

*Theory of the Nernst effect  
near the superfluid-insulator transition*

Sean Hartnoll (KITP), Christopher Herzog (Washington),  
Pavel Kovtun (KITP), Marcus Mueller (Harvard),  
Subir Sachdev (Harvard), Dam Son (Washington)



# Outline

1. Superfluid/supersolid/insulator quantum transitions  
*Insulators at integer and commensurate densities*
2. Theory of quantum-critical transport  
*Collisionless- $t_0$ -hydrodynamic crossover of conformal field theories*
3. Hydrodynamics at incommensurate densities with impurities and a magnetic field  
*Exact relations between thermoelectric co-efficients*
4. Nernst effect in the cuprate superconductors

# Outline

## 1. Superfluid/supersolid/insulator quantum transitions

*Insulators at integer and commensurate densities*

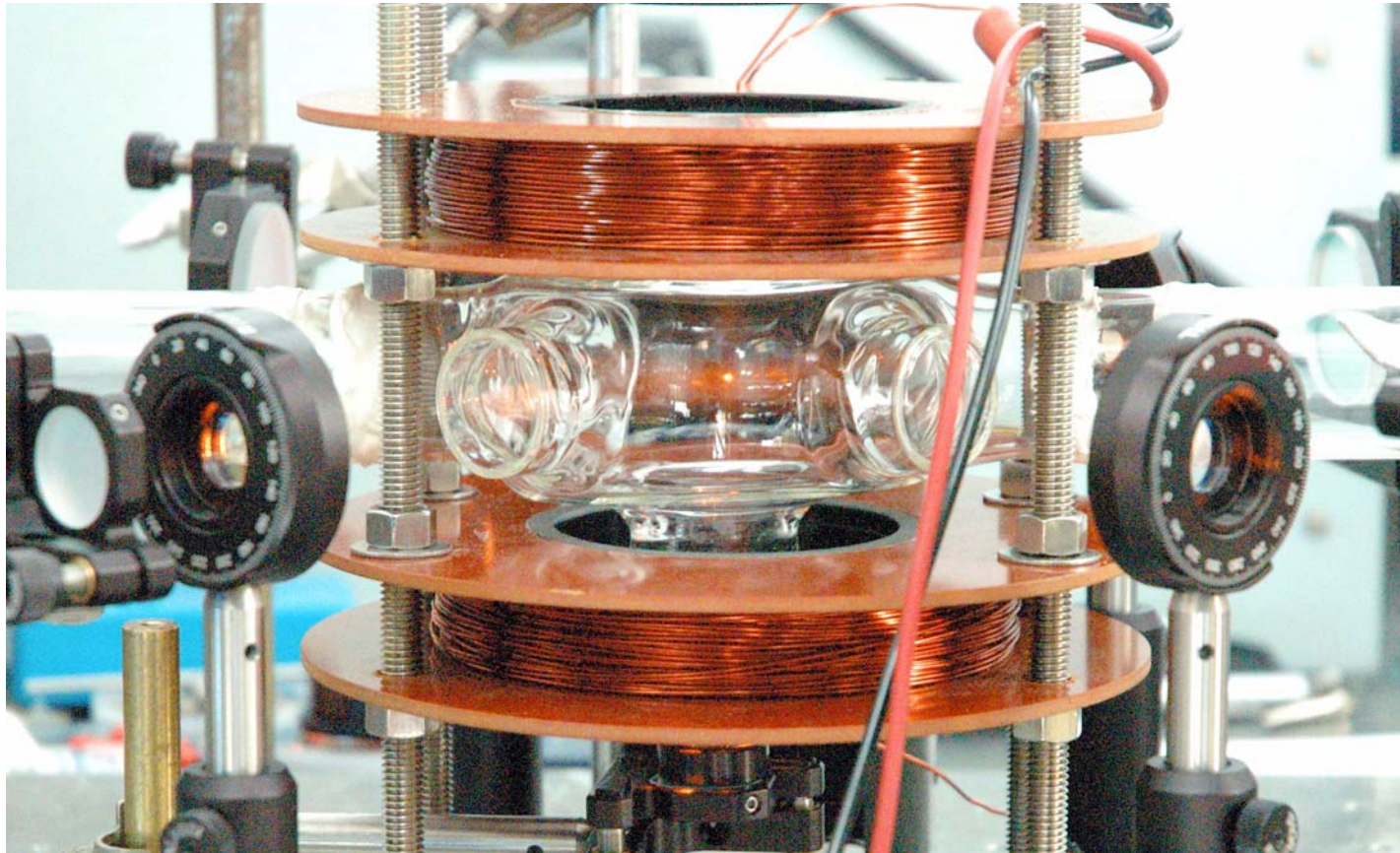
## 2. Theory of quantum-critical transport

*Collisionless- $t_0$ -hydrodynamic crossover of conformal field theories*

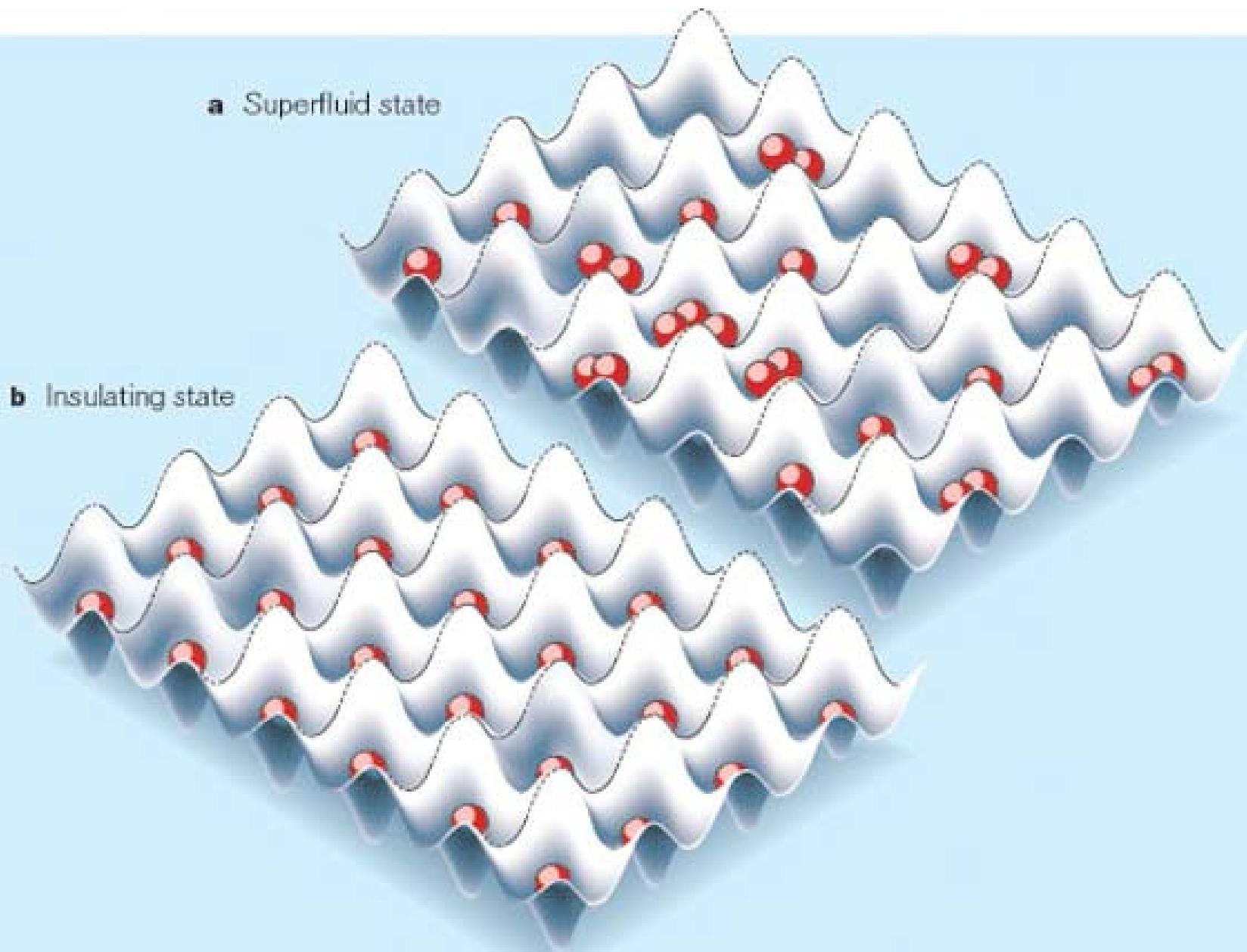
## 3. Hydrodynamics at incommensurate densities with impurities and a magnetic field

*Exact relations between thermoelectric co-efficients*

## 4. Nernst effect in the cuprate superconductors



Trap for ultracold  $^{87}\text{Rb}$  atoms



M. Greiner, O. Mandel, T. Esslinger, T. W. Hänsch, and I. Bloch, *Nature* **415**, 39 (2002).

## Boson Hubbard model

Degrees of freedom: Bosons,  $b_j^\dagger$ , hopping between the sites,  $j$ , of a lattice, with short-range repulsive interactions.

$$H = -t \sum_{\langle ij \rangle} b_i^\dagger b_j - \mu \sum_j n_j + \frac{U}{2} \sum_j n_j (n_j - 1) + \dots$$

$$n_j \equiv b_j^\dagger b_j$$

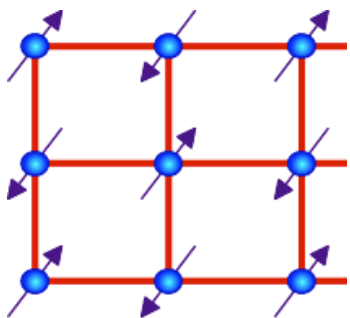
M.P.A. Fisher, P.B. Weichmann,  
G. Grinstein, and D.S. Fisher  
*Phys. Rev. B* **40**, 546 (1989).

For small  $U/t$ , superfluid

For large  $U/t$ , insulator

# Phase diagram of doped antiferromagnets

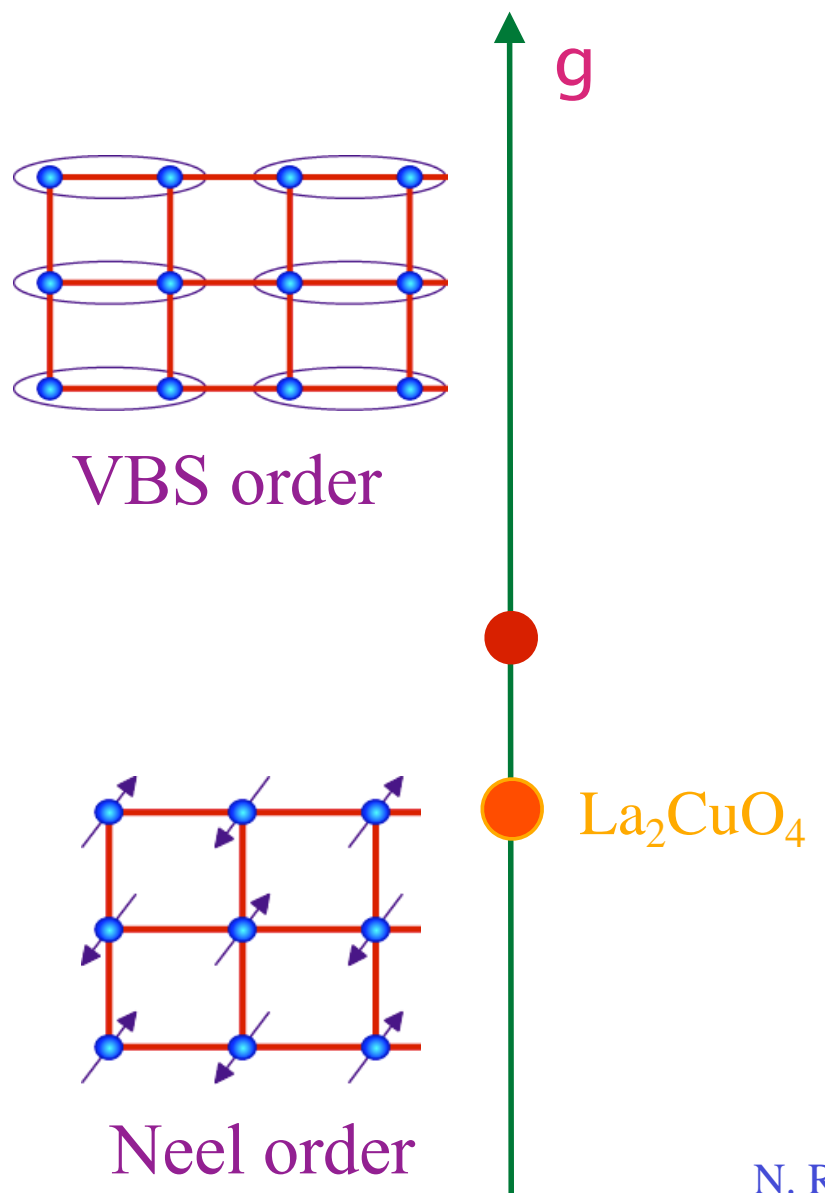
$g$  = ring exchange (Sandvik)



Neel order



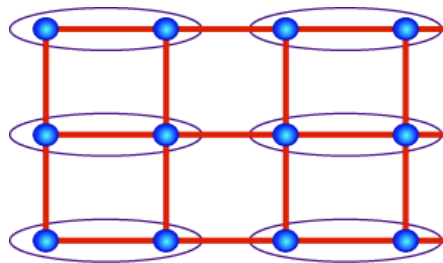
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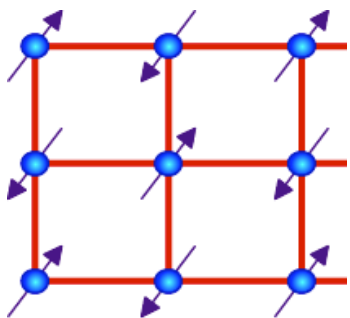
N. Read and S. Sachdev, *Phys. Rev. Lett.* **62**, 1694 (1989).

T. Senthil, A. Vishwanath, L. Balents, S. Sachdev and M.P.A. Fisher, *Science* **303**, 1490 (2004).

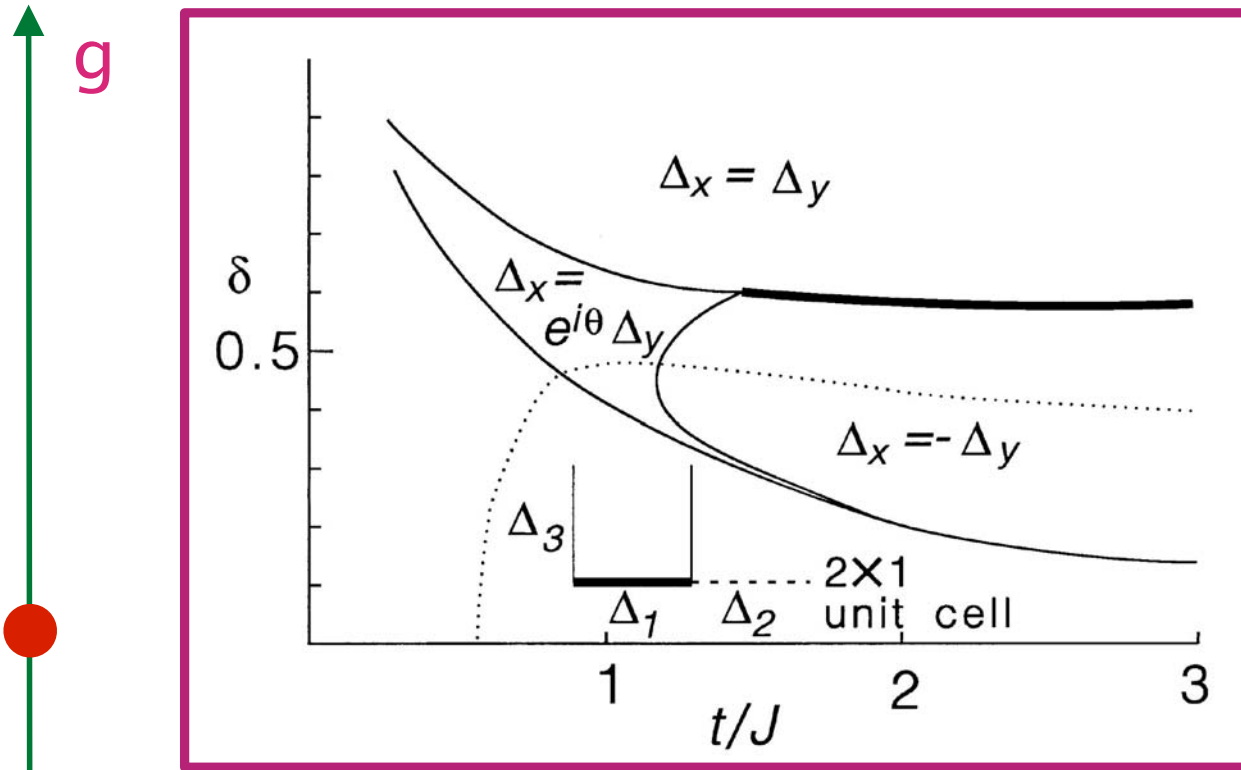
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VBS order



Neel order

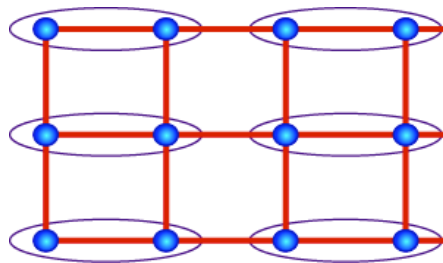


$\text{La}_2\text{CuO}_4$

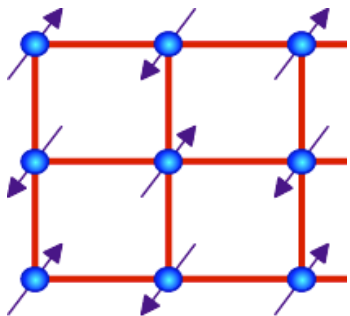
S. Sachdev and N. Read, *Int. J. Mod. Phys. B* **5**, 219 (1991).

Hole density  $\delta$

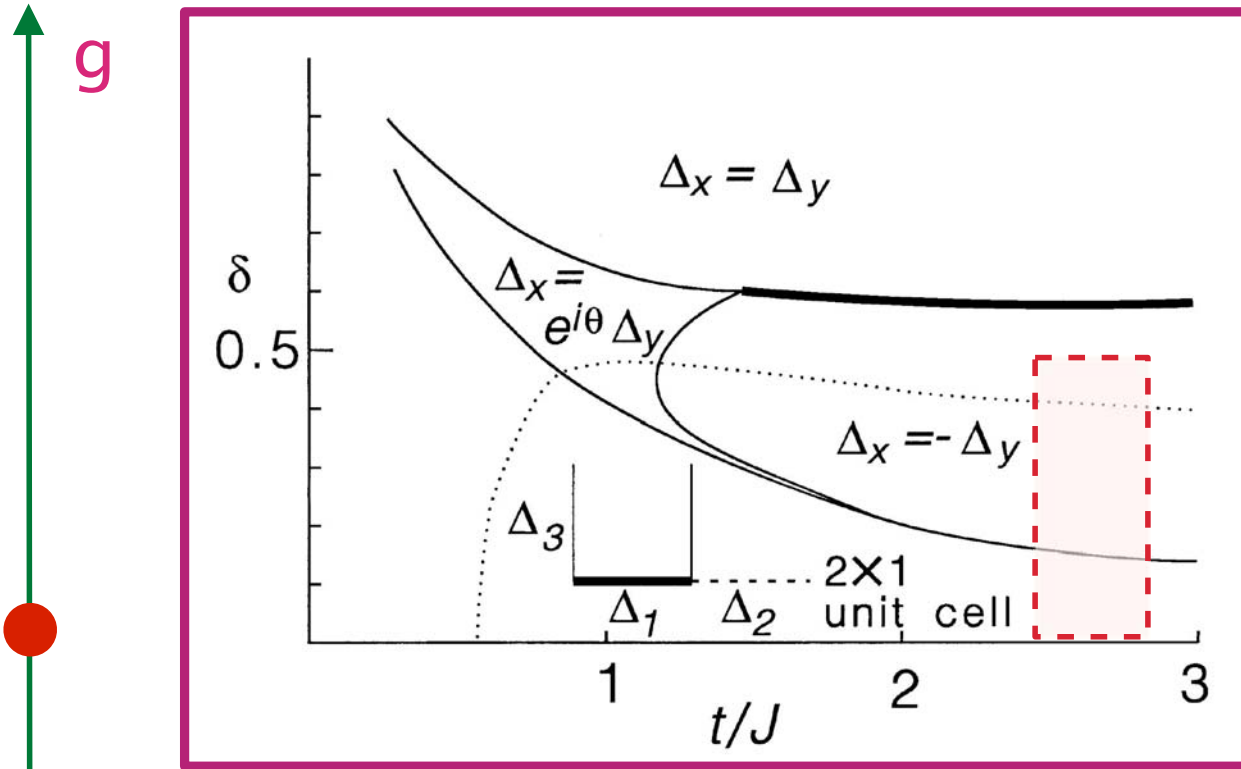
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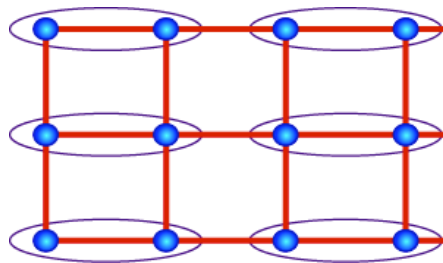
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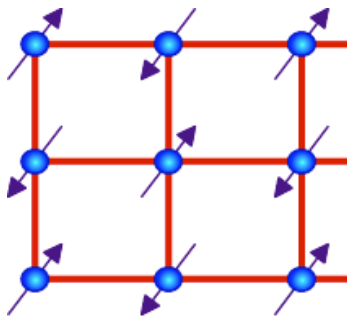
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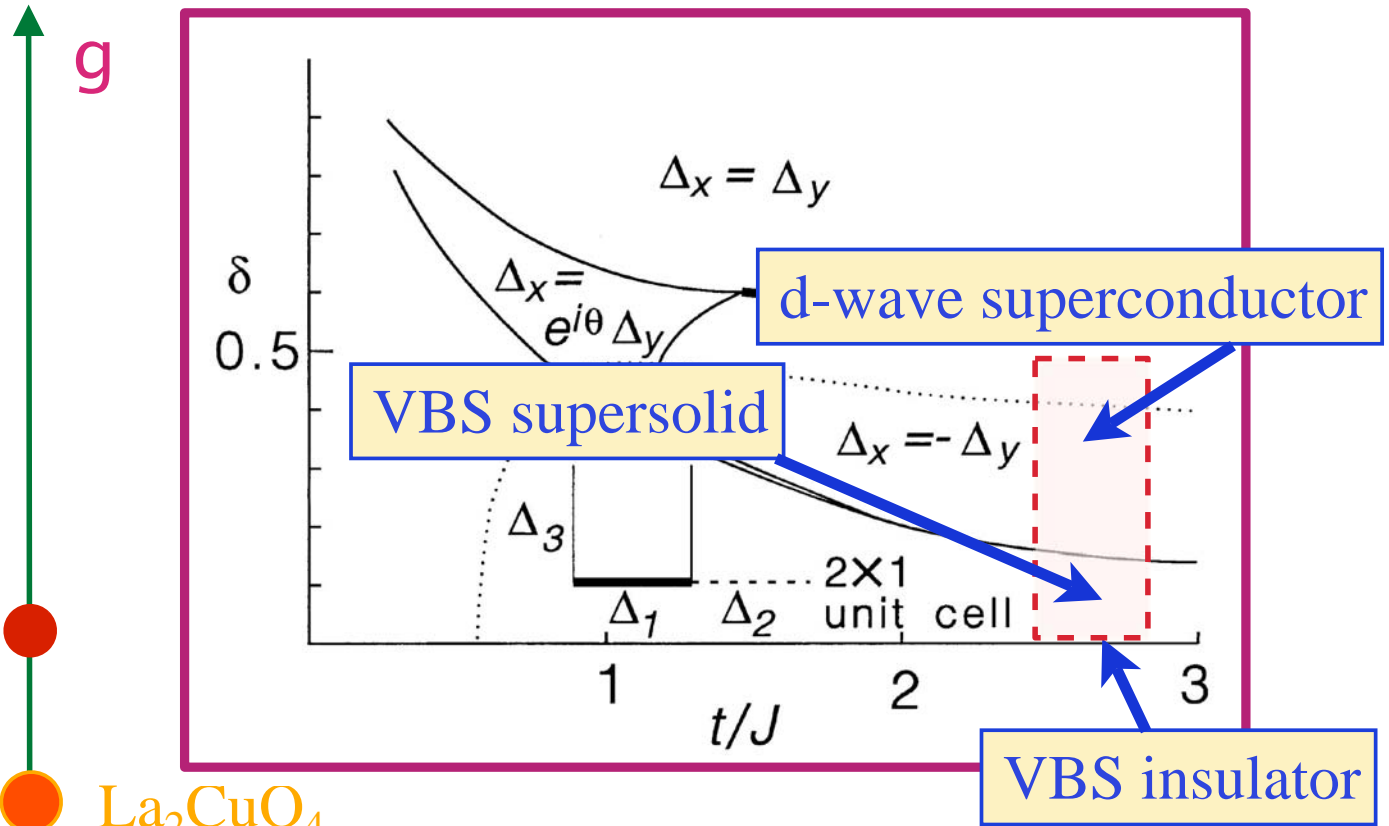
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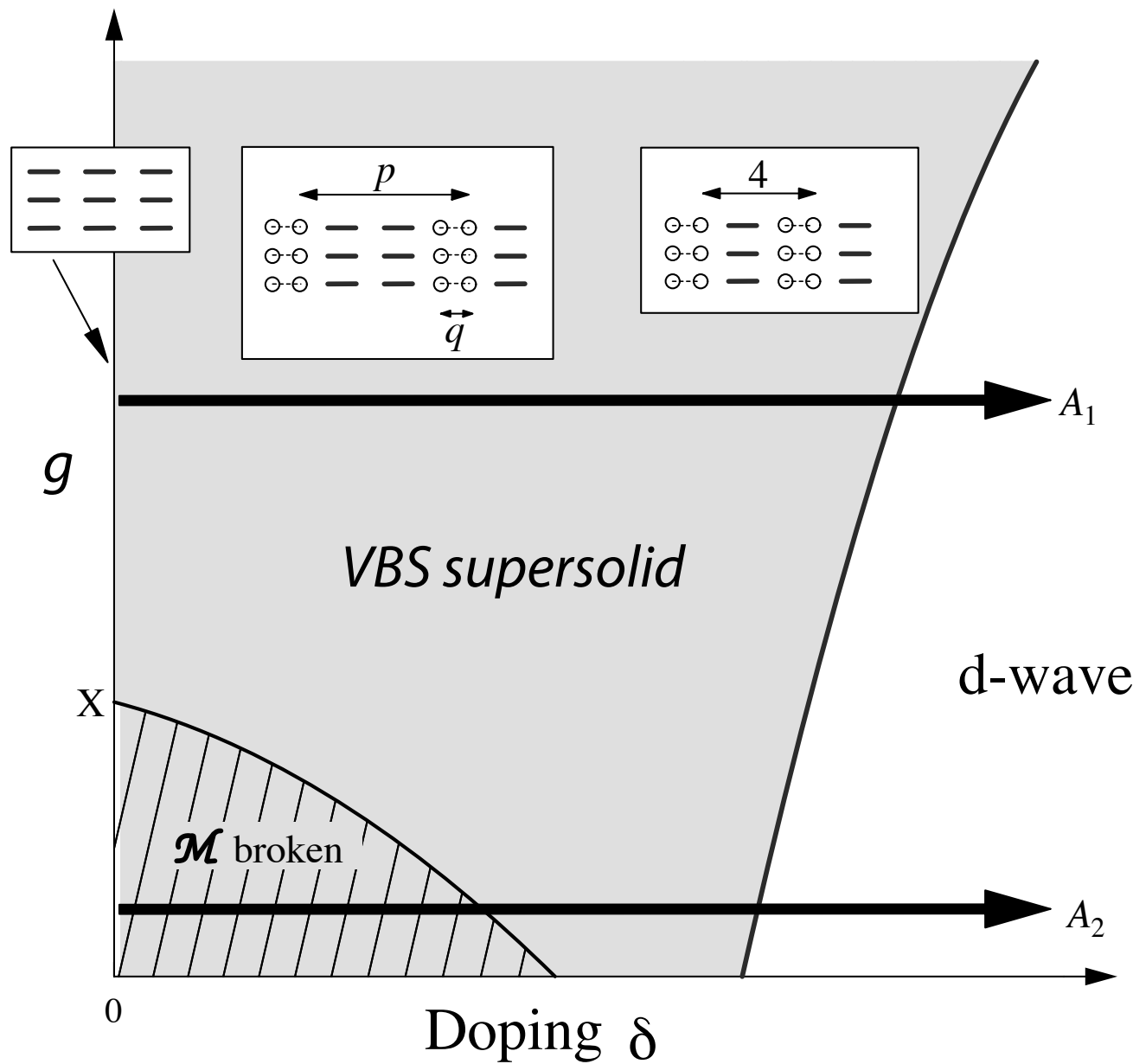


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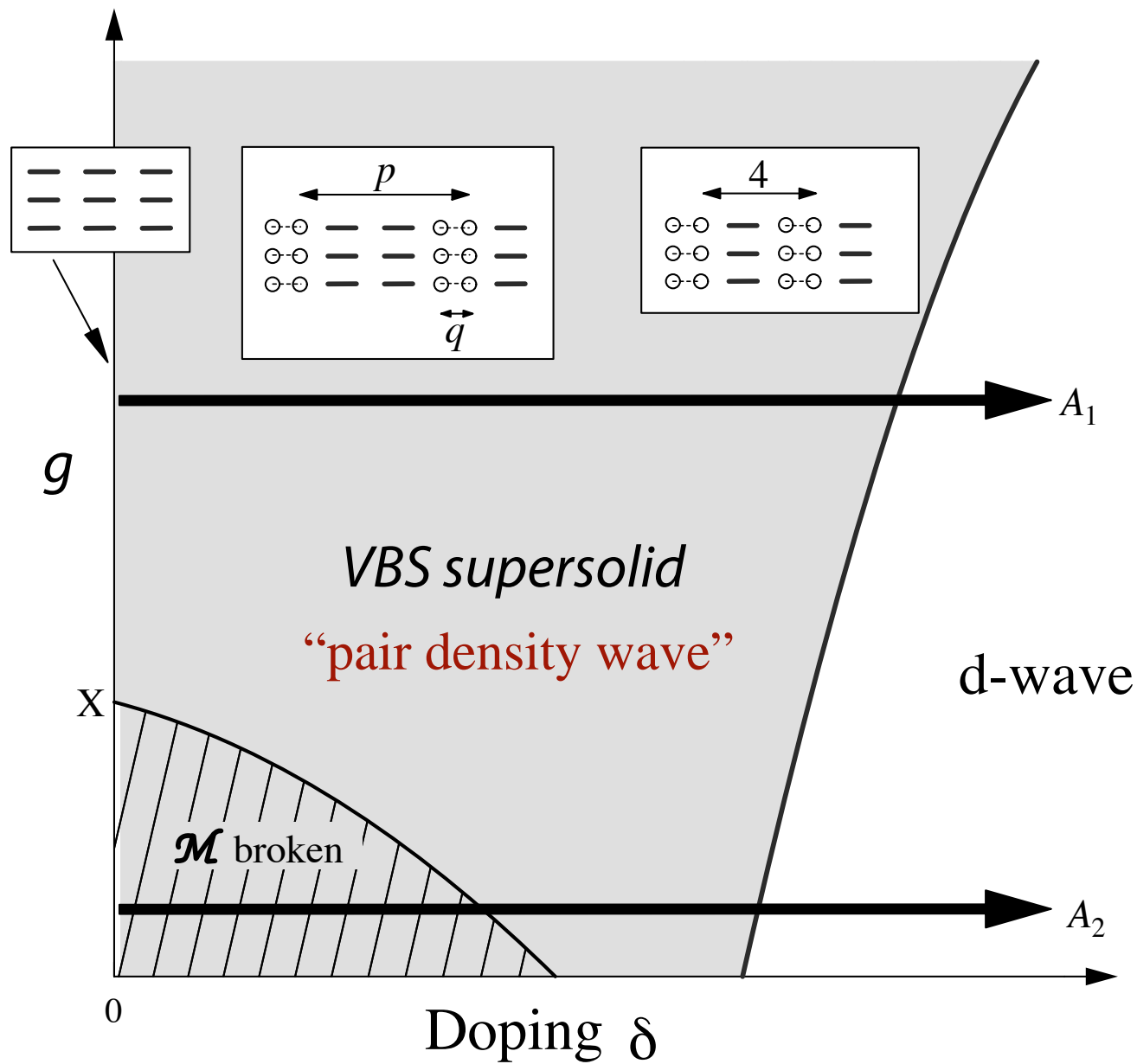


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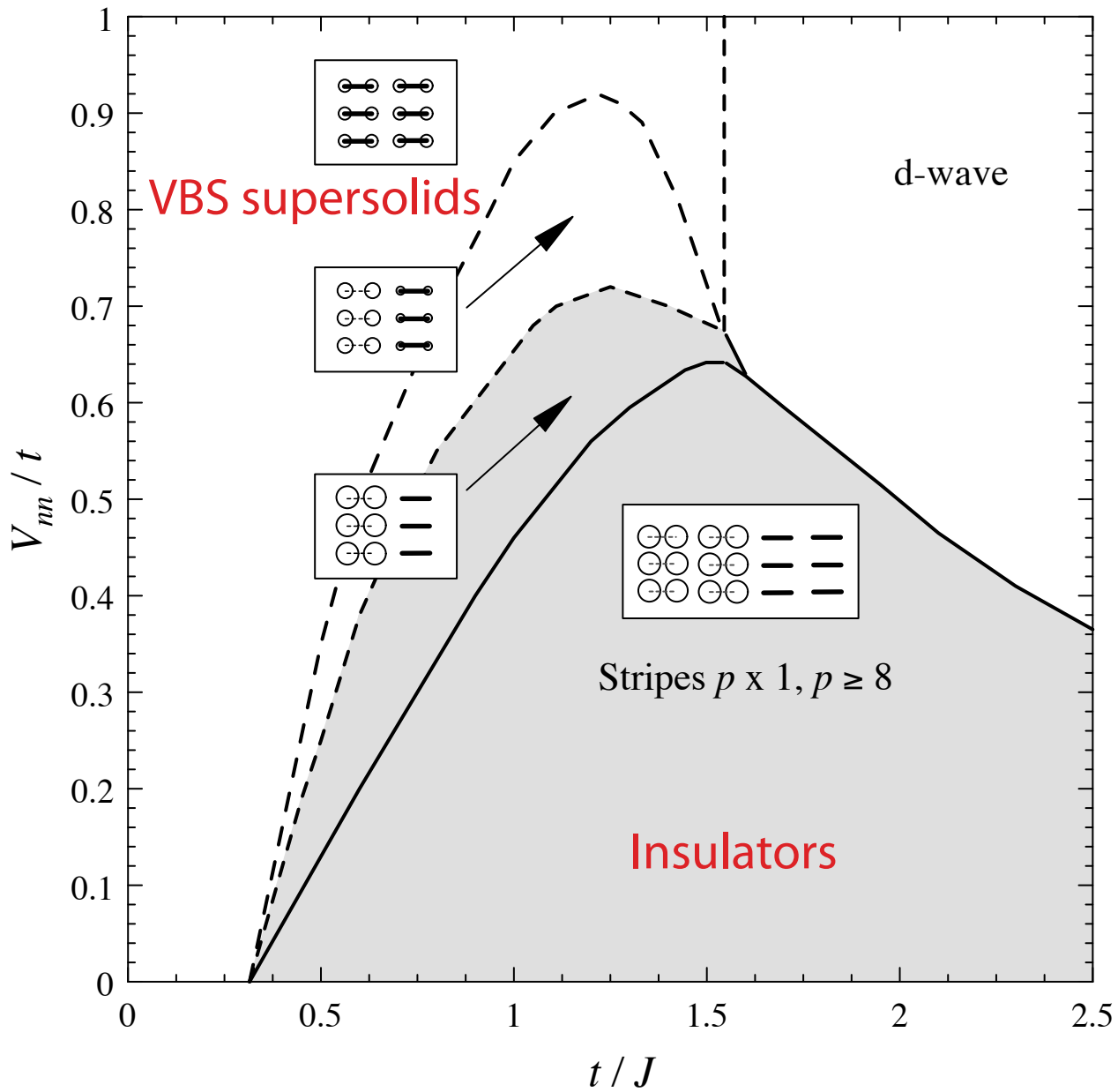
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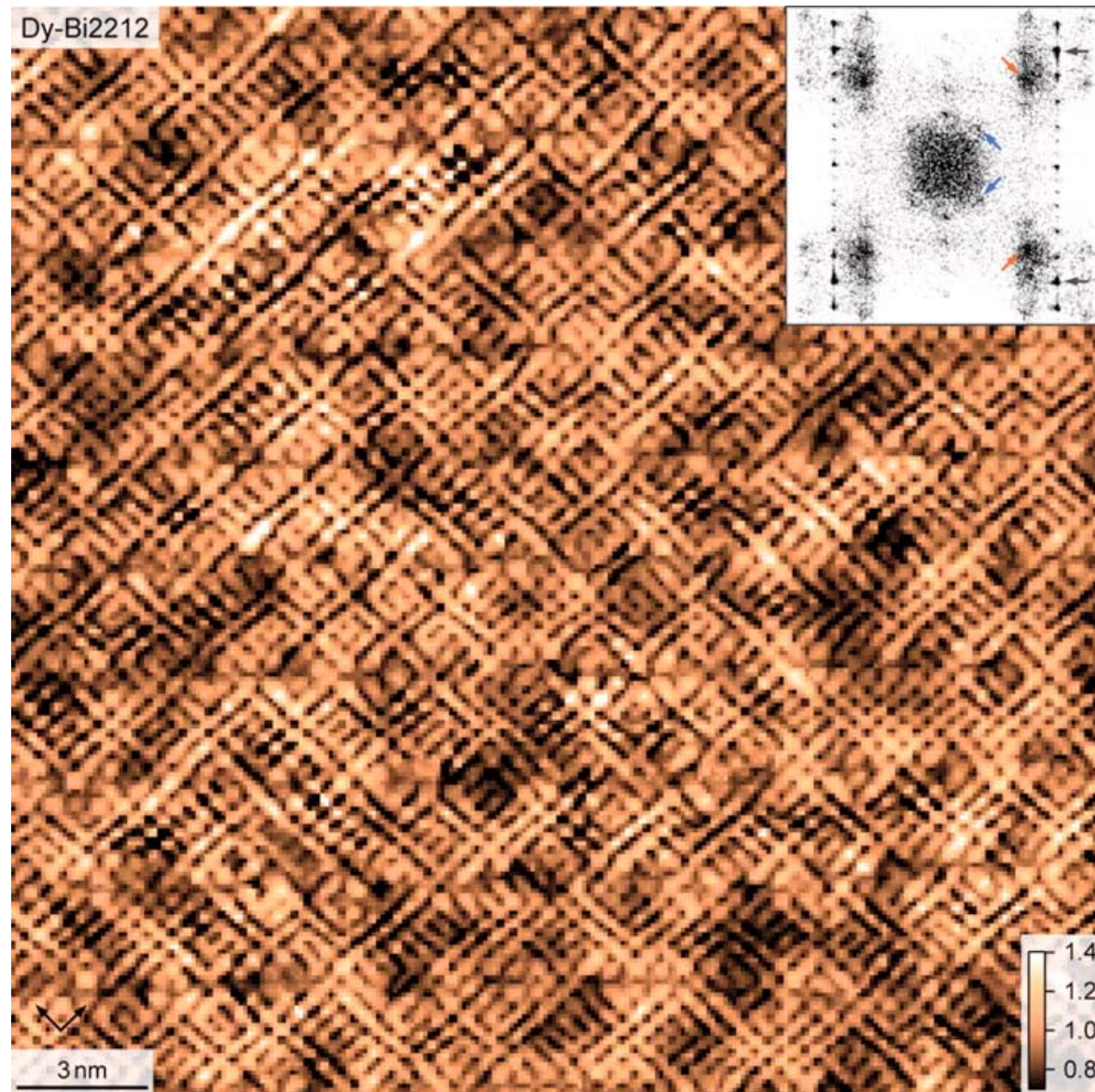
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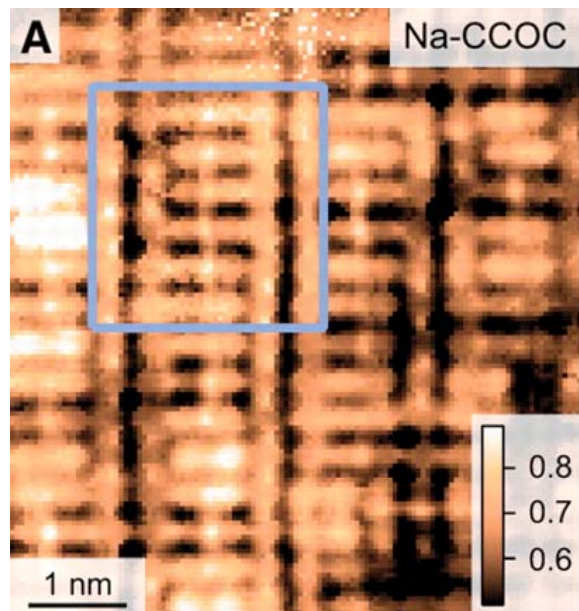
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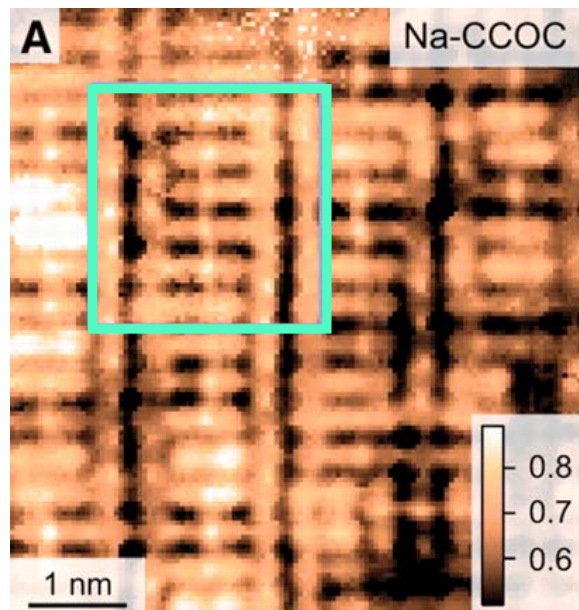
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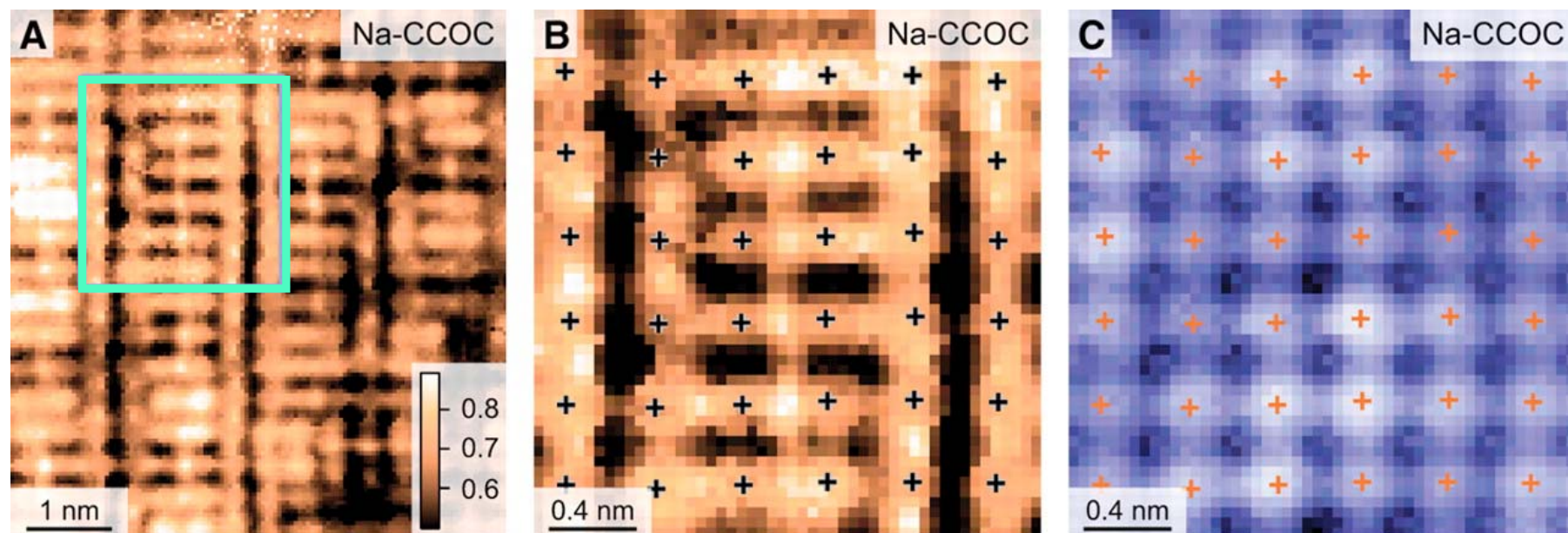
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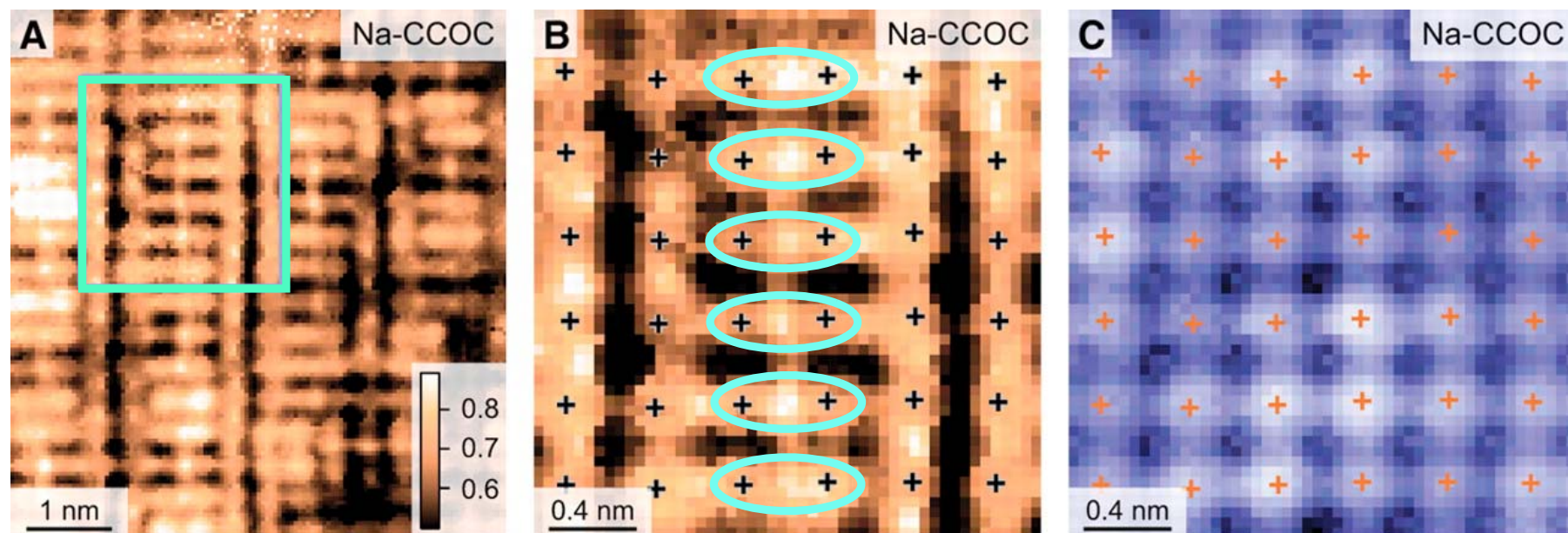
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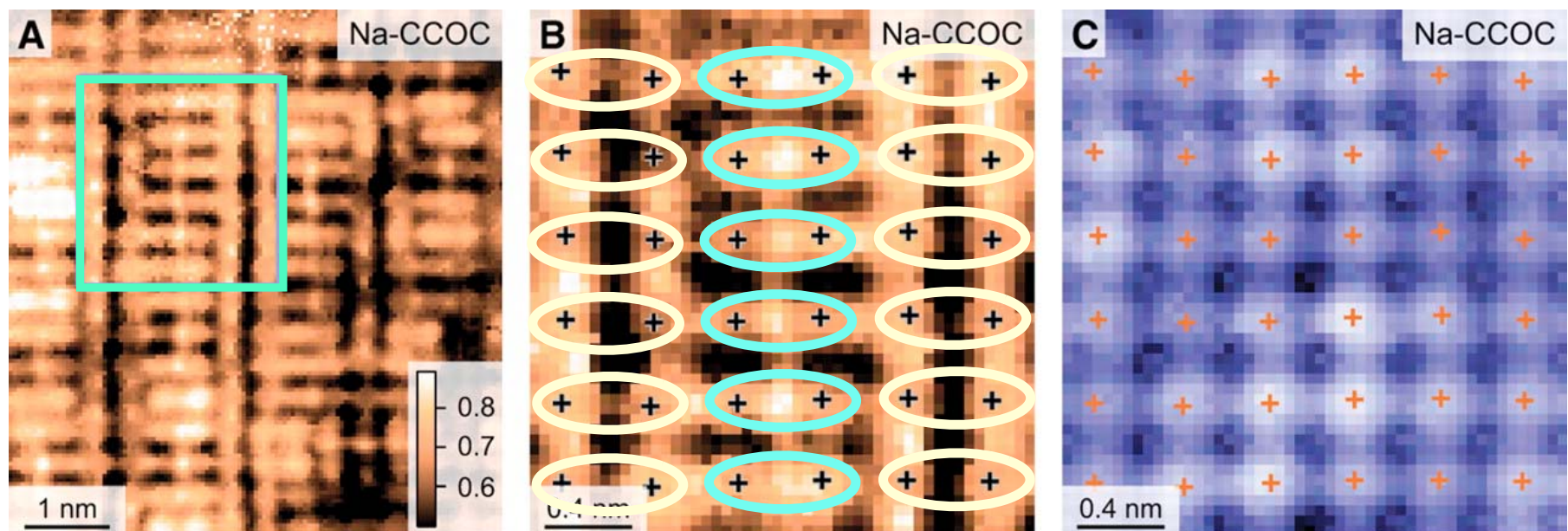
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## “Glassy” Valence Bond Supersolid

Y. Kohsaka, C. Taylor, K. Fujita, A. Schmidt, C. Lupien, T. Hanaguri, M. Azuma, M. Takano, H. Eisaki, H. Takagi, S. Uchida, and J. C. Davis, *Science* **315**, 1380 (2007)

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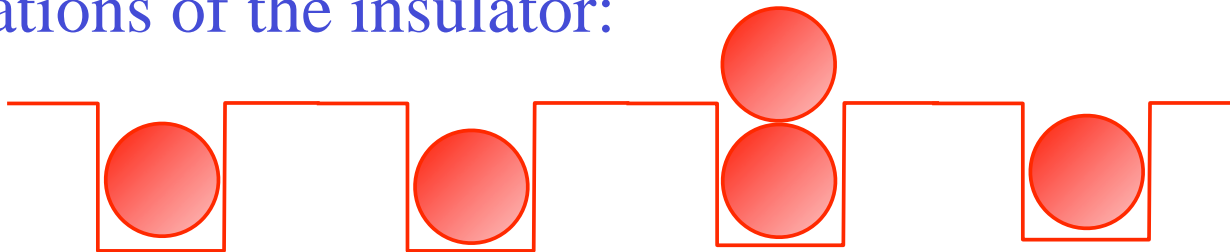
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The insulator:



Excitations of the insulator:

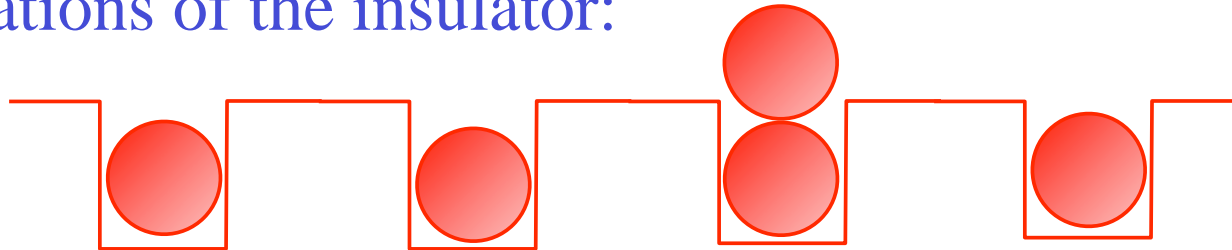


Particles  $\sim \psi^\dagger$



Holes  $\sim \psi$

Excitations of the insulator:



Particles  $\sim \psi^\dagger$



Holes  $\sim \psi$

Density of particles = density of holes  $\Rightarrow$

“relativistic” field theory for  $\psi$ :

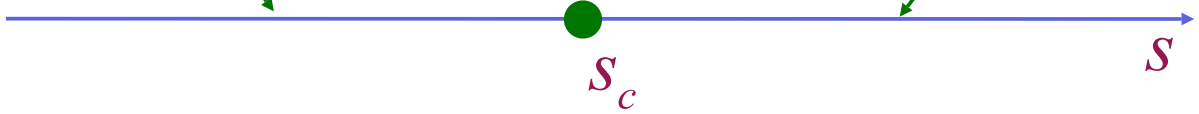
$$\mathcal{S} = \int d^3x \left[ |\partial_\mu \psi|^2 + s|\psi|^2 + \frac{u}{2}|\psi|^4 \right]$$

Insulator  $\Leftrightarrow \langle \psi \rangle = 0$

Superfluid  $\Leftrightarrow \langle \psi \rangle \neq 0$

Superfluid  
 $\langle \psi \rangle \neq 0$   
 $\sigma = \infty$

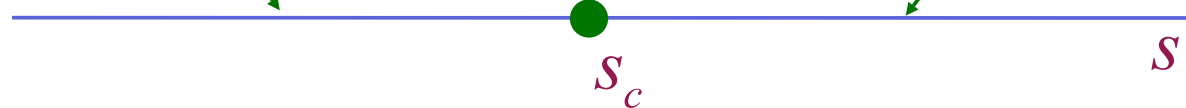
Insulator  
 $\langle \psi \rangle = 0$   
 $\sigma = 0$



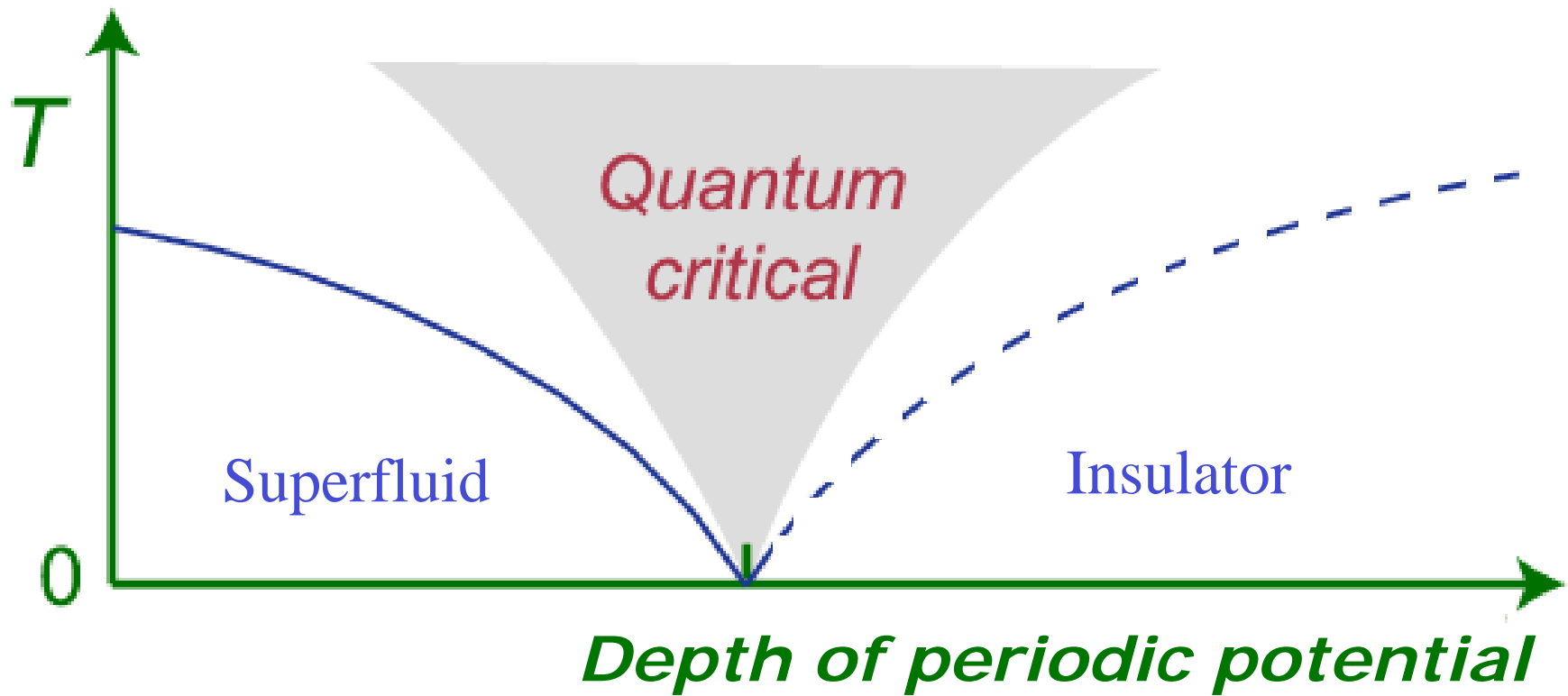
Conformal field theory:  
Wilson-Fisher fixed point

Superfluid  
 $\langle \psi \rangle \neq 0$   
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Insulator  
 $\langle \psi \rangle = 0$   
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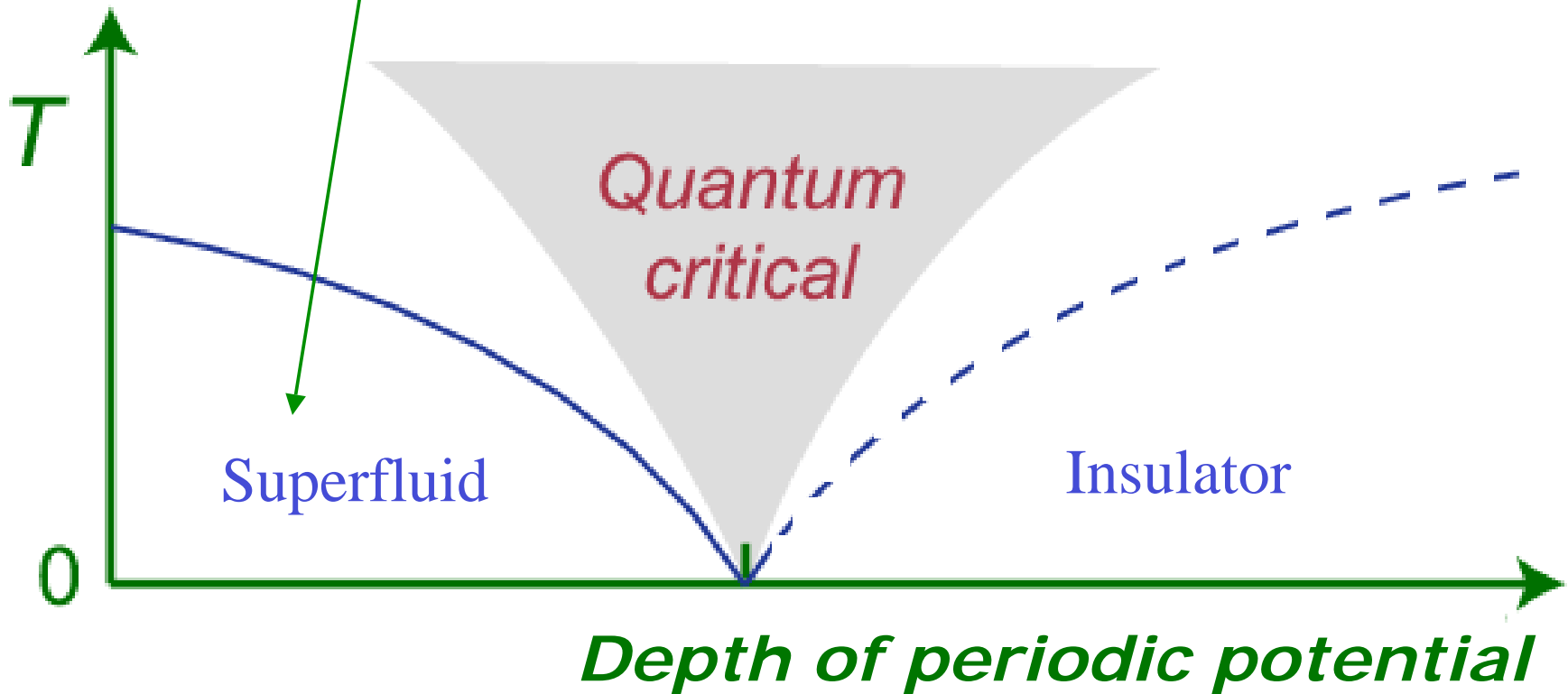


## Non-zero temperature phase diagram



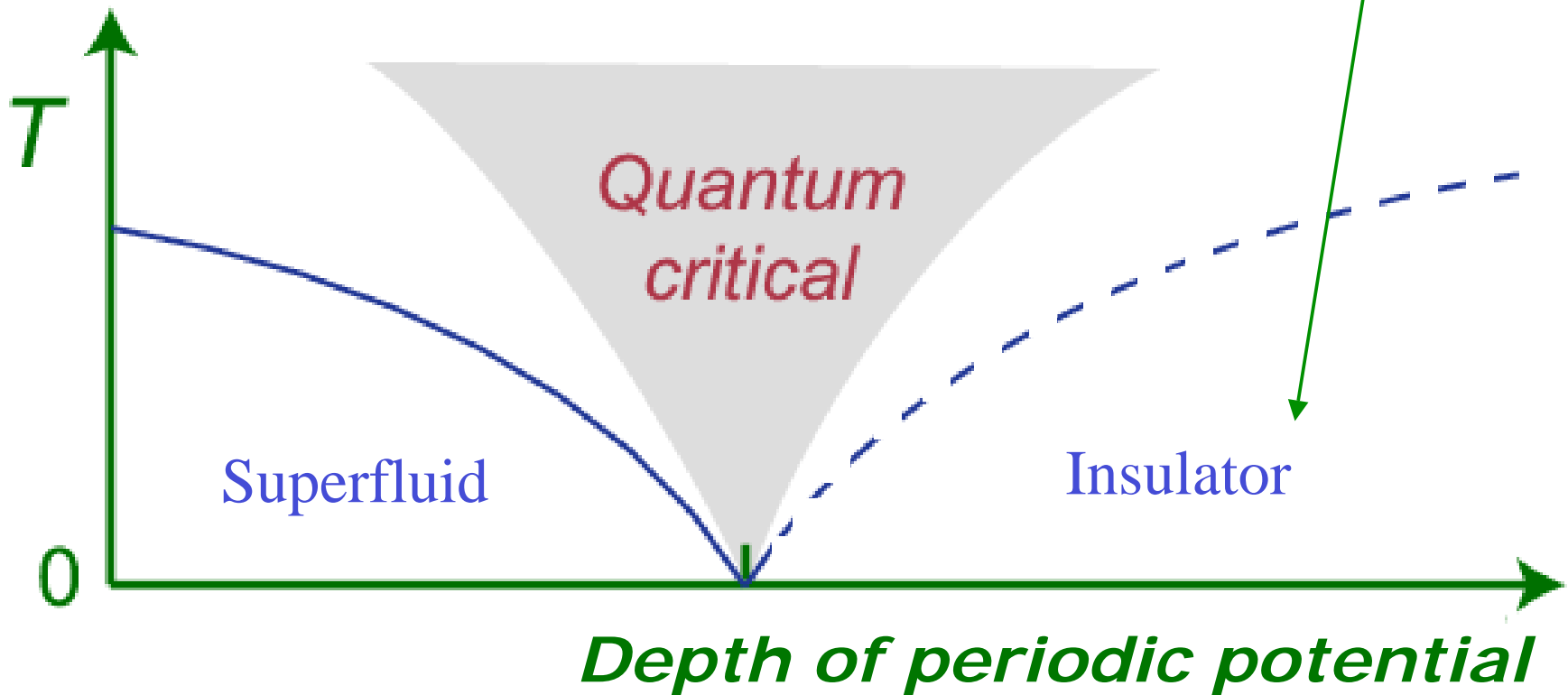
## Non-zero temperature phase diagram

Dynamics of the classical  
Gross-Pitaevski equation



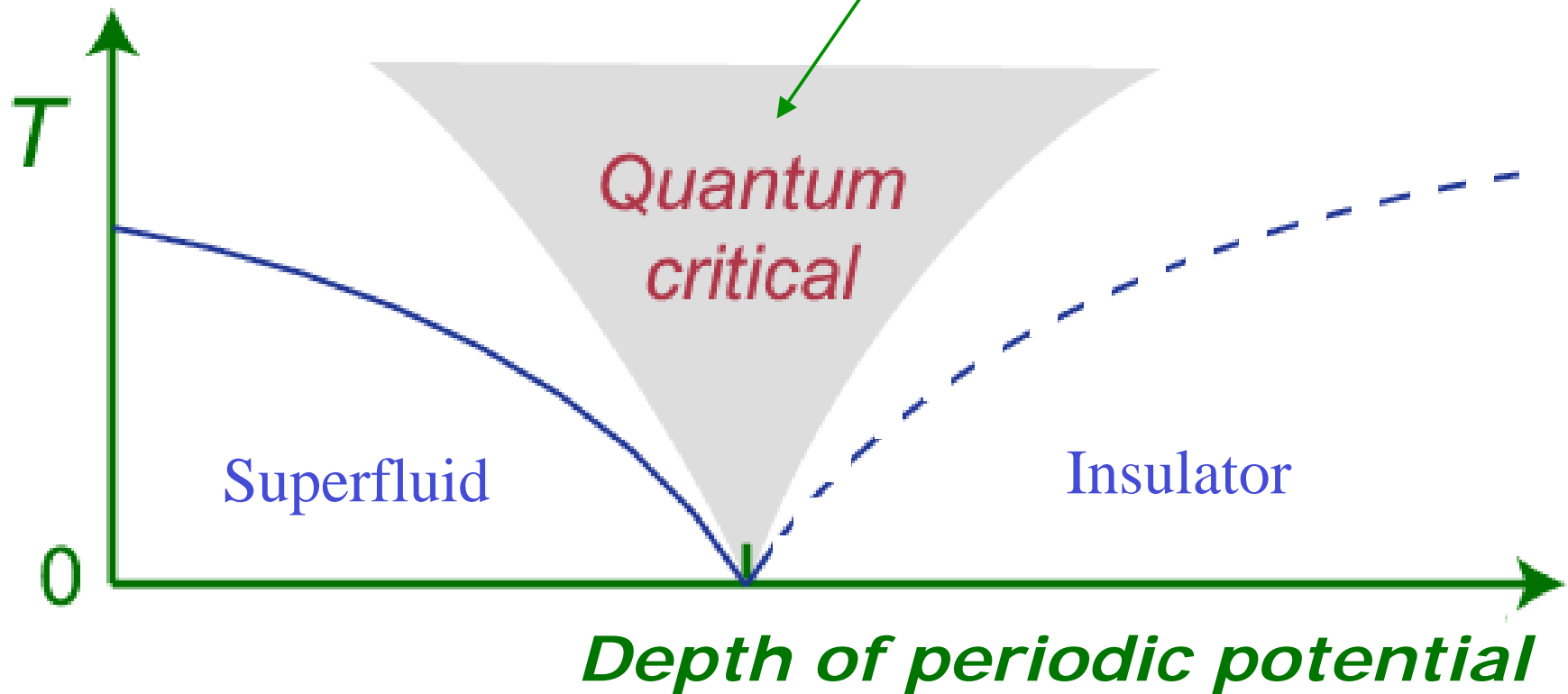
## Non-zero temperature phase diagram

Dilute Boltzmann gas of  
particle and holes



## Non-zero temperature phase diagram

No wave or quasiparticle  
description



## Resistivity of Bi films

$$\sigma_{\text{Superconductor}}(T \rightarrow 0) = \infty$$

$$\sigma_{\text{Insulator}}(T \rightarrow 0) = 0$$

$$\sigma_{\text{Quantum critical point}}(T \rightarrow 0) \approx \frac{4e^2}{h}$$

D. B. Haviland, Y. Liu, and A. M. Goldman,  
*Phys. Rev. Lett.* **62**, 2180 (1989)

M. P. A. Fisher, *Phys. Rev. Lett.* **65**, 923 (1990)

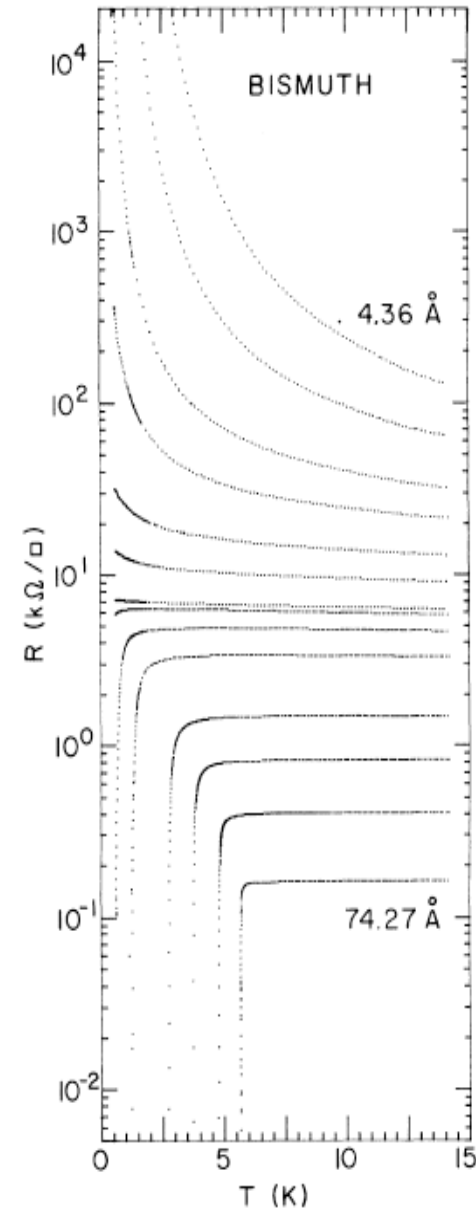
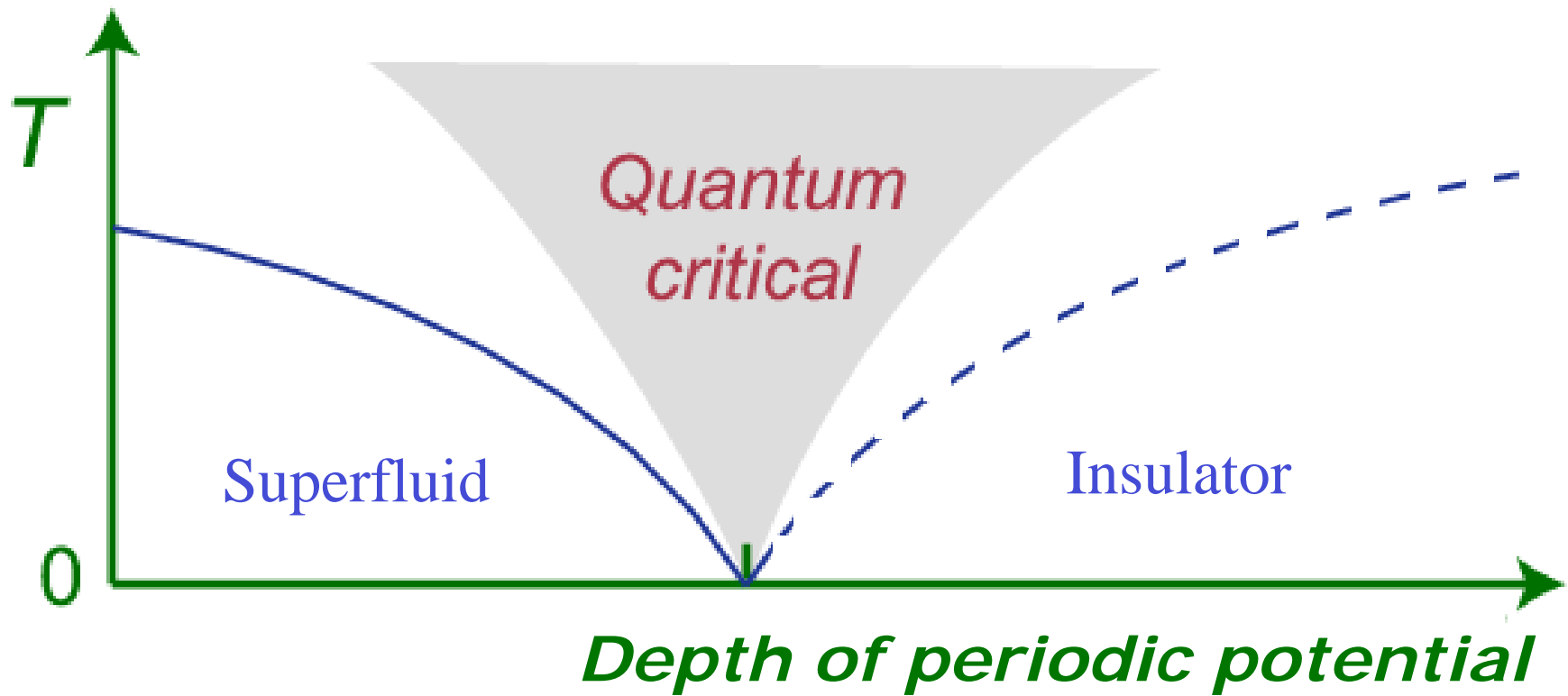
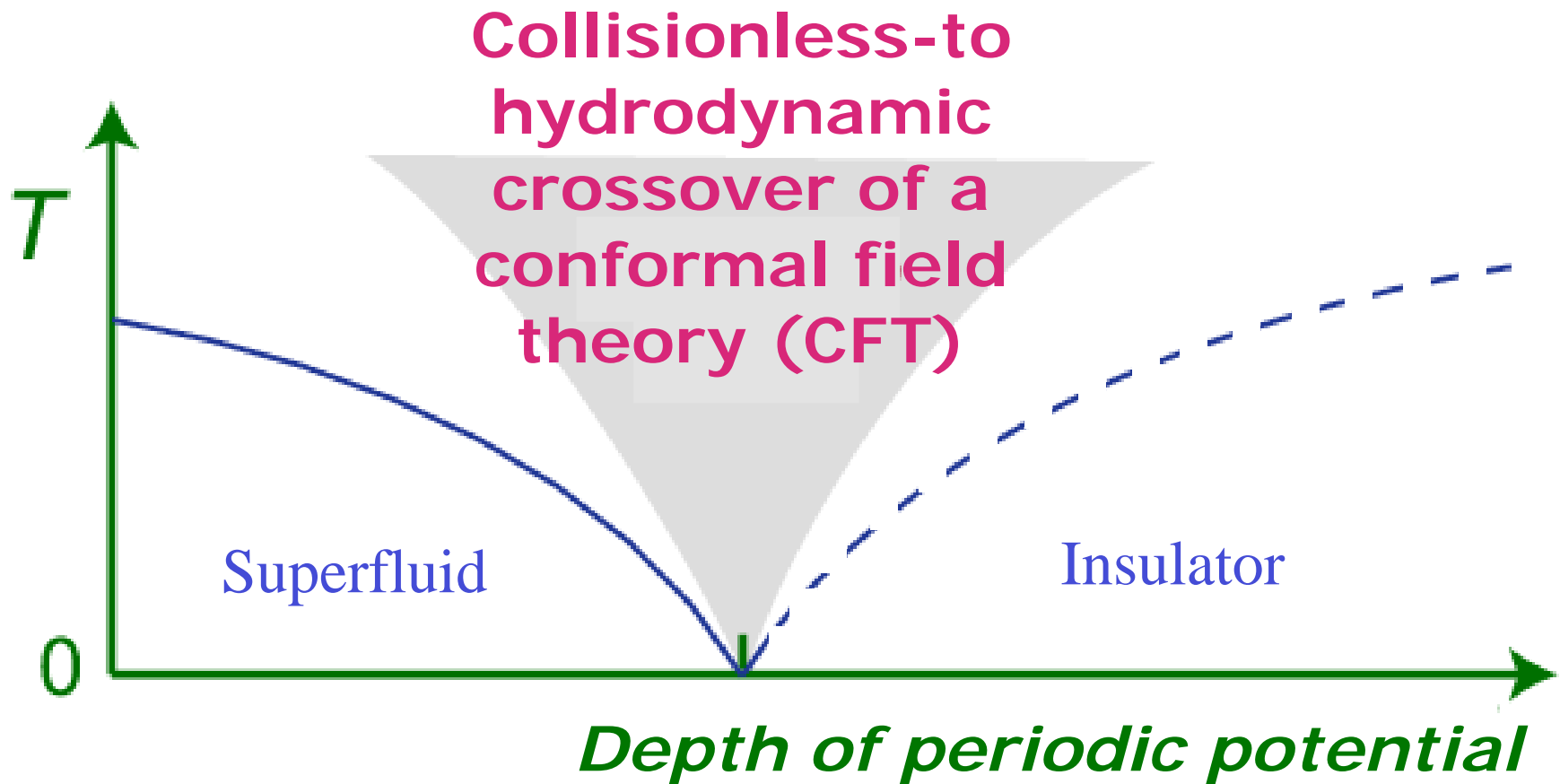


FIG. 1. Evolution of the temperature dependence of the sheet resistance  $R(T)$  with thickness for a Bi film deposited onto Ge. Fewer than half of the traces actually acquired are shown. Film thicknesses shown range from 4.36 to 74.27 Å.

## Non-zero temperature phase diagram



## Non-zero temperature phase diagram



## Collisionless-to-hydrodynamic crossover of a CFT in 2+1 dimensions

Consider the retarded density-density correlation function

$$C(k, \omega) = \langle \rho(k, \omega) \rho(-k, -\omega) \rangle_{\text{ret}}$$

The characteristic collision time for excitations of the CFT is  $\hbar/k_B T$ . So, for  $|\omega - k| \gg T$  we have the collisionless conformal behavior

$$C(k, \omega) = K \frac{k^2}{\sqrt{k^2 - \omega^2}}$$

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$$C(k, \omega) = K \frac{k^2}{\sqrt{k^2 - \omega^2}}$$

while for  $\omega, k \ll T$ , we have the hydrodynamic behavior

$$C(k, \omega) = \chi \frac{D_c k^2}{-i\omega + D_c k^2}.$$

So the high frequency conductivity  $\sigma(\omega \gg T) = K$ , and the hydrodynamic conductivity  $\sigma(\omega \ll T) = D_c \chi$ , and in general  $K \neq D_c \chi$ .

## Hydrodynamics of a conformal field theory (CFT)

The scattering cross-section of the thermal excitations is universal and so transport coefficients are universally determined by  $k_B T$

Charge diffusion constant  $D_c = \Theta \frac{c^2}{k_B T}$

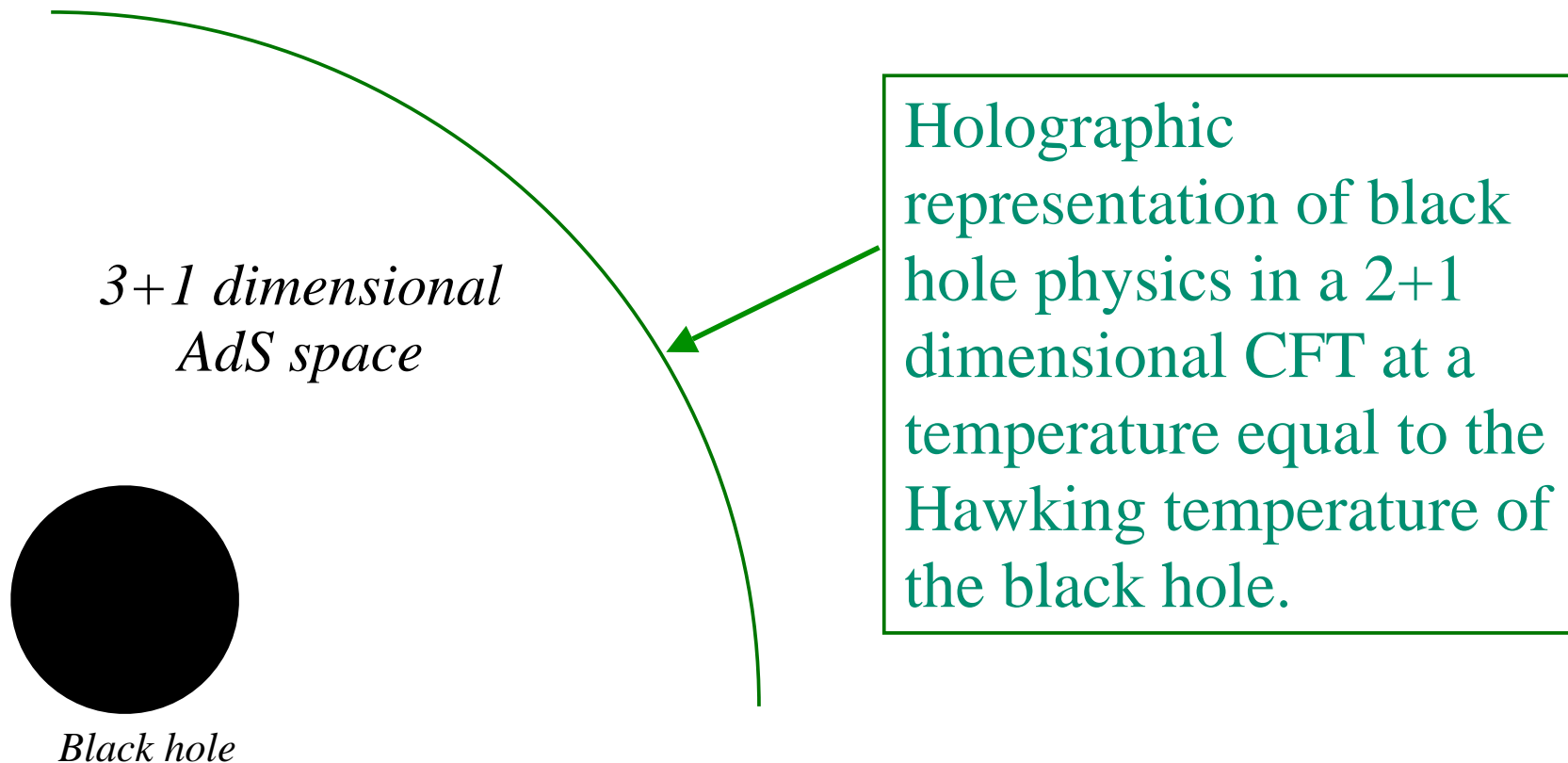
Conductivity  $\sigma_Q = D_c \chi = \frac{4e^2}{\Theta h}$

## Hydrodynamics of a conformal field theory (CFT)

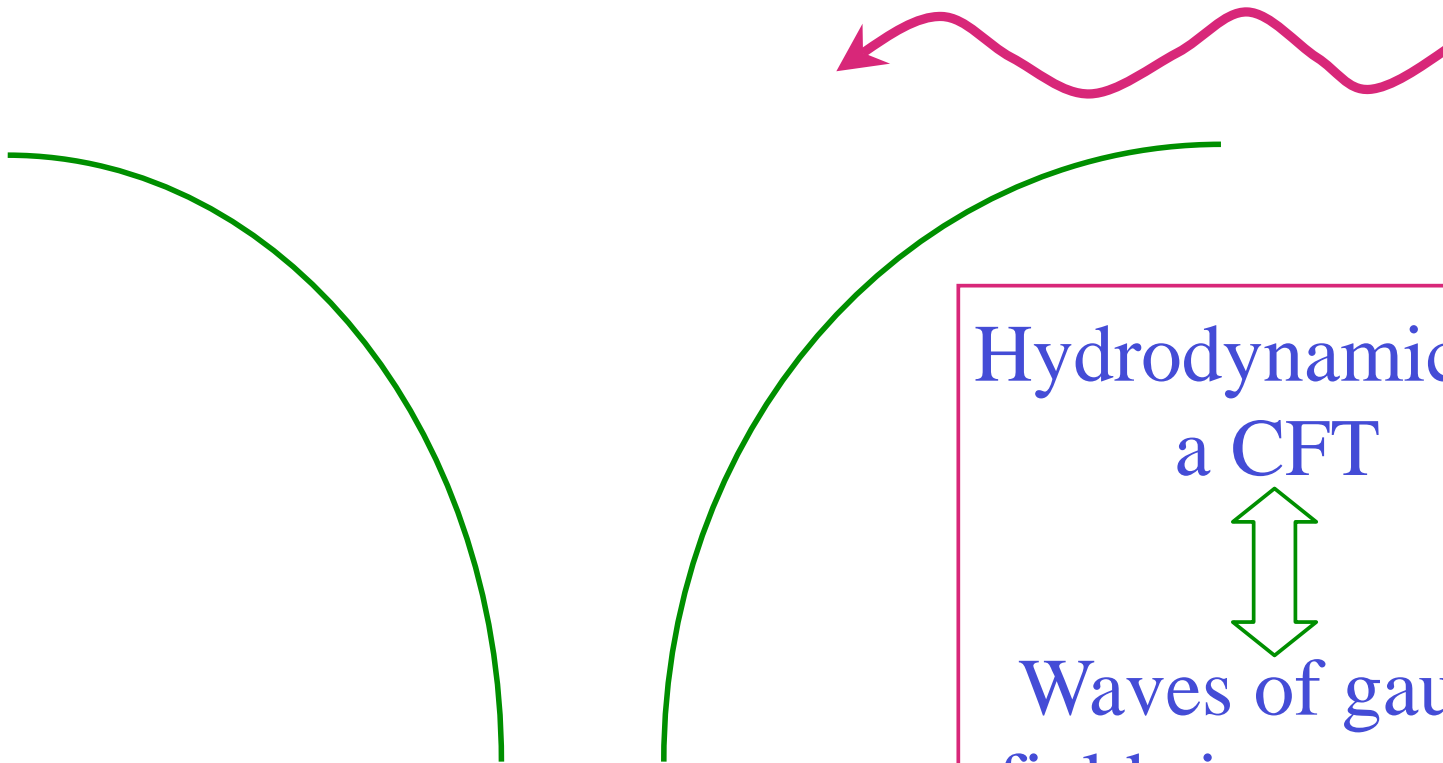
The AdS/CFT correspondence (Maldacena, Polyakov) relates the hydrodynamics of CFTs to the quantum gravity theory of the horizon of a black hole in Anti-de Sitter space.

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# Hydrodynamics of a conformal field theory (CFT)



Hydrodynamics of  
a CFT



Waves of gauge  
fields in a curved  
background

## Hydrodynamics of a conformal field theory (CFT)

For the (unique) CFT with a  $SU(N)$  gauge field and 16 supercharges, we know the exact diffusion constant associated with a global  $SO(8)$  symmetry:

Spin diffusion constant  $D_c = \frac{3}{4\pi} \frac{c^2}{k_B T}$

Spin conductivity  $\sigma_Q = \frac{N^{3/2}}{3\sqrt{2}\pi}$

## Collisionless-to-hydrodynamic crossover of solvable SYM<sub>3</sub>

For the retarded density-density correlation function

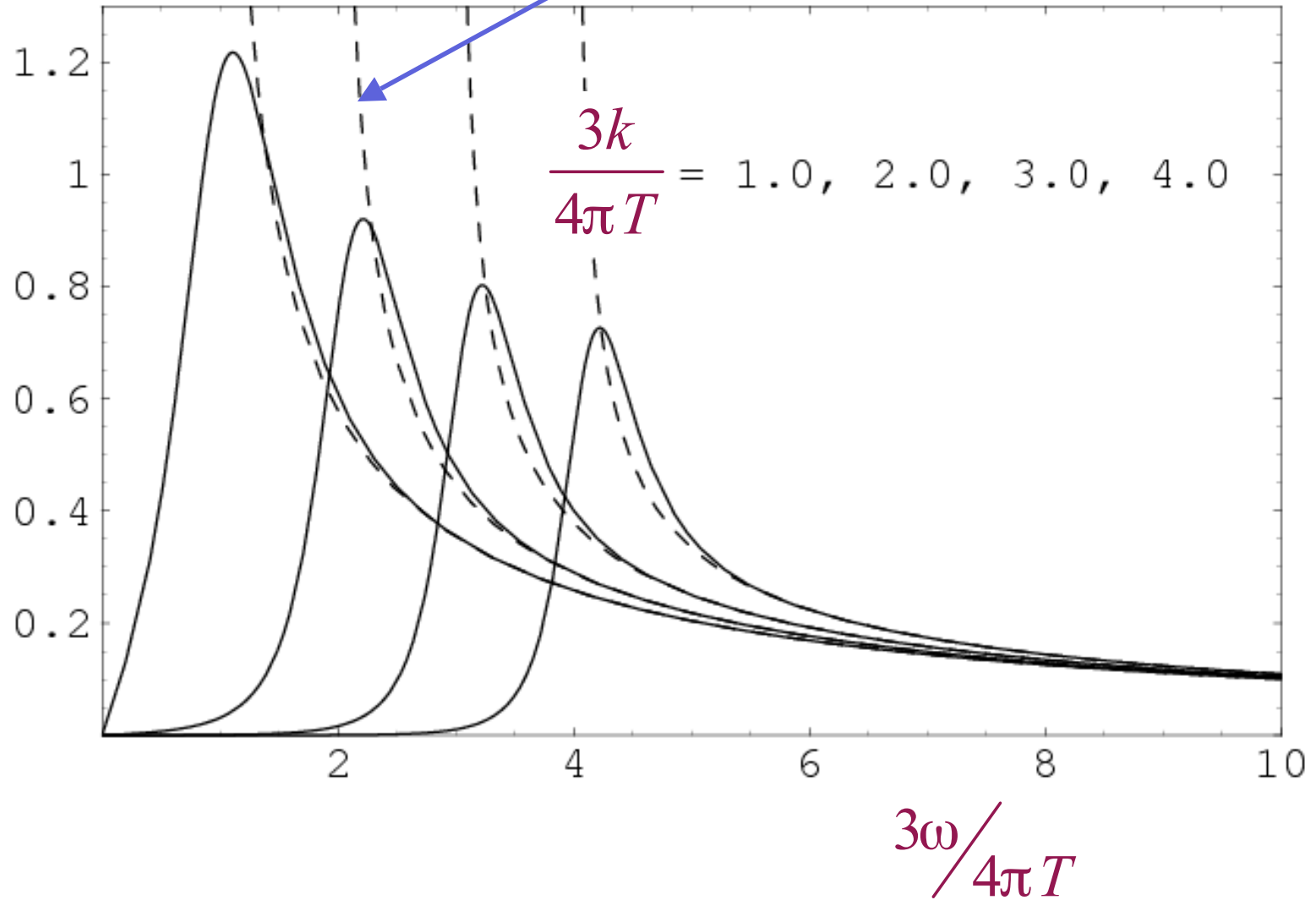
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for  $|\omega - k| \gg T$  we have the collisionless conformal behavior

$$C(k, \omega) = K \frac{k^2}{\sqrt{k^2 - \omega^2}}$$

$\text{Im}C/k^2$

CFT at  $T=0$



P. Kovtun, C. Herzog, S. Sachdev, and D.T. Son, Phys. Rev. D **75**, 085020 (2007)

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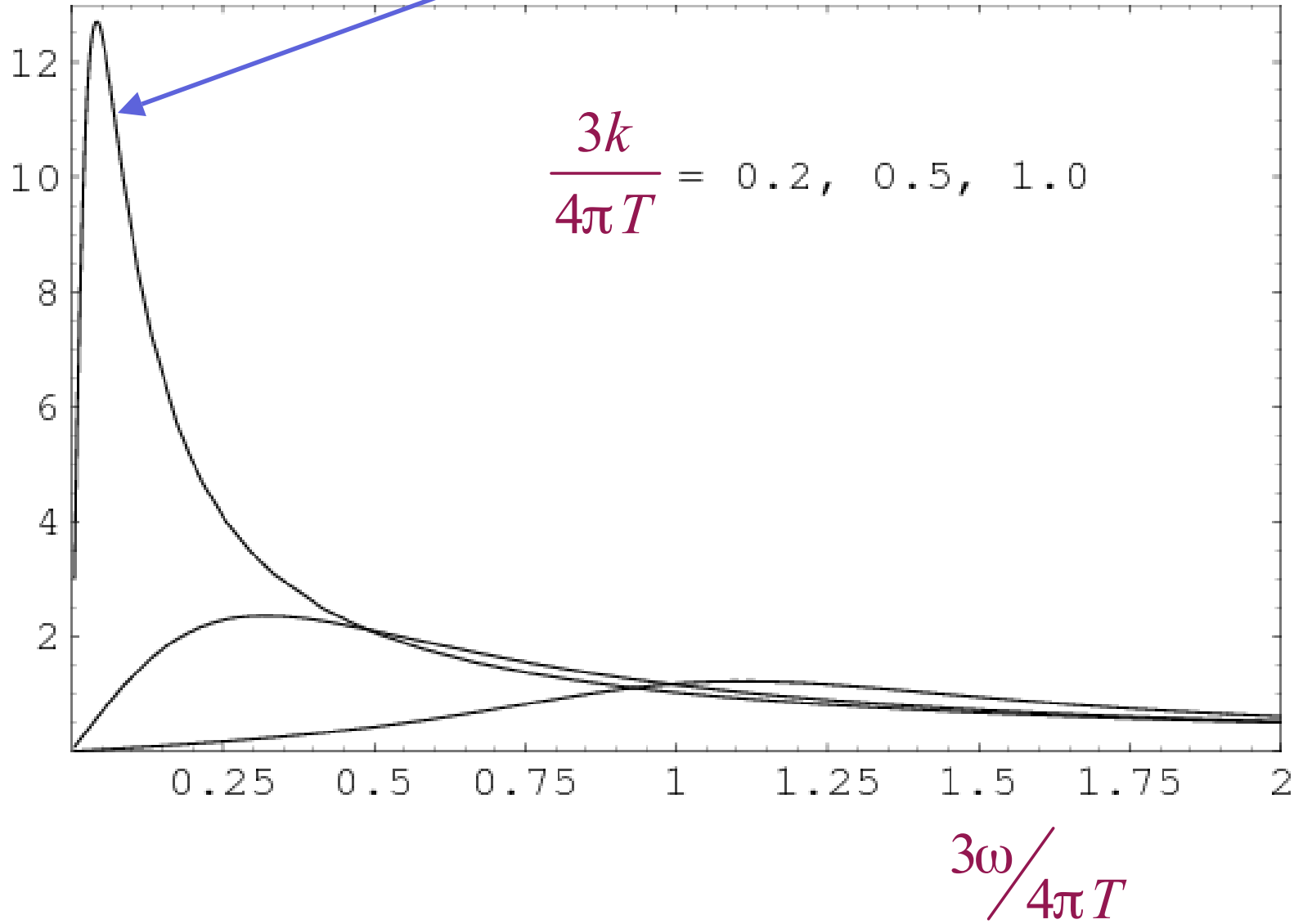
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$\text{Im}C/k^2$

diffusion peak



P. Kovtun, C. Herzog, S. Sachdev, and D.T. Son, Phys. Rev. D **75**, 085020 (2007)

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For experimental applications, we must move away from the ideal CFT

e.g. 
$$\mathcal{S} = \int d^2r d\tau \left[ |\partial_\tau \psi|^2 + v^2 |\vec{\nabla} \psi|^2 - g |\psi|^2 + \frac{u}{2} |\psi|^4 \right]$$

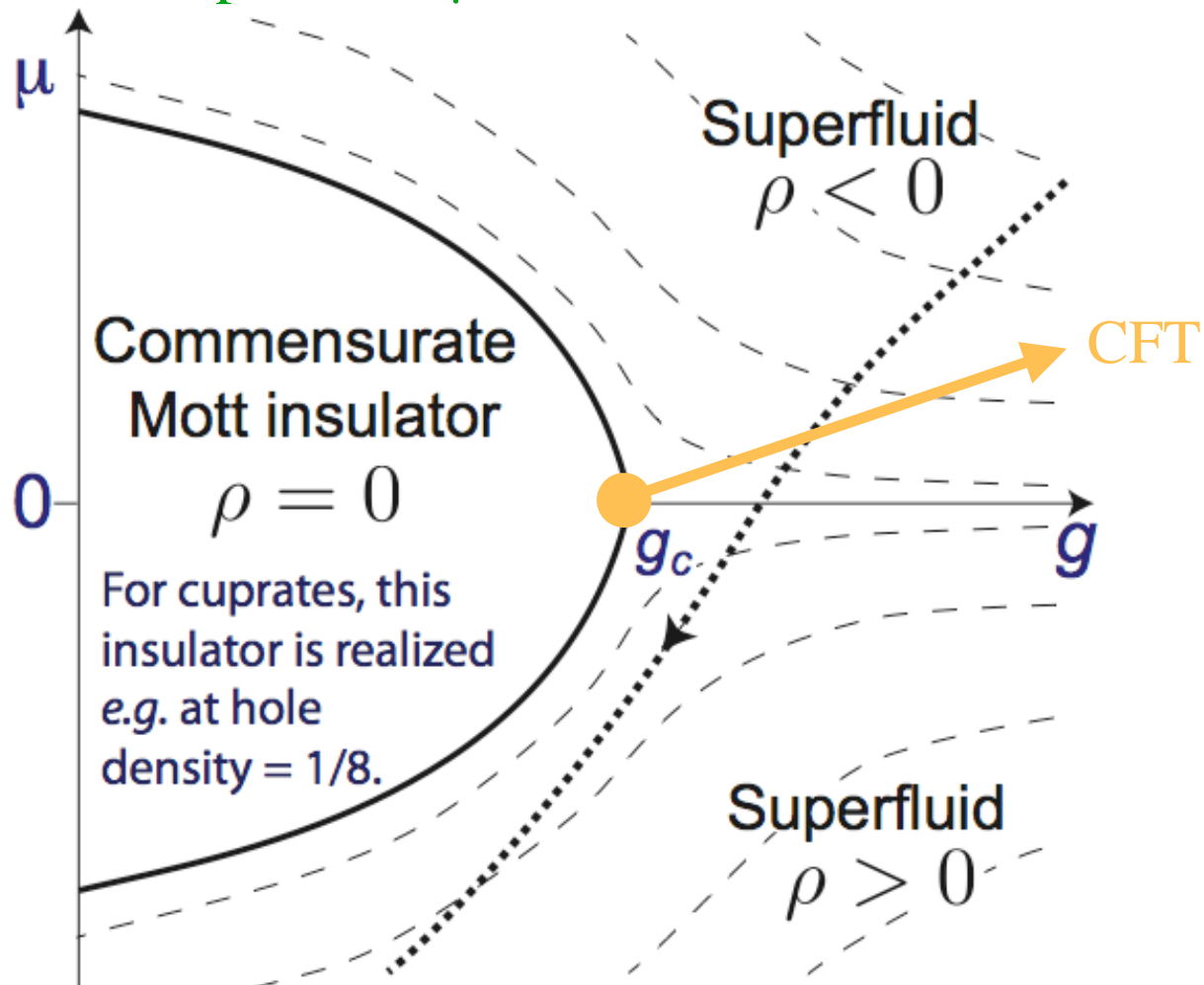
For experimental applications, we must move away from the ideal CFT

- A chemical potential  $\mu$

e.g. 
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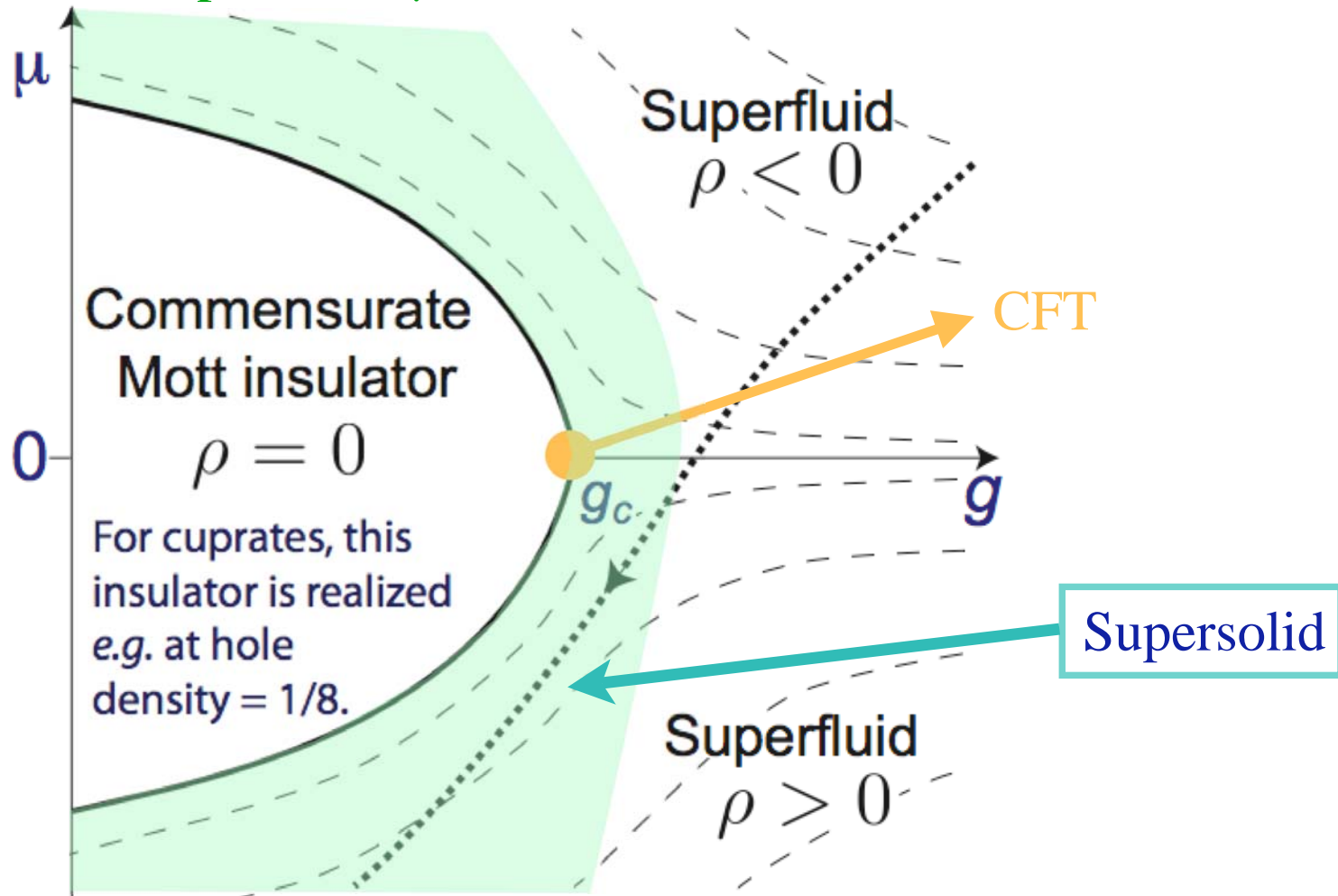
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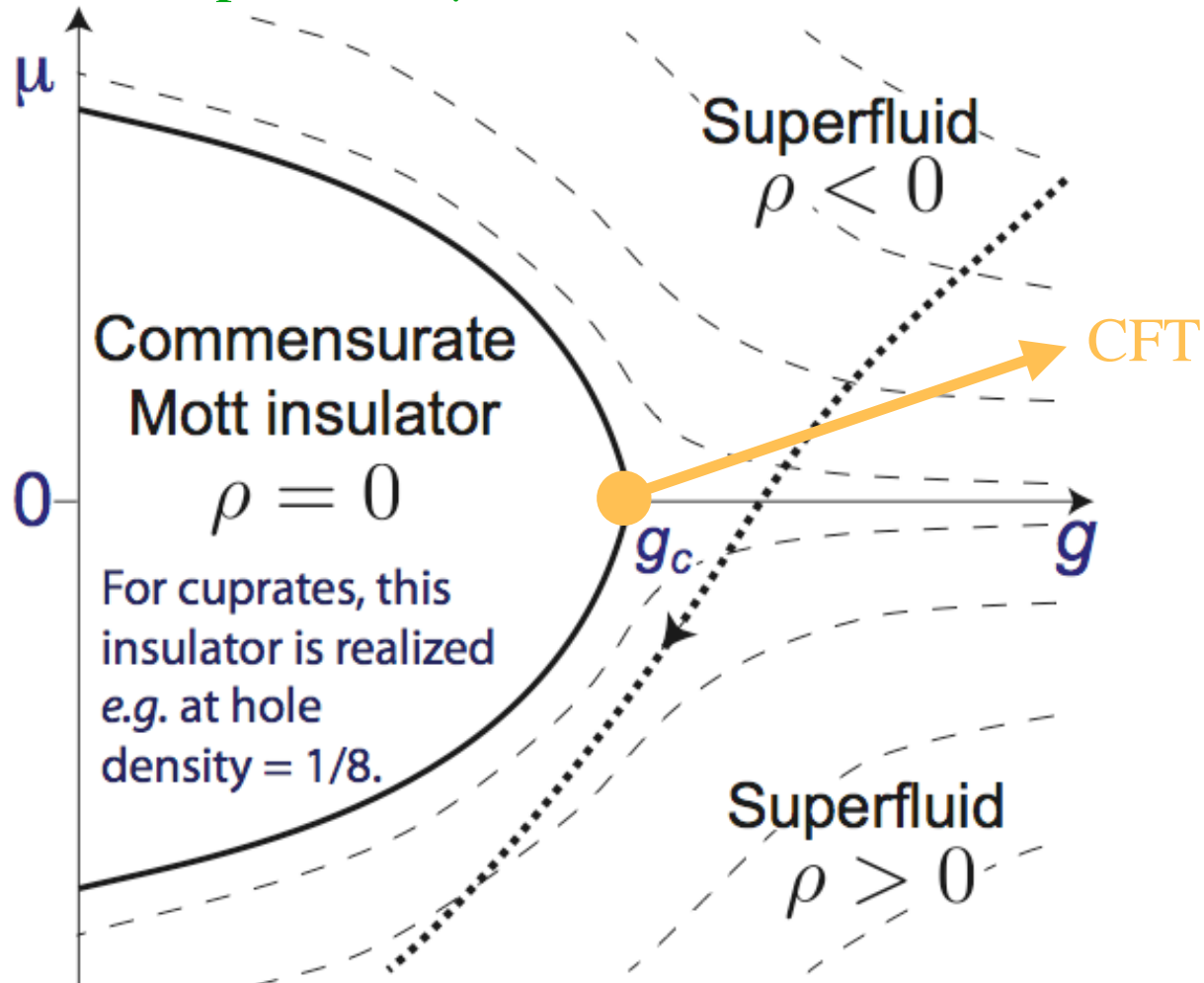
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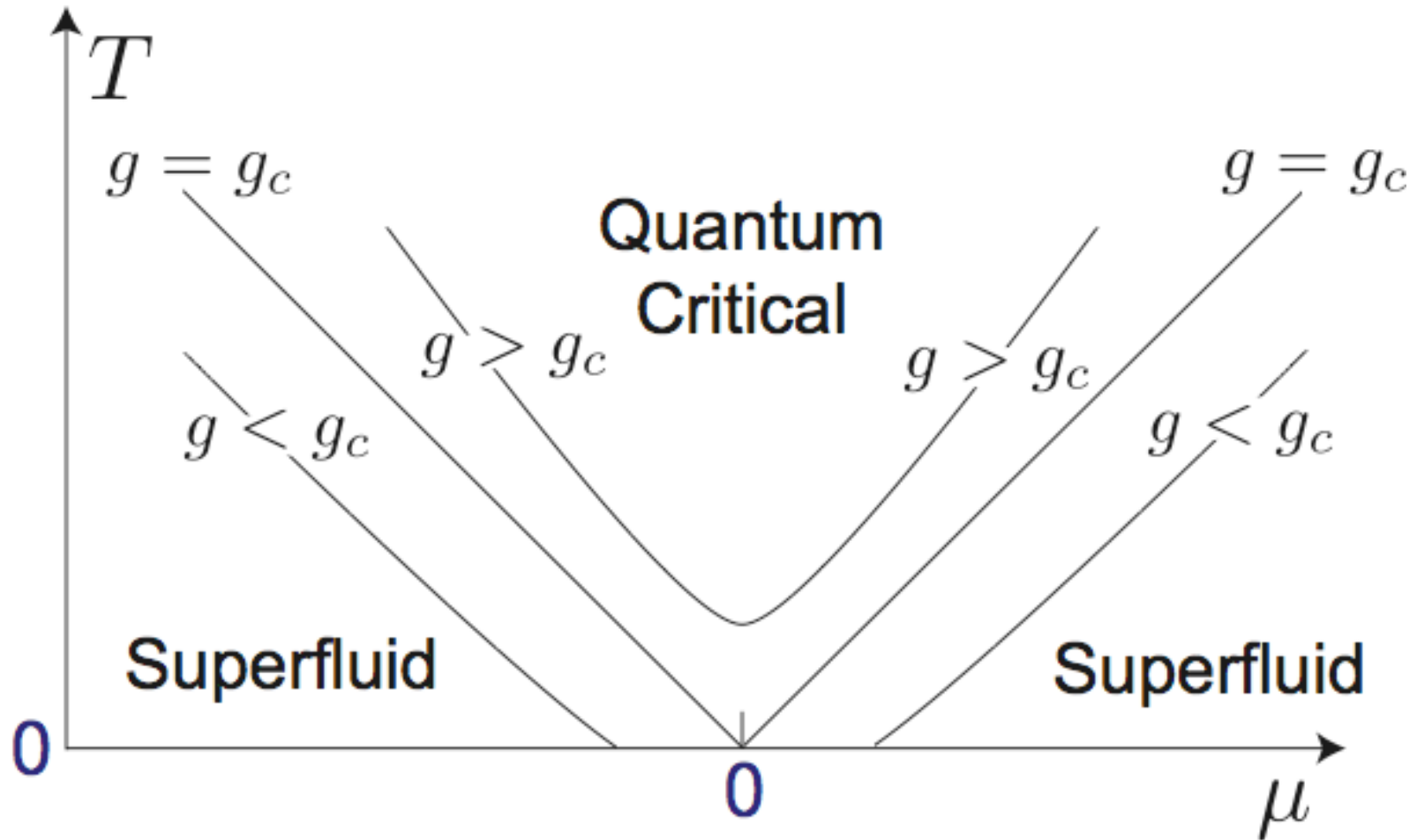
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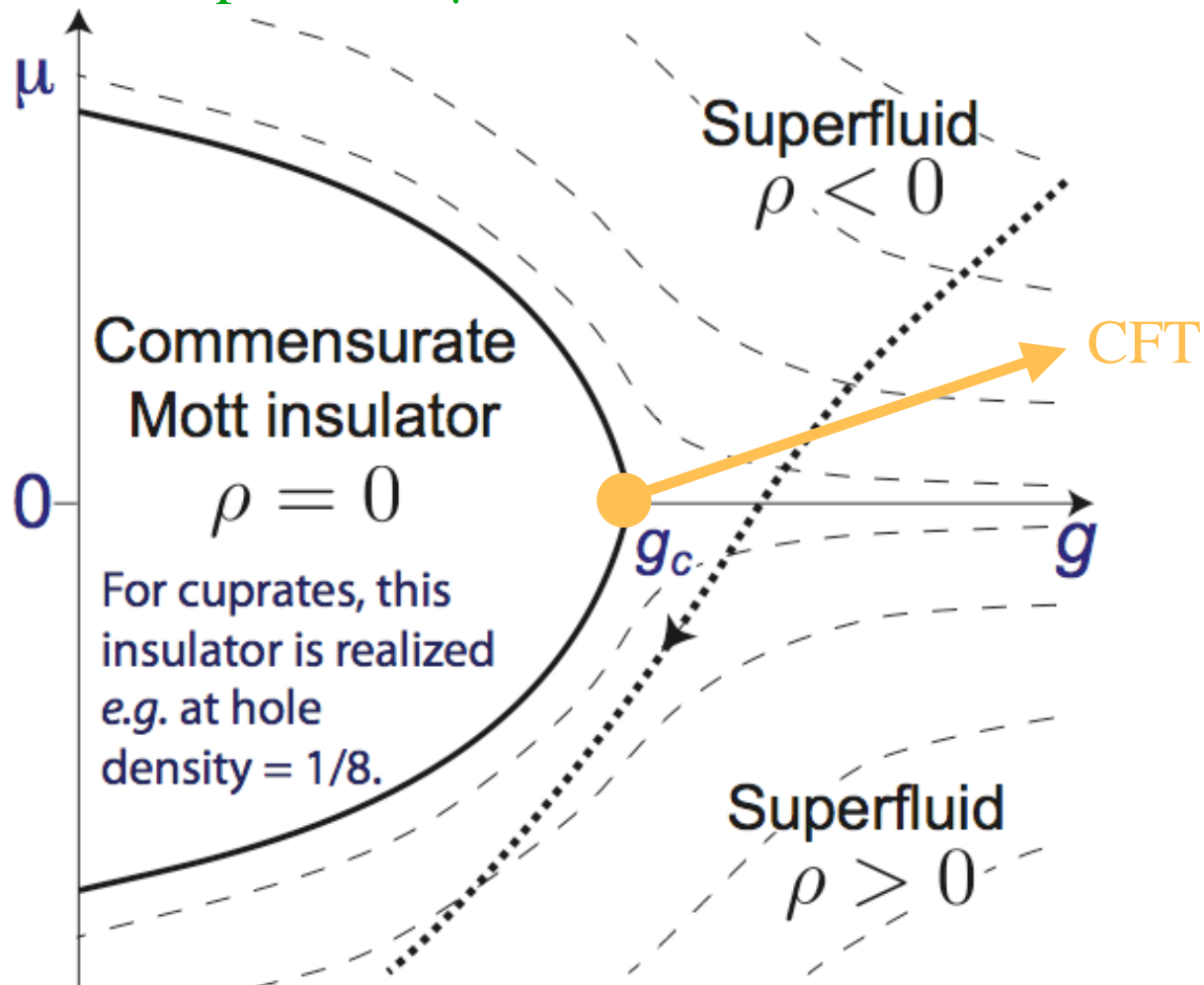
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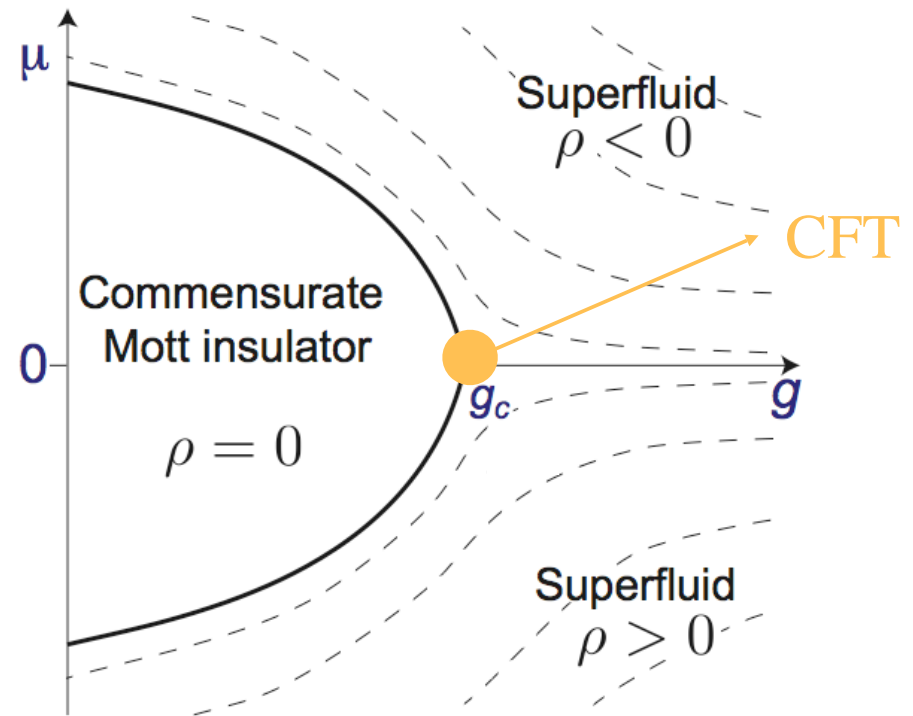
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For experimental applications, we must move away from the ideal CFT

- A chemical potential  $\mu$
- A magnetic field  $B$



e.g.

$$\mathcal{S} = \int d^2r d\tau \left[ |(\partial_\tau - \mu)\psi|^2 + v^2 |(\vec{\nabla} - i\vec{A})\psi|^2 - g|\psi|^2 + \frac{u}{2}|\psi|^4 \right]$$

$$\nabla \times \vec{A} = B$$

In the hydrodynamic regime,  $\hbar\omega \ll k_B T$ , we can use classical principles involving relaxation to local equilibrium to understand these perturbations.

The variables entering the hydrodynamic theory are

- the external magnetic field  $F^{\mu\nu}$ ,

$$F^{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & B \\ 0 & -B & 0 \end{pmatrix},$$

- $T^{\mu\nu}$ , the stress energy tensor,
- $J^\mu$ , the current,
- $\rho$ , the local number density,
- $\varepsilon$ , the local energy density,
- $P$ , the local pressure,
- $u^\mu$ , the local velocity, and
- $\sigma_Q$ , a universal conductivity, which is the **single transport co-efficient**.

The dependence of  $\varepsilon$ ,  $P$ ,  $\sigma_Q$  on  $T$  and  $v$  follows from simple scaling arguments

Lorentz invariance and positivity of entropy production lead to the hydrodynamic equations of motion and constitutive relations:

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$$\partial_\mu J^\mu = 0$$

$$\partial_\mu T^{\mu\nu} = F^{\mu\nu} J_\nu$$

Conservation laws/equations of motion

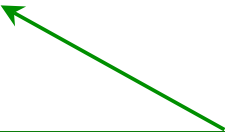
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$$T^{\mu\nu} = (\varepsilon + P)u^\mu u^\nu + P g^{\mu\nu}$$

$$J^\mu = \rho u^\mu$$



Constitutive relations which follow from Lorentz transformation to moving frame

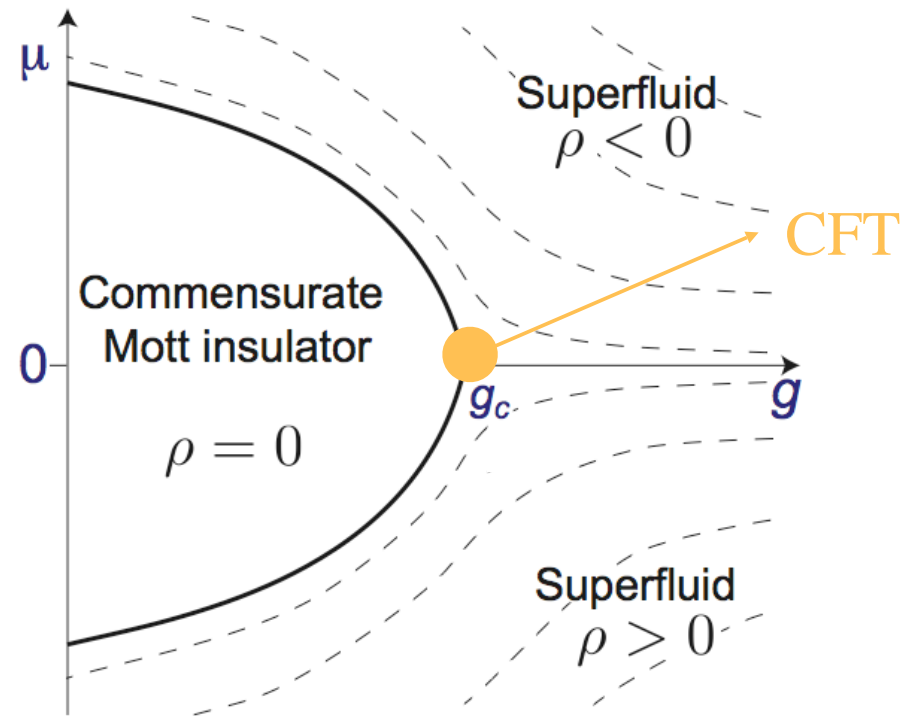
Lorentz invariance and positivity of entropy production lead to the hydrodynamic equations of motion and constitutive relations:

$$\begin{aligned}\partial_\mu J^\mu &= 0 \\ \partial_\mu T^{\mu\nu} &= F^{\mu\nu} J_\nu \\ T^{\mu\nu} &= (\varepsilon + P)u^\mu u^\nu + P g^{\mu\nu} \\ J^\mu &= \rho u^\mu + \sigma_Q (g^{\mu\nu} + u^\mu u^\nu) \left[ (-\partial_\nu \mu + F_{\nu\lambda} u^\lambda) + \mu \frac{\partial_\mu T}{T} \right]\end{aligned}$$

Single dissipative term allowed by requirement of positive entropy production. There is only one independent transport co-efficient

For experimental applications, we must move away from the ideal CFT

- A chemical potential  $\mu$
- A magnetic field  $B$

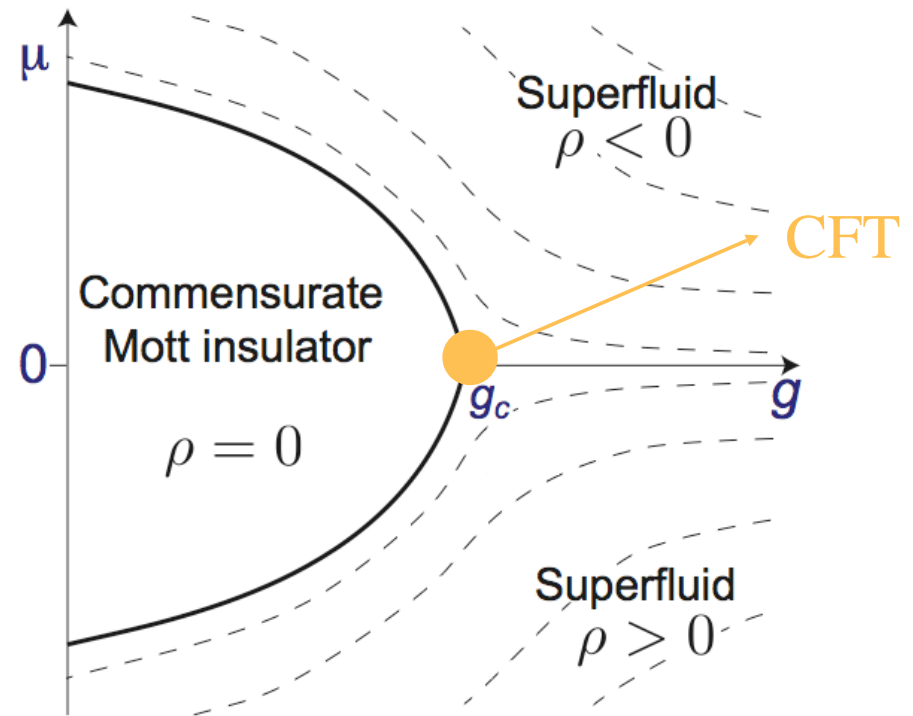


e.g.

$$\mathcal{S} = \int d^2r d\tau \left[ |(\partial_\tau - \mu)\psi|^2 + v^2 |(\vec{\nabla} - i\vec{A})\psi|^2 - g|\psi|^2 + \frac{u}{2}|\psi|^4 \right]$$
$$\nabla \times \vec{A} = B$$

For experimental applications, we must move away from the ideal CFT

- A chemical potential  $\mu$
- A magnetic field  $B$
- An impurity scattering rate  $1/\tau_{\text{imp}}$  (its  $T$  dependence follows from scaling arguments)



e.g.

$$\mathcal{S} = \int d^2r d\tau \left[ |(\partial_\tau - \mu)\psi|^2 + v^2 |(\vec{\nabla} - i\vec{A})\psi|^2 - g|\psi|^2 + V(r)|\psi|^2 + \frac{u}{2}|\psi|^4 \right]$$

$$\nabla \times \vec{A} = B \quad , \quad \overline{V(r)} = 0 \quad , \quad \overline{V(r)V(r')} = V_{\text{imp}}^2 \delta^2(r - r')$$

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$$J^\mu = \rho u^\mu + \sigma_Q (g^{\mu\nu} + u^\mu u^\nu) \left[ (-\partial_\nu \mu + F_{\nu\lambda} u^\lambda) + \mu \frac{\partial_\mu T}{T} \right]$$

From these relations, we obtained results for the transport co-efficients, expressed in terms of a “cyclotron” frequency and damping:

$$\omega_c = \frac{2eB\rho v^2}{c(\varepsilon + P)} \quad , \quad \gamma = \sigma_Q \frac{B^2 v^2}{c^2(\varepsilon + P)}$$

Longitudinal conductivity

$$\sigma_{xx} = \sigma_Q \left[ \frac{(\omega + i/\tau_{\text{imp}})(\omega + i\gamma + i\omega_c^2/\gamma + i/\tau_{\text{imp}})}{(\omega + i\gamma + i/\tau_{\text{imp}})^2 - \omega_c^2} \right] .$$

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Hall conductivity

$$\begin{aligned} \sigma_{xy} &= -\frac{2e\rho c}{B} \left[ \frac{\gamma^2 + \omega_c^2 - 2i\gamma\omega + 2\gamma/\tau_{\text{imp}}}{(\omega + i\gamma + i/\tau_{\text{imp}})^2 - \omega_c^2} \right] \\ &= B \left[ \sigma_Q \frac{4e\rho v^2}{(\varepsilon + P)(1/\tau_{\text{imp}} - i\omega)} + \frac{8e^3\rho^3 v^4}{(\varepsilon + P)^2(1/\tau_{\text{imp}} - i\omega)^2} \right] \\ &\quad \text{as } B \rightarrow 0 \end{aligned}$$

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Thermal conductivity

$$\begin{aligned} \kappa_{xx} &= \sigma_Q \left( \frac{k_B^2 T}{4e^2} \right) \left( \frac{\varepsilon + P}{k_B T \rho} \right)^2 \left[ \frac{(\omega_c^2/\gamma)(\omega_c^2/\gamma + 1/\tau_{\text{imp}})}{(\omega_c^2/\gamma + 1/\tau_{\text{imp}})^2 + \omega_c^2} \right] \\ &= \frac{1}{\sigma_Q} k_B^2 T \left( \frac{c(\varepsilon + P)}{k_B T B} \right)^2 \left[ \frac{\gamma(\omega_c^2/\gamma + 1/\tau_{\text{imp}})}{(\omega_c^2/\gamma + 1/\tau_{\text{imp}})^2 + \omega_c^2} \right] \end{aligned}$$

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# Outline

1. Superfluid/supersolid/insulator quantum transitions  
*Insulators at integer and commensurate densities*
2. Theory of quantum-critical transport  
*Collisionless- $t_0$ -hydrodynamic crossover of conformal field theories*
3. Hydrodynamics at incommensurate densities with impurities and a magnetic field  
*Exact relations between thermoelectric co-efficients*
4. Nernst effect in the cuprate superconductors

# Outline

## 1. Superfluid/supersolid/insulator quantum transitions

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*Exact relations between thermoelectric co-efficients*

## 4. Nernst effect in the cuprate superconductors

From these relations, we obtained results for the transport co-efficients, expressed in terms of a “cyclotron” frequency and damping:

$$\omega_c = \frac{2eB\rho v^2}{c(\varepsilon + P)} \quad , \quad \gamma = \frac{B^2 v^2}{c^2(\varepsilon + P)}$$

Nernst signal

$$e_N = \left( \frac{k_B}{2e} \right) \left( \frac{\varepsilon + P}{k_B T \rho} \right) \left[ \frac{\omega_c / \tau_{\text{imp}}}{(\omega_c^2 / \gamma + 1 / \tau_{\text{imp}})^2 + \omega_c^2} \right]$$
$$\frac{k_B}{2e} = 43.086 \mu\text{V/K}$$

From these relations, we obtained results for the transport co-efficients, expressed in terms of a “cyclotron” frequency and damping:

$$\omega_c = \frac{2eB\rho v^2}{c(\varepsilon + P)} \quad , \quad \gamma = \frac{B^2 v^2}{c^2(\varepsilon + P)}$$

Transverse thermoelectric co-efficient

$$\left(\frac{h}{2ek_B}\right) \alpha_{xy} = \Phi_s \bar{B} (k_B T)^2 \left(\frac{2\pi\tau_{\text{imp}}}{\hbar}\right)^2 \frac{\bar{\rho}^2 + \Phi_\sigma \Phi_{\varepsilon+P} (k_B T)^3 \hbar / 2\pi\tau_{\text{imp}}}{\Phi_{\varepsilon+P}^2 (k_B T)^6 + \bar{B}^2 \bar{\rho}^2 (2\pi\tau_{\text{imp}}/\hbar)^2},$$

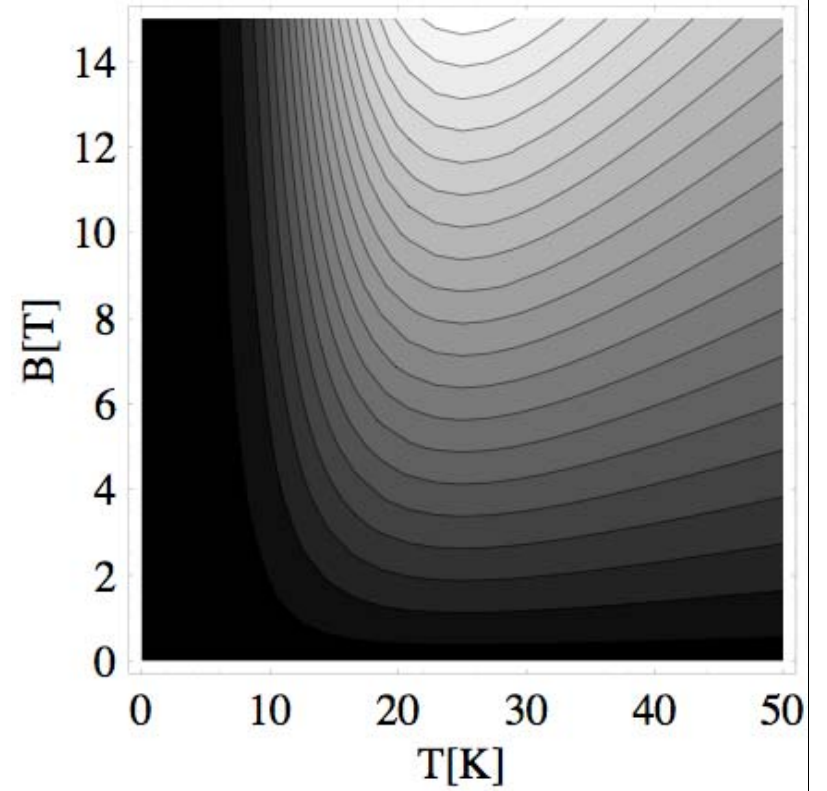
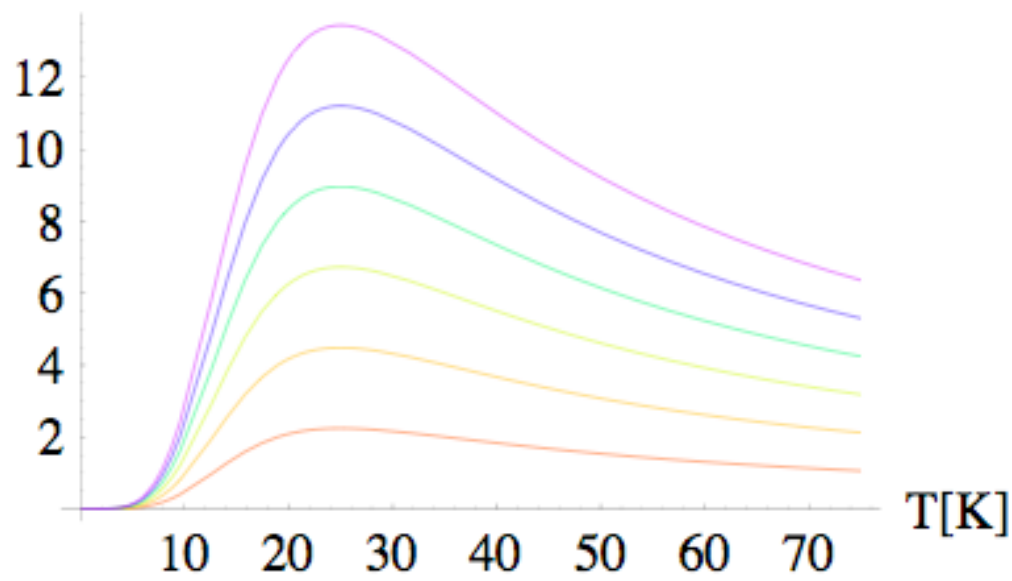
where

$$B = \bar{B}\phi_0/(\hbar v)^2 \quad ; \quad \rho = \bar{\rho}/(\hbar v)^2.$$

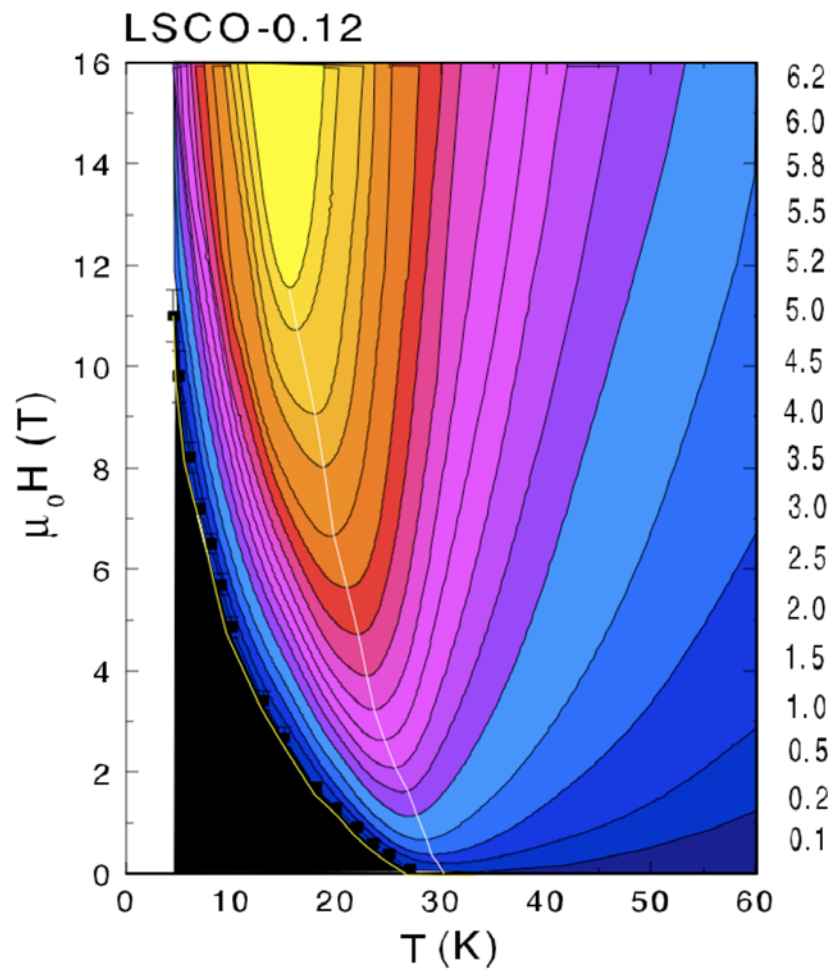
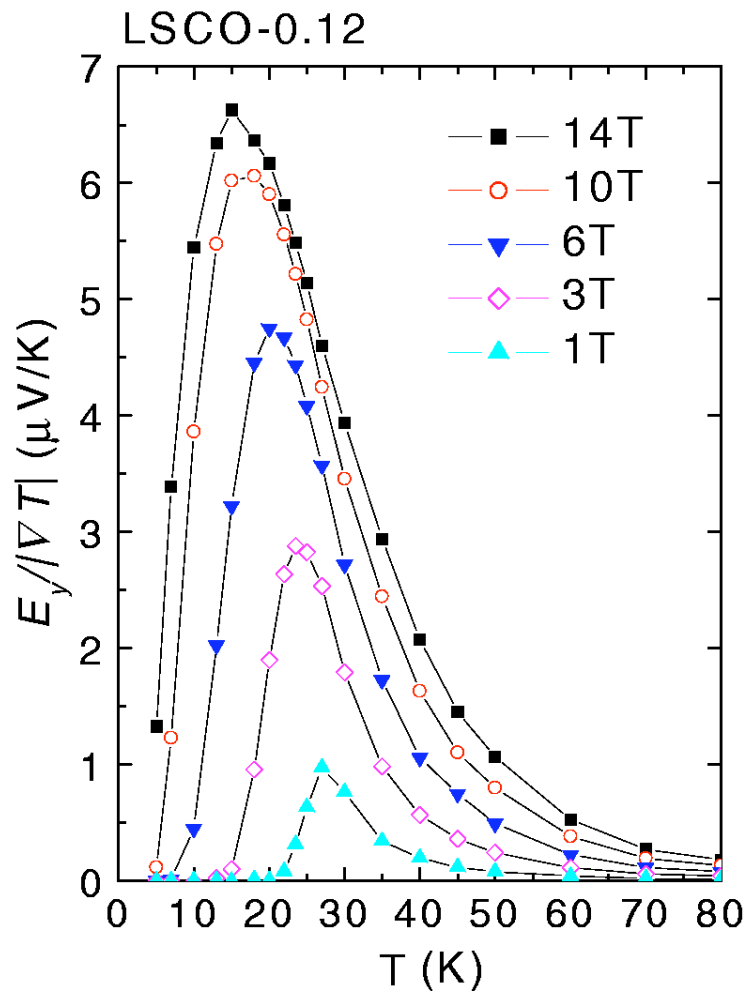
# LSCO - Theory

$eN \left[ \frac{\mu V}{K} \right]$

B=2.5 - 15 T

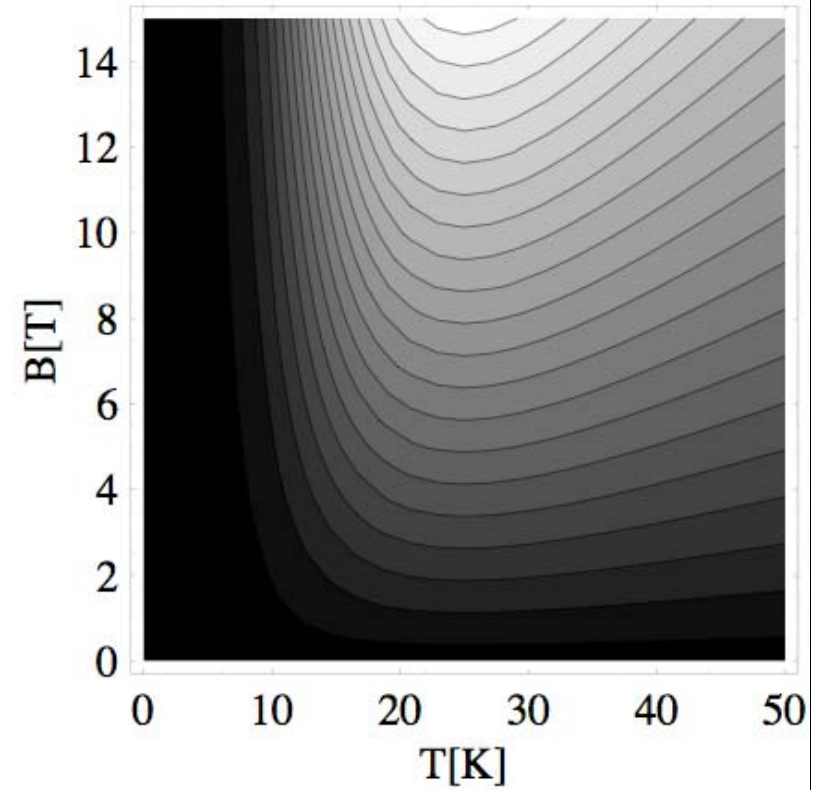
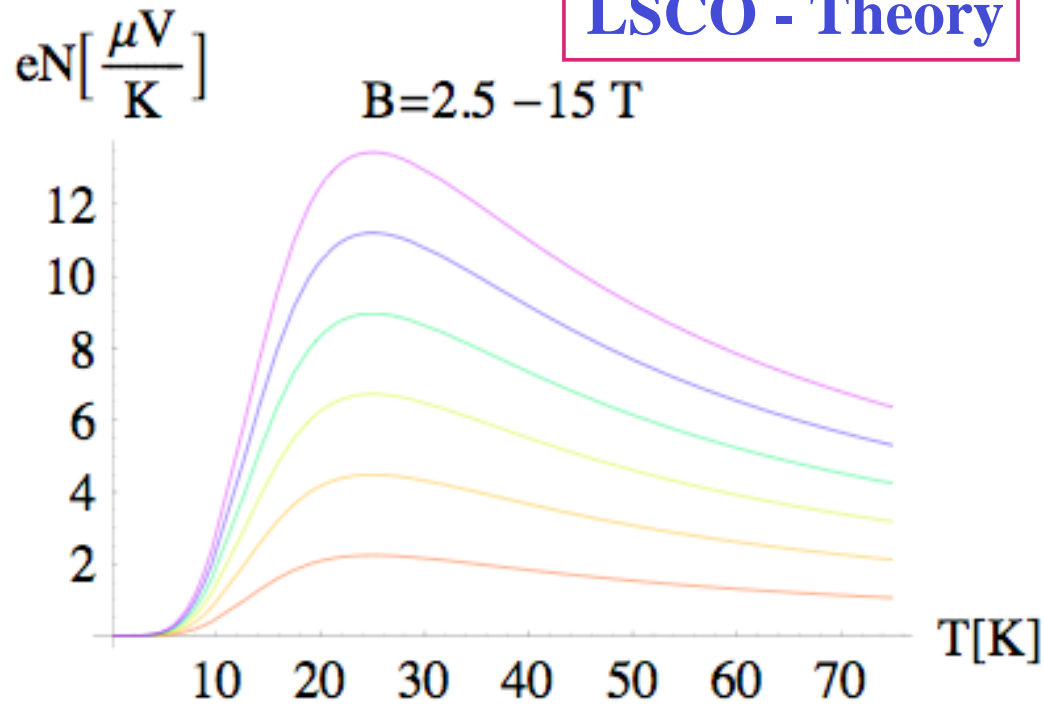


# LSCO - Experiments



N. P. Ong *et al.*

## LSCO - Theory



Only input parameters

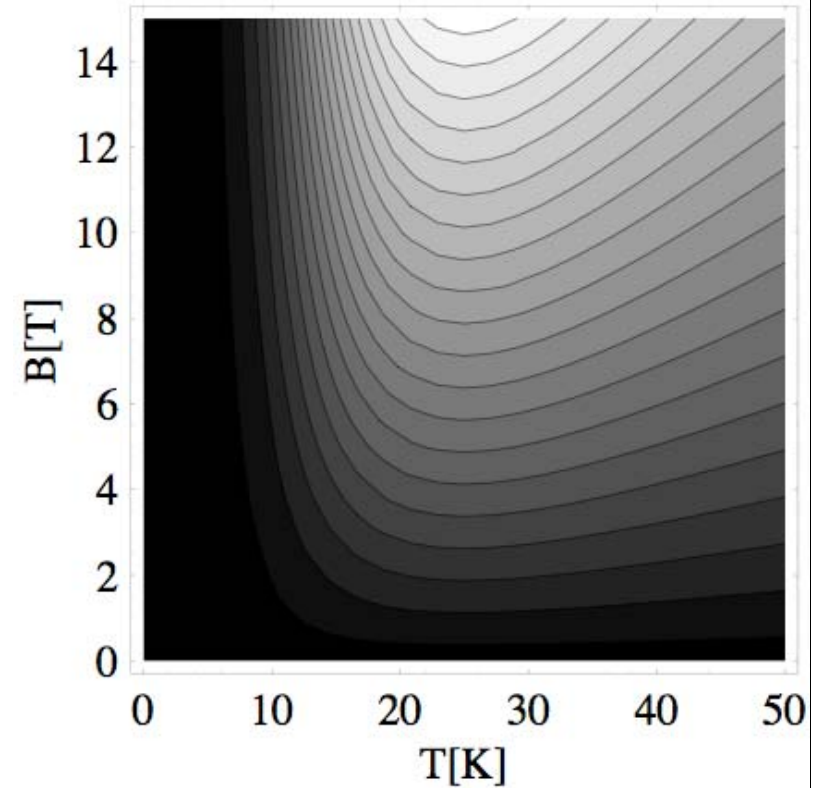
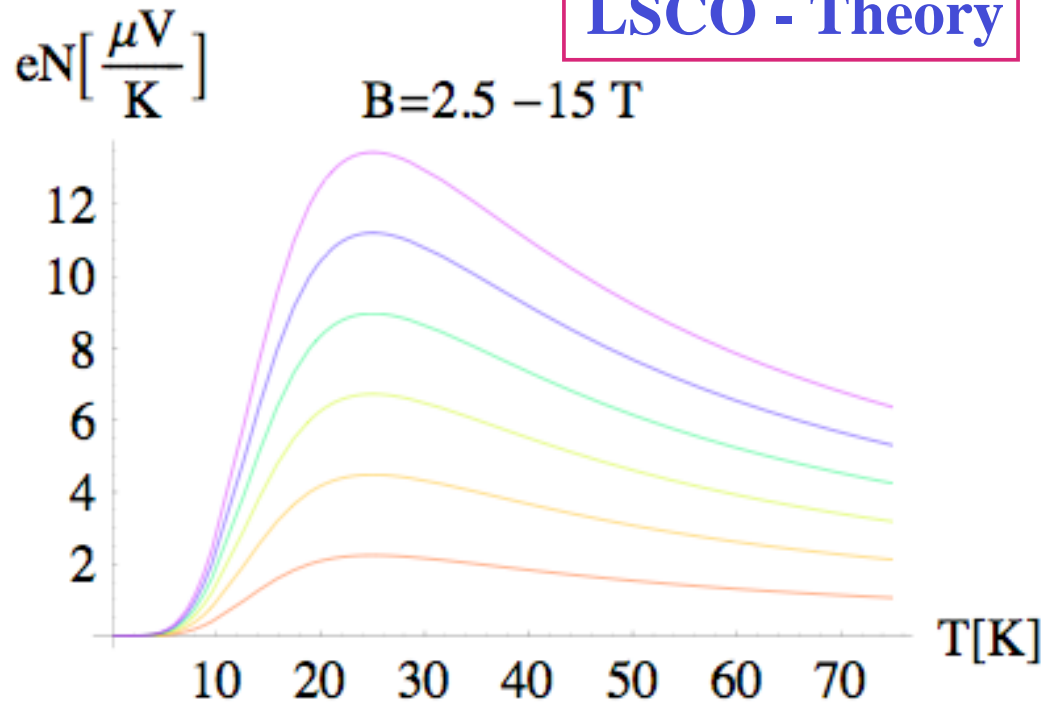
$$\hbar v = 47 \text{ meV } \text{\AA}$$

$$\tau_{\text{imp}} \approx 10^{-12} \text{ s}$$

Output

$$\omega_c = 6.2 \text{ GHz} \cdot \frac{B}{1 \text{ T}} \left( \frac{35 \text{ K}}{T} \right)^3$$

## LSCO - Theory



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Similar to velocity estimates by  
A.V. Balatsky and Z-X. Shen, *Science* **284**, 1137 (1999).

S.A. Hartnoll, P.K. Kovtun, M. Müller, and S. Sachdev, arXiv:0706.3215

To the solvable supersymmetric, Yang-Mills theory CFT, we add

- A chemical potential  $\mu$
- A magnetic field  $B$

After the AdS/CFT mapping, we obtain the Einstein-Maxwell theory of a black hole with

- An electric charge
- A magnetic charge

The exact results are found to be in *precise* accord with *all* hydrodynamic results presented earlier

## *Conclusions*

- General theory of transport in a weakly disordered “vortex liquid” state.
- “Relativistic” magnetohydrodynamics offers an efficient approach to disentangling momentum and charge transport
- Exact solutions via black hole mapping have yielded first exact results for transport co-efficients in interacting many-body systems, and were valuable in determining general structure of hydrodynamics.
- Simple model reproduces many trends of the Nernst measurements in cuprates.