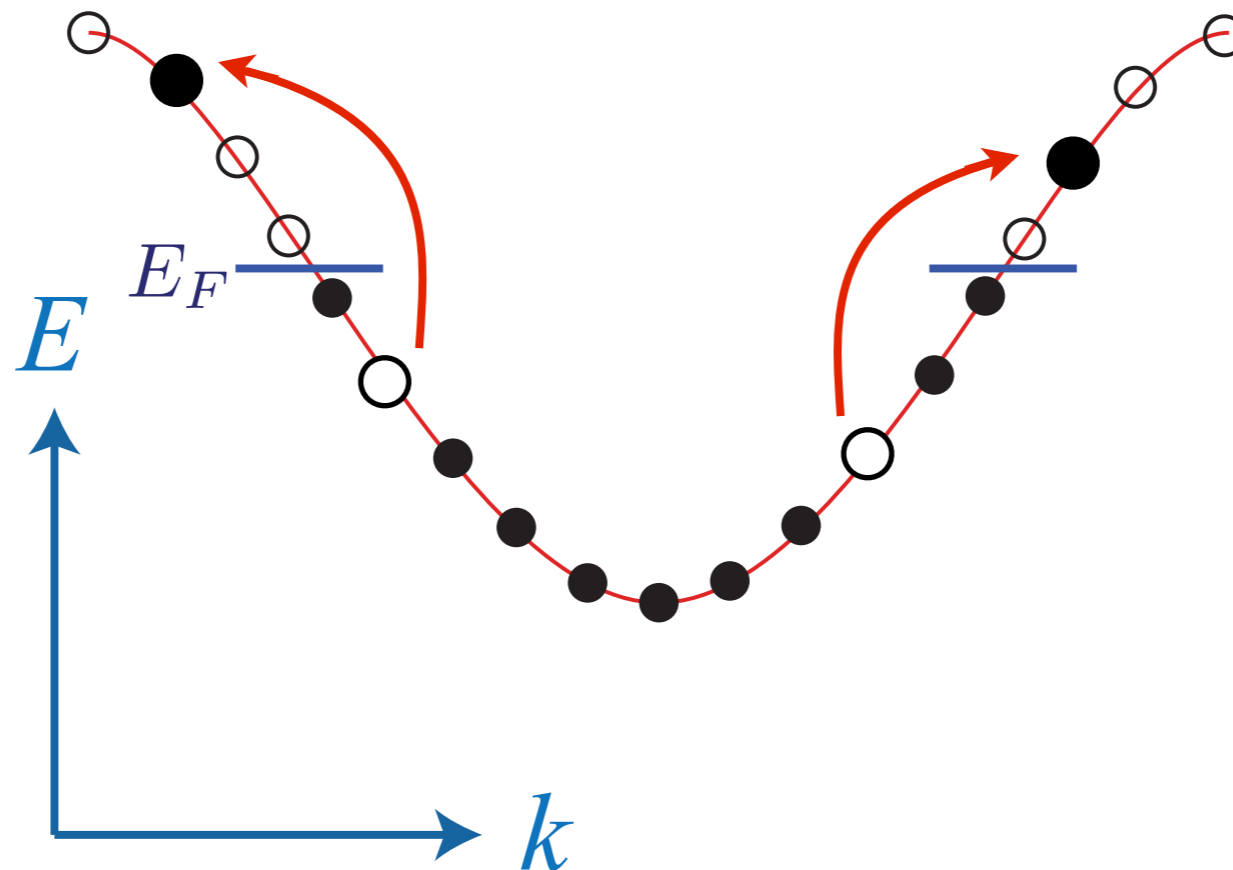
**Koenraad Schalm**  
**Leiden**

# *Foundations of quantum many body theory:*

*1. Ground states connected adiabatically to independent electron states*

*2. Boltzmann-Landau theory of quasiparticles*

## Metals



## Modern phases of quantum matter:

- 1. Ground states disconnected from independent electron states: many-particle entanglement*
- 2. Boltzmann-Landau theory of quasiparticles*

## Famous examples:

The fractional quantum Hall effect of electrons in two dimensions (e.g. in graphene) in the presence of a strong magnetic field. The ground state is described by Laughlin's wavefunction, and the excitations are *quasiparticles* which carry fractional charge.

## Modern phases of quantum matter:

1. *Ground states disconnected from independent electron states: many-particle entanglement*
2. *Boltzmann-Landau theory of quasiparticles*

## Famous examples:

Electrons in one dimensional wires form the Luttinger liquid. The quanta of density oscillations (“phonons”) are a *quasiparticle* basis of the low-energy Hilbert space. Similar comments apply to magnetic insulators in one dimension.

## Modern phases of quantum matter:

1. *Ground states disconnected from independent electron states: many-particle entanglement*
2. **No quasiparticles**

## Only 2 examples:

1. Conformal field theories in spatial dimension  $d > 1$
2. Quantum critical metals in dimension  $d=2$

AdS/CFT useful in both cases

# Outline

## 1. The simplest model without quasiparticles

*Superfluid-insulator transition*

*of ultracold bosonic atoms in an optical lattice*

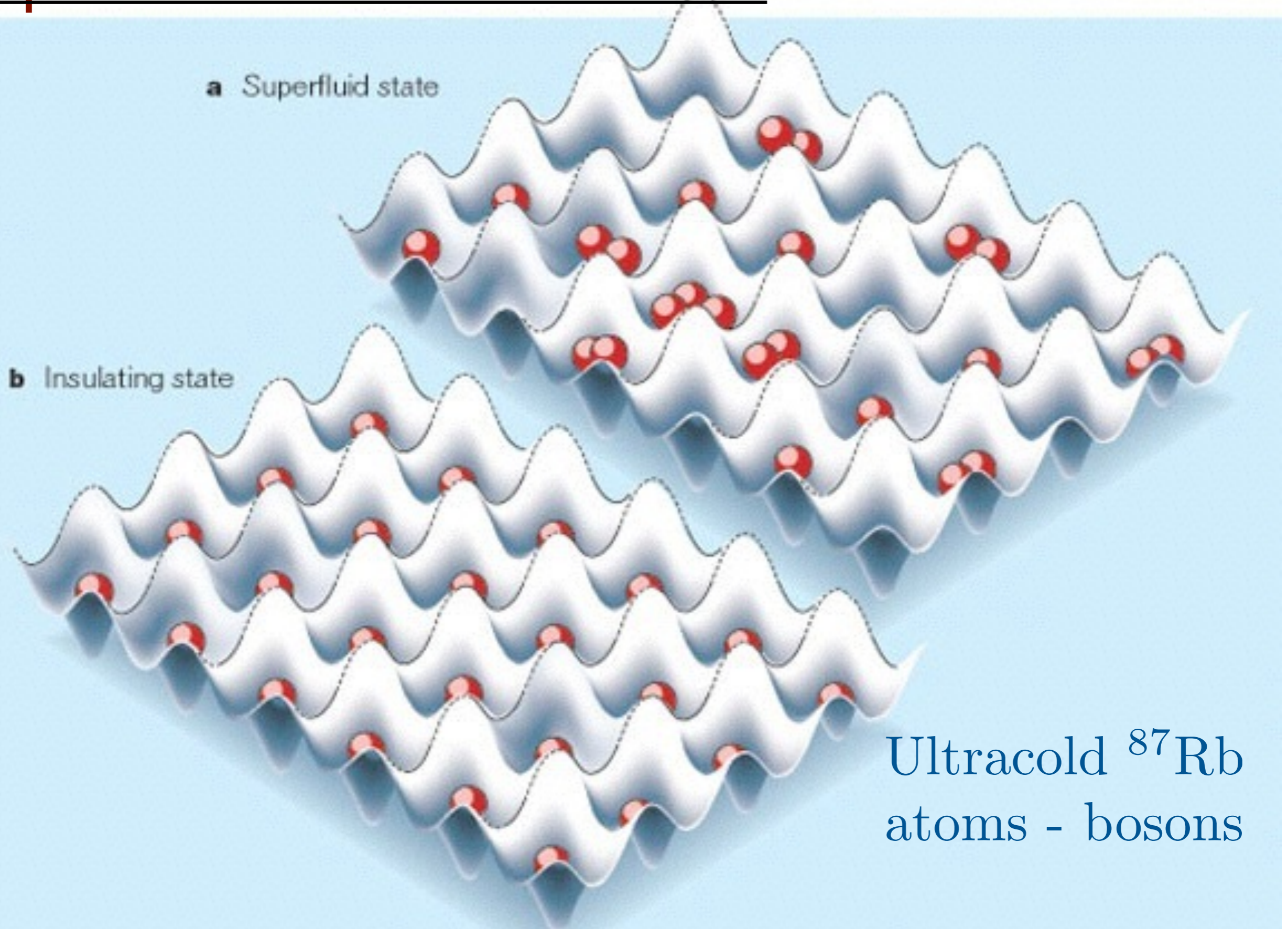
*(Conformal field theories in  $2+1$  dimensions)*

## 2. Strange metals in the high $T_c$ superconductors

*Non-quasiparticle transport at the*

*Ising-nematic quantum critical point*

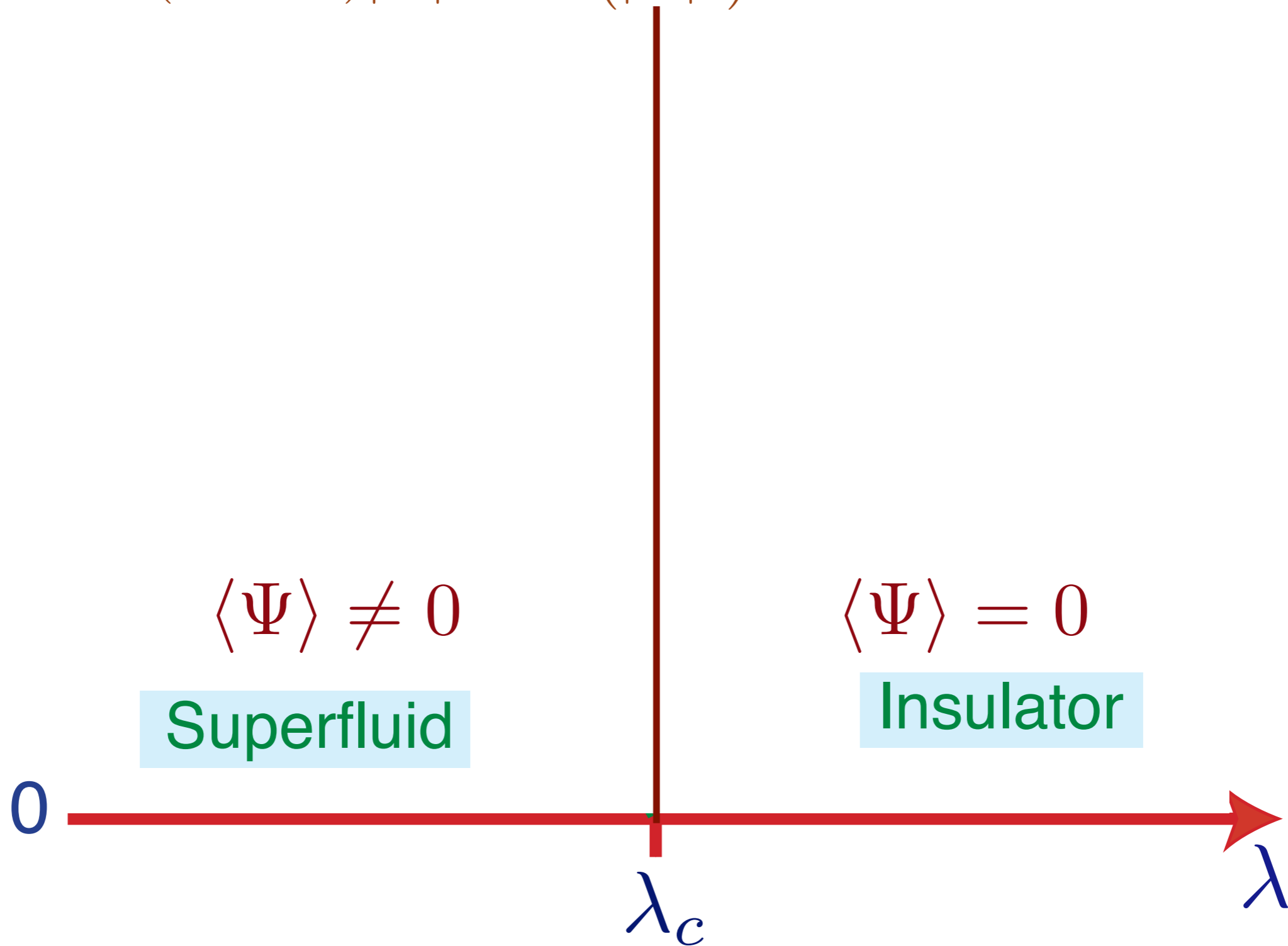
# Superfluid-insulator transition



Ultracold  $^{87}\text{Rb}$   
atoms - bosons

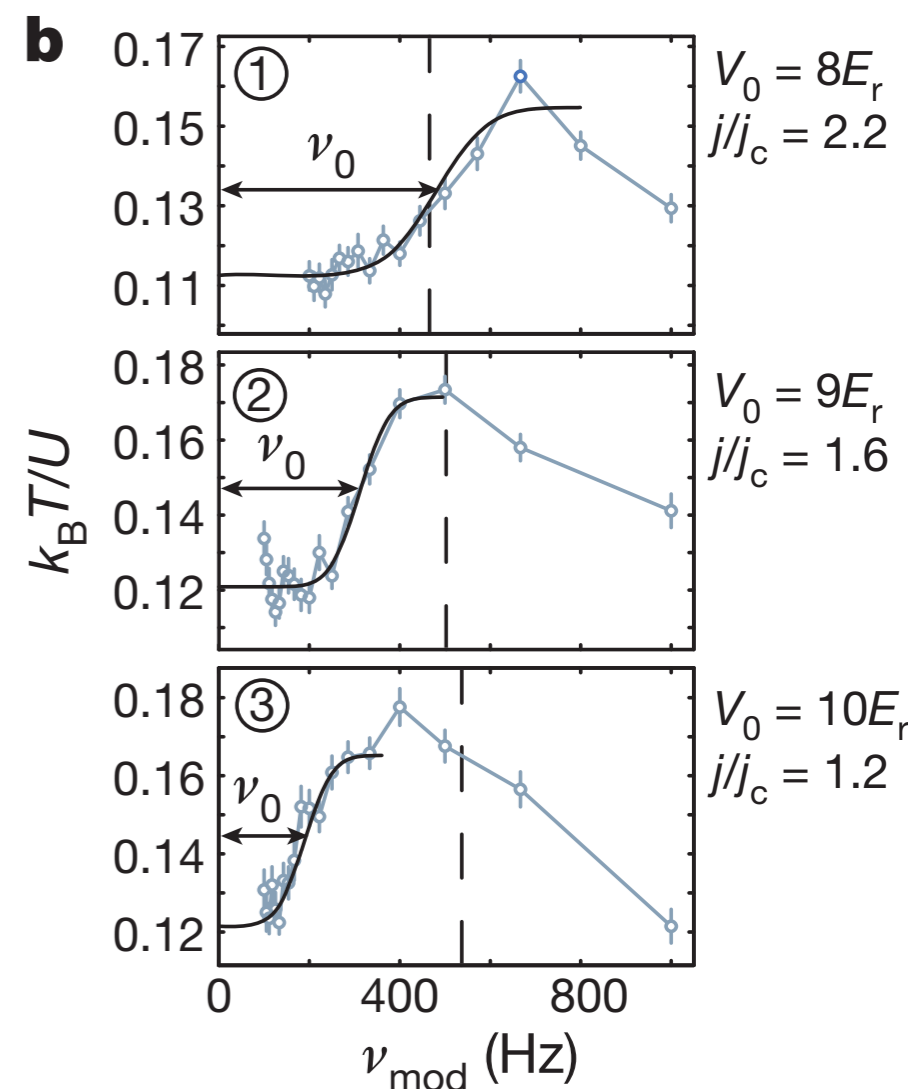
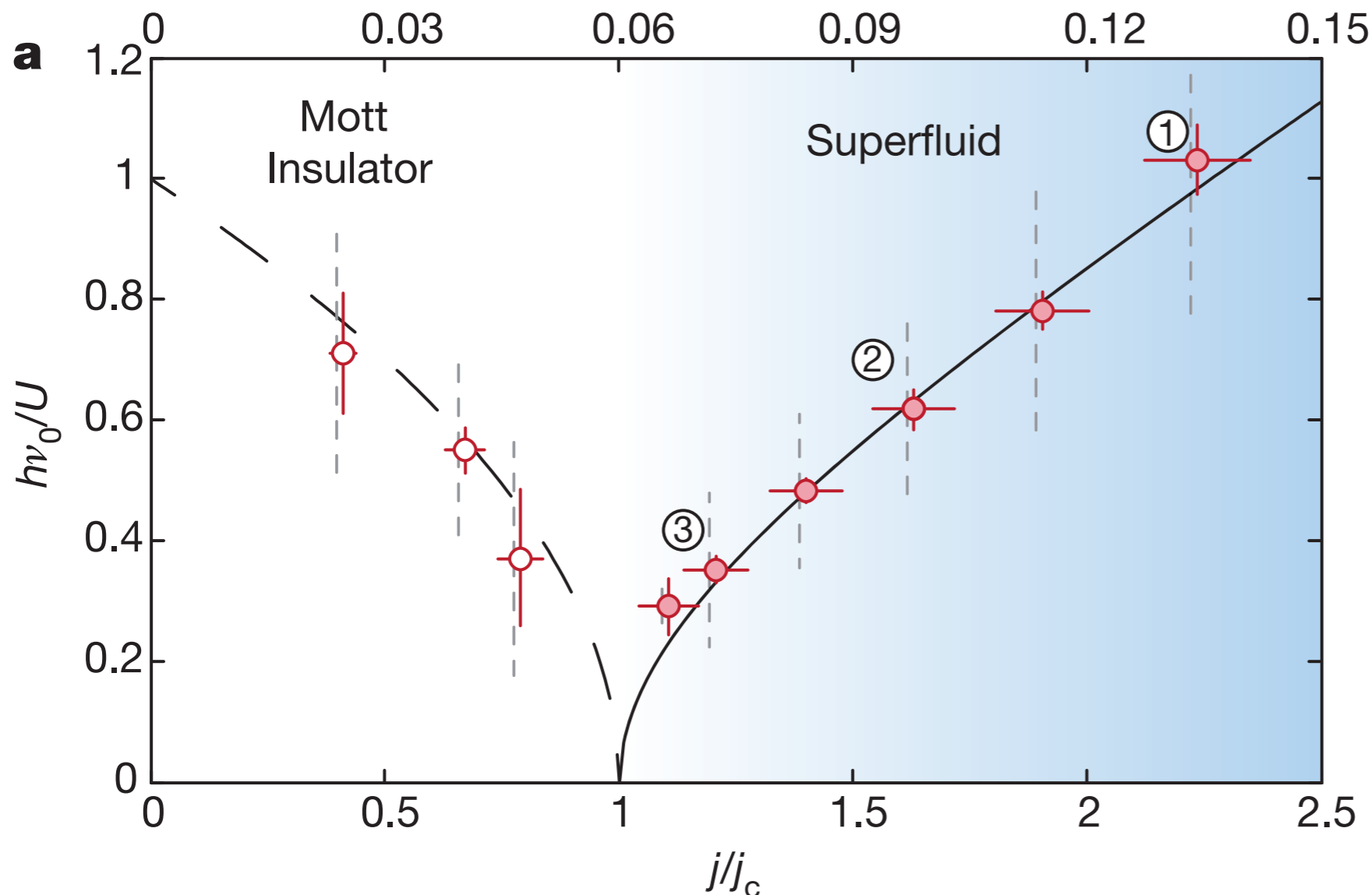
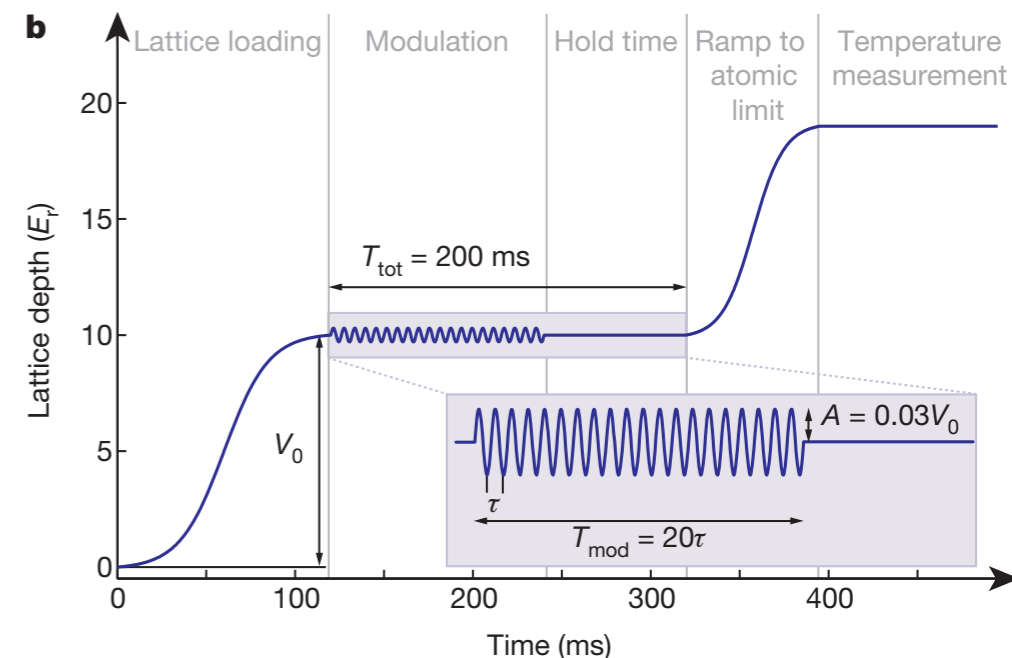
$$\mathcal{S} = \int d^2r dt [|\partial_t \Psi|^2 - c^2 |\nabla_r \Psi|^2 - V(\Psi)]$$

$$V(\Psi) = (\lambda - \lambda_c) |\Psi|^2 + u (|\Psi|^2)^2$$



Observation of Higgs quasi-normal mode across the superfluid-insulator transition of ultracold atoms in a 2-dimensional optical lattice:

Response to modulation of lattice depth scales as expected from the LHP pole



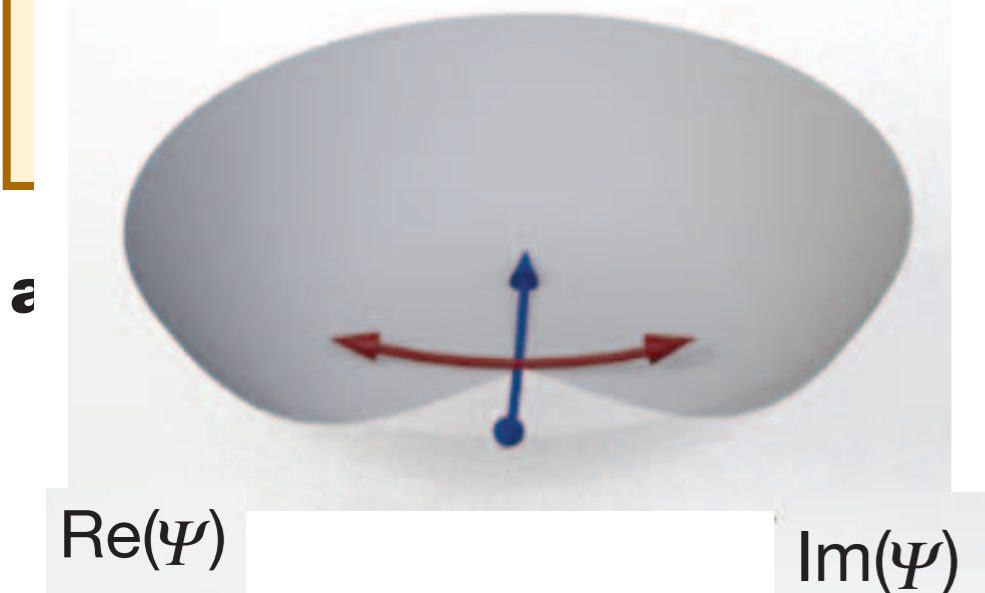
Manuel Endres, Takeshi Fukuhara, David Pekker, Marc Cheneau, Peter Schaub, Christian Gross, Eugene Demler, Stefan Kuhr, and Immanuel Bloch, *Nature* **487**, 454 (2012).

# Observation of Higgs quasi-normal mode

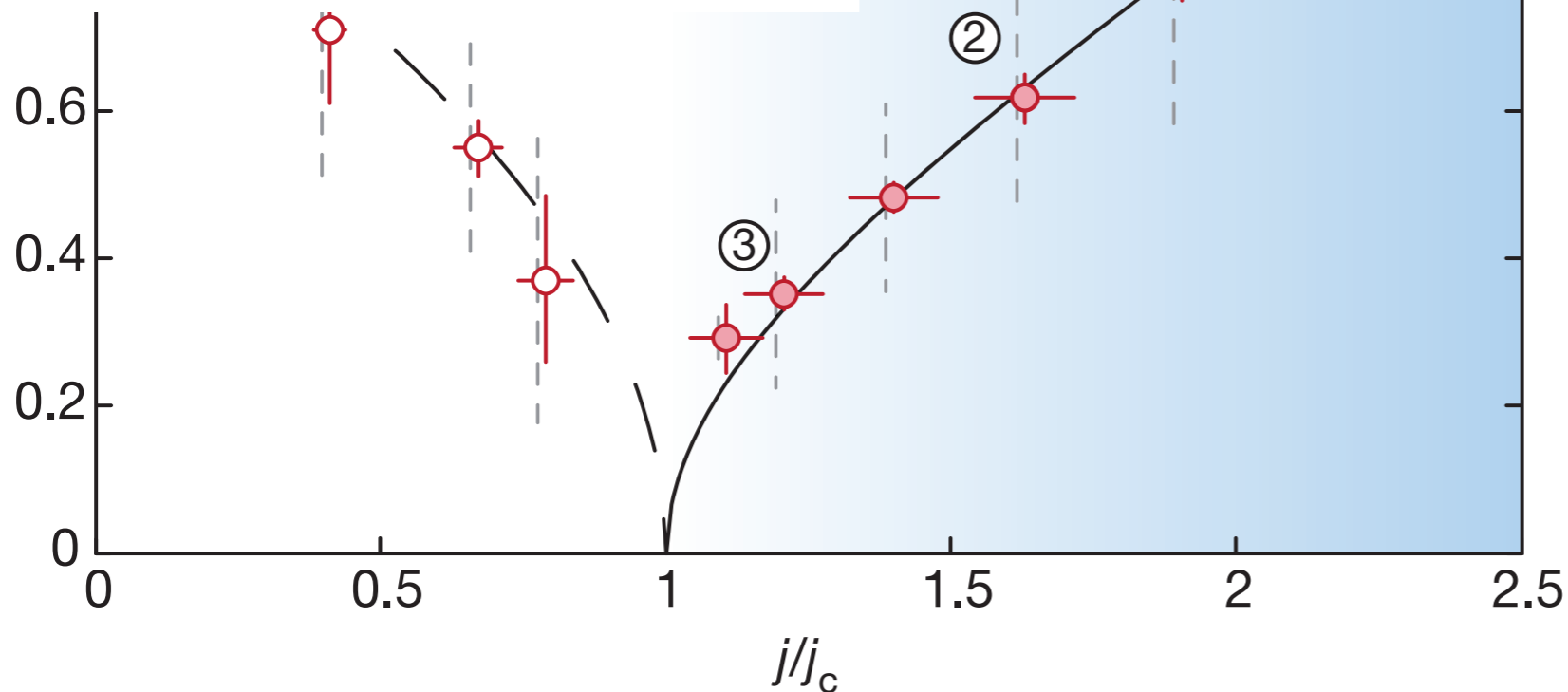
near transition of  
superfluid to  
insulating optical  
lattice

lattice depth scales  
the

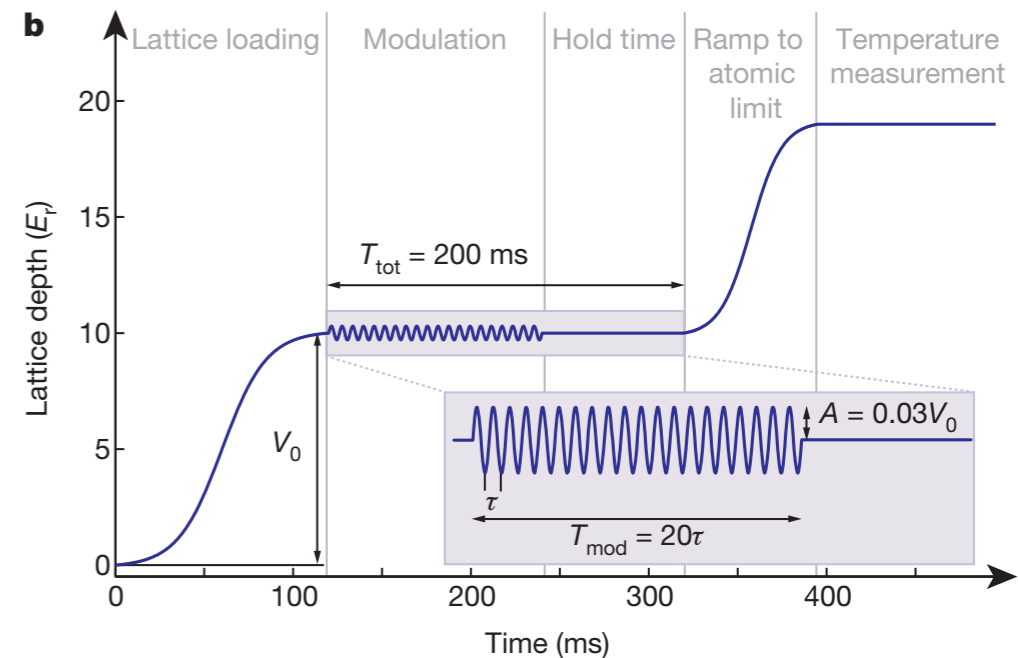
**a**



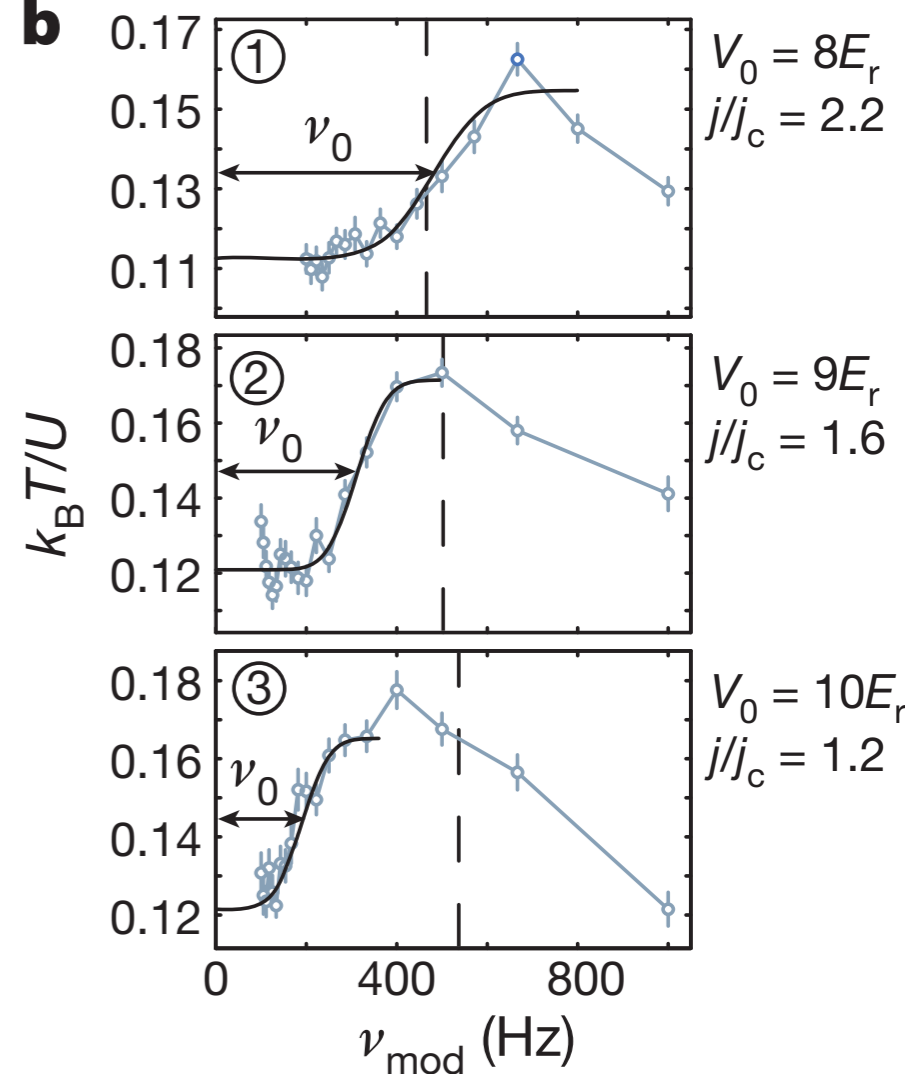
$h\nu_0/U$



**b**



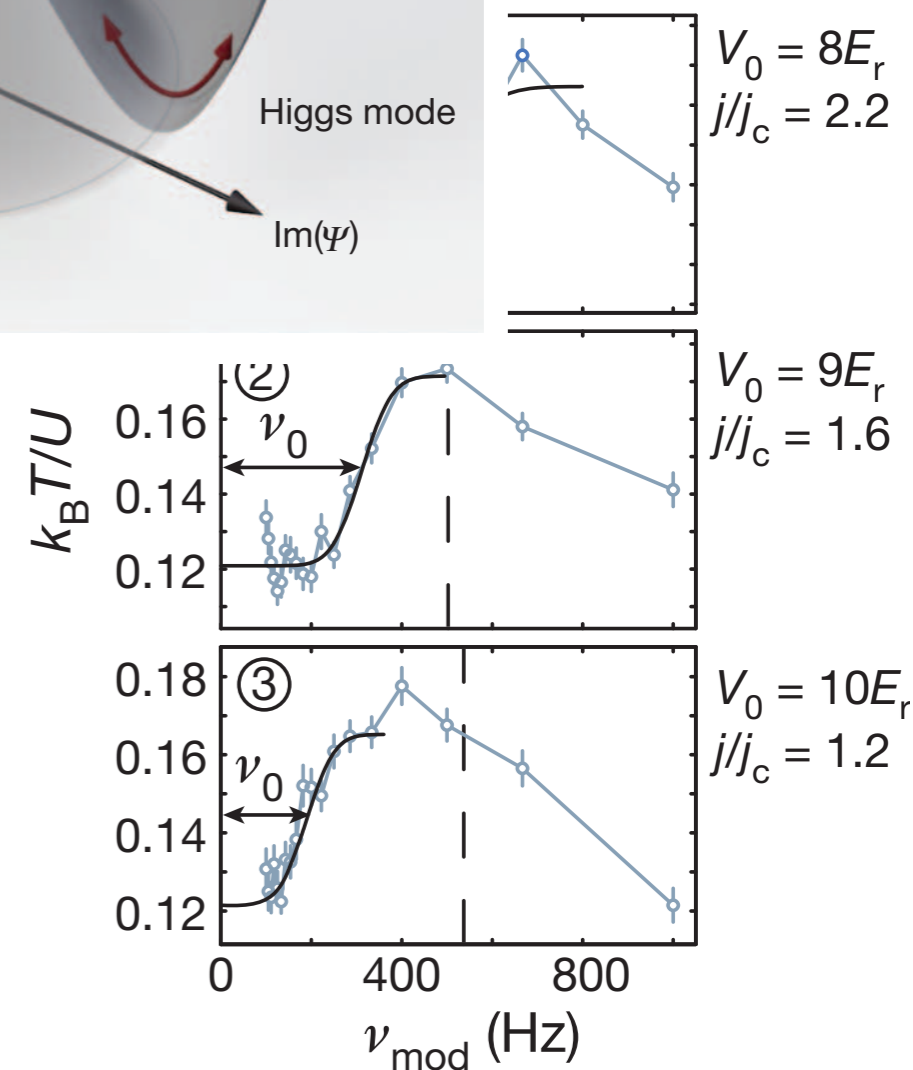
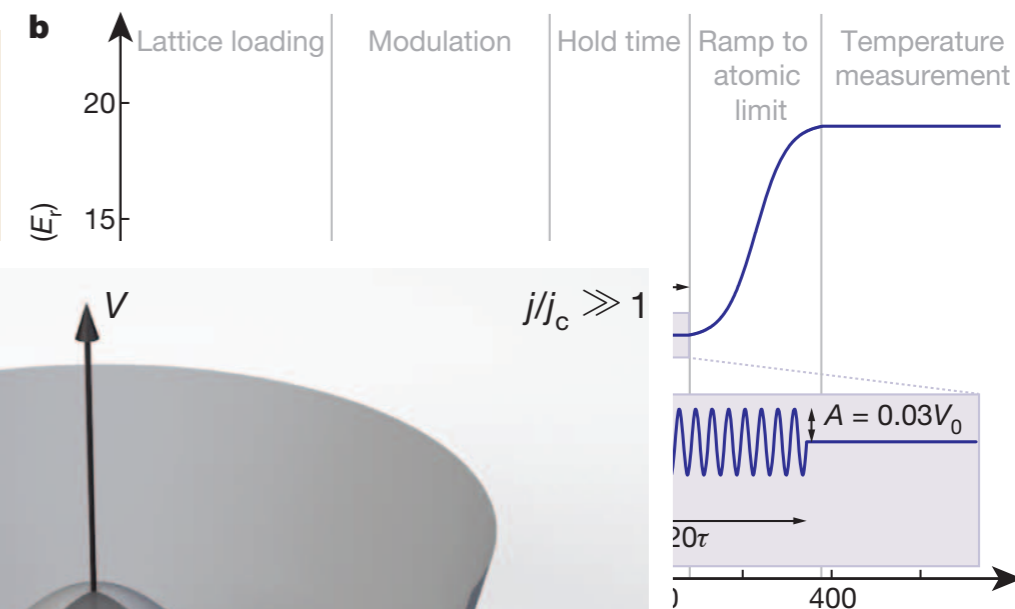
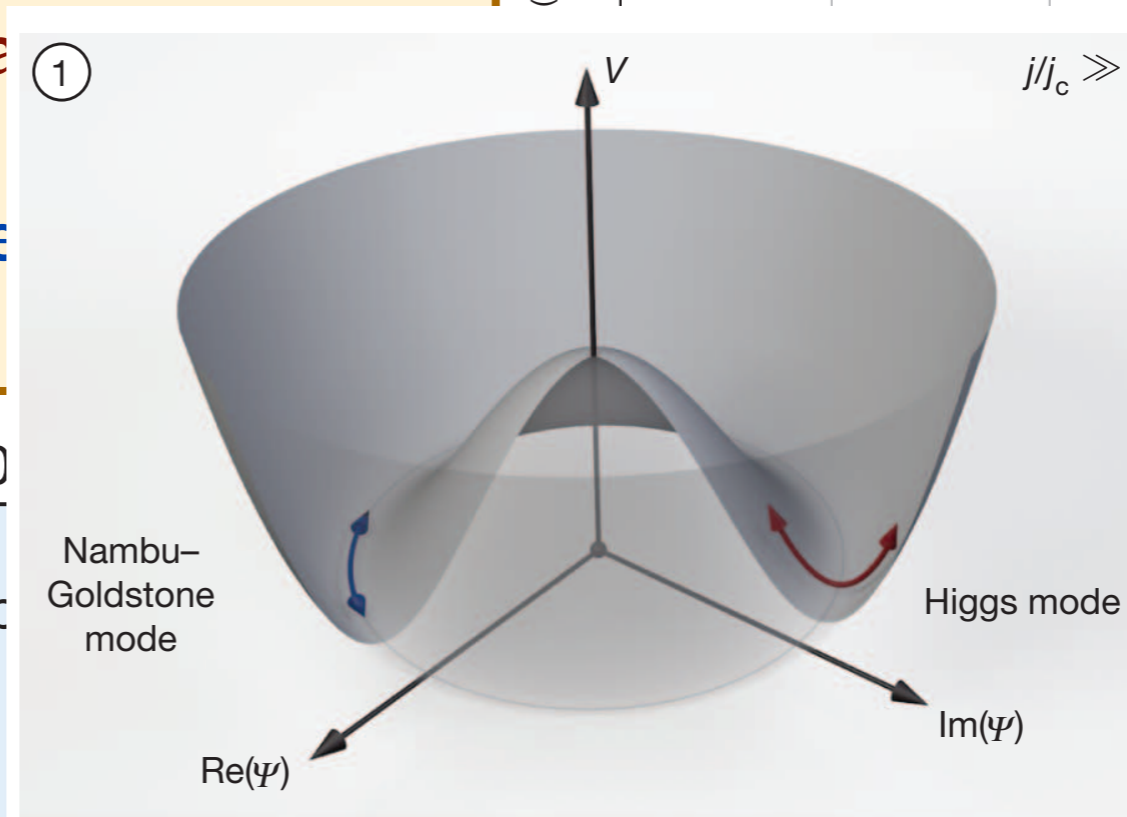
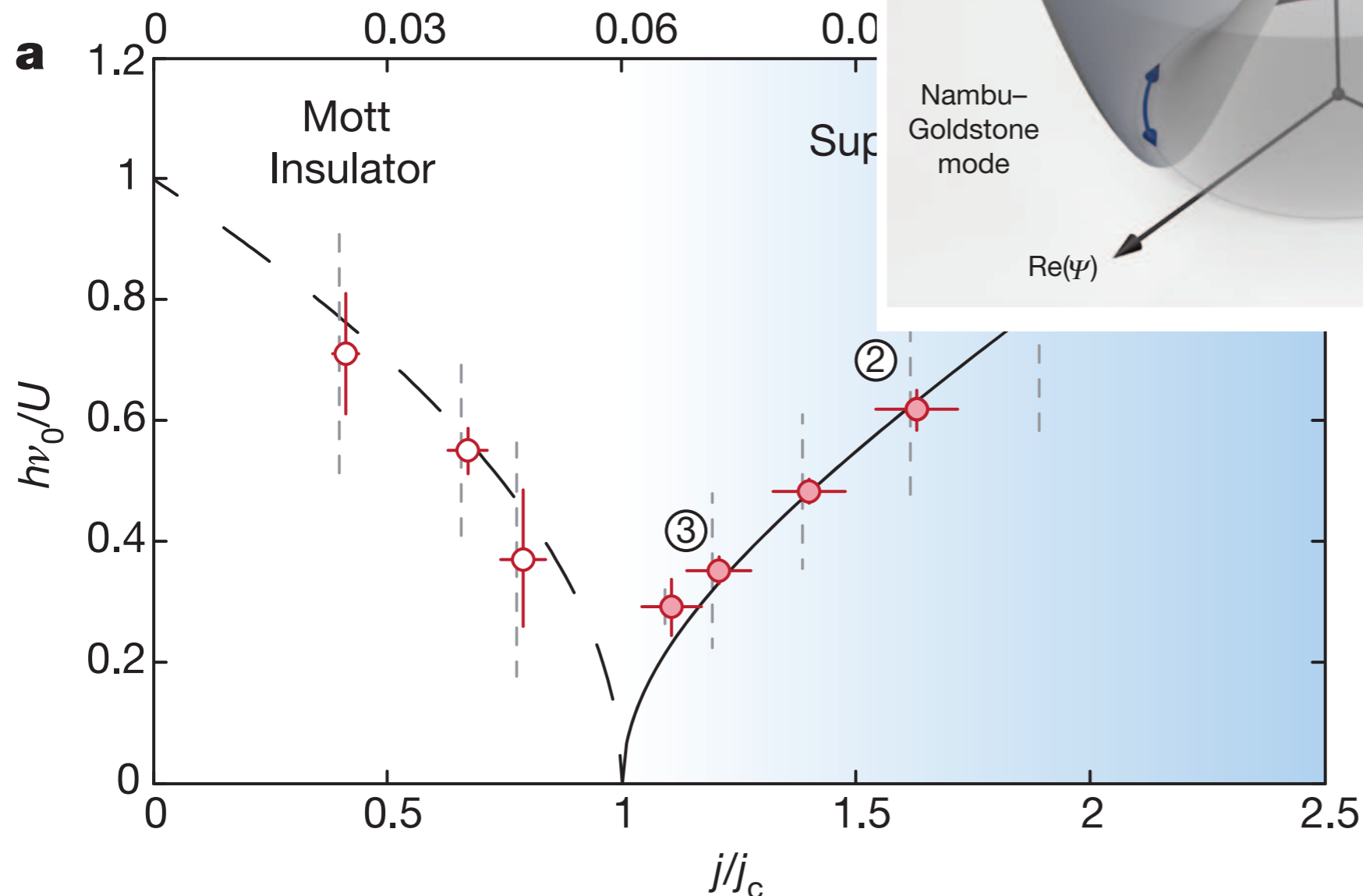
**b**



Manuel Endres, Takeshi Fukuhara, David Pekker, Marc Cheneau, Peter Schaub, Christian Gross, Eugene Demler, Stefan Kuhr, and Immanuel Bloch, *Nature* **487**, 454 (2012).

**Observation of Higgs quasi-normal mode across the superfluid-insulator transition of ultracold atoms in a 2-dimensional lattice:**

Response to modulation of lattice as expected from the LHP pole

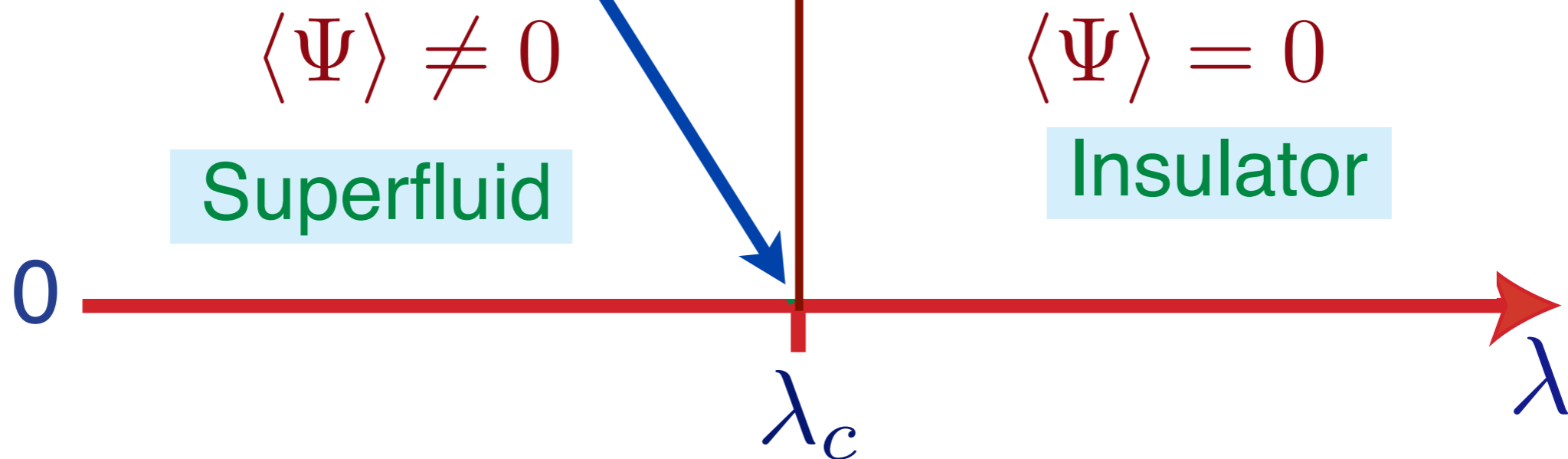


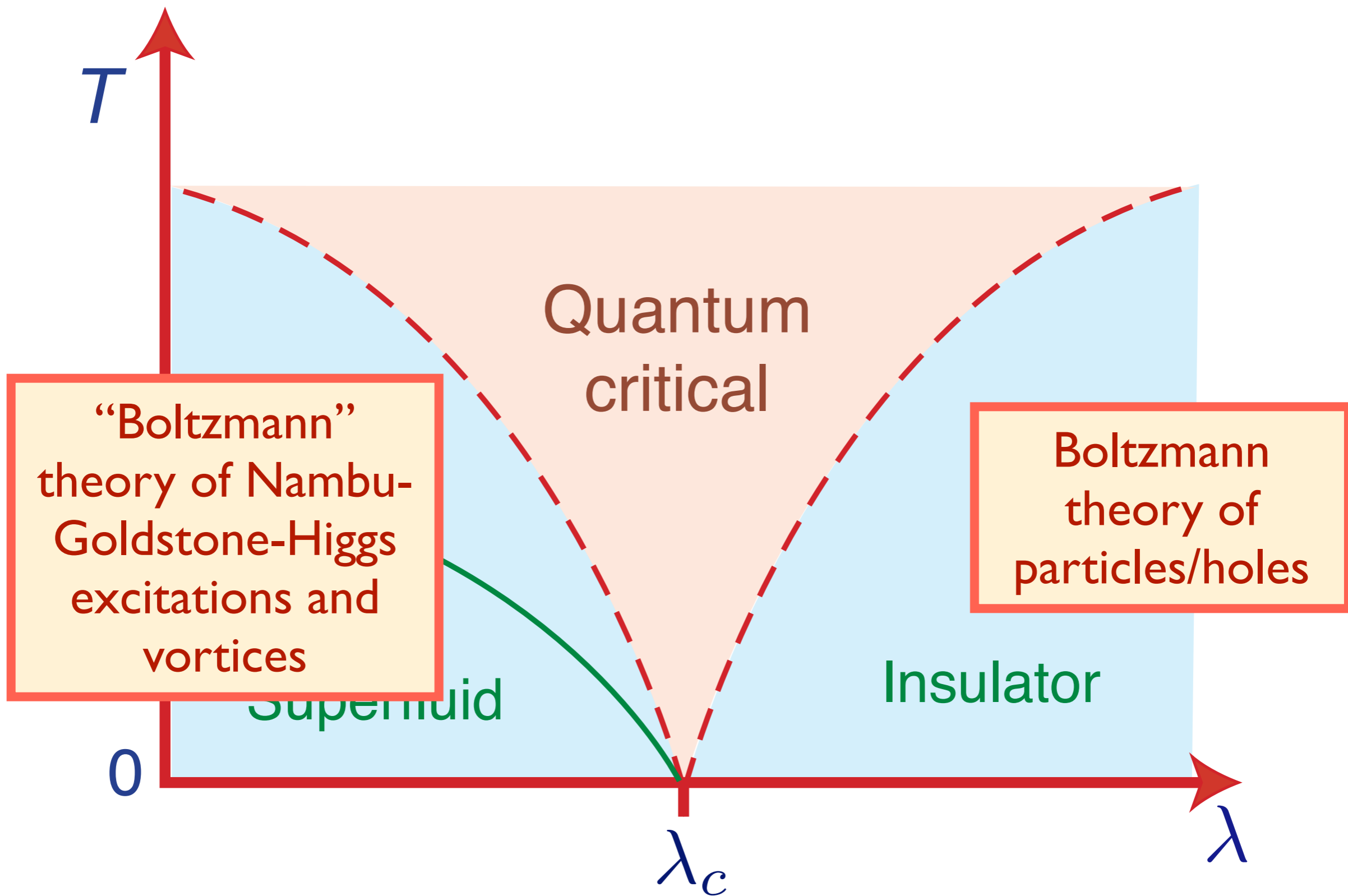
Manuel Endres, Takeshi Fukuhara, David Pekker, Marc Cheneau, Peter Schaub, Christian Gross, Eugene Demler, Stefan Kuhr, and Immanuel Bloch, *Nature* **487**, 454 (2012).

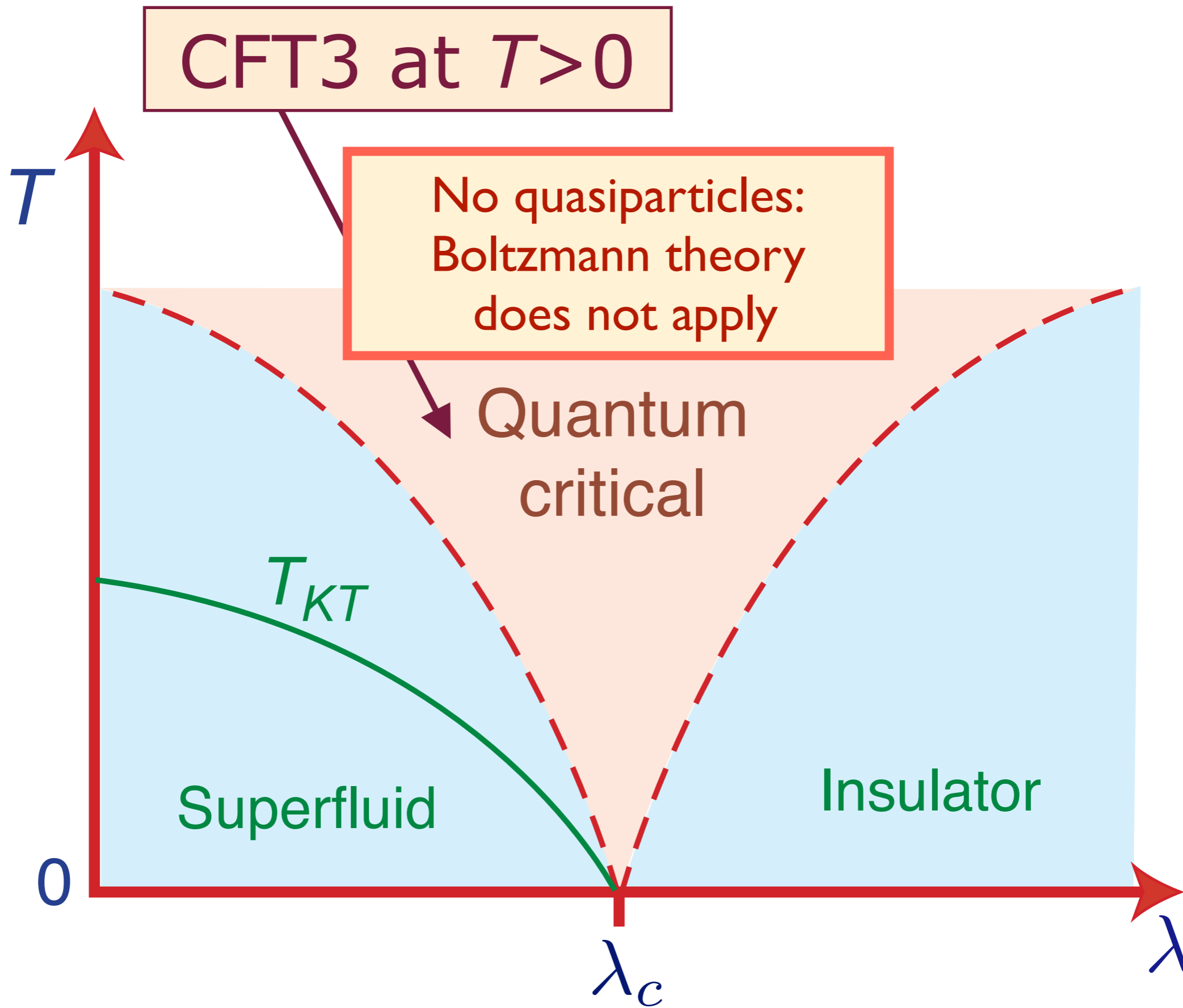
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Quantum state with  
“long-range” quantum entanglement  
**and no quasiparticles.**  
A 2+1 dim. conformal field theory (CFT3)



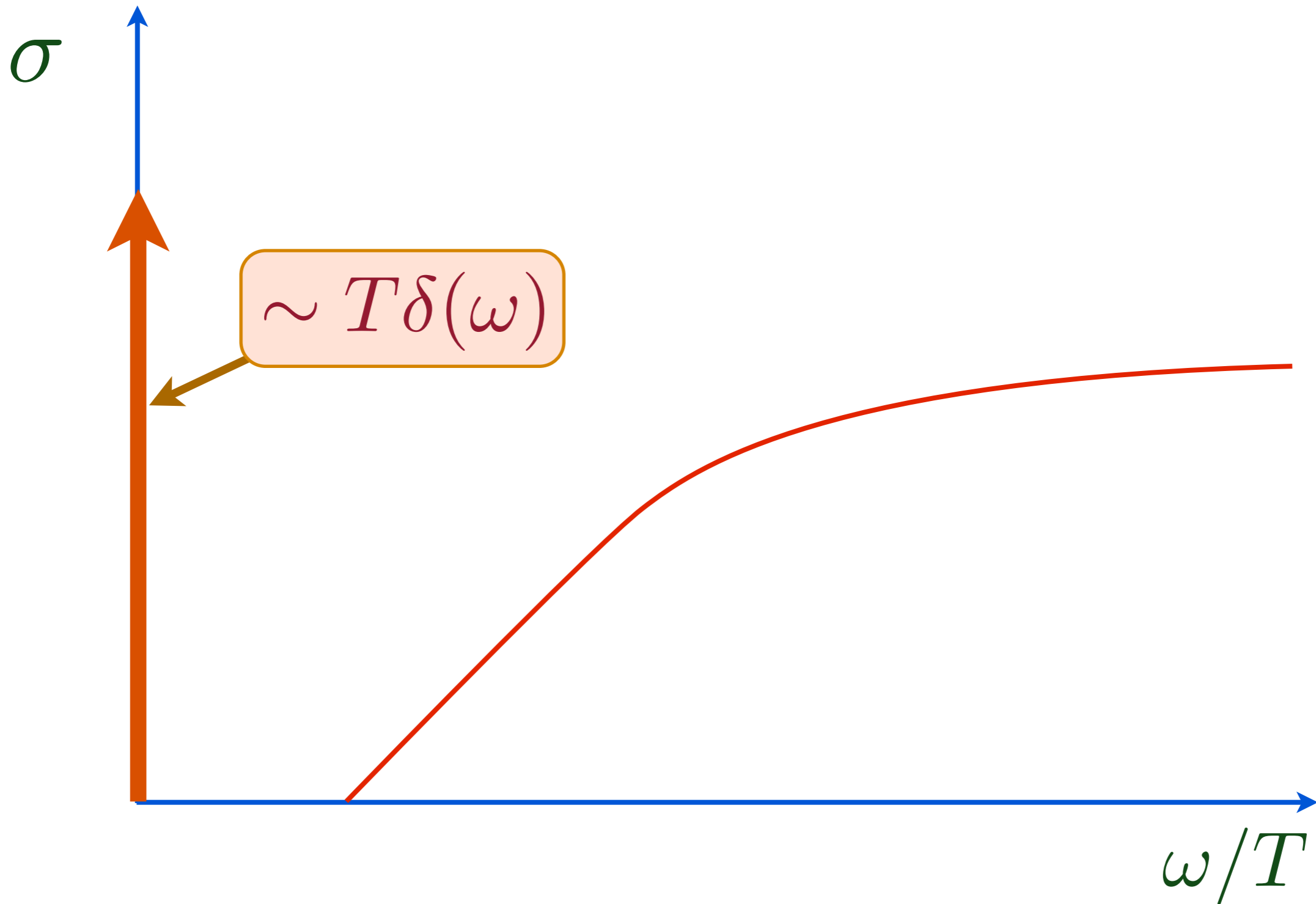




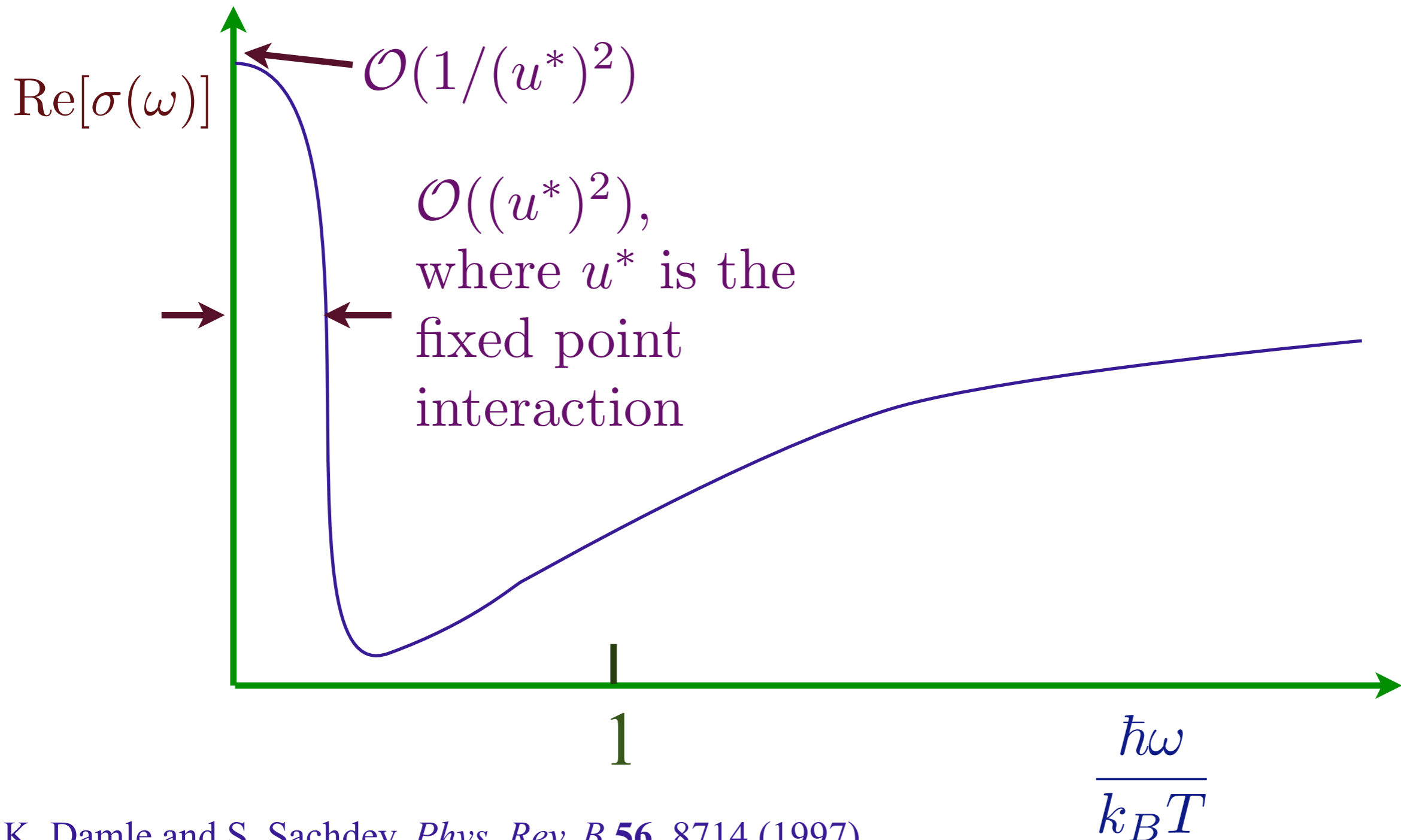
# Traditional CMT

- Identify quasiparticles and their dispersions
- Compute scattering matrix elements of quasiparticles (or of collective modes)
- These parameters are input into a quantum Boltzmann equation
- Deduce dissipative and dynamic properties at non-zero temperatures

Quasiparticle view of quantum criticality (Boltzmann equation):  
Electrical transport for a free CFT3



# Quasiparticle view of quantum criticality (Boltzmann equation): Electrical transport for a (weakly) interacting CFT3

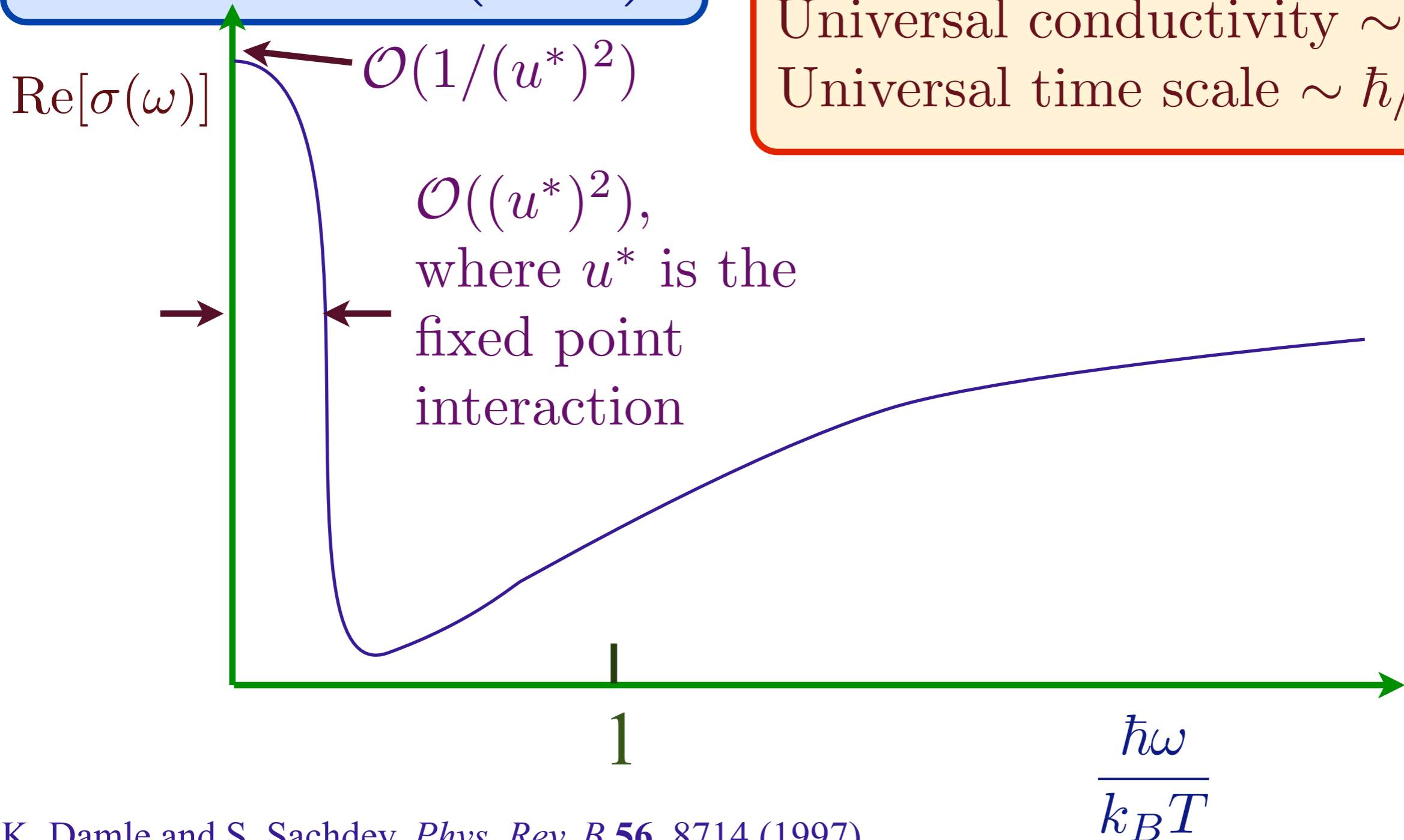


# Quasiparticle view of quantum criticality (Boltzmann equation): Electrical transport for a (weakly) interacting CFT3

$$\sigma(\omega, T) = \frac{e^2}{h} \Sigma \left( \frac{\hbar\omega}{k_B T} \right)$$

$\Sigma \rightarrow$  a universal function

Universal conductivity  $\sim e^2/h$   
Universal time scale  $\sim \hbar/k_B T$



## Traditional CMT

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## Dynamics without quasiparticles

- Start with strongly interacting CFT without particle- or wave-like excitations
- Compute scaling dimensions and OPE co-efficients of operators of the CFT

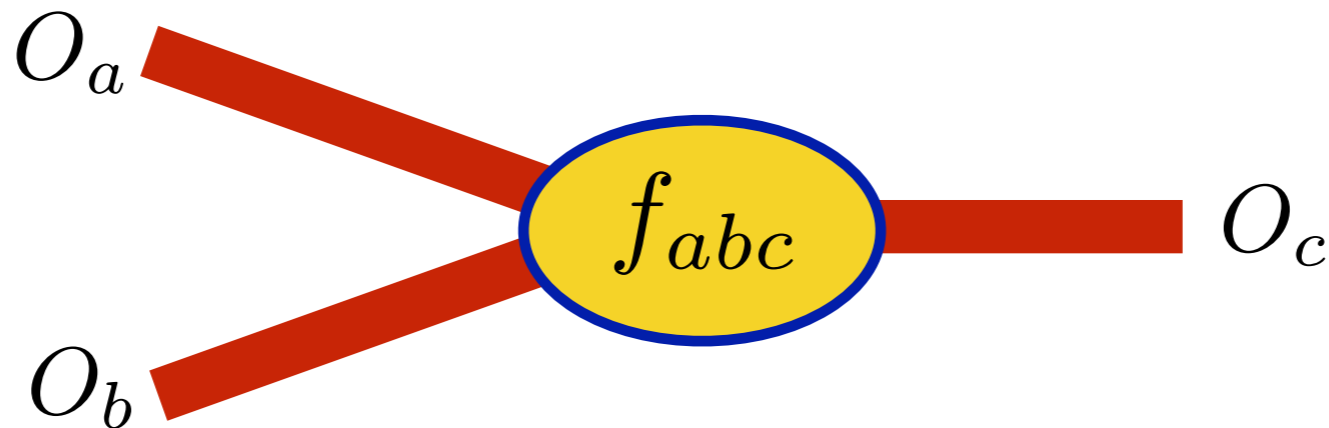
## Basic characteristics of CFTs

Primary operators of CFT,  $O_a(x)$ , obey ( at  $T = 0$ ):

$$\langle O_a(x)O_b(0) \rangle = \frac{\delta_{ab}}{|x|^{2\Delta_a}}$$

where  $\Delta_a$  is their scaling dimension. Their “interactions” are determined by the OPE (considering scalar operators only)

$$\lim_{x' \rightarrow x} \langle O_a(x')O_b(x)O_c(0) \rangle = \frac{f_{abc}}{|x|^{\Delta_a + \Delta_b + \Delta_c}}$$



The values of  $\{\Delta_a, f_{abc}\}$  determine (in principle) all observable properties of the CFT, as constrained by conformal Ward identities. For the Wilson-Fisher CFT<sub>3</sub>, systematic methods exist to compute (in principle) all the  $\{\Delta_a, f_{abc}\}$ , and we will assume this data is *known*. This knowledge will be taken as an *input* to the computation of the finite  $T$  dynamics

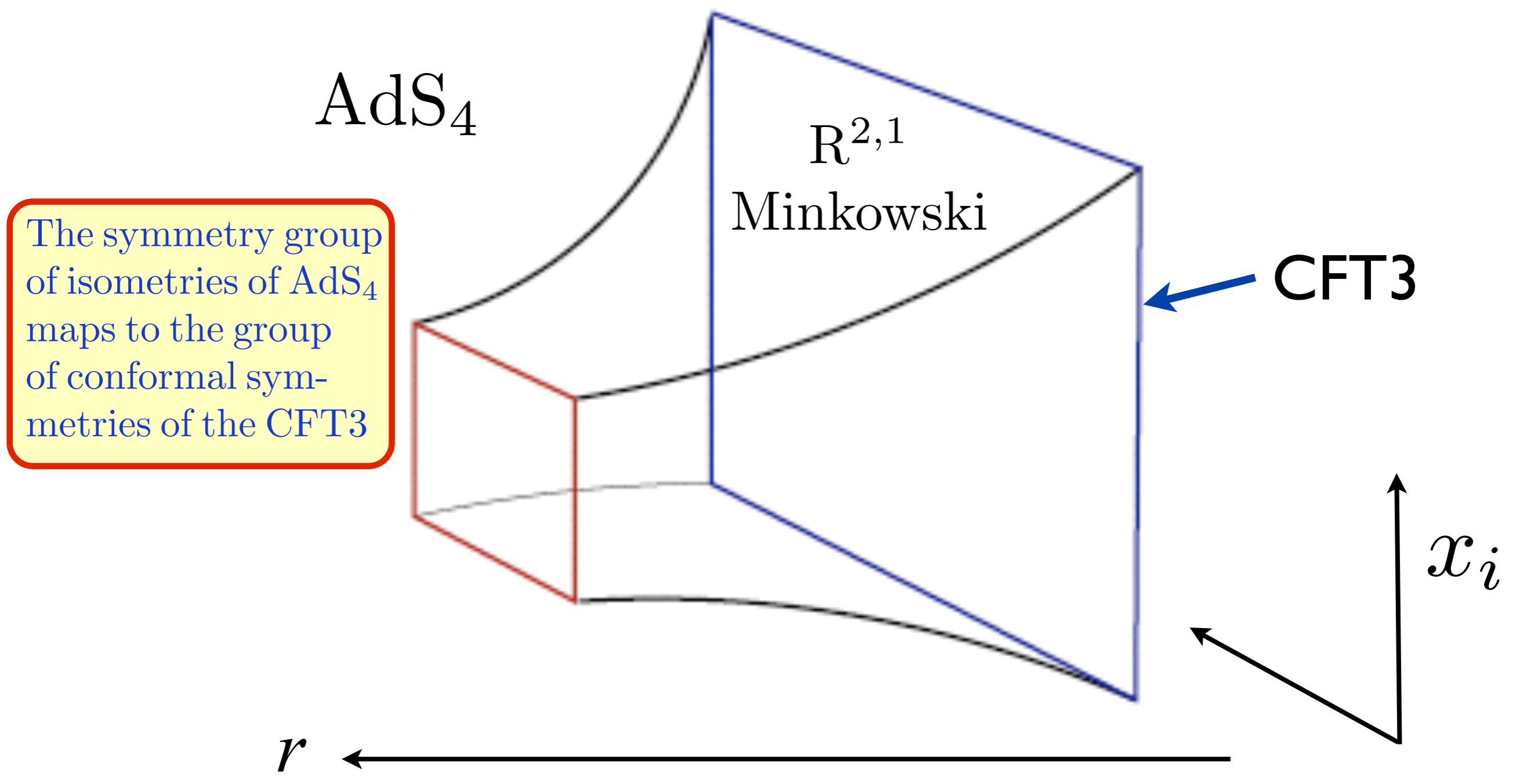
## Traditional CMT

- Identify quasiparticles and their dispersions
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## Dynamics without quasiparticles

- Start with strongly interacting CFT without particle- or wave-like excitations
- Compute scaling dimensions and OPE co-efficients of operators of the CFT
- Relate OPE co-efficients to couplings of an effective gravitational theory on AdS
- Non-zero  $T$  dynamics of CFT maps to dynamics of a “horizon” in (Einstein’s) gravitational theory

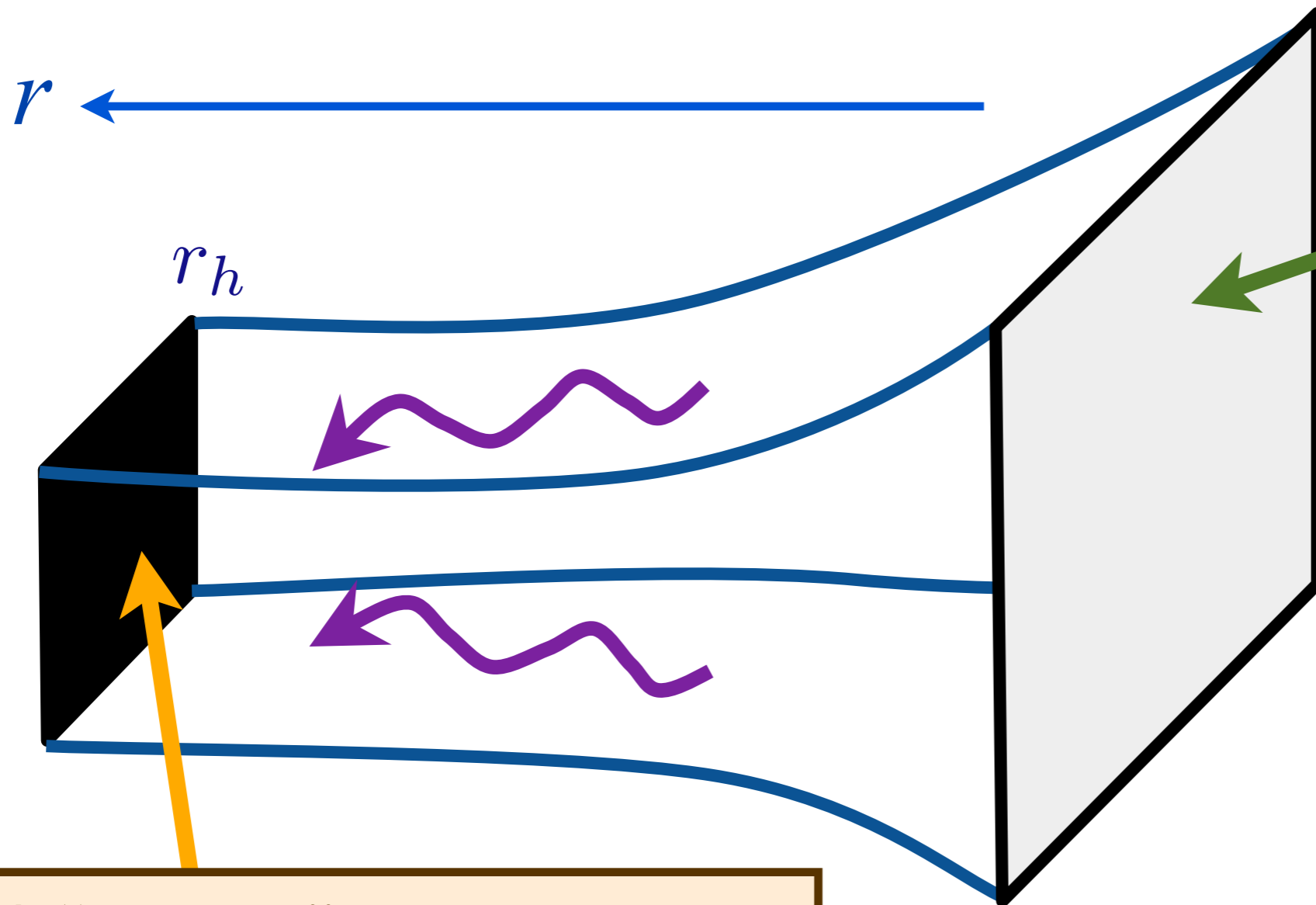
# AdS/CFT correspondence at zero temperature



The symmetry group of isometries of  $AdS_4$  maps to the group of conformal symmetries of the  $CFT_3$

A classical gravitational theory on  $AdS_4$  encodes the  $CFT_3$  data of  $\{\Delta_a, f_{abc}\}$ , and allows computation of  $CFT_3$  correlators consistent with all conformal Ward identities

# Gauge-gravity duality at non-zero temperatures

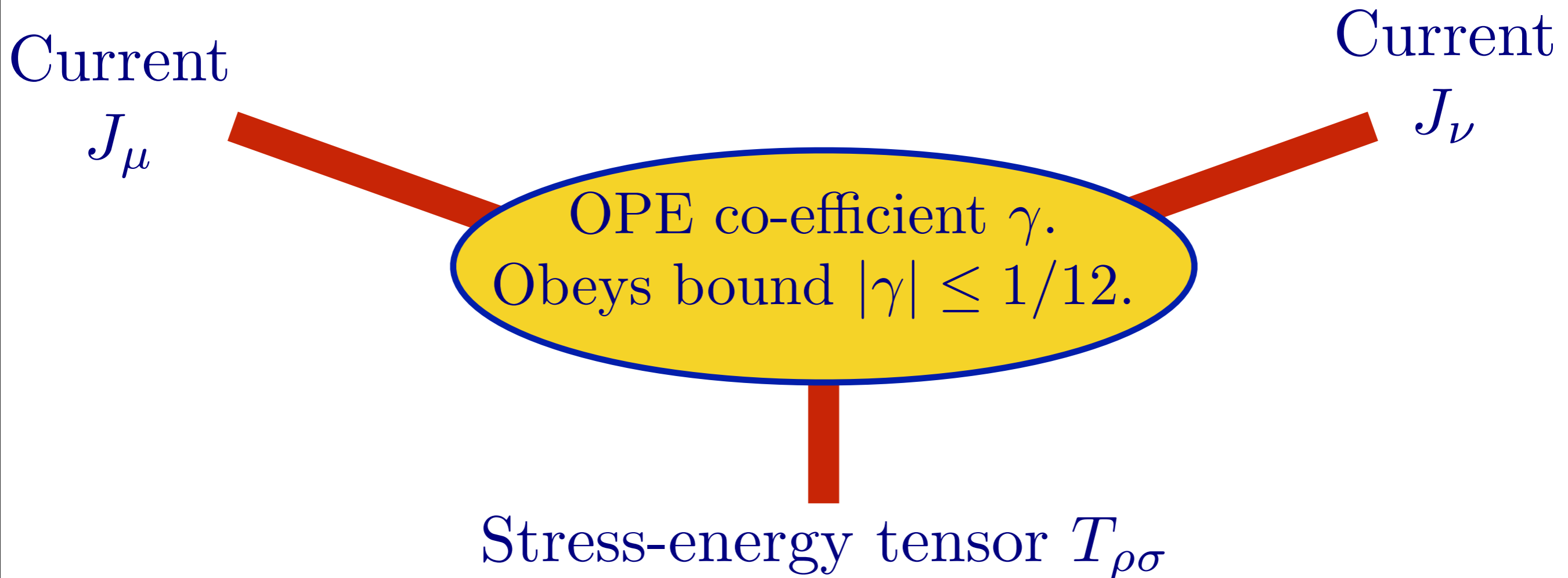


A CFT3 at a temperature  $T \sim 1/r_h$  equal to the Hawking temperature of the horizon.

A “horizon”, similar to the surface of a black hole !

Dissipation and friction in the CFT3 = waves falling past the horizon

# Physical picture of electrical transport in a CFT3



Conductivity at  $T > 0$  determined by  
“scattering” of current by  
thermal stress-energy tensor.

R. C. Myers, S. Sachdev, and A. Singh, *Physical Review D* **83**, 066017 (2011)

D. Chowdhury, S. Raju, S. Sachdev, A. Singh, and P. Strack, *Physical Review B* **87**, 085138 (2013).

# AdS<sub>4</sub> theory of quantum criticality

Most general effective holographic theory for linear charge transport with 4 spatial derivatives:

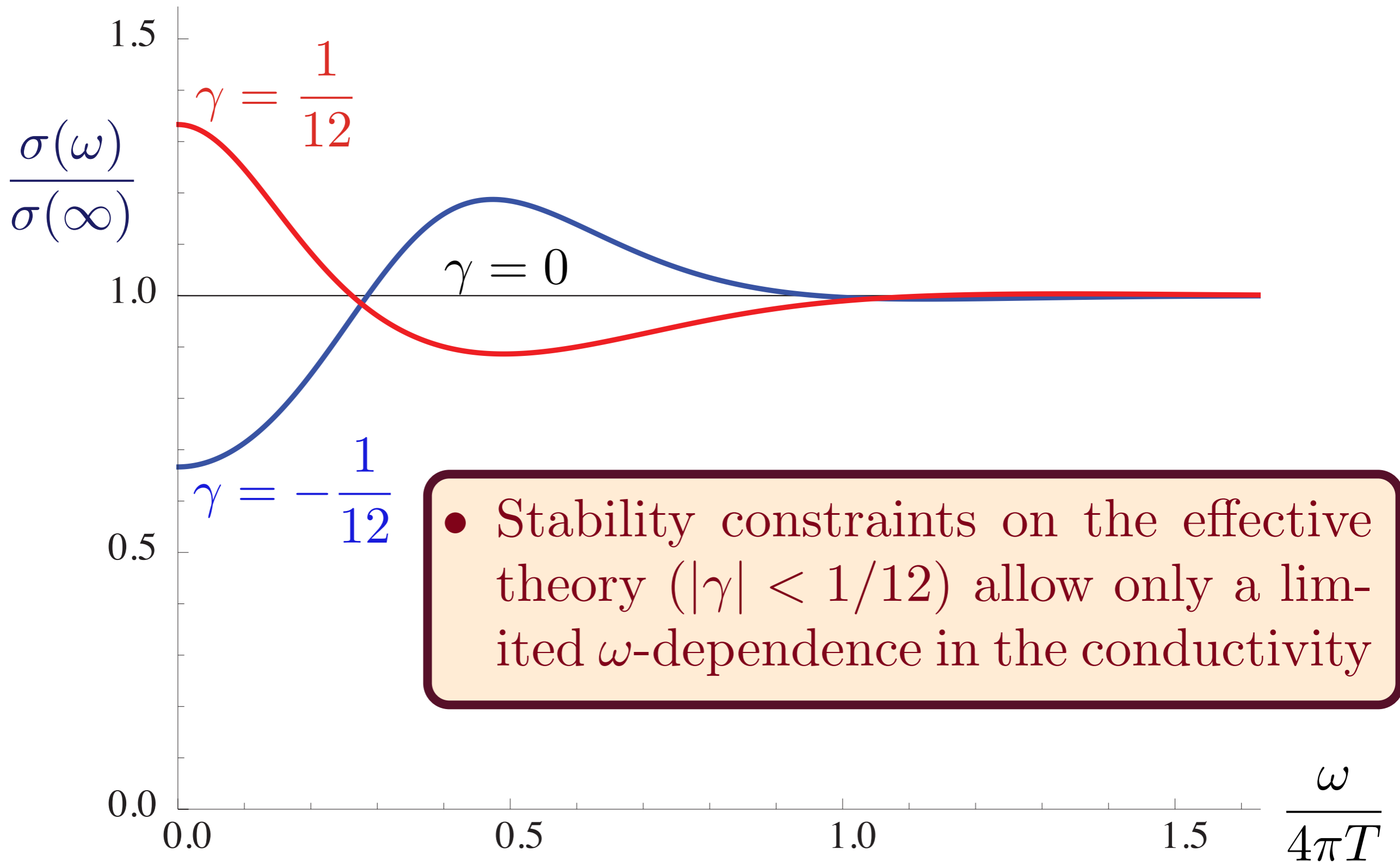
$$\mathcal{S}_{\text{bulk}} = \frac{1}{g_M^2} \int d^4x \sqrt{g} \left[ \frac{1}{4} F_{ab} F^{ab} + \gamma L^2 C_{abcd} F^{ab} F^{cd} \right] + \int d^4x \sqrt{g} \left[ -\frac{1}{2\kappa^2} \left( R + \frac{6}{L^2} \right) \right],$$

This action is characterized by 3 dimensionless parameters, which can be linked to data of the CFT (OPE coefficients): 2-point correlators of the conserved current  $J_\mu$  and the stress energy tensor  $T_{\mu\nu}$ , and a 3-point  $T, J, J$  correlator. Constraints from both the CFT and the gravitational theory bound  $|\gamma| \leq 1/12 = 0.0833..$

R. C. Myers, S. Sachdev, and A. Singh, *Phys. Rev. D* **83**, 066017 (2011)

D. Chowdhury, S. Raju, S. Sachdev, A. Singh, and P. Strack, *Phys. Rev. B* **87**, 085138 (2013)

# AdS<sub>4</sub> theory of quantum criticality

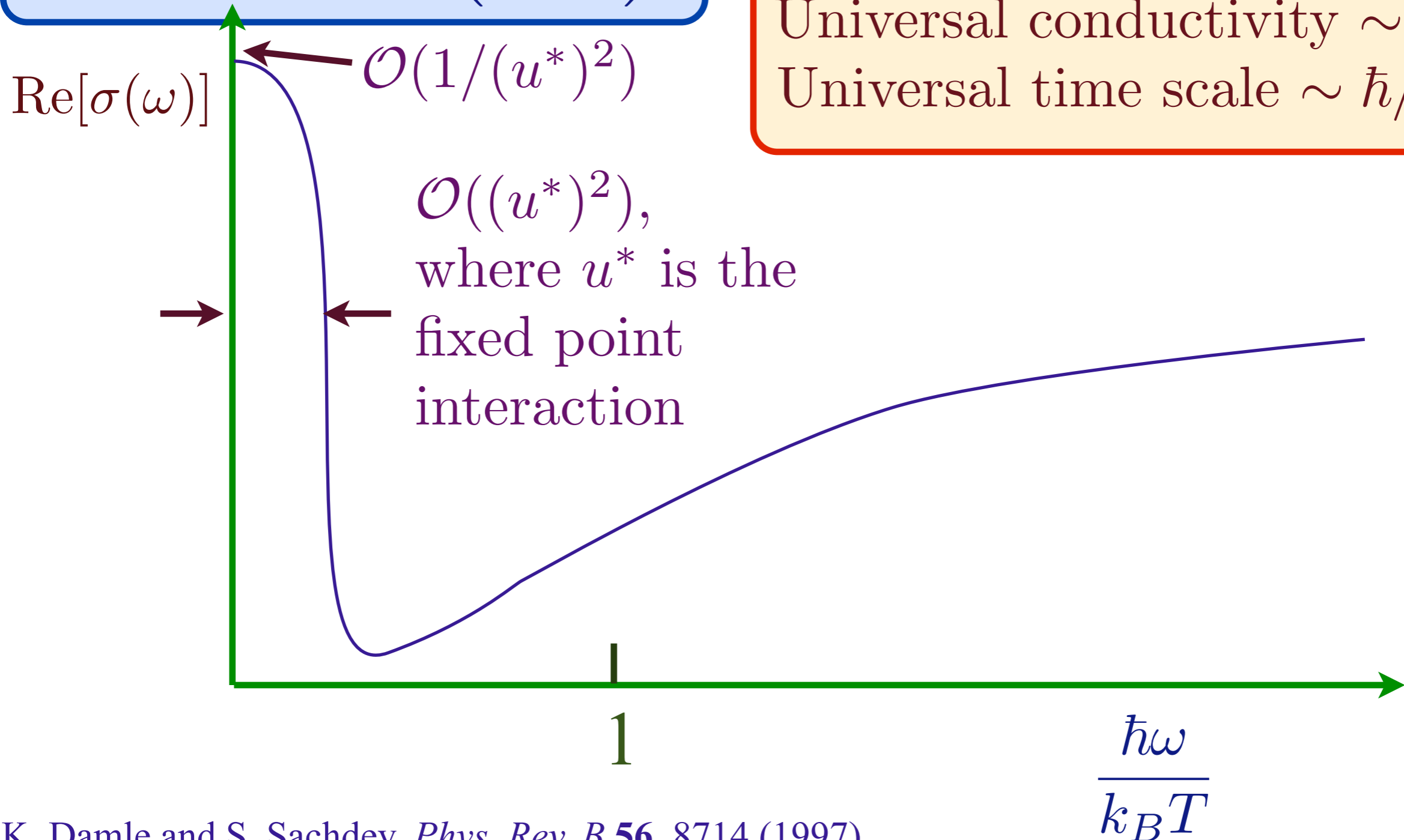


# Quasiparticle view of quantum criticality (Boltzmann equation): Electrical transport for a (weakly) interacting CFT3

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$\Sigma \rightarrow$  a universal function

Universal conductivity  $\sim e^2/h$   
Universal time scale  $\sim \hbar/k_B T$



# The dynamics of quantum criticality via Quantum Monte Carlo and holography

William Witczak-Krempa, Erik Sorensen, Subir Sachdev

(Submitted on 11 Sep 2013 (v1), last revised 29 Nov 2013 (this version, v2))

Understanding the real time dynamics of quantum systems without quasiparticles constitutes an important yet challenging problem. We study the superfluid-insulator quantum-critical point of bosons on a two-dimensional lattice, a system whose excitations cannot be described in a quasiparticle basis. We present detailed quantum Monte Carlo results for two separate lattice realizations: their low-frequency conductivities are found to have the same universal dependence on imaginary frequency and temperature. We then use the structure of the real time dynamics of conformal field theories described by the holographic gauge/gravity duality to make progress on the difficult problem of analytically continuing the Monte Carlo data to real time. Our method yields quantitative and experimentally testable results on the frequency-dependent conductivity near the quantum critical point, and on the spectrum of quasinormal modes in the vicinity of the superfluid-insulator quantum phase transition. Extensions to other observables and universality classes are discussed.

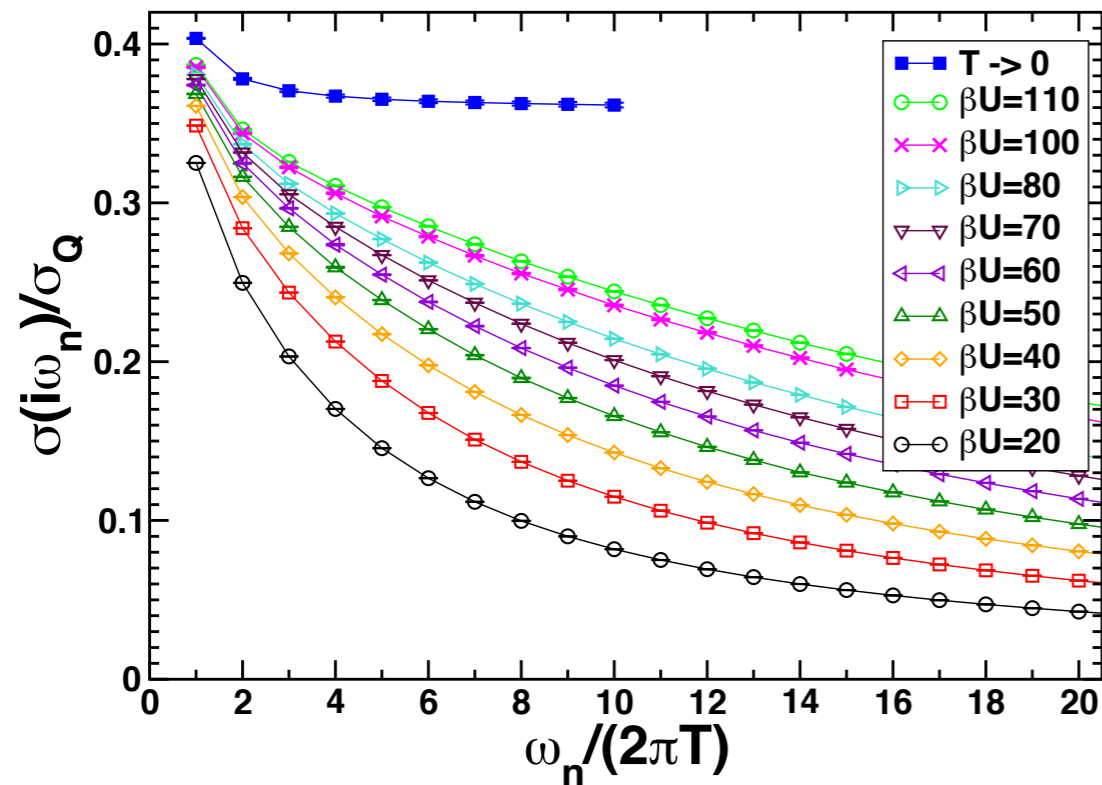
# Universal Conductivity in a Two-dimensional Superfluid-to-Insulator Quantum Critical System

Kun Chen, Longxiang Liu, Youjin Deng, Lode Pollet, Nikolay Prokof'ev

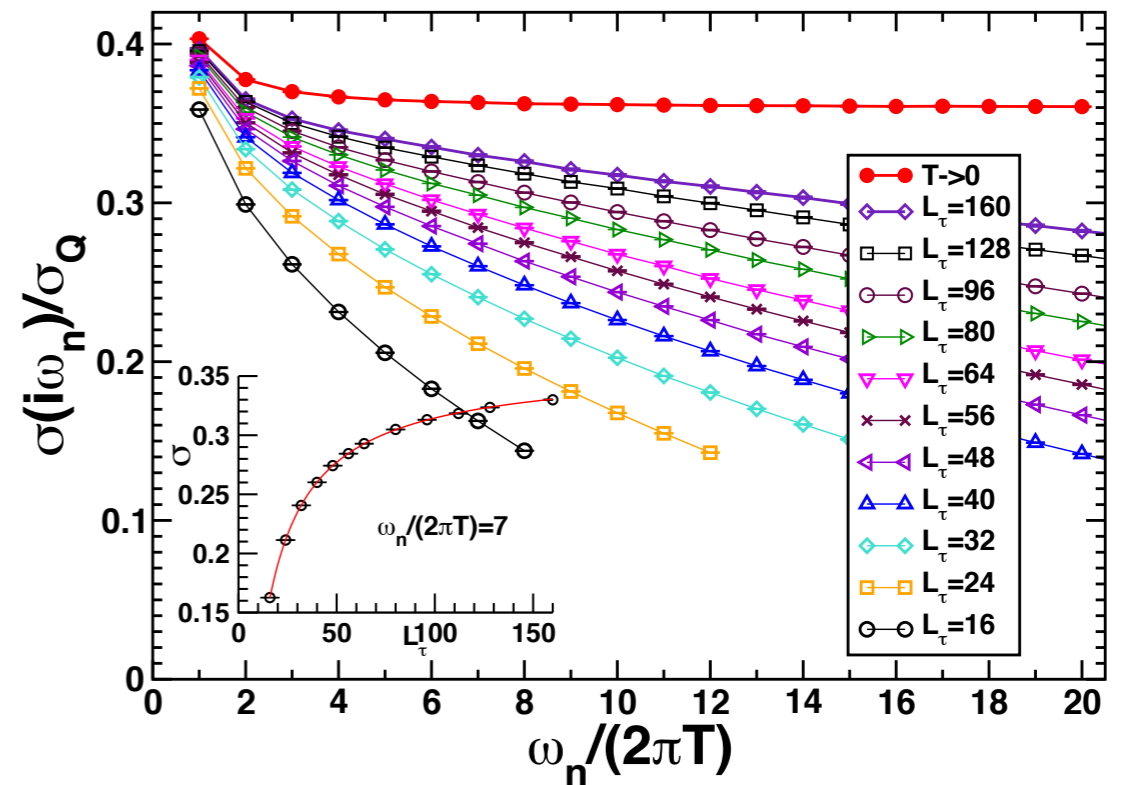
(Submitted on 22 Sep 2013)

We compute the universal conductivity of the (2+1)-dimensional XY universality class, which is realized for a superfluid-to-Mott insulator quantum phase transition at constant density. Based on large-scale Monte Carlo simulations of the classical (2+1)-dimensional  $J$ -current model and the two-dimensional Bose-Hubbard model, we can precisely determine the conductivity on the quantum critical plateau,  $\sigma(\infty) = 0.359(4)\sigma_Q$  with  $\sigma_Q$  the conductivity quantum. The universal conductivity is the schoolbook example of where the AdS/CFT correspondence from string theory can be tested and made to use. The shape of our  $\sigma(i\omega_n) - \sigma(\infty)$  function in the Matsubara representation is accurate enough for a conclusive comparison and establishes the particle-like nature of charge transport. We find that the holographic gauge/gravity duality theory for transport properties can be made compatible with the data if temperature of the horizon of the black brane is different from the temperature of the conformal field theory. The requirements for measuring the universal conductivity in a cold gas experiment are also determined by our calculation.

# Quantum Monte Carlo for lattice bosons



(a)



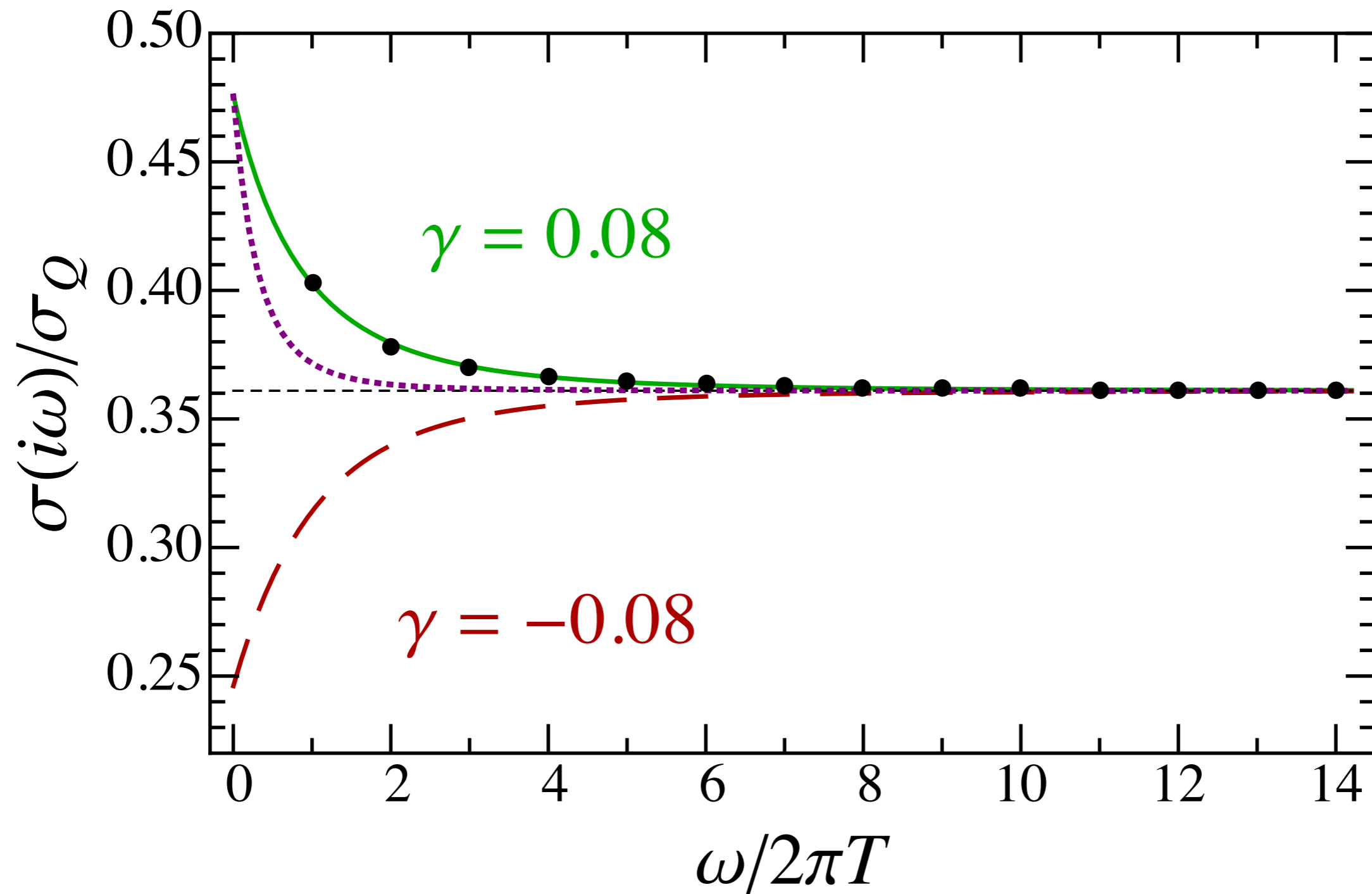
(b)

FIG. 2. **Quantum Monte Carlo data** (a) Finite-temperature conductivity for a range of  $\beta U$  in the  $L \rightarrow \infty$  limit for the quantum rotor model at  $(t/U)_c$ . The solid blue squares indicate the final  $T \rightarrow 0$  extrapolated data. (b) Finite-temperature conductivity in the  $L \rightarrow \infty$  limit for a range of  $L_\tau$  for the Villain model at the QCP. The solid red circles indicate the final  $T \rightarrow 0$  extrapolated data. The inset illustrates the extrapolation to  $T = 0$  for  $\omega_n/(2\pi T) = 7$ . The error bars are statistical for both a) and b).

W. Witczak-Krempa, E. Sorensen, and S. Sachdev, arXiv:1309.2941

See also K. Chen, L. Liu, Y. Deng, L. Pollet, and N. Prokof'ev, arXiv:1309.5635

# AdS<sub>4</sub> theory of quantum criticality

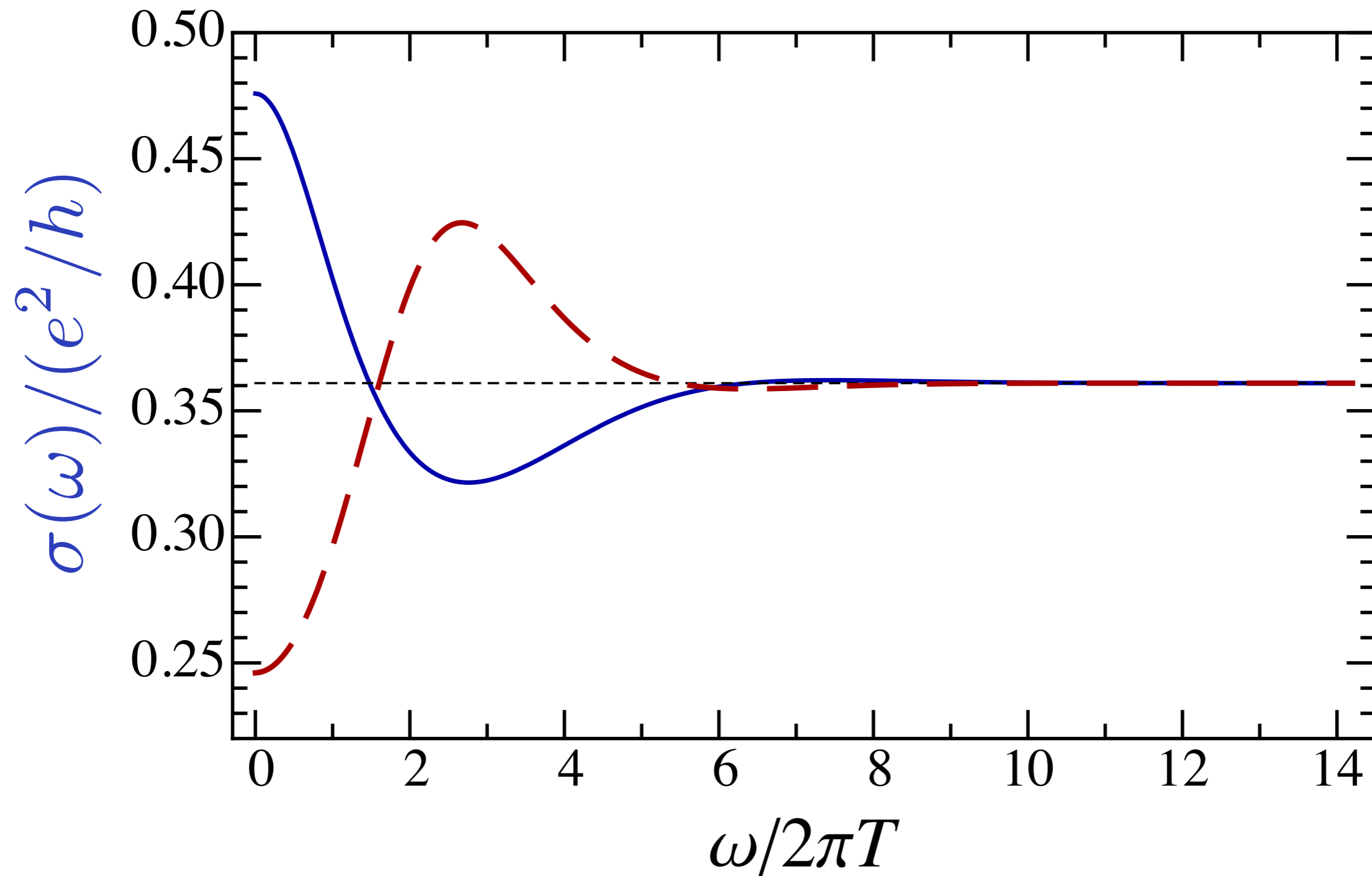


Good agreement between high precision Monte Carlo for imaginary frequencies, and holographic theory after rescaling effective  $T$  and taking  $\sigma_Q = 1/g_M^2$ .

W. Witczak-Krempa, E. Sorensen, and S. Sachdev, arXiv:1309.2941

See also K. Chen, L. Liu, Y. Deng, L. Pollet, and N. Prokof'ev, arXiv:1309.5635

# AdS<sub>4</sub> theory of quantum criticality



Predictions of holographic theory,  
after analytic continuation to real frequencies

W. Witczak-Krempa, E. Sorensen, and S. Sachdev, arXiv:1309.2941

See also K. Chen, L. Liu, Y. Deng, L. Pollet, and N. Prokof'ev, arXiv:1309.5635

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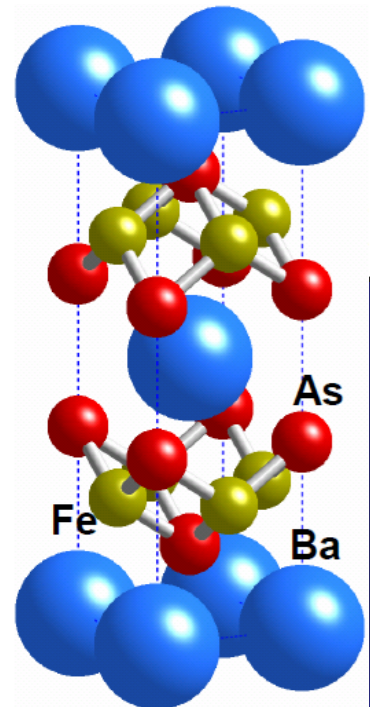
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*(Conformal field theories in  $2+1$  dimensions)*

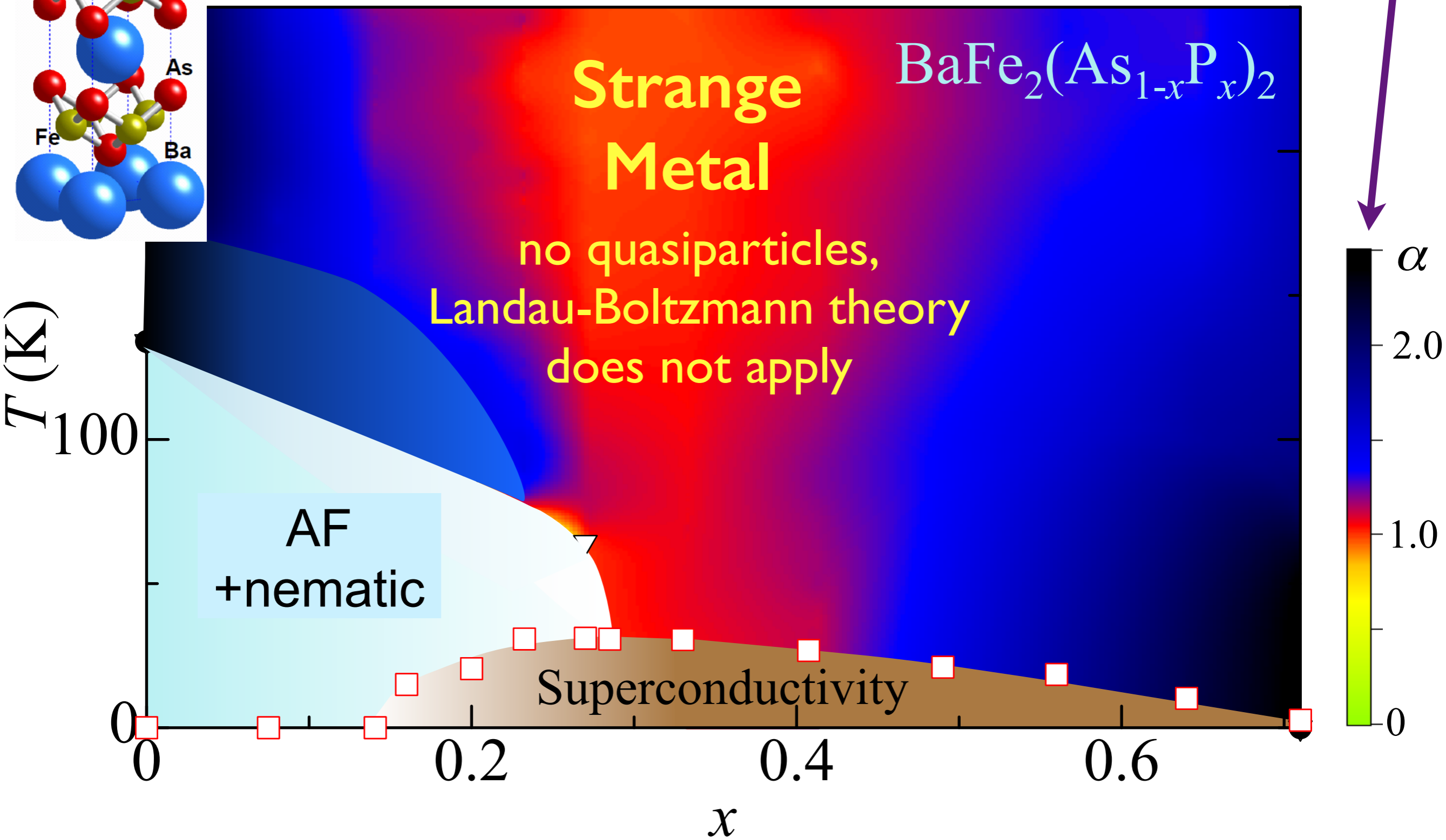
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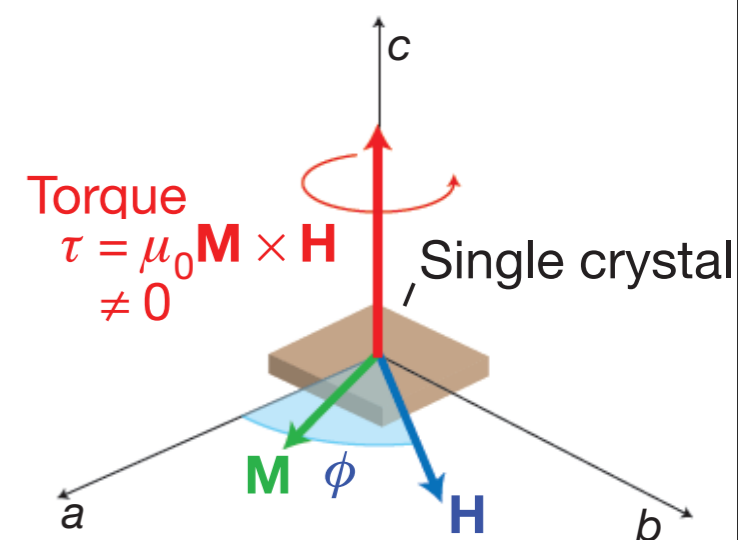
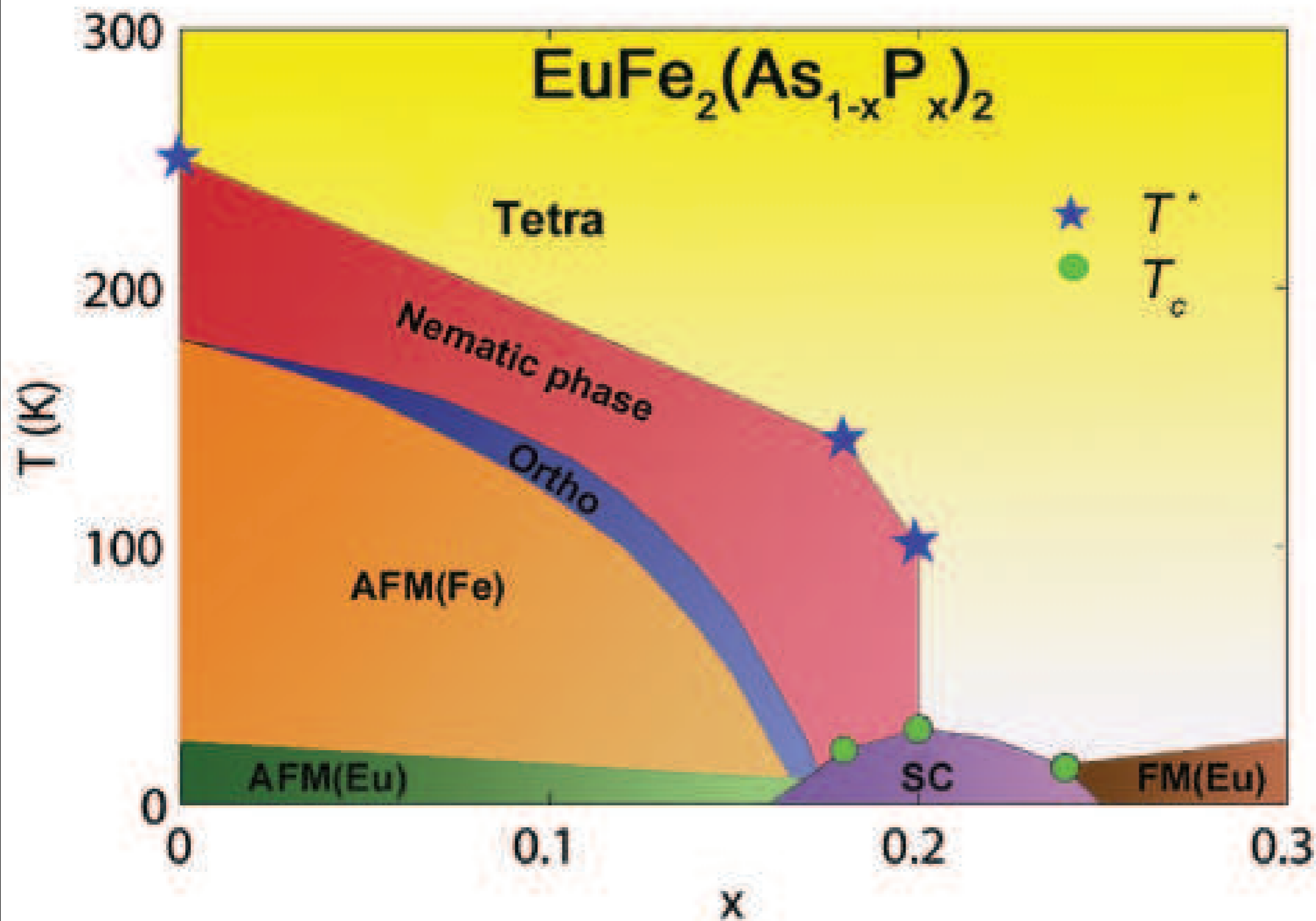


Resistivity  
 $\sim \rho_0 + AT^\alpha$

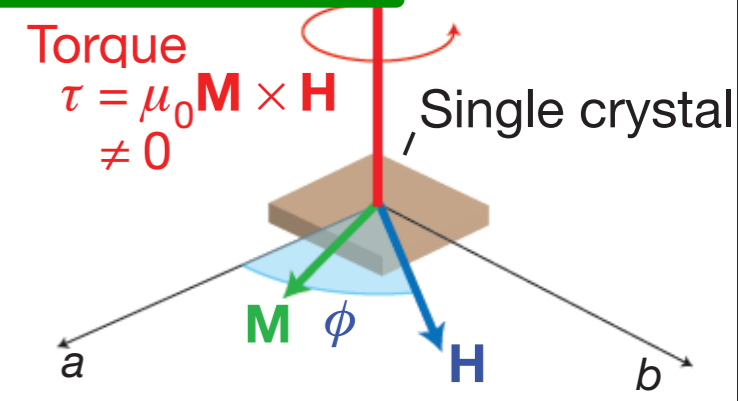
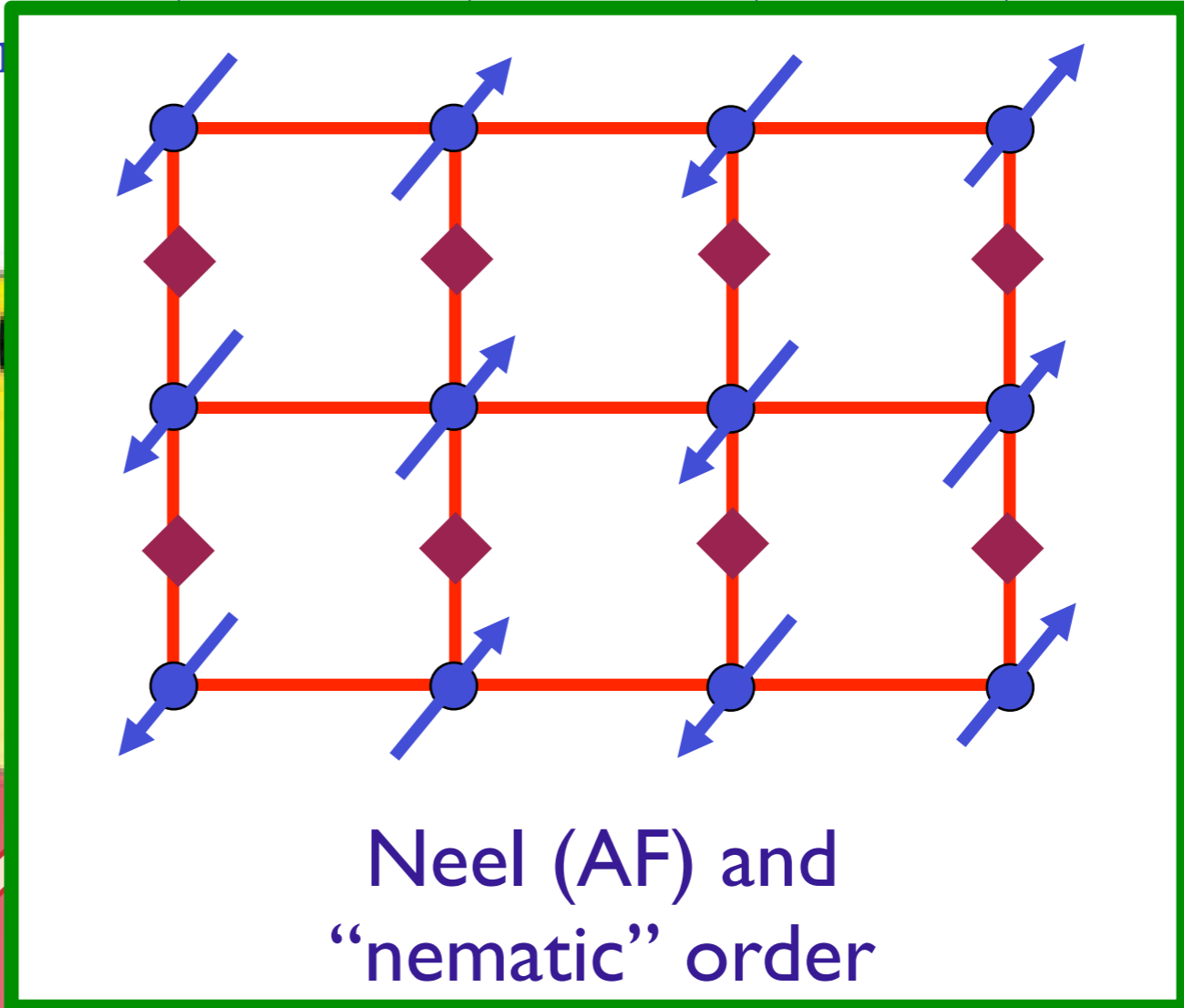
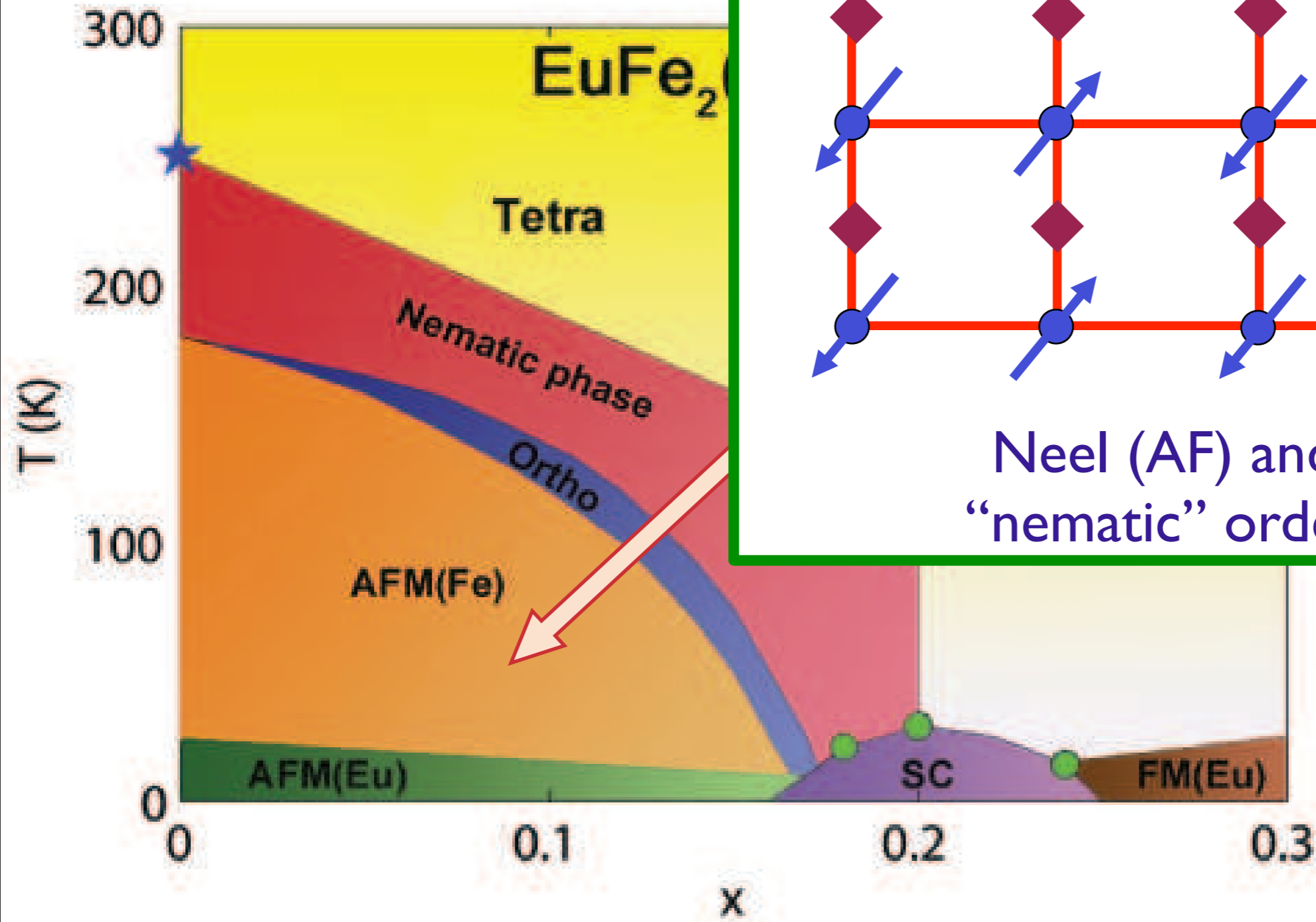


S. Kasahara, T. Shibauchi, K. Hashimoto, K. Ikada, S. Tonegawa, R. Okazaki, H. Shishido, H. Ikeda, H. Takeya, K. Hirata, T. Terashima, and Y. Matsuda, *Physical Review B* **81**, 184519 (2010)

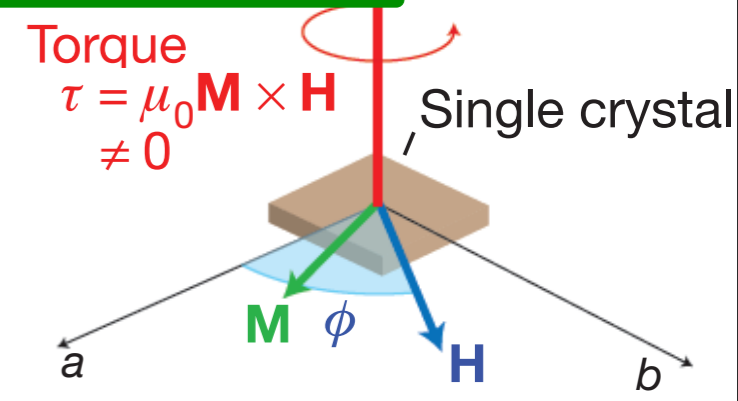
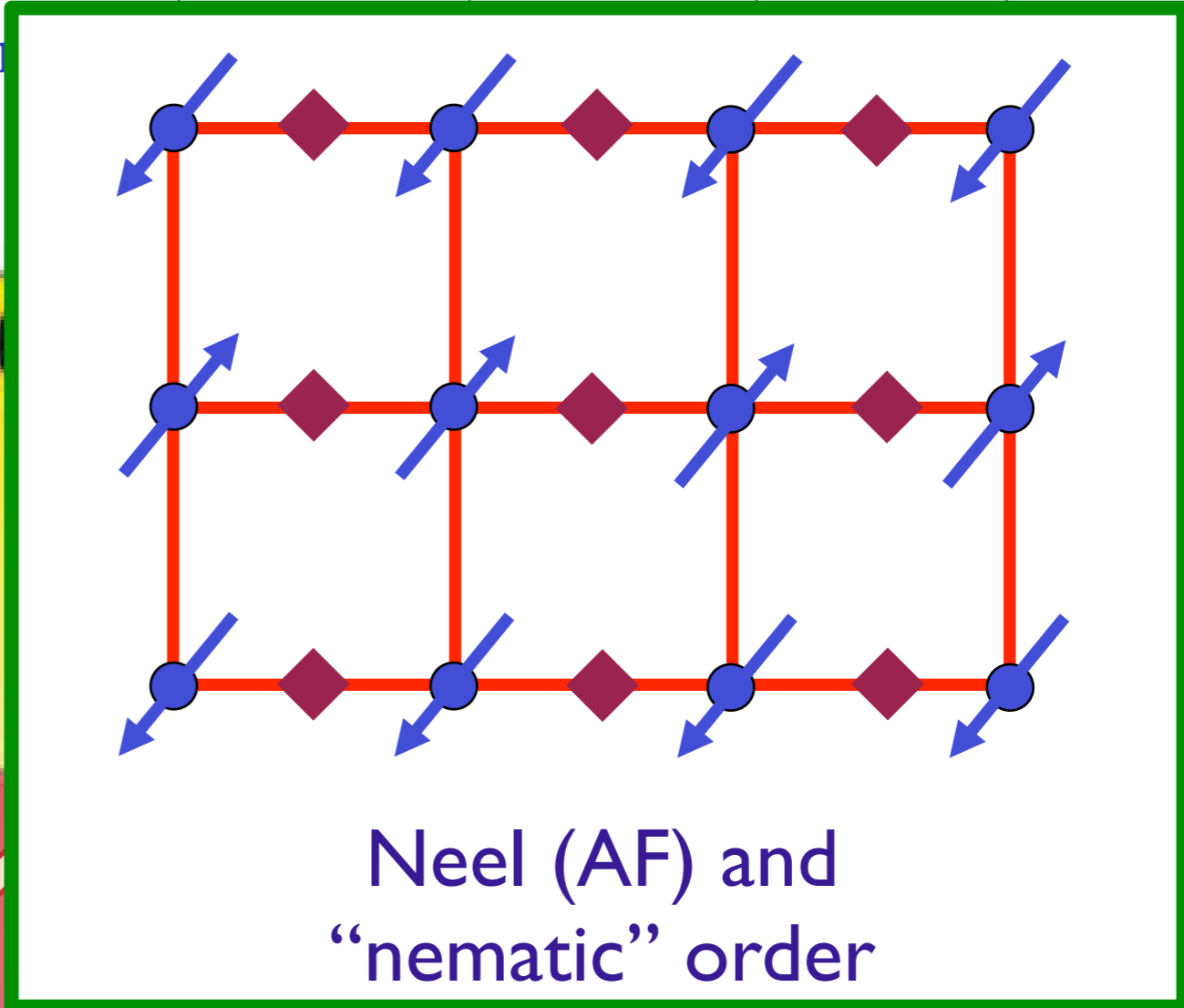
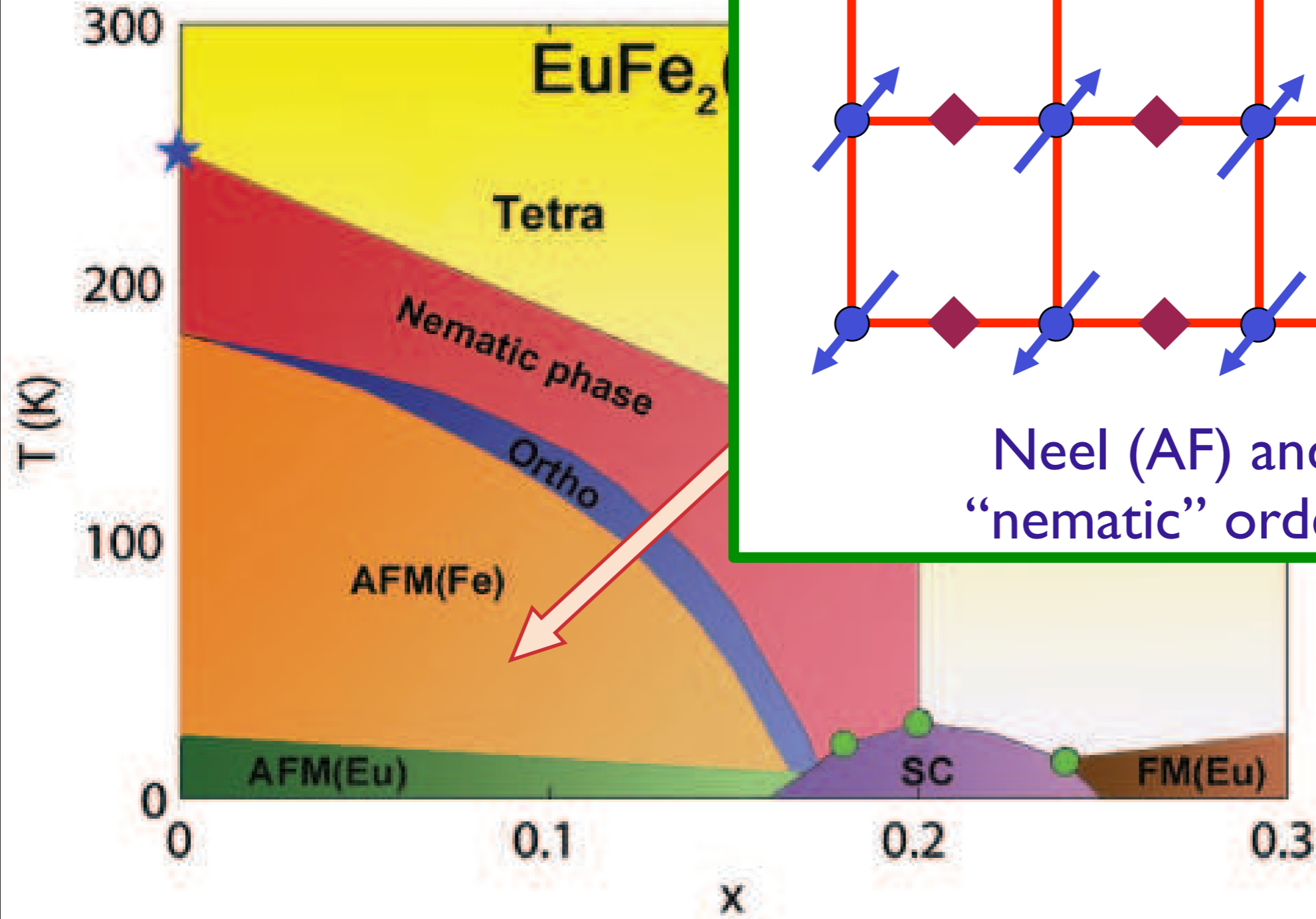
Xiaofeng Xu, W. H. Jiao, N. Zhou, Y. K. Li, B. Chen, C. Cao, Jianhui Dai,  
 A. F. Bangura, and Guanghan Cao, arXiv:1402.4124



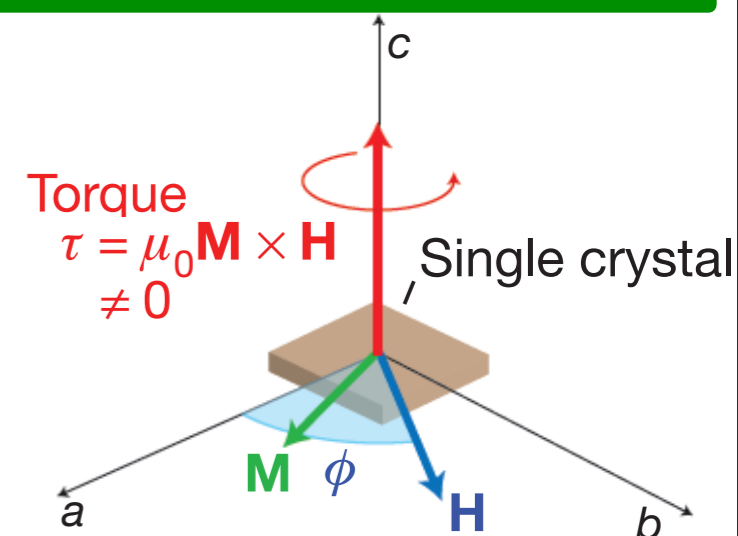
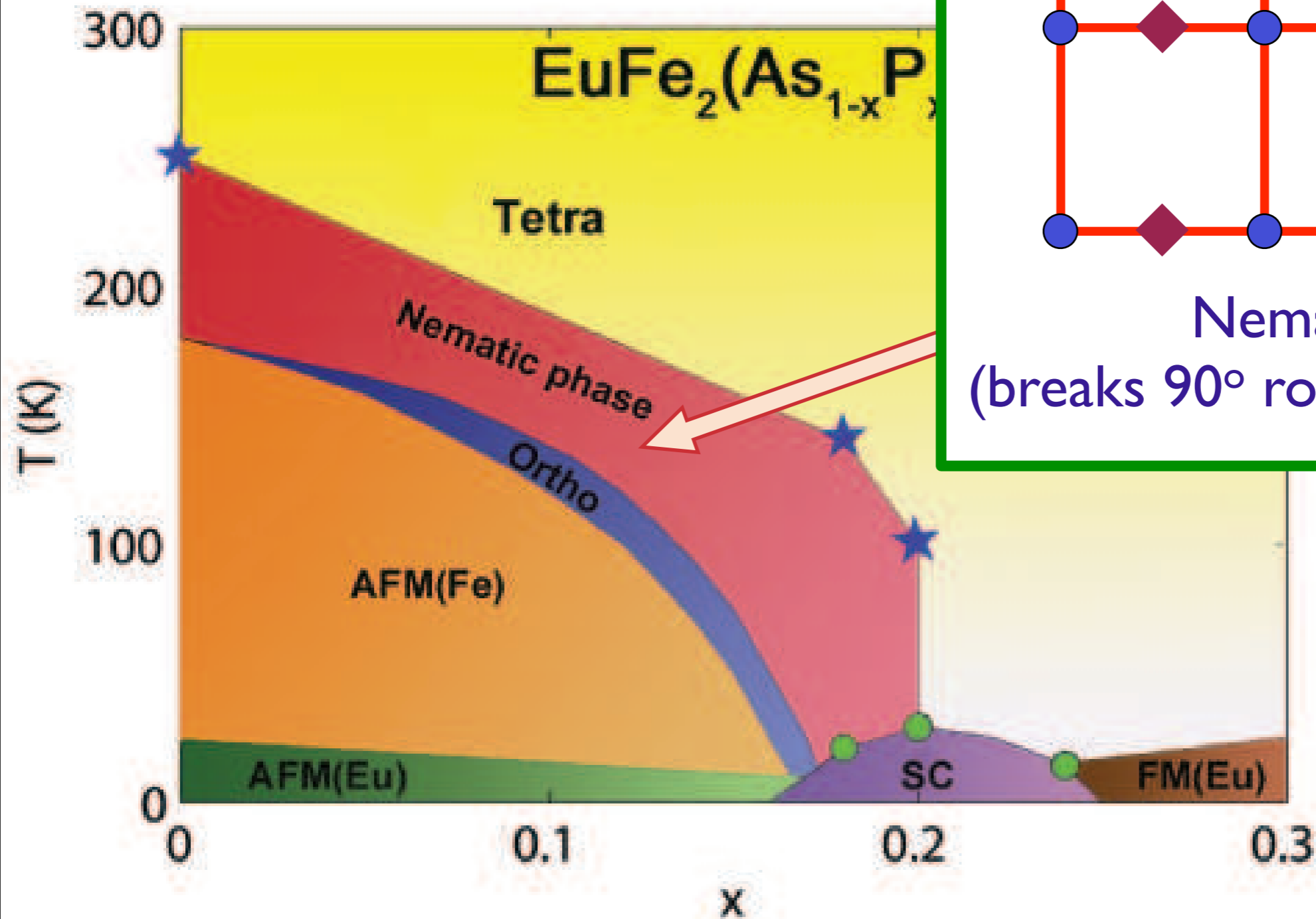
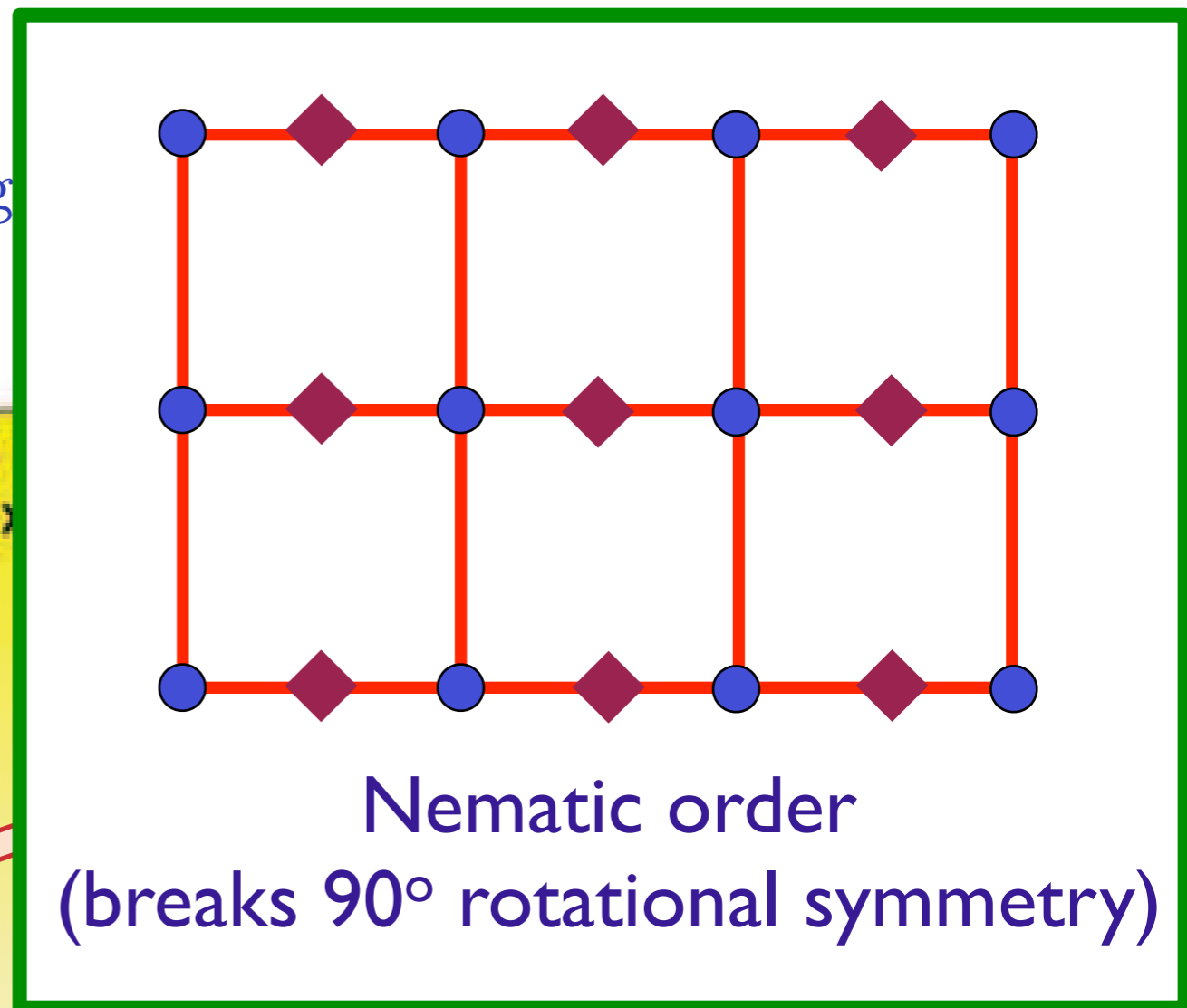
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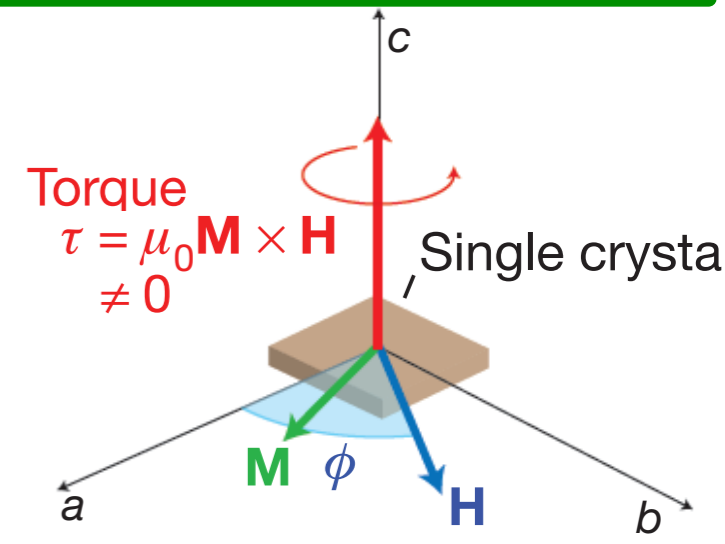
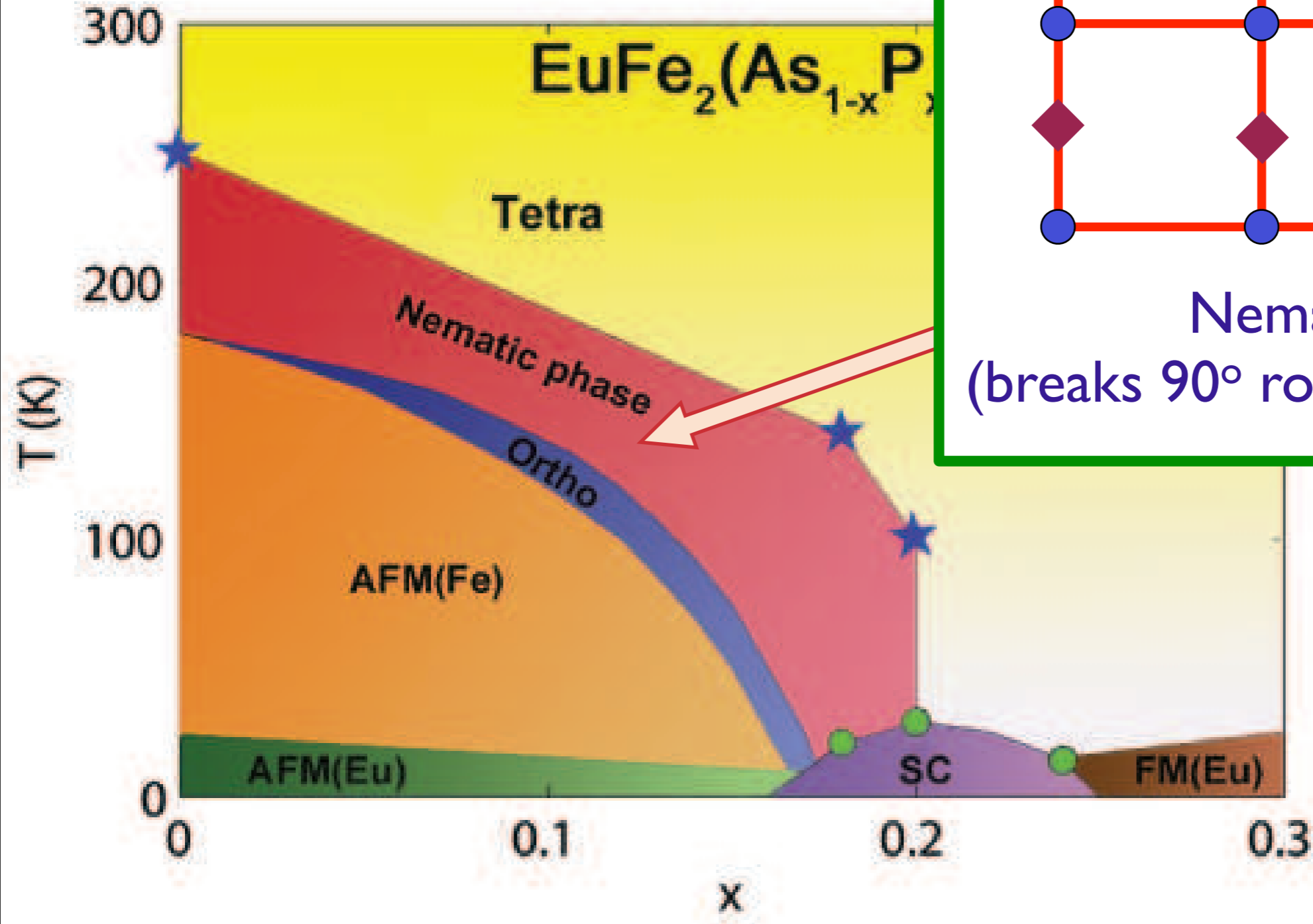
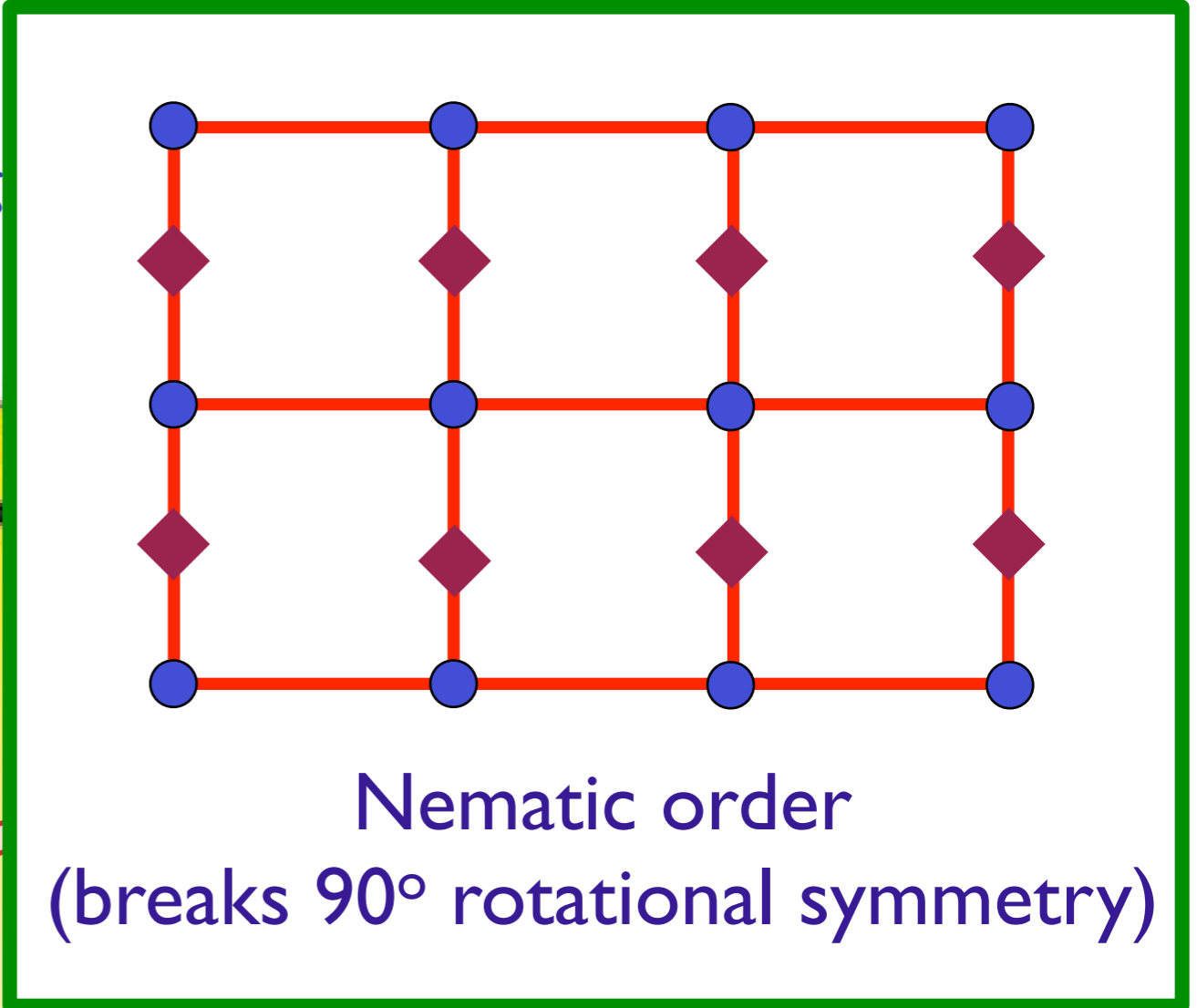
Xiaofeng Xu, W. H. Jiao, N. Zhou, Y. K. Li, B. Chen, C. Cao, Jianhui Dai, A. F. Bangura, et al.



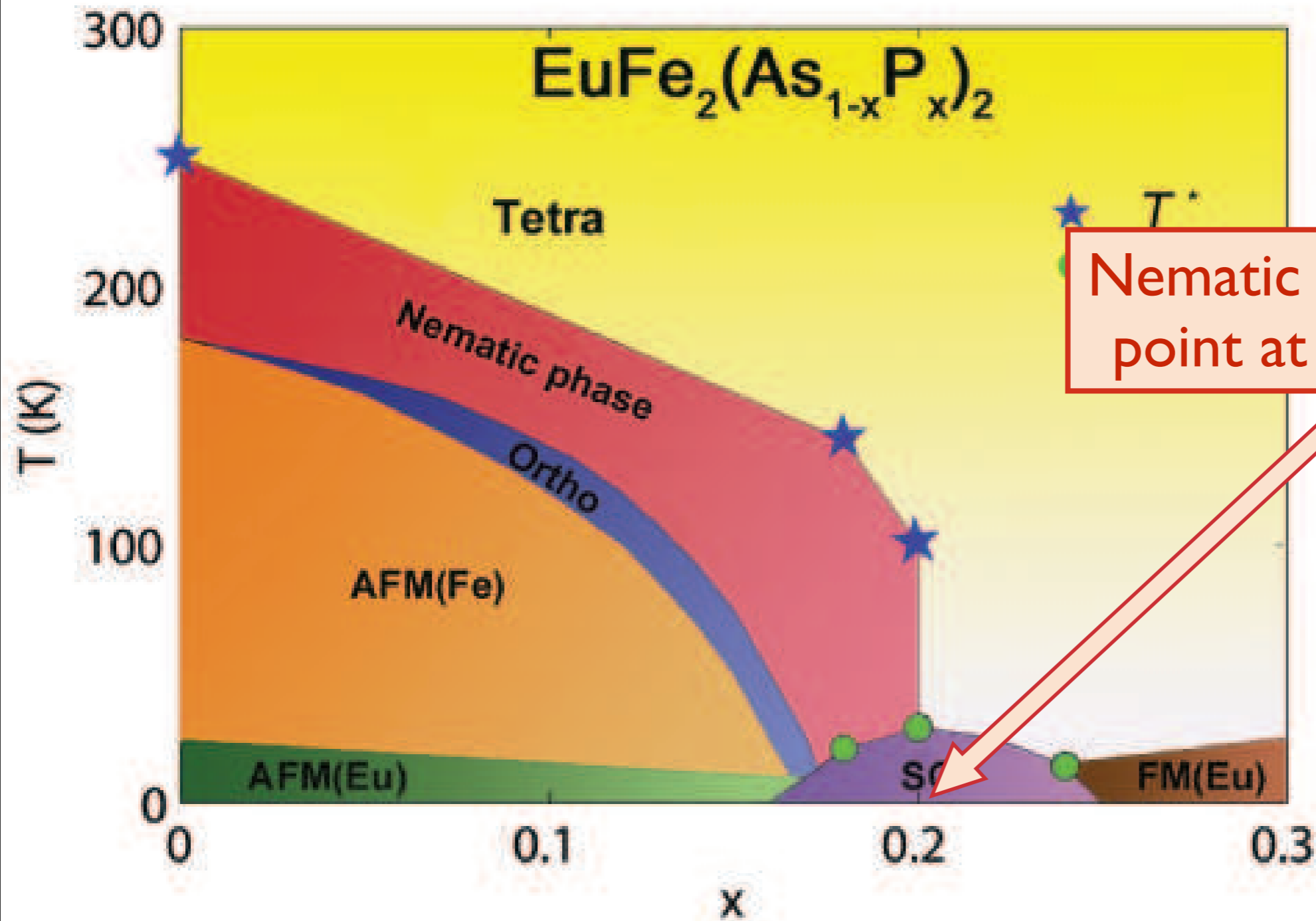
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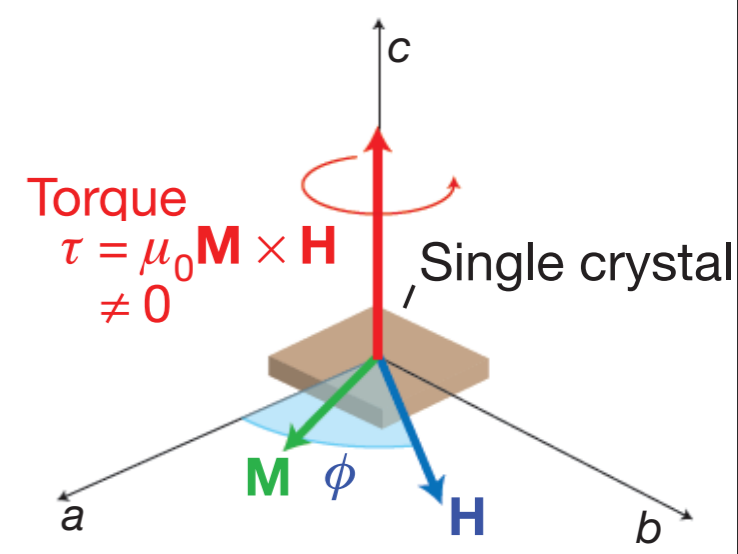
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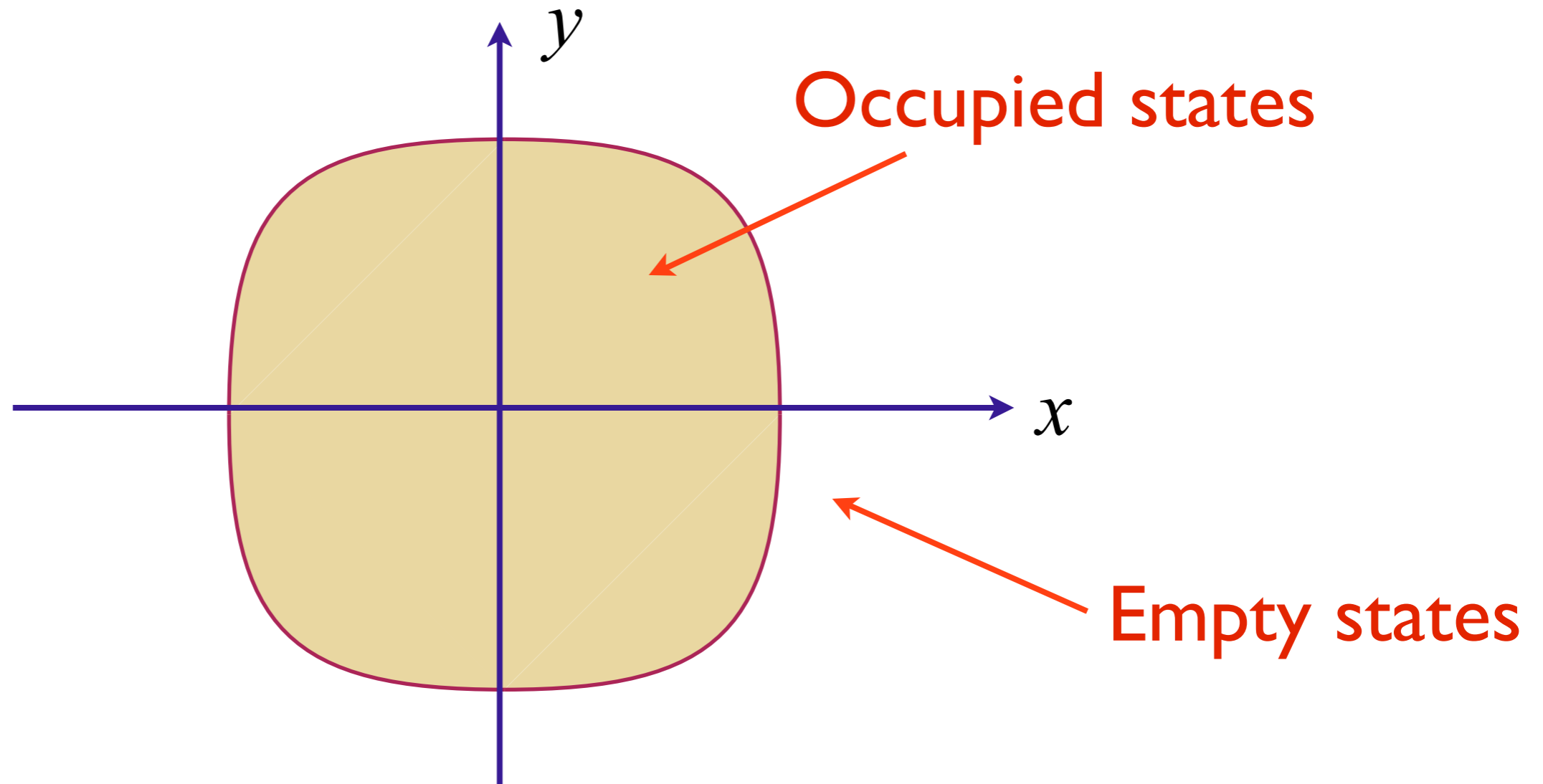
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Nematic quantum critical point at optimal doping

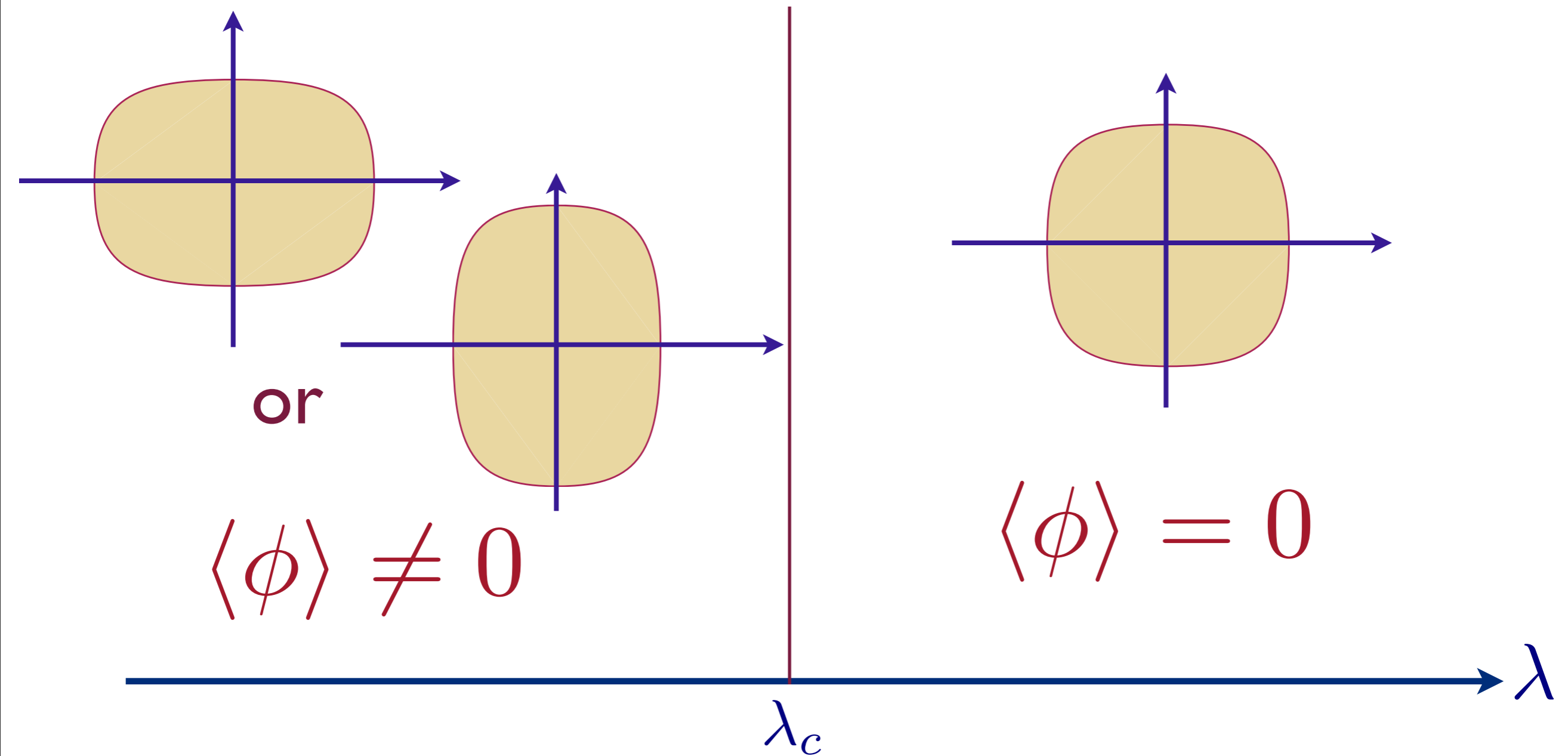


# Quantum criticality of Ising-nematic ordering in a metal



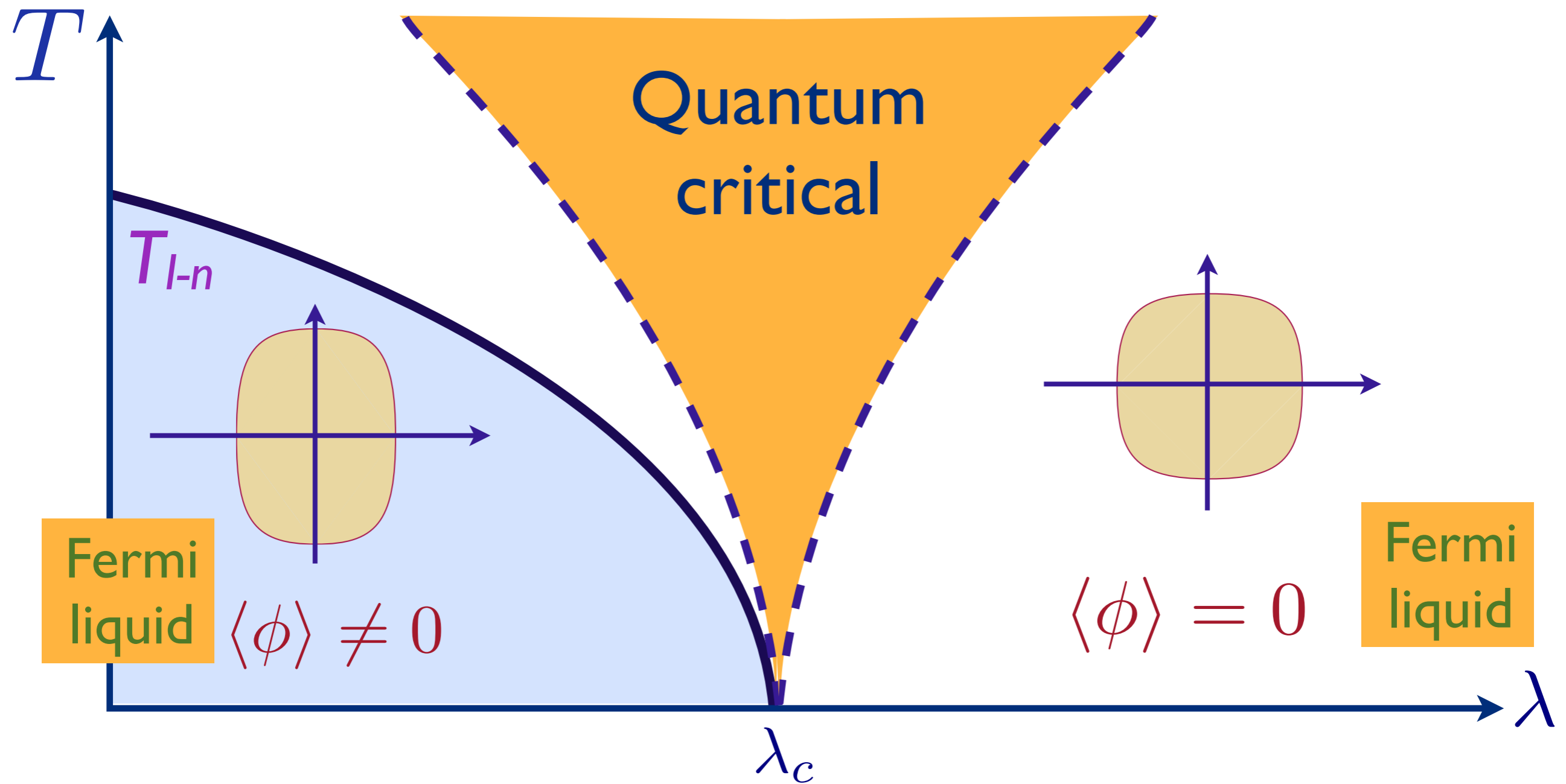
A metal with a Fermi surface  
with full square lattice symmetry

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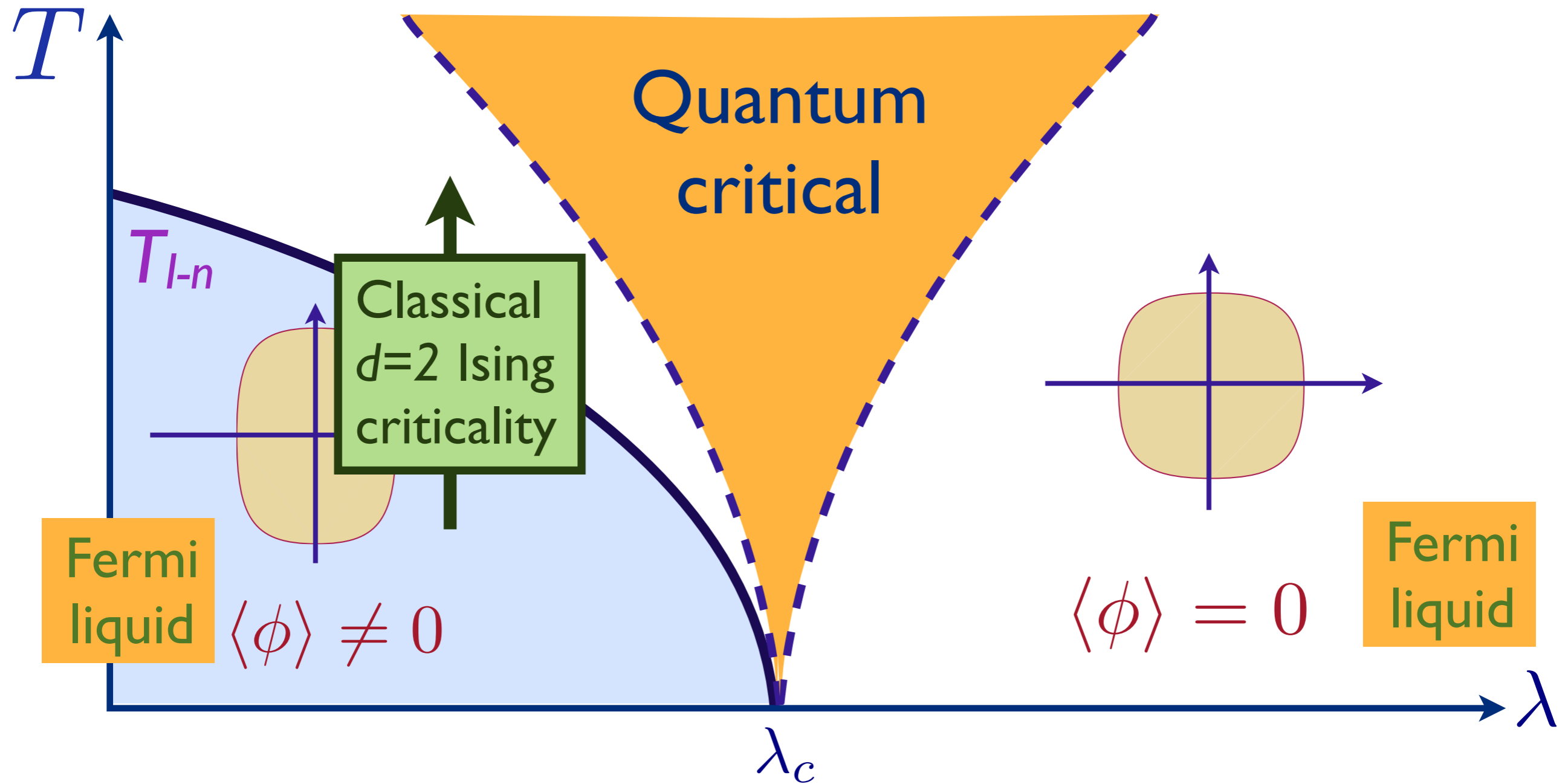
Pomeranchuk instability as a function of coupling  $\lambda$

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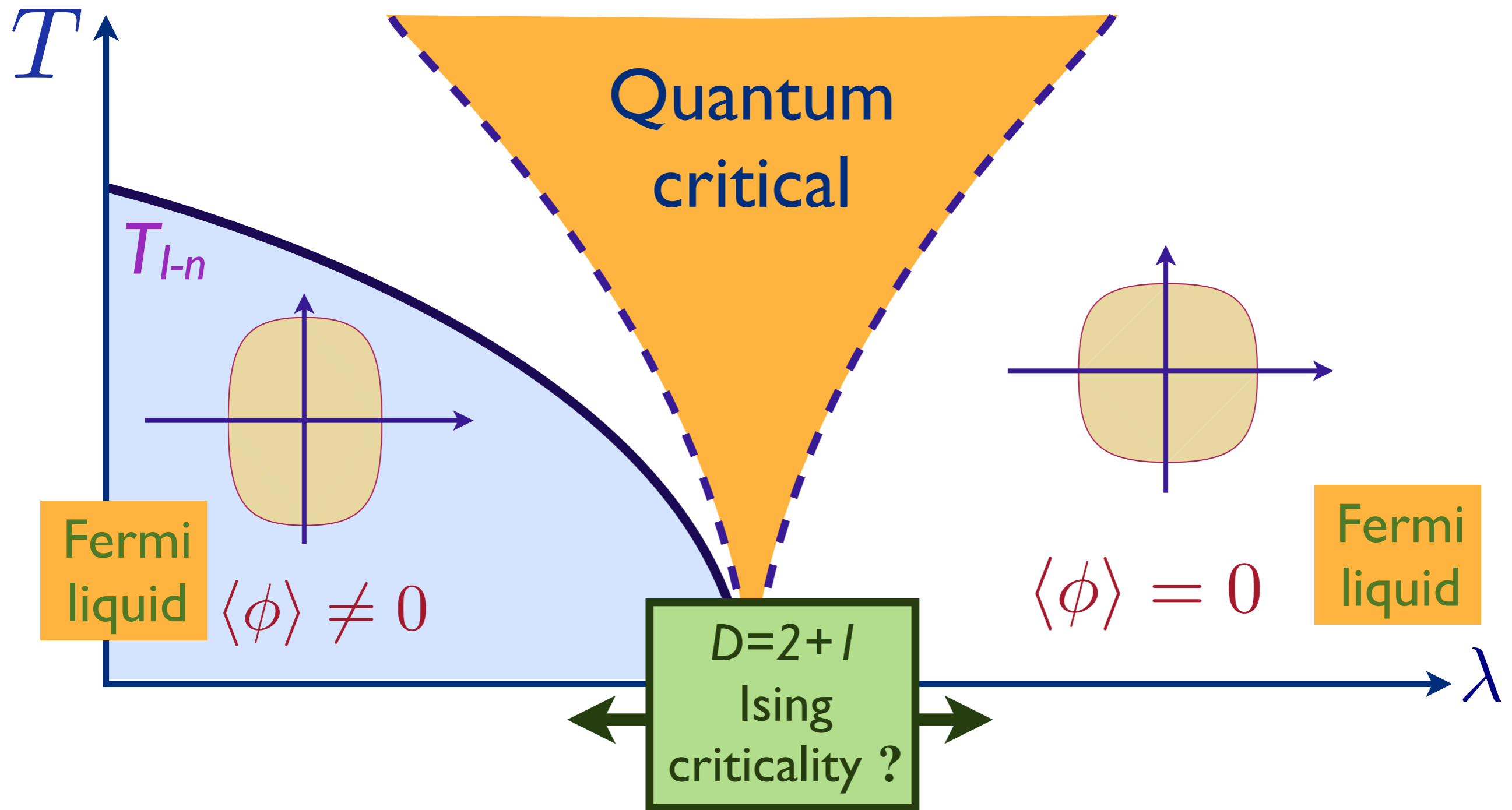
Phase diagram as a function of  $T$  and  $\lambda$

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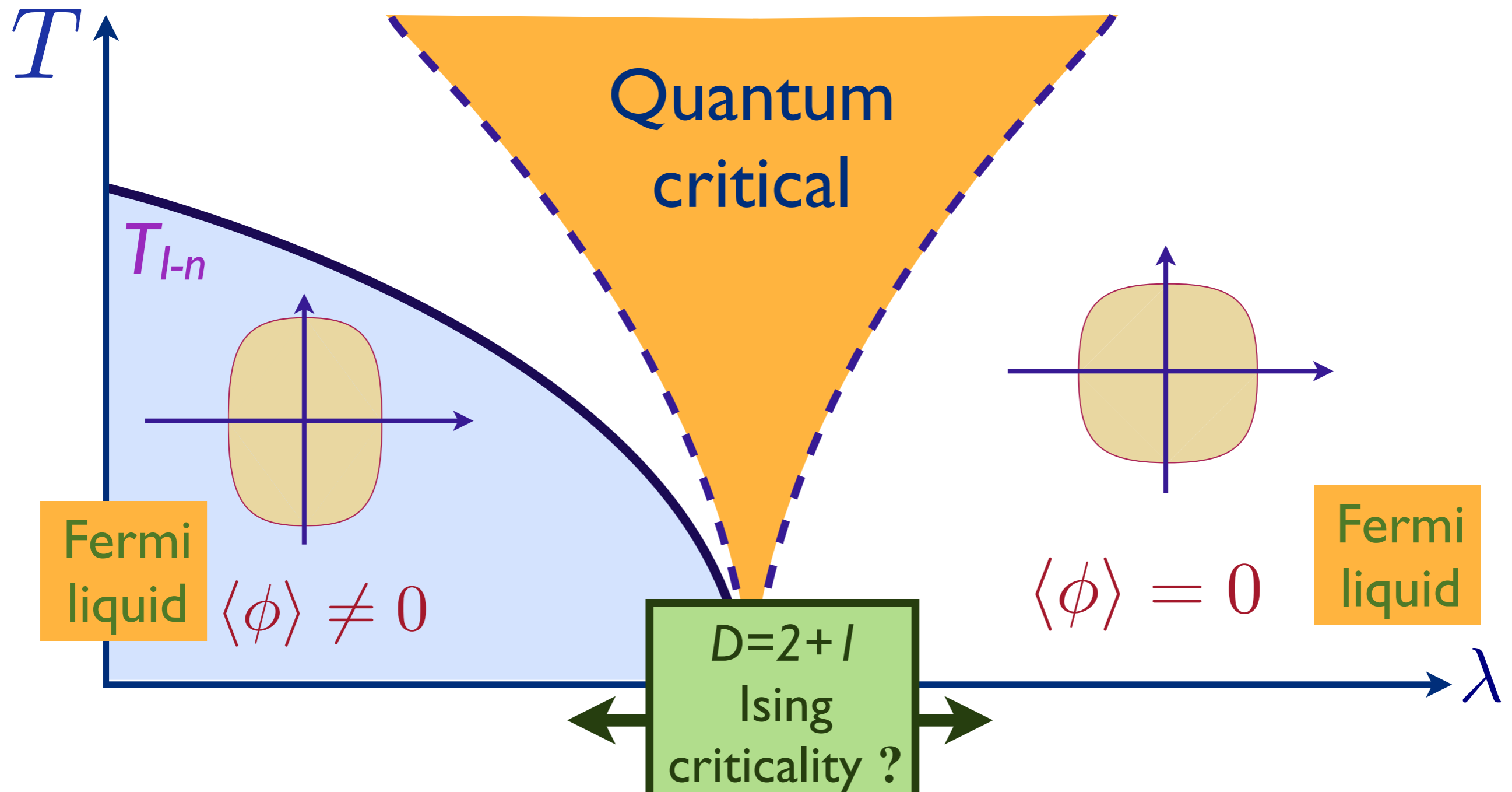
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Phase diagram as a function of  $T$  and  $\lambda$

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Only at higher energies; at the lowest energy bosonic  $\phi$  fluctuations are strongly coupled to fermionic excitations near Fermi surface.

Phase diagram as a function of  $T$  and  $\lambda$

# Quantum criticality of Ising-nematic ordering in a metal

The “standard model”:

$$\mathcal{S}_\phi = \int d^2r d\tau [(\partial_\tau \phi)^2 + c^2 (\nabla \phi)^2 + (\lambda - \lambda_c) \phi^2 + u \phi^4]$$

$$\mathcal{S}_c = \sum_{\alpha=1}^{N_f} \sum_{\mathbf{k}} \int d\tau c_{\mathbf{k}\alpha}^\dagger (\partial_\tau + \varepsilon_{\mathbf{k}}) c_{\mathbf{k}\alpha}$$

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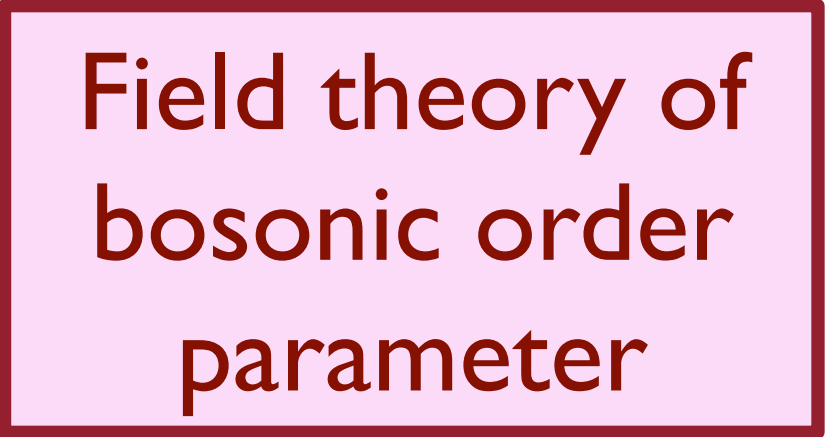
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Field theory of  
bosonic order  
parameter

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Electrons with a  
Fermi surface:  $\varepsilon_{\mathbf{k}} =$   
 $-2t(\cos k_x + \cos k_y) - \mu \dots$

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“Yukawa”  
coupling  
between bosons  
and fermions

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## Boltzmann view of electrical transport:

- Identify charge carriers: electrons near the Fermi surface. Compute the scattering rate of these charged excitations off the bosonic  $\phi$  fluctuations.

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- Analogous to electron-phonon scattering in metals, where we have “Bloch’s law”: a resistivity  $\rho(T) \sim T^5$ .
- “Bloch’s law” for the Ising-nematic critical point yields  $\rho(T) \sim T^{4/3}$ .

# Quantum criticality of Ising-nematic ordering in a metal

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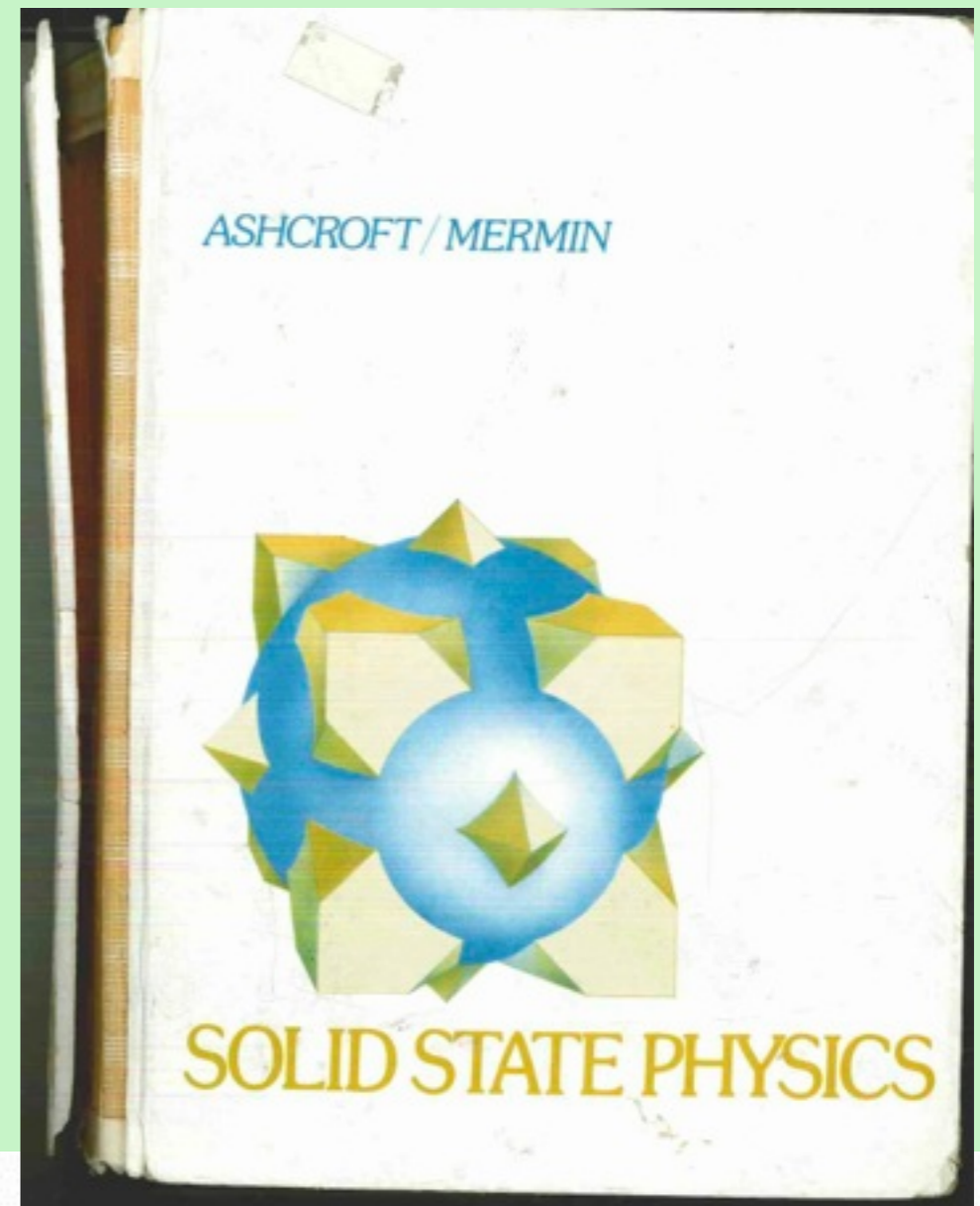
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### PHONON DRAG

Peierls<sup>28</sup> pointed out a way in which the low temperature resistivity might decline more rapidly than  $T^5$ .

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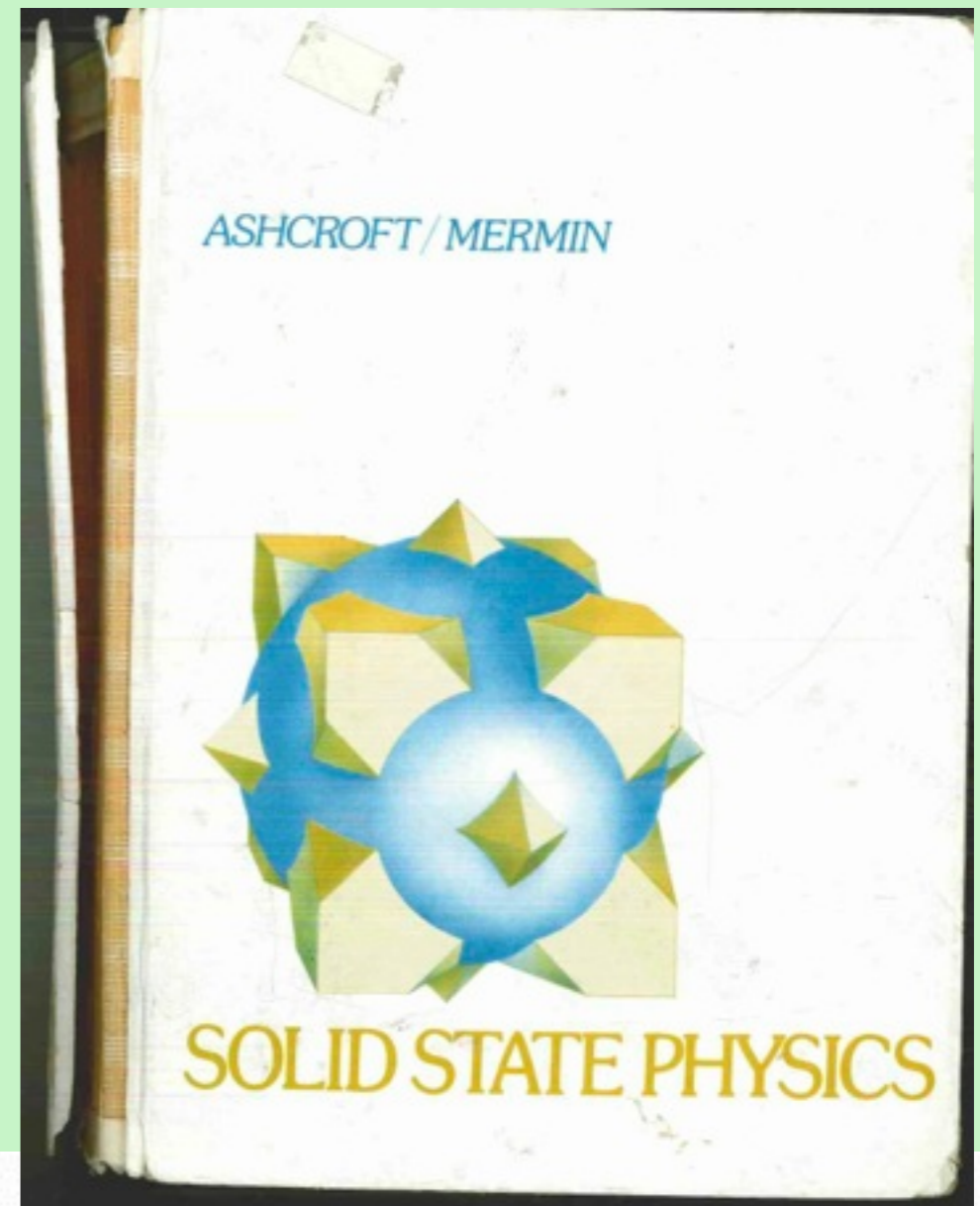
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$$\mathcal{S}_{\phi c} = -g \int d^2r d\tau \sum_{\alpha=1}^{N_f} \phi \left[ c_\alpha^\dagger \{ (\partial_x^2 - \partial_y^2 + \dots) c_\alpha \} \right. \\ \left. + \{ (\partial_x^2 - \partial_y^2 + \dots) c_\alpha^\dagger \} c_\alpha \right]$$

This continuum theory has strong electron- $\phi$  scattering, and no quasi-particle excitations. But it has a conserved momentum  $\mathbf{P}$ , and  $\chi_{\mathbf{J}, \mathbf{P}} \neq 0$  (“phonon drag”), and so the resistivity  $\rho(T) = 0$ .

# Quantum criticality of Ising-nematic ordering in a metal

## Transport without quasiparticles:

- Focus on the interplay between  $J_\mu$  and  $T_{\mu\nu}$  !



The most-probable state with a non-zero current  $\mathbf{J}$  has a non-zero momentum  $\mathbf{P}$  (and vice versa).

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At non-zero density,  $\mathbf{J}$  “drags”  $\mathbf{P}$ .

The resistivity of this metal is *not* determined by the scattering rate of charged excitations near the Fermi surface, but by the dominant rate of momentum loss by *any* excitation, whether neutral or charged, or fermionic or bosonic

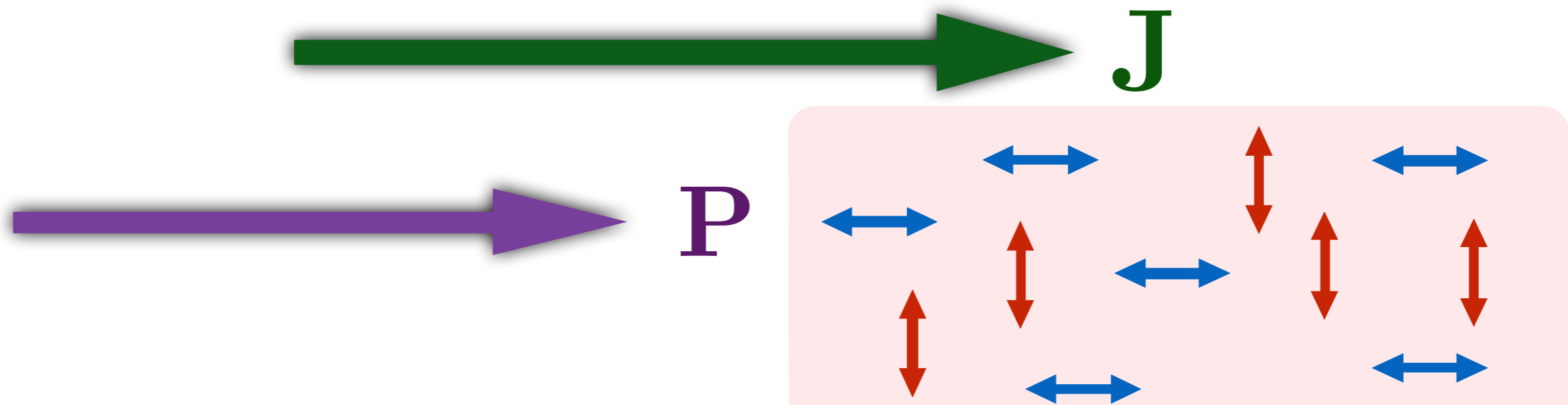
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S. A. Hartnoll, R. Mahajan, M. Punk and S. Sachdev, arXiv:1401.7012.

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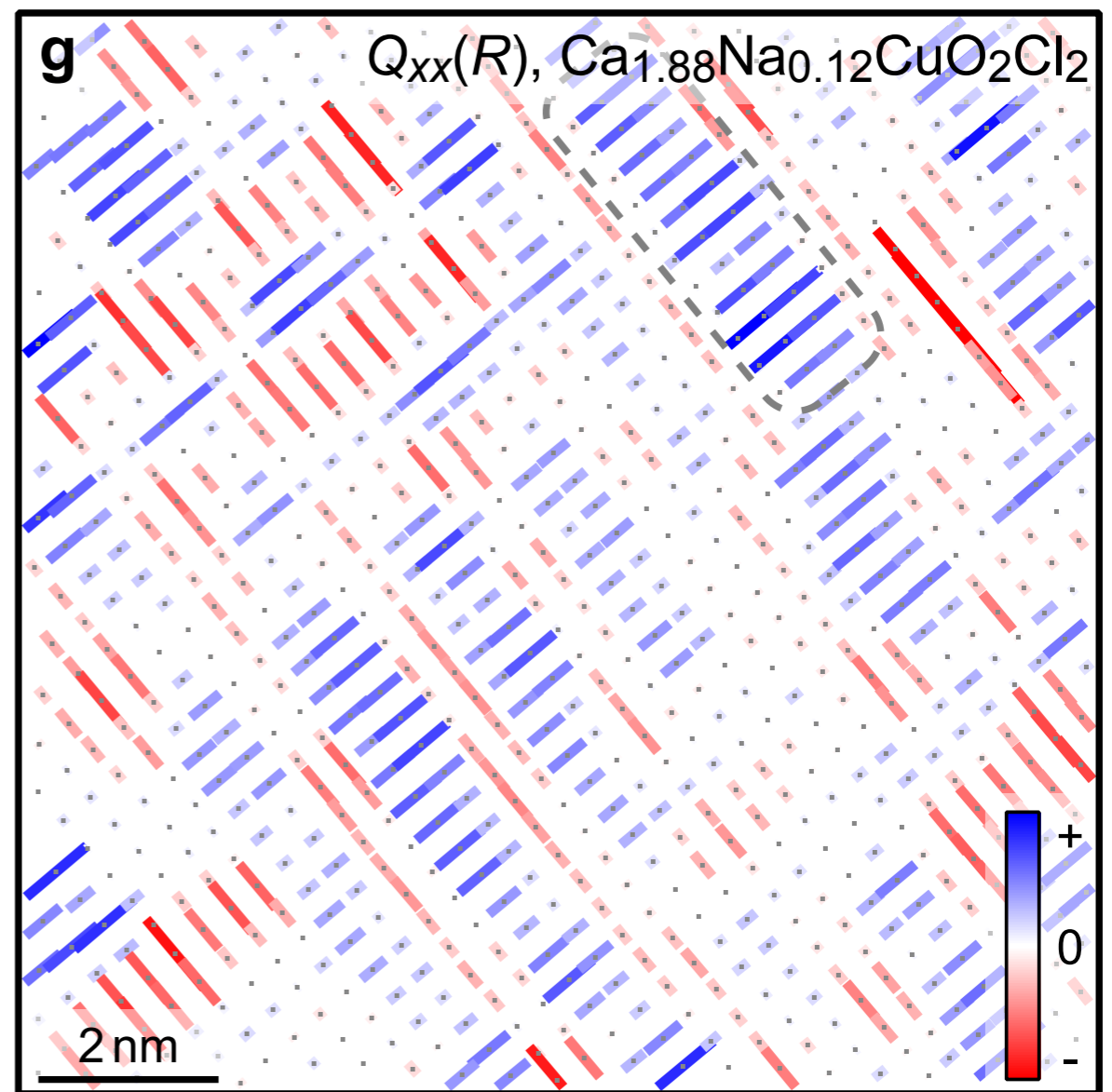
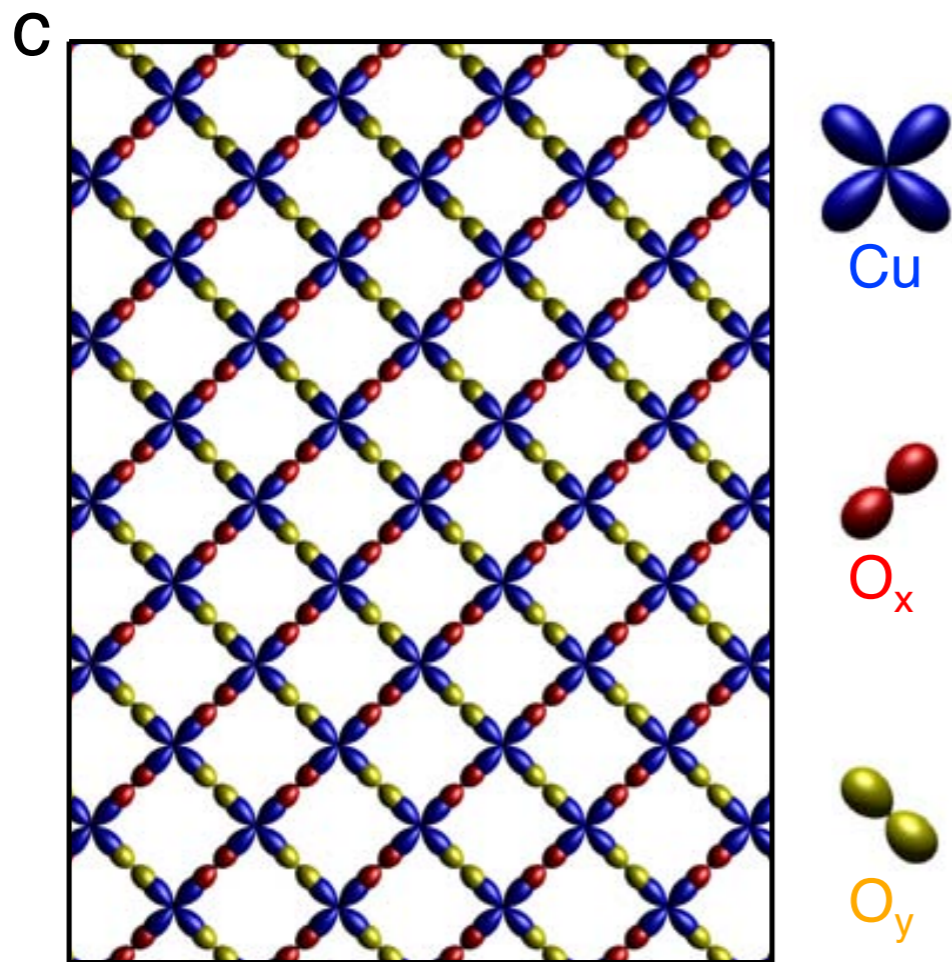


The momentum loss via scattering of charged fermionic excitations near the Fermi surface off impurities yields a resistivity (via the memory function approach) in agreement with earlier computations from the Kubo formula. **The dominant momentum loss occurs via the scattering of the neutral bosonic  $\phi$  excitations off random fields.** This is good news for the AdS/CFT approaches, which do not capture the Fermi surface of most of the charged carriers.

$$\rho(T) \sim h_0^2 T^{(d-z+\eta)/z}$$

# Visualization of the emergence of the pseudogap state and the evolution to superconductivity in a lightly hole-doped Mott insulator

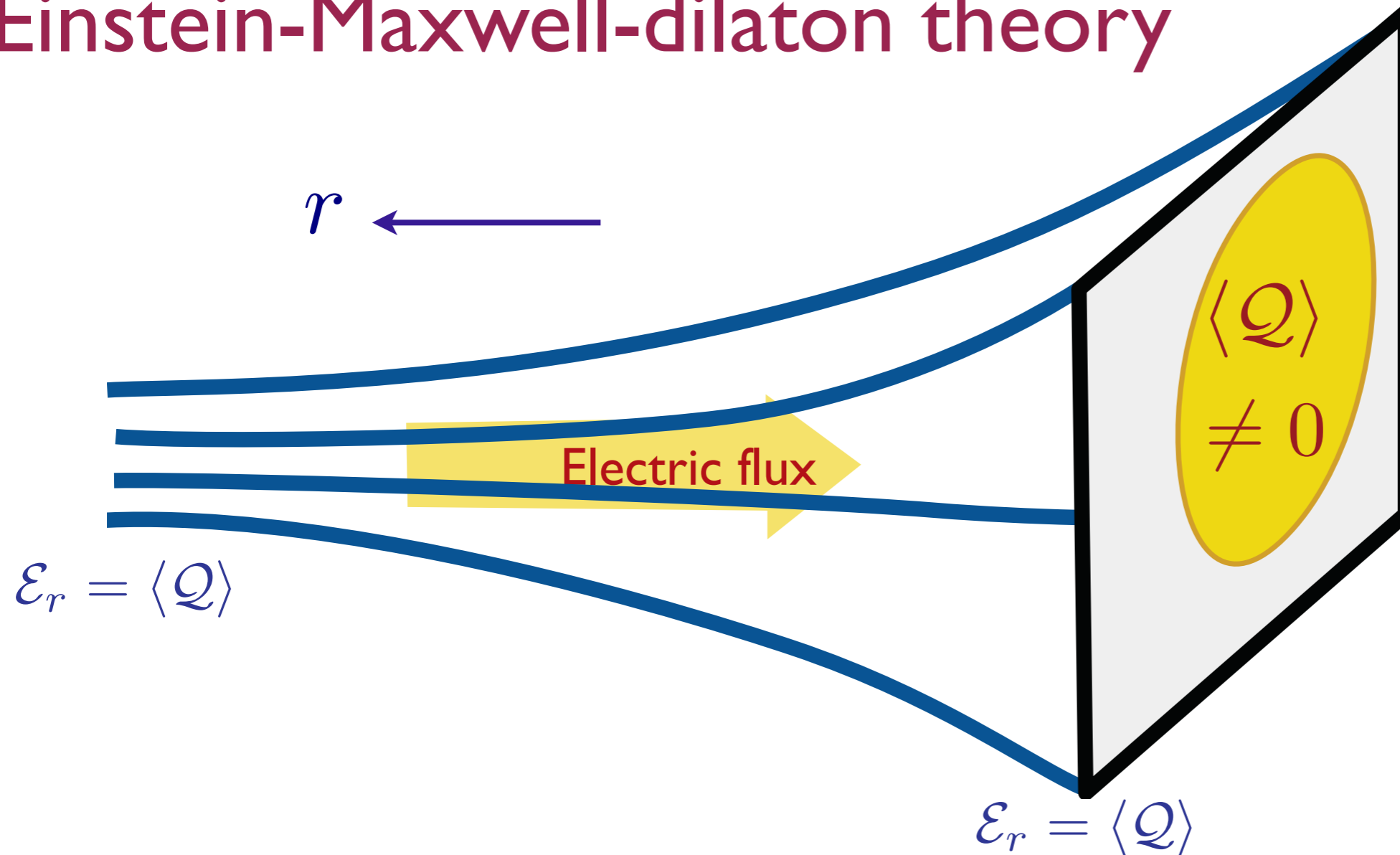
Y. Kohsaka, T. Hanaguri, M. Azuma, M. Takano, J. C. Davis, and H. Takagi  
*Nature Physics*, 8, 534 (2012).



Evidence for “nematic” order (*i.e.* breaking of  $90^\circ$  rotation symmetry) in  $\text{Ca}_{1.88}\text{Na}_{0.12}\text{CuO}_2\text{Cl}_2$ .

# Holography of a non-Fermi liquid

## Einstein-Maxwell-dilaton theory



$$\mathcal{S} = \int d^{d+2}x \sqrt{-g} \left[ \frac{1}{2\kappa^2} \left( R - 2(\nabla\Phi)^2 - \frac{V(\Phi)}{L^2} \right) - \frac{Z(\Phi)}{4e^2} F_{ab}F^{ab} \right]$$

with  $Z(\Phi) = Z_0 e^{\alpha\Phi}$ ,  $V(\Phi) = -V_0 e^{-\beta\Phi}$ , as  $\Phi \rightarrow \infty$ .

C. Charmousis, B. Gouteraux, B. S. Kim, E. Kiritsis and R. Meyer, JHEP **1011**, 151 (2010).

S. S. Gubser and F. D. Rocha, Phys. Rev. D **81**, 046001 (2010).

N. Iizuka, N. Kundu, P. Narayan and S. P. Trivedi, arXiv:1105.1162 [hep-th].

# Holography of a non-Fermi liquid

$$ds^2 = \frac{1}{r^2} \left( -\frac{dt^2}{r^{2d(z-1)/(d-\theta)}} + r^{2\theta/(d-\theta)} dr^2 + dx_i^2 \right)$$

The  $r \rightarrow \infty$  limit of the metric of the Einstein-Maxwell-dilaton (EMD) theory has the most general form with  $\theta = d^2\beta/(\alpha + (d-1)\beta)$  and  $z = 1 + \theta/d + 8(d(d-\theta) + \theta)^2/(d^2(d-\theta)\alpha^2)$ . To this theory we add a bulk-scalar  $\psi$  with action

$$S_\psi = - \int d^{d+2}x \sqrt{-g} \left( \frac{1}{2} (\partial\psi)^2 + \frac{B(\Phi)}{2} \psi^2 \right).$$

with  $B(\Phi) \sim e^{-\beta\Phi}$  required to obtain a primary operator. Finally we couple a random-field to the boundary operator  $\mathcal{O}_\psi$  dual to  $\psi$ :

$$S_{\text{rf}} = \int d\tau d^d\mathbf{x} h(\mathbf{x}) \mathcal{O}(\mathbf{x}, \tau),$$

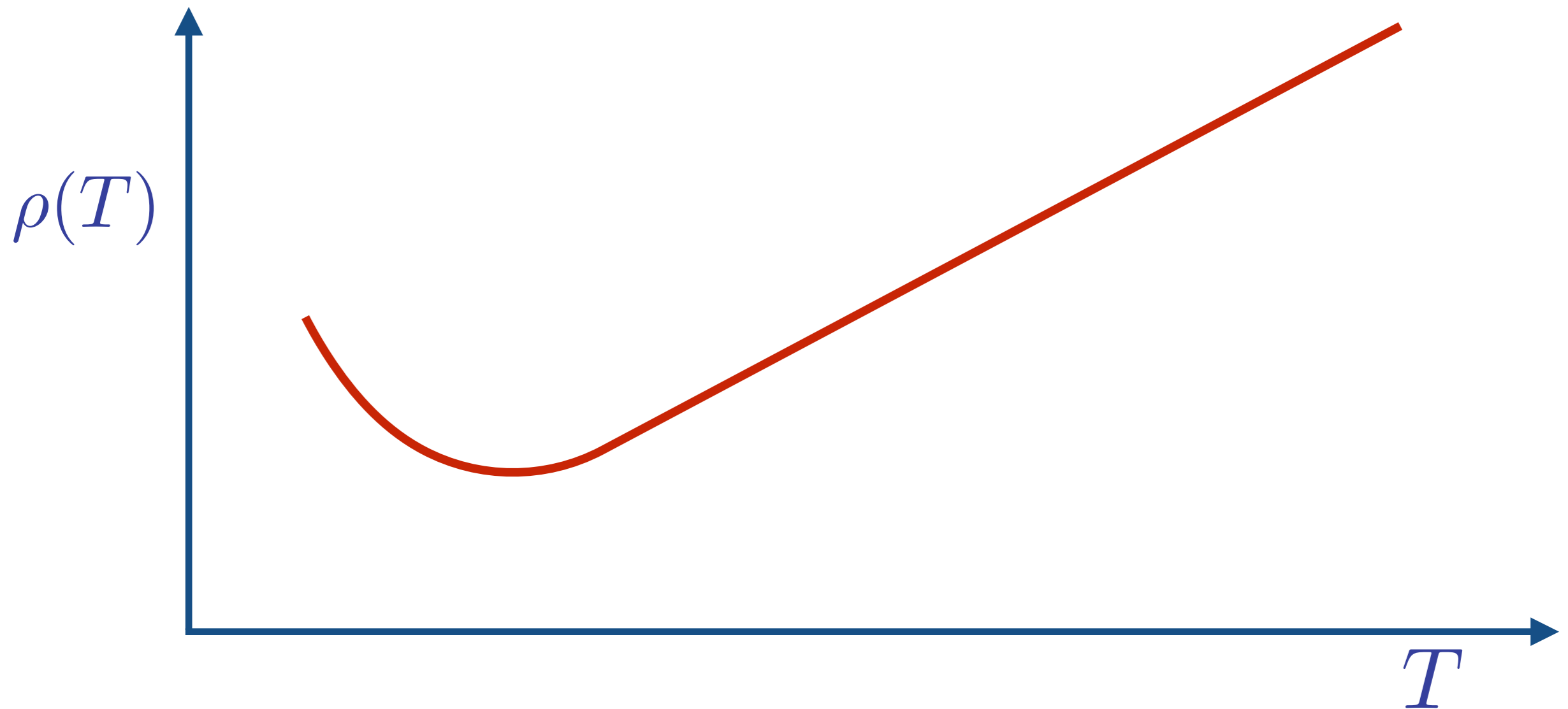
where  $h(\mathbf{x})$  is a time-independent Gaussian-random variable:

$$\mathbb{E}[h(\mathbf{x})] = 0, \quad \mathbb{E}[h(\mathbf{x})h(\mathbf{x}')] = h_0^2 \delta(\mathbf{x} - \mathbf{x}').$$

Then we obtain the resistivity  $\rho(T) \sim h_0^2 T^{(d-z+\eta)/z}$  where  $\dim[\mathcal{O}_\psi] = (d + z - 2 + \eta)/2$ .

# Quantum criticality of Ising-nematic ordering in a metal

## Transport without quasiparticles:

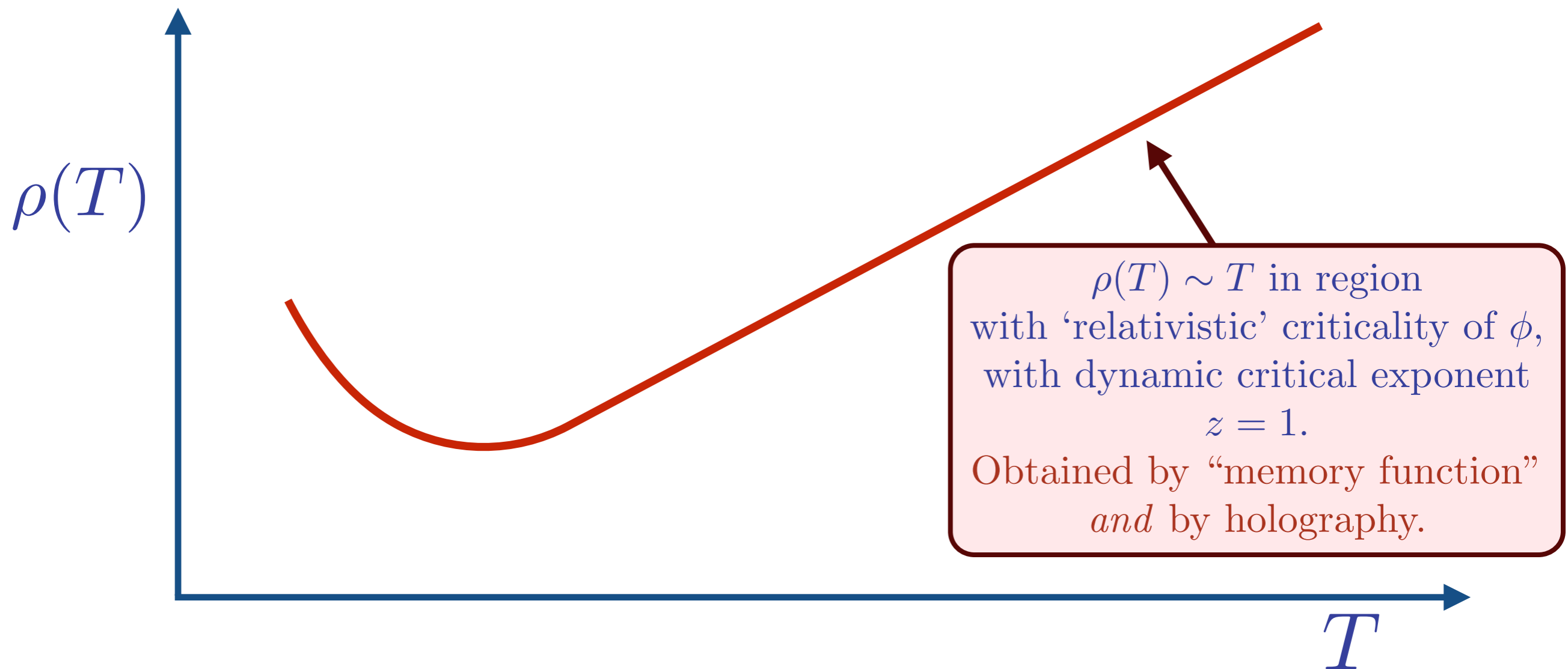


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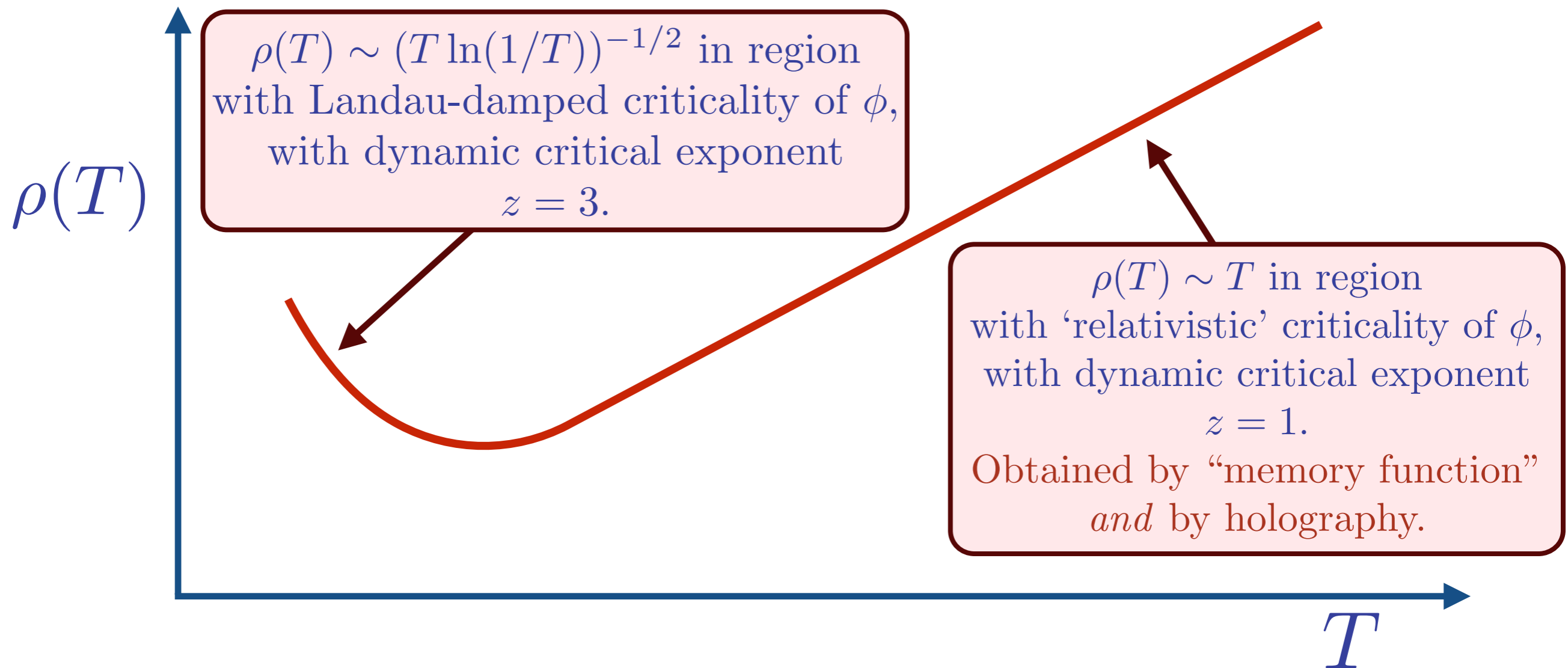


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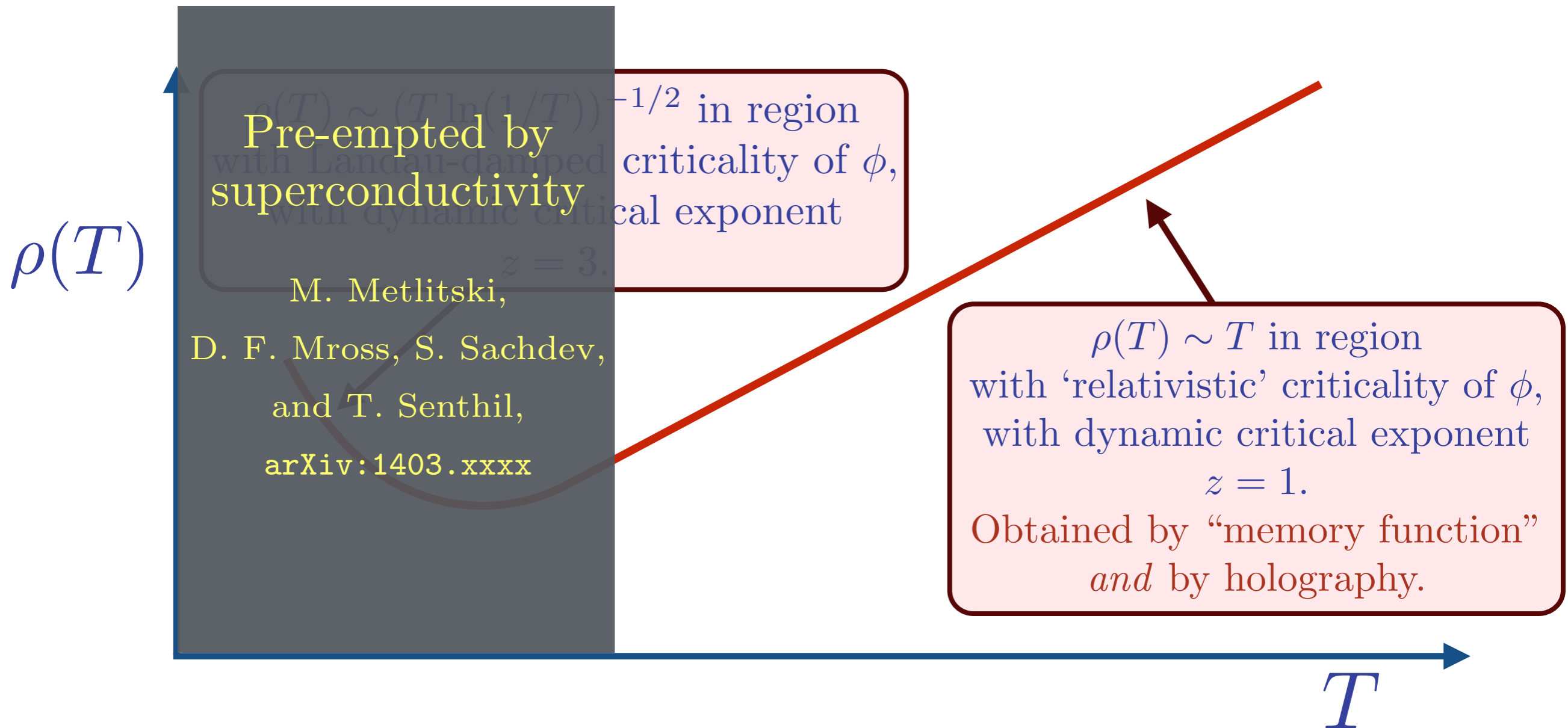


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- Exciting recent progress on the description of transport in metallic states without quasiparticles, via field theory and holography.